

DEPARTMENT OF WATER RESOURCES

715 P STREET, 7th FLOOR P.O. BOX 942836
SACRAMENTO, CA 94236-0001



INVITATION FOR BID

Notice to Prospective Bidders

June 4, 2026

You are invited to review and respond to this Invitation for Bid (IFB) number 10219245 for Crane Certification Services. The anticipated term of this agreement is three (3) years. In submitting your bid, you must comply with these instructions.

Note that all agreements entered into with the Department of Water Resources, hereinafter referred to as the "State", incorporates, by reference, the State's General Terms and Conditions (GTC) and Contractor Certification Clauses (CCC) that may be viewed and downloaded at the Department of General Services (DGS) website:

<https://www.dgs.ca.gov/OLS/Resources/Page-Content/Office-of-Legal-Services-Resources-List-Folder/Standard-Contract-Language>

If you do not have Internet access, a hard copy can be provided by contacting the person listed below. The CCC package contains clauses and conditions that may apply to your Agreement and to anyone doing business with the State. The Certification will be kept on file in a central location. Inquiries regarding the processing of this bid should be referred to Mao Yang at (209) 833-2070. Please note that no verbal information given will be binding upon the State unless such information is issued in writing as an official addendum to this solicitation.

Sincerely,

Mao Yang, Contract Coordinator

Attachment(s)

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A. Purpose and Description of Services

The Contractor must provide all qualified labor, equipment, and materials necessary to perform annual inspections, dielectric inspections, and quadrennial proof-load tests (i.e. testing with “live loads”) and issue certifications of compliance for cranes and hoists.

B. Bidder Minimum Qualifications

1. The Department has determined that Bidders responding to this solicitation must comply with Disabled Veteran Business Enterprise (DVBE) Program participation requirements, which is a minimum of three percent (3.0%) commitment. Bidders must complete and submit the following forms: Attachment VI, Bidder Certification of DVBE Participation (DWR 9526), Attachment VII, DVBE Declaration (DGS PD 843); Attachment VIII, Bidder Declaration (DGS PD 05-105) and DVBE bidder's and/or subcontractor(s)' Department of General Services Office of Small Business and Disabled Veteran Business Enterprise Services (DGS/OSDS) certification(s). ***Failure to fulfill the DVBE requirement will render your bid non-responsive and shall be cause for bid rejection.***
2. The Contractor must be California Occupational Safety and Health Act (Cal-OSHA) certified and must have a minimum of three (3) years of experience performing the work required on large capacity (100 ton) cranes. A valid Certificate of Approval from the Department of Industrial Relations, Division of Occupational Safety and Health, will meet this Cal-OSHA requirement.
3. The Contractor must possess a C-10 Contractor's License issued by the California Department of Consumer Affairs, Contractors State License Board.

C. Bid Requirements and Information**1. BID KEY ACTION DATES**

All bidders must adhere to the following time schedule.

IFB available to prospective bidders on	June 15, 2026
Technical questions must be submitted by	June 29, 2026, by 2:30 PM
Answers to technical questions will be disseminated by	July 6, 2026, by 2:30 PM
Bids must be received by	July 20, 2026, by 2:30 PM
Bid opening to be held on	July 21, 2026, by 1:00 PM
Anticipated start date of agreement is	January 1, 2027

Bid Openings: Sealed bids will be publicly opened and read at the following location.

Department of Water Resources
Delta Field Division
Administrative Building
5280 Bruns Road
Byron, California 94514

2. LOCATION WHERE WORK WILL BE PERFORMED:

Department of Water Resources
Delta Field Division
5280 Bruns Road
Byron, California 94514

(Various locations throughout the field division's jurisdiction, refer to Exhibit A, Attachment 1)

3. QUESTIONS AND ANSWERS

Technical questions must be submitted in writing to mao.yang@water.ca.gov and received by the State on or before June 29, 2026, by 2:30 P.M. The State's representatives will provide answers in writing to all potential bidders by July 6, 2026, by 2:30 P.M.

4. SUBMISSION OF BID

- a. Bids must be submitted by United States Postal Service (USPS) mail, hand delivery, United Parcel Service (UPS), express mail, or Federal Express.

- i. Bids submitted by USPS must be addressed to:

Department of Water Resources
Delta Field Division
Attn: Mao Yang
5280 Bruns Road
Byron, California 94514

- ii. Bids submitted by hand delivery, must be given to Contract Specialist, Mao Yang, on or before the bid due date and time. Please coordinate a day and time via phone (209) 833-2070 or e-mail mao.yang@water.ca.gov .

- iii. Bids submitted by UPS, express mail, or Federal Express must be addressed to:

Department of Water Resources
Delta Field Division
Attn: Mao Yang
5280 Bruns Road
Byron, California 94514

- b. All bids must include original signatures on the following documents: Bid/Bidder Certification Sheet and any other documents specified in the Bid Checklist.
 - c. Bids not including the documents identified in the Bid Checklist shall be deemed non-responsive and will be rejected.
 - d. All bids are to be sent to DWR within the time frame indicated in the Time Schedule. Bids received after the due date and time will be returned unopened to the prospective bidder.
 - e. All bids must be submitted under sealed cover. The sealed cover must be plainly marked with the IFB title and number, must show your firm's name and address, and must be marked with "DO NOT OPEN."
 - f. Bids not submitted under sealed cover will be rejected. A minimum of two signed bids must be submitted. One bid must be submitted in hardcopy. One bid must be submitted in an electronic format (Word and/or PDF File) on a USB Drive. Both bids must be signed and submitted in the same envelope. USB Drives can be returned at the request of the bidder once the solicitation is concluded.
 - g. Bids must be submitted for the entire service described within the Scope of Work. Deviations from the specifications will not be considered and will be cause for rejection of the bid.

- h. The State does not accept alternate language from a bidder. A bid with such language will be considered a counter proposal and will be rejected. The State's General Terms and Conditions (GTC) are not negotiable.
- i. A bid may be rejected if conditional or incomplete, or if it contains any alterations of form or other irregularities of any kind. The State may waive any immaterial deviation in a bid. The State's waiver of immaterial defect shall in no way modify the IFB document or excuse the bidder from full compliance with the objectives if awarded the Agreement. The State may reject all bids if deemed necessary.
- j. Costs for developing bids and preparation of award of the Agreement are entirely the responsibility of the bidder and shall not be chargeable to the State.
- k. This IFB must be signed by an individual who is authorized to bind the bidding firm contractually. The signature must indicate the title or position that the individual holds in the firm. An unsigned bid will be rejected.
- l. A bidder may modify a bid after its submission by withdrawal and resubmission before the bid due date. Modification of a bid offered in any other manner, oral or written, will not be considered.
- m. A bidder may withdraw their bid by submitting a written request to the State for its withdrawal, signed by the bidder or an agent authorized in accordance with Paragraph I above. A bidder may thereafter submit a new bid before the bid submission deadline. Bids may not be withdrawn after the bid due date. Bids received after the due date and time will be returned unopened to the prospective bidder.
- n. DWR may modify the IFB prior to the date fixed for submission of bids by the issuance of an Addendum to all parties who received a bid package.
- o. If all bids are too high, DWR is not required to award an Agreement.
- p. Bids are public upon bid opening.
- q. Bidders are cautioned not to rely on the State during the evaluation to discover and report all defects and errors in the bid documents. Bidders should carefully proof read documents for errors and adherence to the IFB requirements prior to bid submittal.
- r. Where applicable, the bidder should carefully examine the worksite and specifications. Bidder shall investigate the conditions, character, quality of surface, subsurface materials, or obstacles to be encountered. No additions to the Agreement amount will be made because of failure to thoroughly examine the worksite and specifications.

5. EVALUATION AND SELECTION PROCESS

- a. The State will put each bid through a process of evaluation to determine the responsiveness of bidders to the State's needs. The final selection will be made on the basis of the lowest responsible bid meeting the specifications.

- b. Bids containing false or misleading statements or providing references that do not support an attribute or condition claimed by the bidder may be rejected. If, in the opinion of the State, information was intended to mislead the State in its evaluation of the bid, and the attribute, condition, or capability is a requirement of this IFB, it will be the basis for rejection of the bid.
- c. At the time of bid opening, each bid will be checked for the presence or absence of required information in conformance with the submission requirements of this IFB.
- d. Bids submitted that include option years will be evaluated based on the total bid amount for all years provided for the overall bid ranking. However, the total bid amount, for determining the contract amount will not include the option years.
- e. The contract will be awarded to the lowest responsible bidder after consideration of any applicable preferences. If there are tied bids, representatives of the State will draw straws to pick the winning bidder. The drawing will be witnessed and documented by two or more State employees.

6. TAX DELINQUENT STATUS VERIFICATION

- a. Effective July 1, 2012 [Public Contract Code 10295.4](#), requires state agencies to verify the tax delinquent status of bidders responding to state solicitations.
- b. At the time of bid evaluation, prior to contract award and execution, the State will verify all proposing firms and identified subcontractors as not listed as tax delinquent by the Franchise Tax Board and the California Department of Tax and Fee Administration. Any proposing firms or subcontractor listed as tax delinquent shall result in a proposal rejection and will not be considered for contract award. Proposing firms wanting further clarification can refer to the statute above or to the web sites listed below for additional information.

California Department of Tax and Fee Administration – Top 500 Sales Tax and Use Delinquencies in California
<https://www.cdfta.ca.gov/taxes-and-fees/top500.htm>

Franchise Tax Board – Top 500 Delinquent Tax Payers
<https://www.ftb.ca.gov/about-ftb/newsroom/top-500-past-due-balances/index.html>

7. GENERATIVE ARTIFICIAL INTELLIGENCE (GEN AI) DISCLOSURE

- a. The State of California seeks to realize the potential benefits of GenAI, through the development and deployment of GenAI tools, while balancing the risks of these new technologies.
- b. Bidder / Offeror must notify the State in writing if it: (1) intends to provide GenAI as a deliverable to the State; or (2), intends to utilize GenAI, including GenAI from third parties, to complete all or a portion of any deliverable that materially impacts: (i) functionality of a State system, (ii) risk to the State, or (iii) Contract performance. For avoidance of doubt, the term “materially impacts” shall have the meaning set forth in State Administrative Manual (SAM) § 4986.2 Definitions for GenAI.

- c. Failure to report GenAI to the State may result in disqualification. The State reserves the right to seek any and all relief to which it may be entitled to as a result of such non-disclosure.
- d. Upon notification by a Bidder / Offeror of GenAI as required, the State reserves the right to incorporate GenAI Special Provisions into the final contract or reject bids/offers that present an unacceptable level of risk to the State.
- e. Government Code 11549.64 defines "Generative Artificial Intelligence (GenAI)" as an artificial intelligence system that can generate derived synthetic content, including text, images, video, and audio that emulates the structure and characteristics of the system's training data.

8. AWARD AND PROTEST

A bidder may protest the award of a contract on the grounds that it (the bidder) is the lowest responsible bidder meeting the specifications and should therefore be awarded the contract.

A protestant must be able to prove that the awarding agency has committed a material error in the conduct of the bid award process.

Public inspection of all bids will be allowed after the bid opening.

Protests must be received in a timely manner pursuant to Public Contract Code Section 10345, as applicable. In order to be considered timely, a protest must be filed with the State Agency conducting the solicitation and DGS before the contract award is made.

Please note that if the award will be made to other than the low bidder, any protests must be filed within five business days of notice to the low bidder that the contract was awarded to another bidder. If a written request was submitted by a bidder to the State agency requesting that a notice of intent to award be posted, the protest must be filed during the five business days the notice is posted.

Within five business days of filing the protest, the protestant must submit a detailed written statement of protest if the original protest did not contain the complete grounds for the protest.

Both the original protest and/or the detailed statement of protest, if any, must include the IFB number, the name of the State Agency conducting the solicitation, State agency contact person, and protestant's fax number, if any.

The protest documents may be sent by regular mail, email, courier, or personal delivery to:

Department of General Services
Office of Legal Services
Attention: Bid Protest Coordinator
707 Third Street, 7th Floor, Suite 7-330
West Sacramento, CA 95605
Bid Protest Coordinator Email address: OLSProtests@dgs.ca.gov

AND

Department of Water Resources
Contract Specialist Email Address: mao.yang@water.ca.gov

Upon receipt of the protest, DGS shall send the protestant an acknowledgement letter and thereafter communicate with the parties regarding further disposition of the protest.

9. DISPOSITION OF BIDS

Upon bid opening, all documents submitted in response to this IFB will become the property of the State and will be regarded as public records under the California Public Records Act (Government Code Section 7920 et seq.) and are subject to review by the public. Bids may be returned at the request and expense of the bidder.

D. Standard Conditions of Service

1. Service(s) shall not commence until the Agreement is fully executed and all approvals have been obtained.
2. All performance under the Agreement shall be completed on or before the termination date of the Agreement unless this Agreement is amended to extend the term.
3. No oral understanding or agreement shall be binding on either party.

E. Payee Data Record

1. The Contractor awarded this Agreement must have completed and submitted form STD 204, Payee Data Record, to determine if the Contractor is subject to a seven percent State Income Tax withholding pursuant to California Revenue and Taxation Code Sections 18662, 18805, and 26131.
2. No payment shall be made unless the Payee Data Record form has been completed and returned to the State.

F. Key Employee Policies and Guidelines Notice Acknowledgment (Consultants and Contractors)

1. The selected contractor awarded this Agreement must complete and submit the form DWR 9524a, Key Employee Policies and Guidelines Notice Acknowledgment. These policies and guidelines communicate expectations for contractors. Every contractor or subcontractors employee is expected to read and understand the material contained within. Primary contractor/subcontractors are responsible to ensure that their employees are aware of and adhere to the policies and guidelines.

G. Economic Incentive Programs

Bidders may be eligible for additional preferences when their place of business is located within certain economic regions and when they hire persons living and working within those economic regions. Please access the following links to read information about these economic incentive programs.

TACPA CONTRACT PREFERENCE

This solicitation contains Target Area Contract Preference Act (TACPA) preference request forms. Please carefully review the forms and requirements. Bidders are not required to apply for these preferences. Denial of the TACPA preference request is not a basis for rejection of the bid.

The State as part of its evaluation process reserves the right to verify, validate, and clarify all information contained in the bid. This may include, but is not limited to, information from bidders, manufacturers, subcontractors and any other sources available at the time of the bid evaluation.

Bidder refusal to agree to and/or comply with these terms, or failure to provide additional supporting information at the State's request may result in denial of preference requested.

Contracts awarded with applied preferences will be monitored throughout the life of the contract for compliance with statutory, regulatory, and contractual requirements. The State will take appropriate corrective action and apply sanctions as necessary to enforce preference programs. Links to forms and contact information are below.

- [TACPA Preference Request \(STD 830\)](#)
- [Manufacturer's Summary of Contract Activities and Labor Hours \(DGS PD 525\)](#)
- [Bidder's Summary of Contract Activities and Labor \(DGS PD 526\)](#)

Any questions regarding the TACPA preference should be directed to DGS-PD Support Services Unit at (916) 375-4609 or TACPA@dgs.ca.gov.

H. Small Business Preference Program

1. The Small Business Procurement and Contract Act [Government Code \(GC\) Section 14838 et. seq.](#) requires that a fair share of the State's purchases and contracts for goods, information technology services and construction be placed with a certified Small Business (SB) or Micro-Business (MB). The Act mandates that state agencies:
 - a. Establish participation goals,
 - b. Provide a five percent (5.0%) SB calculation preference, and
 - c. Provide the opportunity for bidders to receive a five percent (5.0%) non-small business (NSB) calculation preference when achieving twenty-five percent (25.0%) SB participation through subcontracted efforts.

Bidder which is awarded the contract is committed to paying the stated percentage of the total contract amount (including contract amendments) to the SB subcontractor(s) as declared on the DGS PD 05-105 form. SB bidders and subcontractors must be certified by DGS/OSDS to participate in SB Preference program.

2. SMALL BUSINESS PREFERENCE

The SB Preference offers certified SB's and MB's a calculation preference in the amount of five percent (5%) of the lowest responsible bid submitted by a bidder who is not a certified SB. The SB Preference is used as a calculation for determining the lowest bidder and does not affect the actual price bid. Responding bidders must:

- a. Includes in its bid a notification to the awarding department that it is an SB or that it has submitted to The Department of General Services, Office of Small Business & Disabled Veteran Business Enterprise Services (DGS/OSDS) a complete application no later than 5:00 p.m. on the bid due date, and is subsequently certified by DGS/OSDS as an SB; and
- b. Include copy of firm's DGS/OSDS SB certification
- c. Submits a timely, responsive bid; and
- d. Be determined to be a responsible bidder.

3. NON-SMALL BUSINESS PREFERENCE

Non-small business (NSB) bidders will be granted a five percent (5.0%) NSB preference on a bid evaluation when a responsible NSB has agreed to subcontract at least twenty-five percent (25.0%) of their bid price with a California certified SB and if the NSB bidder's bid is not the low price bid, or when a proposal has been not been ranked as the highest scored bid pursuant to the evaluation of the solicitation. Responding bidders must:

- a. Include information on required form DGS PD 05-105, Attachment VIII, of the DGS/OSDS certified SB subcontractor(s) with which it commits to subcontract a total of at least twenty-five percent (25%) of its net bid price (refer to page two of form for detailed instructions);
- b. Include copy of the DGS/OSDS certification for each identified SB;
- c. Submits a timely, responsive bid; and
- d. Be determined to be a responsible bidder.

4. NON-SMALL BUSINESS PREFERENCE CALCULATION

The NSB calculation preference five percent (5.0%) is used for bid evaluation purposes only. Awards made as a result of the NSB preferences shall be awarded at the bidder's original bid price. The preference shall be computed as follows:

Bidder A, (Low Bid), non-small business:	\$125,000
Bidder B, non-small business (subcontracting 25% to a certified small business)	\$131,000
Calculation Preference:	$\$125,000 \times .05 = \$6,250$
Bidder B	\$131,000
Subtract calculated preference	<u>- \$6,250</u>
Adjusted Bid for Bidder B	\$124,750

Award is made to Bidder B as the low bidder at the bid price of \$131,000.

5. TIES BETWEEN CERTIFIED SMALL BUSINESSES AND DVBE BUSINESSES

In the event of a precise tie between the bid of an SB and the bid of a Disabled Veteran Business Enterprise (DVBE) that is also an SB, the award shall go to the DVBE that is also an SB.

6. MAXIMUM ALLOWABLE PREFERENCES

In no event shall the amount of the SB or NSB subcontractor preferences awarded on a single bid exceed \$50,000, and in no event shall the combined cost of the SB or NSB subcontractor preference and preferences awarded pursuant to any other provision of law exceed \$100,000. The five percent (5%) calculation preference is used for computation purposes only and does not alter or affect the actual bid price or the amount of the executed contract. When a certified SB is the lowest responsive, responsible bidder, then there is no need to compute the SB preference as the SB is the low bidder.

7. COMMERCIALLY USEFUL FUNCTION (SBs) ([Government Code \(GC\) section 14837\(d\) \(4\)](#))

A certified SB, micro-business (MB) contractor, subcontractor or supplier, must meet commercially useful function (CUF) requirements under [Government Code \(GC\) section 14837\(d\) \(4\)](#). Selected firms must perform a CUF relevant to this contract.

The term “small business contractor, subcontractor or supplier” means any person or entity that satisfies the ownership (or management) and control requirements in accordance with [GC section 14837\(d\) \(4\)](#) and provides services or goods that contribute to the fulfillment of the contract requirements by performing a CUF. A person or an entity is deemed to perform a CUF if that person or entity does **all** of the following:

1. Is responsible for the execution of a distinct element of the work of the contract.
2. Carries out contractual obligations by actually performing, managing, or supervising the work involved.
3. Performs work that is normal for its business services and functions.
4. Is not further subcontracting a portion of the work that is greater than that expected to be subcontracted by normal industry practices.
5. Is responsible, with respect to products, inventories, materials, and supplies required for the contract, for negotiating price, determining quality and quantity, ordering, installing, and, if applicable, making payment
6. Its role is not an extra participant in the transaction, contract or project through which funds are passed in order to obtain the appearance of DVBE participation.

8. BIDDER CUF REQUIREMENTS

The Department will determine, to the best of its ability, that your firm meets the criteria above for CUF. In responding to this solicitation, you are confirming that, under [GC 14837 \(d\) \(4\)](#) above, your business provides goods and/or services meet the definition of CUF. All bidders are required to provide CUF documentation using the State’s Bidder Declaration form DGS PD 05-105, Attachment VIII, included in the solicitation document. When completing the DGS PD 05-105, bidders must identify all subcontractors proposed for participation in the contract. Any bidder awarded a contract is contractually obligated to use the subcontractor for the corresponding work defined unless the State agrees to a substitution.

I. Disabled Veteran Business Enterprise Program

DVBE Program Participation is Required for Solicitation

This solicitation includes DVBE Program participation requirements, which is a minimum of three percent (3.0%) commitment. Complete and provide the following forms for DVBE Program participation compliance:

1. DWR 9526, Attachment VI (Bidder Certification of DVBE Participation)
2. DGS PD 843 Attachment VII (DVBE Declarations)
3. DGS PD 05-105, Attachment VIII (Bidder Declaration) - refer to page two of form for detailed instructions
4. DGS/OSDS Certification(s) for bidder and/or subcontractor(s). Bidder and/or subcontractors must be certified by DGS/OSDS in order to take part in DVBE Participation Program.

DVBE Incentive is as follows:

DVBE Required Participation	Incentive Percentage (%)
5.0% or over	5.0%
4.0% to 4.99%	4.0%
3.0% to 3.99%	3.0%

If you need assistance with finding a certified DVBE subcontractor, you can contact California Department of Veterans Affairs (CalVet) DVBE Program

- <https://www.calvet.ca.gov/contact>
 - o Phone Number: 800-952-5626
 - o Email: DVBE@calvet.ca.gov

You can also do a certified vendor search on Cal eProcure:

<https://caleprocure.ca.gov/pages/PublicSearch/supplier-search.aspx?psNewWin=true>

1. DWR SB/DVBE PROGRAM MANAGER PRE-REVIEW OF DVBE DOCUMENTATION

The Department's SB/DVBE Program Manager offers a pre-review of a bidder's DVBE documentation prior to proposal submission. Since non-compliance with the DVBE program is immediate cause for rejection, the State strongly recommends firms interested in bidding take advantage of this opportunity to ensure they are fully compliant with DVBE Program participation requirements.

Bidders should allow at least five (5) working days for pre-review of their DVBE documentation and submit them to the following:

SB/DVBE Program Manager
Email: SB.DVBE@water.ca.gov

Upon satisfactory review of the bidder's DVBE documentation, the DWR SB/DVBE Program Manager issues a Notification of Compliance which the bidder will submit with their bid submittal.

2. DVBE INCENTIVE PROGRAM

Under [CCR 1896.99.100](#), the California DVBE Incentive provides responsive and responsible bidders the opportunity to receive additional incentive calculations. The incentive is applied at the time of solicitation evaluation when a proposing firm identifies and commits to using a California-certified DVBE subcontractor to provide services or commodities in support of the overall contract. Application of the DVBE Incentive may place the bidder in line for contract award.

The following are key elements of the DVBE Incentive Program:

- a. The DVBE Incentive is applied during the evaluation process and is only applied to responsive bids from responsible bidders proposing the percentage(s) of DVBE participation for the incentive(s) specified in the solicitation.
- b. DVBE Incentive participation is at the discretion of the bidder and is *optional* when overall DVBE Program requirements are exempt by the State for that solicitation.
- c. When requesting the DVBE Incentive Application, a bidder must complete and return the DVBE Incentive Application Request included within this solicitation with their proposal at time of submission.
- d. DVBE certified firm (bidder and/or subcontractor(s)) must be certified by DGS/OSDS.
- e. Services or commodities provided by the DVBE firm MUST meet the definition of CUF as defined under Government Codes: [14837](#) and [14842.5](#); [Military and Veterans Code 999 \(B\) \(i\) \(ii\)](#); and [California Code of Regulations 1896.71](#). A DVBE firm determined

as not meeting CUF regulations will render the responding firm ineligible for the DVBE Incentive Application.

- f. Bidder which is awarded this contract, is committed to paying the stated percentage (on each form DWR 9526) of the total contract amount (including contract amendments) to the DVBE subcontractor declared on this form.

3. COMMERCIALLY USEFUL FUNCTION - DVBEs ([MVC 999\(B\)](#))

Firms selected must perform a “*commercially useful function*” relevant to this contract. The term “DVBE contractor, subcontractor or supplier” means any person or entity that satisfies the ownership (or management) and control requirements of [CCR 1896.61\(f\)](#); is certified in accordance with [CCR 1896.70](#) and provides services or goods that contribute to the fulfillment of the contract requirements by performing a commercially useful function. As defined in [MVC 999\(B\)](#), a person or an entity is deemed to perform a “commercially useful function” if a person or entity does *all* of the following:

- a. Is responsible for the execution of a distinct element of the work of the contract;
- b. Carries out the obligation by actually performing, managing, or supervising the work involved;
- c. Performs work that is normal for its business services and functions
- d. Is not further subcontracting a portion of the work that is greater than that expected to be subcontracted by normal industry practices
- e. Is responsible, with respect to products, inventories, materials, and supplies required for the contract, for negotiating price, determining quality and quantity, ordering, installing, if applicable, and making payment; and
- f. Its role is not an extra participant in the transaction, contract or project through which funds are passed in order to obtain the appearance of DVBE participation.

4. ORDER OF EVALUATION

For purposes of evaluation, first SB Preference will be applied, followed by the DVBE Incentive calculation.

J. Pending Small Business or Disabled Veteran Business Enterprise Certification Applications

Bidders wishing to apply for the SB Preference, NSB Preference or DVBE Incentive related to this solicitation and have pending SB or DVBE certification(s) applications under review by DGS/OSDS, you must have:

1. notified DGS/OSDS that you are responding to a solicitation and are seeking an expedite review of your SB or DVBE certification application in relation to the solicitation;
2. provided DGS/OSDS with the Bid Key Action Dates page from the solicitation itself; and
3. must have submitted a complete application with all required forms and documentation to DGS/OSDS for review and approval by close of business of the Bid Submittal due date.

Bidders must notify the State in writing at the time of bid submission that they have an application for SB or DVBE certification under review at the DGS/OSDS, and that they wish to be considered for the SB Preference, NSB Preference or DVBE Incentive.

Contact DGS/OSDS at (916) 375-4940 to obtain information about the application expedite process. Website: <https://www.dgs.ca.gov/PD/About/Page-Content/PD-Branch-Intro-Accordion-List/OSDS/OSDS>

BID CHECKLIST

Please review the following checklist for a list of documents that must be returned with your bid package. Please read the State of California's General Terms and Conditions (GTC) before signing and submitting your bid package. The State does not accept alternate language from a bidder. A bid with such language will be considered a counter proposal and will be rejected. The GTC's are *not* negotiable. Unless otherwise noted, failure to include the required documents will be cause for bid rejection.

DOCUMENTS REQUIRED WITH SUBMISSION OF BID

- ☐ Attachment I – Bid Sheet (must be signed and include all pages)
- ☐ Attachment II – Bid/Bidder Certification Sheet
- ☐ Attachment III – Bidder References
- ☐ Attachment IV – Darfur Contracting Act Certification
- ☐ Attachment V – GenAI Disclosure (DWR 9834)
- ☐ Attachment VIII, Bidder Declaration (DGS PD 05-105) – include all subcontracting information for entire bid on this one form also indicate if you are not subcontracting services.
- ☐ Attachment IX – California Civil Rights Laws Attachment
- ☐ Copy of C-10 Contractor's License issued by the California Department of Consumer Affairs
- ☐ Copy of a current valid California Occupational Safety and Health Act (Cal-OSHA) Certificate. A valid Certificate of Approval from the Department of Industrial Relation, Division of Occupational Safety and Health, will meet this Cal-OSHA requirement.

SMALL BUSINESS PREFERENCE PROGRAM

- ☐ If you are a prime firm which is SB-certified and/or subcontracting to an SB, you must complete and return the following forms
 - List all subcontracting information on Attachment VIII, Bidder Declaration (DGS PD 05-105) mentioned above; and
 - Copy of current DGS/OSDS DVBE certification(s) for prime and/or subcontractor(s)

DVBE PARTICIPATION AND INCENTIVE PROGRAMS

- ☐ If you are a prime firm which is DVBE-certified and/or subcontracting to a DVBE, you must complete and return the following forms:
 - Attachment VI, Bidder Certification of DVBE Participation (DWR 9526);
 - Attachment VII, DVBE Declaration (DGS PD 843);
 - List all subcontracting information on Attachment VIII, Bidder Declaration (DGS PD 05-105) mentioned above; and
- ☐ Copy of current DGS/OSDS DVBE certification(s) for prime and/or subcontractor(s)
- ☐ SB/DVBE Program Manager's Notification of Compliance (*if obtained in advance from the SB/DVBE Program Manager*)

DOCUMENTS REQUIRED UPON CONTRACTOR SELECTION/BID AWARD

These documents are not required at the time of bid submittal; however these documents will be required of the awarded firm upon contractor selection/bid award.

- ☐ Contractor Certification Clauses (CCC) (CCC must be signed once a contractor has been selected)
- ☐ Payee Data Record (Std. 204)
- ☐ Certificate(s) of Insurance
- ☐ Key Employee Policies and Guidelines Notice Acknowledgment (Consultants and Contractors) (DWR 9524a)

ATTACHMENT I BID SHEET

The estimated usage indicated below will be used solely for computing the cost as a fair and equitable formula to determine the low bidder and is not binding on the contracting agency. However, the actual costs quoted below by the bidder shall be binding for the term of the Agreement.

The bidder hereby agrees to provide all labor, materials, licenses, permits, and transportation necessary to perform all services required for the foregoing titled work in accordance with the Scope of Work, Exhibit A. The rates listed on this Bid Sheet shall include every item of expense, direct and indirect, including taxes incidental to the specified rates.

The bidder is required to bid each item. Failure to indicate a dollar amount in any item will be grounds to reject the entire bid. A zero dollar (\$0.00) amount listed for any and all items will be interpreted and understood by the State to mean that the bidder indicating a zero dollar amount shall perform any such services, up to and including the quantity indicated, at no cost to the state.

Bid Item	Services	Rate	Estimated Usage	Total
1	Annual Inspection			
	(Lump Sum for the total quantity of cranes and hoists identified in Exhibit A, Attachment 2. Equipment list is for reference only and may be updated due to replacement or equipment changes)			
	Year 1	\$	Lump Sum	\$
	Year 2	\$	Lump Sum	\$
	Year 3	\$	Lump Sum	\$
	Re-Inspection	\$	x 10 cranes	\$
2	Annual Dielectric Inspection			
	Year 1	\$	x 1 bucket truck	\$
	Year 2	\$	x 1 bucket truck	\$
	Year 3	\$	x 1 bucket truck	\$
3	125% Quadrennial Proof Load Test	\$	Lump Sum	\$
	(Lump Sum for the total quantity of cranes and hoists over 3 tons identified in Exhibit A, Attachment 2. Equipment list is for reference only and may be updated due to replacement or equipment changes)			
	Re-Inspection	\$	x 5 cranes	\$

Grand Total \$

Note: Please sign bid sheet on the following page.

NO GUARANTEE OF WORK UNDER THIS CONTRACT.

The Contractor's signature, in ink, affixed hereon and dated will constitute a certification under the penalty of perjury under the laws of the State of California that the Contractor's license number and expiration date are true and correct.

Company Name

Contractor's License Number

Contractor's License Expiration Date

Printed Name and Title of Bidder

Signature of Bidder

Date

**ATTACHMENT II
BID/BIDDER CERTIFICATION SHEET**

This Bid/Bidder Certification Sheet must be signed and returned along with all the required attachments as an entire package in duplicate with **original signatures**. The bid must be transmitted in a sealed envelope in accordance with IFB instructions.

Do not return Bid Requirements and Information or the Sample Agreement.

- A. Our all-inclusive bid is submitted as detailed in Attachment I, Bid Sheet.
- B. All required attachments are included with this certification sheet.
- C. The signature affixed hereon and dated certifies compliance with all the requirements of this bid document. The signature below authorizes the verification of this certification. **An unsigned Bid/Bidder Certification Sheet may be cause for rejection.**

1. Company Name	2. Telephone Number ()	2a. Email
3. Address		
Indicate your organization type: 4. ☐ Sole Proprietorship 5. ☐ Partnership 6. ☐ Corporation		
Indicate the applicable employee and/or corporation number: 7. Federal Employee ID No. (FEIN) 8. California Corporation No.		
9. Indicate applicable license and/or certification information:		
10. Bidder's Name (Print)	11. Title	
12. Signature	13. Date	
14. Are you certified with the Department of General Services, Office of Small Business Certification and Resources (OSDS) as: a. California Small Business Yes ☐ No ☐ If yes, enter certification number: _____ b. Disabled Veteran Business Enterprise Yes ☐ No ☐ If yes, enter your service code below: _____ NOTE: A copy of your Certification is required to be included if either of the above items is checked "Yes". Date application was submitted to OSDS, if an application is pending:		

Completion Instructions for Bid/Bidder Certification Sheet

Complete the numbered items on the Bid/Bidder Certification Sheet by following the instructions below.

Item Numbers	Instructions
1, 2, 2a, 3	Must be completed. These items are self-explanatory.
4	Check if your firm is a sole proprietorship. A sole proprietorship is a form of business in which one person owns all the assets of the business in contrast to a partnership and corporation. The sole proprietor is solely liable for all the debts of the business.
5	Check if your firm is a partnership. A partnership is a voluntary agreement between two or more competent persons to place their money, effects, labor, and skill, or some or all of them in lawful commerce or business, with the understanding that there shall be a proportional sharing of the profits and losses between them. An association of two or more persons to carry on, as co-owners, a business for profit.
6	Check if your firm is a corporation. A corporation is an artificial person or legal entity created by or under the authority of the laws of a state or nation, composed, in some rare instances, of a single person and his successors, being the incumbents of a particular office, but ordinarily consisting of an association of numerous individuals.
7	Enter your federal employee tax identification number.
8	Enter your corporation number assigned by the California Secretary of State's Office. This information is used for checking if a corporation is in good standing and qualified to conduct business in California.
9	Complete, if applicable, by indicating the type of license and/or certification that your firm possesses and that is required for the type of services being procured.
10, 11, 12, 13	Must be completed. These items are self-explanatory.
14	If certified as a California Small Business, place a check in the "Yes" box, and enter your certification number on the line. If certified as a Disabled Veterans Business Enterprise, place a check in the "Yes" box and enter your service code on the line. If you are not certified to one or both, place a check in the "No" box. If your certification is pending, enter the date your application was submitted to OSDS.

**ATTACHMENT III
BIDDER REFERENCES**

List below three references for services performed within the last five years, which are similar to the scope of work to be performed under this contract. **Bidders are required to list references that show a minimum of three (3) years of experience in crane certification services performing work on large capacity (100 ton) cranes.**

REFERENCE 1

Name of Firm

Street Address

City

State

Zip Code

Contact Person

Telephone Number ()

Dates of Service

Brief Description of Service Provided:

REFERENCE 2

Name of Firm

Street Address

City

State

Zip Code

Contact Person

Telephone Number ()

Dates of Service

Brief Description of Service Provided:

REFERENCE 3

Name of Firm

Street Address

City

State

Zip Code

Contact Person

Telephone Number ()

Dates of Service

Brief Description of Service Provided:

**ATTACHMENT IV
DARFUR CONTRACTING ACT CERTIFICATION**

Pursuant to Public Contract Code section 10478, if a bidder or proposer currently or within the previous three years has had business activities or other operations outside of the United States, it must certify that it is not a "scrutinized" company as defined in Public Contract Code section 10476.

Therefore, to be eligible to submit a bid or proposal, please initial one of the following three paragraphs and complete the certification below:

1. _____
 Initials We do not currently have, or we have not had within the previous three years, business activities or other operations outside of the United States.

OR

2. _____
 Initials We are a scrutinized company as defined in Public Contract Code section 10476, but we have received written permission from the Department of General Services (DGS) to submit a bid or proposal pursuant to Public Contract Code section 10477(b). A copy of the written permission from DGS is included with our bid or proposal.

OR

3. _____
 Initials We currently have, or we have had within the previous three years, business activities or other operations outside of the United States, but we certify below that we are not a scrutinized company as defined in Public Contract Code section 10476.

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective proposer/bidder to the clause listed above. This certification is made under the laws of the State of California.

<i>Proposer/Bidder Firm Name (Printed)</i>		<i>Federal ID Number</i>
<i>By (Authorized Signature)</i>		
<i>Printed Name and Title of Person Signing</i>		
<i>Date Executed</i>	<i>Executed in the County and State of</i>	

YOUR BID OR PROPOSAL WILL BE DISQUALIFIED UNLESS YOUR BID OR PROPOSAL INCLUDES THIS FORM WITH EITHER PARAGRAPH # 1, # 2, or # 3 INITIALED AND THE CERTIFICATION SIGNED.

ATTACHMENT V

State of California

DEPARTMENT OF WATER RESOURCES

California Natural Resources Agency

Generative Artificial Intelligence (GenAI) Disclosure

Bidder/Offeree Information		
Solicitation/Contract number		Bidder ID/Vendor ID (optional)
Business Name		Business Telephone Number
Address		City/State/Zip
Description of purchase or service		
Does the purchase or service include GenAI? ☐ Yes ☐ No (If no, skip to the signature section of the form.)		
GenAI Description		
1. What is the application and/or product name?		
2. What is the model and version of the product?		
3. What is the license tier of the GenAI product, if applicable (free, enterprise, platinum, etc.)?		
4. How is the GenAI solution delivered: IaaS, PaaS, SaaS, or will it be deployed on-premises?		
Approval		
By signing this document, I have identified and reported any GenAI use in the performance of this contract.		
Name	Signature	Date

ATTACHMENT VI

State of California

DEPARTMENT OF WATER RESOURCES

California Natural Resources Agency

BIDDER CERTIFICATION OF DVBE PARTICIPATION

DWR is firmly committed to meeting or exceeding the State's minimum annual DVBE participation goal of three percent (3.0%) of total reportable procurements (Public Contract Code (PCC) Section 10115, Military and Veterans Code (MVC) 999 and California Code of Regulations (CCR) Title 2, Section 1896.7).

The Bidder Certification of DVBE Participation form (DWR 9526) must be signed by representatives of the Bidder's firm and of the DVBE Subcontractor's firm, who have legal authority to execute binding agreements.

A copy of the current and valid certification from the Department of General Services, Office of Small Business and Disabled Veteran Business Enterprise, must be included for each DVBE firm, from the Cal eProcure website below:

<https://caleprocure.ca.gov/pages/PublicSearch/supplier-search.aspx?psNewWin=true>

SECTION 1 - DVBE PARTICIPATION PROGRAM (Check mark one box)			
☐ DVBE Program participation <u>is required</u> in solicitation.		☐ DVBE Program participation <u>is not required</u> in solicitation.	
SECTION 2 - BIDDER'S INFORMATION			
FIRM NAME			
FIRM CONTACT NAME			
STREET ADDRESS			
CITY	STATE	ZIP CODE	
TELEPHONE	EMAIL		
CERTIFICATION TYPE(S)	Check <u>all</u> that apply: ☐ SB ☐ MB ☐ SB-PW ☐ DVBE ☐ OSDS #		
SECTION 3 - DESCRIBE THE SERVICES OR COMMODITIES TO BE PROVIDED BY THE DVBE BIDDER, OR THE DVBE SUBCONTRACTOR WHO IS IDENTIFIED IN SECTION 5.			
SECTION 4 - IDENTIFY BIDDER'S DVBE PARTICIPATION COMMITMENT (Check mark one box)			
☐ OPTION A: COMMITTED a minimum of 100.0% - Bidder is a CA-certified DVBE firm and <u>is not</u> subcontracting to a CA-certified DVBE firm.			
☐ OPTION B: COMMITTED a minimum of 100.0% - Bidder is a CA-certified DVBE firm and <u>is</u> subcontracting to a CA-certified DVBE firm.			
☐ OPTION C: COMMITTED a minimum of 3.0% to one DVBE subcontractor listed in Section 5, _____ % (fill in amount).			
☐ OPTION D: COMMITTED a combined total of _____ % (fill in amount) to multiple DVBE subcontractors which includes The percentage to the DVBE subcontractor listed in Section, _____ % (fill in amount). A separate DWR 9526 is required for each CA-certified DVBE subcontractor to which Bidder is making a commitment.			
(*) Bidder, if awarded the contract, is committed to paying the above stated percentage of the total contract amount (including contract amendments) to the DVBE subcontractor declared on this form.			
Printed Name of Bidder's Authorized Representative		Signature of Bidder's Authorized Representative	Date
SECTION 5 - DVBE SUBCONTRACTOR'S INFORMATION (Complete this section if you selected Options C or D above.)			
FIRM NAME			
FIRM CONTACT NAME			
STREET ADDRESS			
CITY	STATE	ZIP CODE	
TELEPHONE	EMAIL		
CERTIFICATION TYPE(S)	Check <u>all</u> that apply: ☐ SB ☐ MB ☐ SB-PW ☐ DVBE ☐ OSDS #		
Printed Name of DVBE's Authorized Representative		Signature of DVBE's Authorized Representative	Date

ATTACHMENT VII

[Link to form DGS PD 843](#)

- Select and use for titled "Disabled Veterans Business Enterprise Declarations" – 1 page

STATE OF CALIFORNIA – DEPARTMENT OF GENERAL SERVICES PROCUREMENT DIVISION
DISABLED VETERAN BUSINESS ENTERPRISE DECLARATIONS
DGS PD 843 (Rev. 9/2019)
Formerly STD. 843

Instructions: The disabled veteran (DV) owner(s) and DV manager(s) of the Disabled Veteran Business Enterprise (DVBE) must complete this declaration when a DVBE contractor or subcontractor will provide materials, supplies, services or equipment [Military and Veterans Code Section 999.2]. Violations are misdemeanors and punishable by imprisonment or fine and violators are liable for civil penalties. All signatures are made under penalty of perjury.

SECTION 1

Name of certified DVBE: _____ DVBE Ref. Number: _____

Description (materials/supplies/services/equipment proposed): _____

Solicitation/Contract Number: _____ SCPRS Ref. Number: _____

(FOR STATE USE ONLY)

SECTION 2

APPLIES TO ALL DVBEs. Check only one box in Section 2 and provide original signatures.

☐ I (we) declare that the DVBE is not a broker or agent, as defined in Military and Veterans Code Section 999.2 (b), of materials, supplies, services or equipment listed above. Also, complete Section 3 below if renting equipment.

☐ Pursuant to Military and Veterans Code Section 999.2 (f), I (we) declare that the DVBE is a broker or agent for the principal(s) listed below or on an attached sheet(s). (Pursuant to Military and Veterans Code 999.2 (e), State funds expended for equipment rented from equipment brokers pursuant to contracts awarded under this section shall not be credited toward the 3-percent DVBE participation goal.)

All DV owners and managers of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

(Printed Name of DV Owner/Manager)	(Signature of DV Owner/ Manager)	(Date Signed)
(Printed Name of DV Owner/Manager)	(Signature of DV Owner/Manager)	(Date Signed)

Firm/Principal for whom the DVBE is acting as a broker or agent: _____
(If more than one firm, list on extra sheets.) (Print or Type Name)

Firm/Principal Phone: _____ Address: _____

SECTION 3

APPLIES TO ALL DVBEs THAT RENT EQUIPMENT AND DECLARE THE DVBE IS NOT A BROKER.

☐ Pursuant to Military and Veterans Code Section 999.2 (c), (d) and (g), I am (we are) the DV(s) with at least 51% ownership of the DVBE, or a DV manager(s) of the DVBE. The DVBE maintains certification requirements in accordance with Military and Veterans Code Section 999 et. seq.

☐ The undersigned owner(s) own(s) at least 51% of the quantity and value of each piece of equipment that will be rented for use in the contract identified above. I (we), the DV owners of the equipment, have submitted to the administering agency my (our) personal federal tax return(s) at time of certification and annually thereafter as defined in *Military and Veterans Code 999.2*, subsections (c) and (g). *Failure by the disabled veteran equipment owner(s) to submit their personal federal tax return(s) to the administering agency as defined in Military and Veterans Code 999.2, subsections (c) and (g), will result in the DVBE being deemed an equipment broker.*

Disabled Veteran Owner(s) of the DVBE (attach additional pages with signature blocks for each person to sign):

(Printed Name)	(Signature)	(Date Signed)
(Address of Owner)	(Telephone)	(Tax Identification Number of Owner)

Disabled Veteran Manager(s) of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

(Printed Name of DV Manager)	(Signature of DV Manager)	(Date Signed)
------------------------------	---------------------------	---------------

Page ____ of ____

ATTACHMENT VIII

[Link to form DGS PD 05-105](#)

- Select and use form titled "Bidder Declaration (Written)" – 2 pages

State of California—Department of General Services, Procurement Division
GSPD-05-105 (REV 08/09)

Solicitation Number _____

BIDDER DECLARATION

1. Prime bidder information (Review attached Bidder Declaration Instructions prior to completion of this form):

a. Identify current California certification(s) (MB, SB, NVSA, DVBE): _____ or None ☐

b. Will subcontractors be used for this contract? Yes ☐ No ☐ (If yes, indicate the distinct element of work your firm will perform in this contract e.g., list the proposed products produced by your firm, state if your firm owns the transportation vehicles that will deliver the products to the State, identify which solicited services your firm will perform, etc.). Use additional sheets, as necessary.

c. If you are a California certified DVBE: (1) Are you a broker or agent? Yes ☐ No ☐
(2) If the contract includes equipment rental, does your company own at least 51% of the equipment provided in this contract (quantity and value)? Yes ☐ No ☐ N/A ☐

2. If no subcontractors will be used, skip to certification below. Otherwise, list all subcontractors for this contract. (Attach additional pages if necessary):

Subcontractor Name, Contact Person, Phone Number & Fax Number	Subcontractor Address & Email Address	CA Certification (MB, SB, NVSA, DVBE or None)	Work performed or goods provided for this contract	Corresponding % of bid price	Good Standing?	51% Rental?
					☐	☐
					☐	☐
					☐	☐

CERTIFICATION: By signing the bid response, I certify under penalty of perjury that the information provided is true and correct.

Page _____ of _____

State of California—Department of General Services, Procurement Division
GSPD-05-105 (REV 08/09) Instructions

BIDDER DECLARATION Instructions

All prime bidders (the firm submitting the bid) must complete the Bidder Declaration.

1.a. Identify all current certifications issued by the State of California. If the prime bidder has no California certification(s), check the line labeled "None" and proceed to item #2. If the prime bidder possesses one or more of the following certifications, enter the applicable certification(s) on the line:

- Microbusiness (MB)
- Small Business (SB)
- Nonprofit Veteran Service Agency (NVSA)
- Disabled Veteran Enterprise (DVBE)

1.b. Mark either "Yes" or "No" to identify whether subcontractors will be used for the contract. If the response is "No," proceed to item #1.c. If "Yes," enter on the line the distinct element of work contained in the contract to be performed or the goods to be provided by the prime bidder. Do not include goods or services to be provided by subcontractors.

Bidders certified as MB, SB, NVSA, and/or DVBE must provide a commercially useful function as defined in Military and Veterans Code Section 999 for DVBEs and Government Code Section 14837(d)(4)(A) for small/microbusinesses.

Bids must propose that certified bidders provide a commercially useful function for the resulting contract or the bid will be deemed non-responsive and rejected by the State. For questions regarding the solicitation, contact the procurement official identified in the solicitation.

Note: A subcontractor is any person, firm, corporation, or organization contracting to perform part of the prime's contract.

1.c. This item is only to be completed by businesses certified by California as a DVBE.

(1) Declare whether the prime bidder is a broker or agent by marking either "Yes" or "No." The Military and Veterans Code Section 999.2 (b) defines "broker" or "agent" as a certified DVBE contractor or subcontractor that does not have title, possession, control, and risk of loss of materials, supplies, services, or equipment provided to an awarding department, unless one or more of the disabled veteran owners has at least 51-percent ownership of the quantity and value of the materials, supplies, services, and of each piece of equipment provided under the contract.

(2) If bidding rental equipment, mark either "Yes" or "No" to identify if the prime bidder owns at least 51% of the equipment provided (quantity and value). If not bidding rental equipment, mark "N/A" for "not applicable."

2. (continued) Column Labels

Subcontractor Name, Contact Person, Phone Number & Fax Number—List each element for all subcontractors.

Subcontractor Address & Email Address—Enter the address and if available, an Email address.

CA Certification (MB, SB, NVSA, DVBE or None)—If the subcontractor possesses a current State of California certification(s), verify on this website (www.eprsource.pd.dgs.ca.gov).

Work performed or goods provided for this contract—Identify the distinct element of work contained in the contract to be performed or the goods to be provided by each subcontractor. Certified subcontractors must provide a commercially useful function for the contract. (See paragraph 1.b above for code citations regarding the definition of commercially useful function.) If a certified subcontractor is further subcontracting a greater portion of the work or goods provided for the resulting contract than would be expected by normal industry practices, attach a separate sheet of paper explaining the situation.

Corresponding % of bid price—Enter the corresponding percentage of the total bid price for the goods and/or services to be provided by each subcontractor. Do not enter a dollar amount.

Good Standing?—Provide a response for each subcontractor listed. Enter either "Yes" or "No" to indicate that the prime bidder has verified that the subcontractor(s) is in good standing for all of the following:

- Possesses valid license(s) for any license(s) or permits required by the solicitation or by law
- If a corporation, the company is qualified to do business in California and designated by the State of California Secretary of State to be in good standing
- Possesses valid State of California certification(s) if claiming MB, SB, NVSA, and/or DVBE status

51% Rental?—This pertains to the applicability of rental equipment. Based on the following parameters, enter either "N/A" (not applicable), "Yes" or "No" for each subcontractor listed.

Enter "N/A" if the:

- Subcontractor is NOT a DVBE (regardless of whether or not rental equipment is provided by the subcontractor) or
- Subcontractor is NOT providing rental equipment (regardless of whether or not subcontractor is a DVBE)

Enter "Yes" if the subcontractor is a California certified DVBE providing rental equipment and the subcontractor owns at least 51% of the rental equipment (quantity and value) it will be providing for the contract.

Enter "No" if the subcontractor is a California certified DVBE providing rental equipment but the subcontractor does NOT own at least 51% of the rental equipment (quantity and value) it will be providing.

2. If no subcontractors are proposed, do not complete the table. Read the certification at the bottom of the form and complete "Page ____ of ____" on the form.

If subcontractors will be used, complete the table listing all subcontractors. If necessary, attach additional pages and complete the "Page ____ of ____" accordingly.

Read the certification at the bottom of the page and complete the "Page ____ of ____" accordingly.

ATTACHMENT IX

STATE OF CALIFORNIA
CALIFORNIA CIVIL RIGHTS LAWS ATTACHMENT
DGS OLS 04 (Rev. 01/17)

DEPARTMENT OF GENERAL SERVICES
OFFICE OF LEGAL SERVICES

Pursuant to Public Contract Code section 2010, a person that submits a bid or proposal to, or otherwise proposes to enter into or renew a contract with, a state agency with respect to any contract in the amount of \$100,000 or above shall certify, under penalty of perjury, at the time of the bid or proposal is submitted or the contract is renewed, all of the following:

1. **CALIFORNIA CIVIL RIGHTS LAWS**: For contracts executed or renewed after January 1, 2017, the contractor certifies compliance with the Unruh Civil Rights Act (Section 51 of the Civil Code) and the Fair Employment and Housing Act (Section 12960 of the Government Code); and
2. **EMPLOYER DISCRIMINATORY POLICIES**: For contracts executed or renewed after January 1, 2017, if a Contractor has an internal policy against a sovereign nation or peoples recognized by the United States government, the Contractor certifies that such policies are not used in violation of the Unruh Civil Rights Act (Section 51 of the Civil Code) or the Fair Employment and Housing Act (Section 12960 of the Government Code).

CERTIFICATION

I, the official named below, certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Proposer/Bidder Firm Name (Printed)

Federal ID Number

By (Authorized Signature)

Printed Name and Title of Person Signing

Executed in the County of

Executed in the State of

Date Executed

SAMPLE CONTRACT

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES

STANDARD AGREEMENT

STD 213 (Rev. 03/2019)

AGREEMENT NUMBER

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

CONTRACTOR NAME

2. The term of this Agreement is:

START DATE

THROUGH END DATE

3. The maximum amount of this Agreement is:

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

EXHIBITS	TITLE	PAGES
Exhibit A	Scope of Work	
Exhibit B	Budget Detail and Payment Provisions	
Exhibit C*	General Terms and Conditions	
* *		

Items shown with an asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto. These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>

IN WITNESS WHERE OF THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

CONTRACTOR BUSINESS ADDRESS

CITY

STATE

ZIP

PRINTED NAME OF PERSON SIGNING

TITLE

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

STATE OF CALIFORNIA

CONTRACTING AGENCY NAME

CONTRACTING AGENCY ADDRESS

CITY

STATE

ZIP

PRINTED NAME OF PERSON SIGNING

TITLE

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

**EXHIBIT A
SCOPE OF WORK**

1. The Contractor agrees to provide to Department of Water Resources (DWR), Crane Certification Services as described herein.
2. The services shall be performed at:

Delta Field Division's (DFD) Operations and Maintenance Center
5280 Bruns Road
Byron, California 94514
AND
Various locations in:
Alameda, Contra Costa, Sacramento, Solano, and San Joaquin Counties
See Exhibit A, Attachment 1 – Maps

3. The Contract Managers during the term of this agreement will be:

Department of Water Resources	Contractor
Name:	Name:
Phone:	Phone:
Email:	Email:

Contract Managers may be charged by written notice to the other party.

4. The Contractor must supply all qualified labor, equipment, and materials to perform annual inspections, dielectric inspection, and quadrennial proof-load tests (i.e. testing with "live loads") and issue certifications of compliance for the types of cranes and hoists. Exhibit A, Attachment 2 is for quantity/reference only and is not an exhaustive equipment list.
5. Load testing shall be performed using methods meeting applicable standards. Water weights may be used as the final method of load testing where conventional weights are not feasible; cost, contractor capacity, or convenience shall not be acceptable reasons for substitution or omission.
6. The Contractor will furnish all equipment and test weights necessary to complete annual tests each year of this contract.
7. The Contractor must be California Occupational Safety and Health Act (CAL-OSHA) certified and must have a minimum of three years' experience performing the work required on large (100 ton) capacity cranes. A valid Certificate of Approval from the Department of Industrial Relations, Division of Occupational Safety and Health, will meet this requirement providing it verifies the three year minimum. The Contractor must possess a valid Certificate of Approval during the term of this agreement. The Contractor's employees must be comprised of experienced and qualified personnel in accordance with CAL-OSHA standards for these inspections and tests. The Contractor's employees must be knowledgeable and trained in the structure and use of cranes.
8. The Contractor must possess a C-10 Contractor's License issued by the California Department of Consumer Affairs, Contractors State License Board.
9. The Contractor must comply with OSHA and CAL-OSHA standards for this work. The Contractor must comply with Labor Code provisions Section 1773, Fair Employment Practices provisions, and Section 3700 requiring the Contractor to be insured against liability and workers compensation.

10. The Contractor must comply with all applicable sections of the General Industry Safety Orders (GISO) in Title 8 of the California Code of Regulations (CCR) during the performance of all work required by this specification. Operational testing, load testing, and examination of cranes and their accessories must be performed in accordance with Title 8, CCR, Article 99, Sections 5020, 5021, and 5022.
11. The Contractor must submit an inspection schedule and a copy of the inspection checklist to DWR's Contract Manager for approval within two weeks after a time frame has been determined for performance of the work. The Contractor will have thirty consecutive days from the start of the work date to complete all annual inspections and tests. If the equipment is found to meet the requirements set forth in Title 8, CCR, Section 5022. The Contractor must issue certificates acceptable to the Division of Industrial Safety pursuant to Title 8, CCR, Section 5025. The Contractor must issue CAL-OSHA certificates, Plate 5 documents for each piece of equipment.
12. When defects are found in crane and hoist equipment by examinations and tests performed in accordance with this specification and all other applicable regulations and codes, the Contractor must submit to DWR a listing of deficiencies cited in the annual certification reports. The Contractor must further set forth the specific requirements necessary for DWR to correct each deficiency listed. After DWR has corrected the deficiencies, the Contractor must reinspect the crane or hoist by proof load of 10% or more and will have three days to issue certificates in accordance with Title 8, CCR, Section 5025.
13. Safety conditions such as poor housekeeping, improper use or care of equipment, inadequacy of passageways, and other transient conditions must be noted by the Contractor and reported to DWR whenever observed during the examination for correction by DWR.
14. The Contractor must visually check all crane hooks for surface cracks and deformation of the throat opening; all crane hooks at a rated capacity of five times and over must be checked by Magnaflux for cracks. The Contractor must check and record and hook dimensions and supply information to DWR. The Contractor must also inspect ropes for proper lubrication, excessive wear, broken strands, and proper reeving in accordance with Title 8, CCR, Section 5031. The Contractor must measure the diameter of each steel rope with micrometer, record the measurements, evaluate the condition of each wire rope, and submit this report to DWR.
15. Annual examinations must cover all functional operating mechanisms for improper function, maladjustment, and excessive component wear. The examinations must include operation with a partial load of 10% or more rated capacity of the equipment being tested as stated in paragraph 4, in which all functions and movements are checked. It will be the Contractor's responsibility to provide slings, rigging, and all other equipment required for the tests. The Contractor must perform all lifts in a safe manner in accordance with general industry practice. DWR will provide a crane operator as needed. As part of the examination, the Contractor must review reports of daily, periodic, and annual inspections as well as maintenance services provided by DWR in compliance with Title 8, CCR, Article 100, Section 5031, and provide written evaluations to DWR. Proof load test and examination of cranes and their accessory gear must be in accordance to CAL-OSHA.
16. The utility bucket truck(s) in the DWR's fleet are to be inspected and certified as part of this contract. The personal hoisting basket has a bucket liner. A dielectric test is the inspection required on this piece of equipment and must be performed per American National Standards Institute (ANSI) standard and CCR Title 8, Section 2940.
17. DWR will provide free and clear access to the cranes and areas beneath the cranes for the Contractor's use in accordance with the approved inspection schedule. The Contractor must coordinate all work with the DWR's Contract Manager.

18. The Contractor and all the Contractor's employees must be fully trained in OP2 awareness prior to commencing work at any DWR facility.
19. In order to protect the life and health of employees and other persons; prevent damage to proper, materials, supplies, and equipment, and to avoid work interruptions, the Contractor must, in the performance of this contract, comply with the applicable provisions for Federal, State, and Municipal health and safety laws and codes.
20. Upon awarding the contract, the Contractor must submit Injury and Illness Prevention Program (IIPP) and Contractor's Site Specific Safety Plan to the Delta Field Division's Safety Engineer, for review and approval. Prior to commencing work, the Contractor and Delta Field Division staff must engage in a safety tailgate meeting to review safety procedures and perform project hazard analysis, Completion of the Job Hazard Analysis (JHA), Exhibit A, Attachment 3, will be done at this time. Delta Field Division Safety procedures shall be met and/or exceed for all work being conducted.

**EXHIBIT A, ATTACHMENT 1
MAP**

EXHIBIT A, ATTACHMENT 2
Crane List

#	CAPACITY	SERIAL NUMBER / MODEL	LOCATION	TYPE	BRAND	COUNTY
1	5 Ton	S/N: MP MODEL 1-6010103	BAPP	A Frame Gantry	Wallace	Alameda
2	100 Ton	S/N: 1-1276 MODEL CAB	BAPP	Bridge	American	Alameda
3	100 Ton	S/N: 39677 MODEL CAB	BAPP	Bridge	Shaw Box	Alameda
4	2 Ton	S/N: 5612 MODEL N3664	BAPP	Chain Hoist	Bee Be	Alameda
5	8 Ton	S/N: H-80-8 A1	BAPP	Chain Hoist	Ratcliff	Alameda
6	8 Ton	S/N: H-80-8 A2	BAPP	Chain Hoist	Ratcliff	Alameda
7	8 Ton	S/N: H-80-8 A3	BAPP	Chain Hoist	Ratcliff	Alameda
8	1.1 Ton	S/N: L-353197 MOD 5311	BAPP	Chain Hoist	Harrington	Alameda
9	2 Ton	S/N: TD-9016-C MOD LHH-2B-C	BAPP	Chain Hoist	Duff Norton	Alameda
10	2 Ton	S/N: WD-9109-B MOD LHH-2B-B	BAPP	Chain Hoist	Duff Norton	Alameda
11	2 Ton	S/N: 72030323 MOD 38EV09A	BAPP	Chain Hoist	Dayton	Alameda
12	2 Ton	S/N: 9109-F MOD LHH	BAPP	Chain Hoist	Duff Norton	Alameda
13	1 Ton	S/N: L-1305R5 MODEL LL	BAPP	Chain Hoist	C/M	Alameda
14	1 Ton	S/N: NW-B-B MODEL 622	BAPP	Chain Hoist	C/M	Alameda
15	2 Ton	S/N: WD-9109F	BAPP	Chain Hoist	Duff Norton	Alameda
16	10 Ton	S/N: 8044 MODEL GANTRY	BAPP	Gantry	Chico	Alameda
17	¾ Ton	S/N: 0640RV	BAPP	Lever Hoist	C/M	Alameda
18	¾ Ton	S/N: 4346-TD MOD SH	BAPP	Lever Hoist	C/M	Alameda
19	¾ Ton	S/N: B4423PN	BAPP	Lever Hoist	C/M	Alameda
20	¾ Ton	S/N: B6362 MOD 42X44	BAPP	Lever Hoist	Dayton	Alameda
21	1 ½ Ton	S/N: NU0503 MOD LSB-B	BAPP	Lever Hoist	Coffing	Alameda
22	1 ½ Ton	S/N: RA-1-660-KJ2 MOD RA-15D	BAPP	Lever Hoist	Coffing	Alameda
23	1 ½ Ton	S/N: RA-1712KJ2 MOD RA15-C	BAPP	Lever Hoist	Coffing	Alameda
24	1 ½ Ton	S/N: RA-1-743-KJ2 MOD RA15-A	BAPP	Lever Hoist	Coffing	Alameda
25	1 ½ Ton	S/N: TM9906 MOD LSB-3000/A	BAPP	Lever Hoist	Coffing	Alameda
26	¾ Ton	S/N: UB0778 MOD LSB-B	BAPP	Lever Hoist	Coffing	Alameda
27	1 ½ Ton	S/N: US0166 MOD LSB-B	BAPP	Lever Hoist	Coffing	Alameda
28	1 ½ Ton	S/N: YT-0209 MOD LSB-B	BAPP	Lever Hoist	Coffing	Alameda
29	2 Ton	S/N: 153062UM1 MODEL D-2-2-55	BAPP	Pillar Jib	Bushman	Alameda
30	¼ Ton	S/N: SPH124-0912-03 MOD ML250K-20A40	BAPP	Wall Jib	Ingersol Rand	Alameda
31	2 Ton	S/N: WD-9109-A MOD LHH-2B-A	BAPP	Chain Hoist	Duff Norton	Alameda
32	1 ½ Ton	S/N: 9-93 MODEL 8300	Bone Yard	Chain Hoist	Budgit	Alameda
33	3 Ton	S/N: SA105FB	Bone Yard	Chain Hoist	C/M	Alameda
34	1 ½ Ton	S/N: SA505FC MODEL 646	Bone Yard	Chain Hoist	C/M	Alameda
35	1 ½ Ton	S/N: SA520FC MODEL 646	Bone Yard	Chain Hoist	C/M	Alameda
36	1 Ton	S/N: 646332LY MOD LME-450H20	Bone Yard	Lever Hoist	C/M	Alameda
37	1 Ton	S/N: 64633212 MOD 450H20	Bone Yard	Little Mule	Yale	Alameda
38	2 Ton	S/N: EC4087UM MOD ECT4008 60	Bone Yard	Monorail	Coffing	Alameda

#	CAPACITY	SERIAL NUMBER / MODEL	LOCATION	TYPE	BRAND	COUNTY
39	10 Ton	S/N: C1161-H MODEL FLOOR	DVPP	Bridge	Stryco	Alameda
40	5 Ton	S/N: 43406BD MODEL MANUAL	DVPP GL-HL	Monorail H	Wright	Alameda
41	½ Ton	S/N: 37218 MODEL XE501PA	DVPP GL-HL	Skyclimb	Tirak	Alameda
42	1 Ton	S/N: N/A MANUAL PLATFORM	F.F HTB 1	Suspended	DWR Design	Contra Costa
43	5 Ton	S/N: HNX03017 M/N: CXT60220063T86GENOG	F.F HTB 2	Monorail	Kone	Contra Costa
44	1 Ton	S/N: N/A MANUAL PLATFORM	F.F HTB 2	Suspended	DWR Design	Contra Costa
45	½ Ton	S/N: AL 164058 MOD 121-P	F.F Sec. #1	Pillar Jib	Beacon-Yale	Contra Costa
46	2 Ton	S/N: 1128324547 MODEL XN10	F.F Sec. #2	Monorail	Kone	Contra Costa
47	5 Ton	S/N: 101 MODEL-CAB	F.F.	Gantry	Paceco	Contra Costa
48	5 Ton	S/N: HNX03018 M/N: CTX60220063786	F.F. HTB 1	Mono Rail	Kone	Contra Costa
49	¼ Ton	S/N: G0216 MODEL 602	M-1	Lever Hoist	C/M	Alameda
50	¼ Ton	S/N: H2478 MODEL 602	M-1	Lever Hoist	C/M	Alameda
51	¼ Ton	S/N: 846287	M-10	Lever Hoist	C/M	Alameda
52	¼ Ton	S/N: N1394 MODEL 602	M-10	Lever Hoist	C/M	Alameda
53	¼ Ton	S/N: N4761 MODEL 602	M-10	Lever Hoist	C/M	Alameda
54	¼ Ton	S/N: M9560 MODEL 602	M-11	Lever Hoist	C/M	Alameda
55	¾ Ton	S/N: E2928 MODEL 653	M-12	Lever Hoist	C/M	Alameda
56	¾ Ton	S/N: F6990 MODEL 653	M-12	Lever Hoist	C/M	Alameda
57	1 ½ Ton	S/N: F6030 MODEL 653	M-15	Lever Hoist	C/M	Alameda
58	¼ Ton	S/N: H3475	M-3	Lever Hoist	C/M	Alameda
59	¼ Ton	S/N: MO119 MODEL 602	M-3	Lever Hoist	C/M	Alameda
60	¼ Ton	S/N: B6110 MODEL 602	M-5	Lever Hoist	C/M	Alameda
61	¼ Ton	S/N: B6150 MODEL 602	M-5	Lever Hoist	C/M	Alameda
62	¼ Ton	S/N: G6420 MODEL 602	M-6	Lever Hoist	C/M	Alameda
63	¼ Ton	S/N: G5495 MODEL 602	M-9	Lever Hoist	C/M	Alameda
64	¼ Ton	S/N: H3434 MODEL 602	M-9	Lever Hoist	C/M	Alameda
65	1 Ton	S/N: 9515 DWR 52759	MEO	Monorail H	Ingersol Rand	Alameda
66	2 Ton	S/N: SPH144-1118-03 MOD H2000K-2C14	MEO	Monorail H	Ingersol Rand	Alameda
67	18 Ton	00R002 E-885385 MODEL LRT180D	MEO DT-O&M	Hydro	Lorain	Alameda
68	22 Ton	18R089 LIC#1343513 MOD 2277C	MEO DT-O&M	Hydro	Manitex	Alameda
69	2 Ton	S/N: EC4088UM MOD ECT4008 60	Mobile	Monorail	Coffing	Alameda
70	5 Ton	S/N: AH102200 MODEL FLOOR	O&M	Bridge	Yale / Dankas	Alameda
71	1 Ton	S/N: 1885 MODEL C38010 M3-746	O&M	Chain Hoist	Bee Be	Alameda
72	2 Ton	S/N: TD9102-D MOD LHH-2B-D	O&M	Chain Hoist	Duff Norton	Alameda
73	¾ Ton	S/N: 0788-TD MOD-SH	O&M	Lever Hoist	C/M	Alameda
74	¾ Ton	S/N: 0790TD MOD-SH	O&M	Lever Hoist	C/M	Alameda
75	¾ Ton	S/N: 0793-TD	O&M	Lever Hoist	C/M	Alameda

#	CAPACITY	SERIAL NUMBER / MODEL	LOCATION	TYPE	BRAND	COUNTY
76	¾ Ton	S/N: 4347-TD MOD-SH	O&M	Lever Hoist	C/M	Alameda
77	1 ½ Ton	S/N: 675398	O&M	Lever Hoist	Bee Be	Alameda
78	1 ½ Ton	S/N: 940944 MODEL L5H-300	O&M	Lever Hoist	Ingersol Rand	Alameda
79	¾ Ton	S/N: BA318PN	O&M	Lever Hoist	C/M	Alameda
80	2 Ton	S/N: AH3 MODEL LOAD KING	O&M	Monorail	Yale	Alameda
81	5 Ton	S/N: ECD3109HZD MOD EC100084	O&M	Monorail	Coffing	Alameda
82	2 Ton	S/N: M8425N MODEL-M (ME)	O&M	Under Bridge	C/M	Alameda
83	1 ½ Ton	S/N: VM9908 MOD LSB-3000/A	O&M	Lever Hoist	Coffing	Alameda
84	1 ½ Ton	S/N: NN-0001	Patt Yard	Lever Hoist	Coffing	Alameda
85	1 Ton	S/N: 6311XE MOD-LHH	Roaring River	Monorail H	C/M	Solano
86	7 Ton	17R054 LIC#1318905 MODEL 1052	Sac Flood	Hydro	Tadano	Sacramento
87		0407006/240013-00	Sac Flood	Man-Basket	Tadano	Sacramento
88		63696/1244B	Sac Flood	Man-Basket	Star Ind.	Sacramento
89		EC1097872/SEC2-600	Sac Flood	Man-Basket	Lift Tec	Sacramento
90	2 Ton	S/N: 5789	SBPP	Chain Hoist	Dayton	
91	1 ½ Ton	S/N: L351577 MOD CF4-5256	SBPP	Chain Hoist	Harrington	
92	1 ½ Ton	S/N: L352485 MOD CF45285	SBPP	Chain Hoist	Harrington	
93	22 Ton	S/N: 780801 MODEL FLOOR	SBPP	Gantry	Kamiuchi	Alameda
94	1.1 Ton	S/N: 1066 MOD L53-B	SBPP	Lever Hoist	Coffing	
95	25 Ton	S/N: HIX58891 MOD SX60620250765EGFOS	SBPP Maint.	Bridge		Alameda
96	2 Ton	S/N: 5789 MOD 56EV09	SBPP Maint.	Chain Hoist	Dayton	Alameda
97	¼ Ton	S/N: 1872072	SBPP Maint.	Lever Hoist	C/M	Alameda
98	¾ Ton	S/N:A4429PM	SBPP Maint.	Lever Hoist	C/M	Alameda
99	¾ Ton	S/N:JG1483 MOD LSB-B	SBPP Maint.	Lever Hoist	Coffing	Alameda
100	½ Ton	S/N: EC10135 WRD MODEL FLOOR	SBPP STG 1-2	Monorail	Coffing	Alameda
101	½ Ton	S/N: EC1G1300RA	SBPP STG 3	Monorail		Alameda
102	¾ Ton	S/N: 00450950 MOD 4ZX44B	UCW	Lever Hoist	Dayton	
103	¾ Ton	S/N: 0793 TD MOD	UCW	Lever Hoist	C/M	
104	¾ Ton	S/N: 1986RZ MOD	UCW	Lever Hoist	C/M	
105	¾ Ton	S/N: 68467 MOD 4ZX44A	UCW	Lever Hoist	Dayton	
106	3 Ton	S/N: C476NZ	UCW	Lever Hoist	C/M	
107	¾ Ton	S/N: D2007 MOD 42X44	UCW	Lever Hoist	Dayton	
108	¾ Ton	S/N: WM 9909 MOD L5B-1500A	UCW	Lever Hoist	Coffing	
109	1 ½ Ton	S/N: A1851-HD MOD-646	UCW Yard	Chain Hoist	C/M	Alameda
110	1 ½ Ton	S/N: A-185HD MOD-646	UCW Yard	Chain Hoist	C/M	Alameda
111	¾ Ton	S/N: 0789TD MODEL LSB-B	UCW Yard	Lever Hoist	C/M	Alameda
112	¾ Ton	S/N: 67901 MODEL 4ZX44A	UCW Yard	Lever Hoist	C/M	Alameda
113	6 Ton	S/N: 70562-C609EF MOD-640	UCW Yard	Lever Hoist	C/M	Alameda

#	CAPACITY	SERIAL NUMBER / MODEL	LOCATION	TYPE	BRAND	COUNTY
114	6 Ton	S/N: 70563-BC570EF MOD-640	UCW Yard	Lever Hoist	C/M	Alameda
115	¾ Ton	S/N: 9515 DWR 52759	UCW Yard	Lever Hoist	Coffing	Alameda
116	¾ Ton	S/N: 96842	UCW Yard	Lever Hoist	Central	Alameda
117	3 Ton	S/N: A887PB	UCW Yard	Lever Hoist	C/M	Alameda
118	3 Ton	S/N: B626PB	UCW Yard	Lever Hoist	C/M	Alameda
119	¾ Ton	S/N: B-6395 MOD-4ZX44	UCW Yard	Lever Hoist	Dayton	Alameda
120	1 ½ Ton	S/N: RA1712K2 MOD RA-15-C	UCW Yard	Lever Hoist	Coffing	Alameda
121	1 ½ Ton	S/N: RA-498-LR2 MOD RA15E	UCW Yard	Lever Hoist	Coffing	Alameda
122	1 ½ Ton	S/N: UN0503 MOD-LSB-B	UCW Yard	Lever Hoist	Coffing	Alameda
123	1 ½ Ton	S/N:RA-1-660-KT2 MOD-RA15D	UCW Yard	Lever Hoist	Coffing	Alameda
124	¾ Ton	SN:0640 RV	UCW Yard	Lever Hoist	C/M	Alameda
125	½ Ton	S/N:291194 MODEL SM4-1200G	UCW Yard	Man-Basket	Lifting Tech	Alameda
126	¾ Ton	S/N:D131833 MOD 0304	VHCH	Lever Hoist	Harrington	Alameda
127	5 Ton	S/N: EC10109H2 MOD EC10008-4	Weld Shop	Chain Hoist	Coffing	
128	1 Ton	S/N: L-4320NK MOD-L	Weld Shop	Monorail	C/M	Alameda

Location Legend

BAPP	Bank Pumping Plant
DVPP	Del Valle Pumping Plant
DVPP GL-HL	Del Valle Pumping Plant
F.F. HTB1	Fish Facility Holding Tank 1
F.F. HTB2	Fish Facility Holding Tank 2
F.F. SEC.#1	Fish Facility Secondary 1
F.F. SEC. #2	Fish Facility Secondary 2
M-1 – M15	Plant Maintenance
MEO	Mobile Equipment Operation
MEO-DT-O&M	Mobile Equipment Operation
O&M	Operations & Maintenance
PATT YARD	Patterson Yard
SAC FLOOD	Sacramento Flood Yard
SBPP MAINT	South Bay Maintenance Building
SBPP STG 1-2	South Bay Stage I-II
SBPP STG 3	South Bay Stage III
UCW YARD	Utility Craft Worker's Yard

**EXHIBIT A, ATTACHMENT 3
Job Hazard Analysis**

State of California

Department of Water Resources

California Natural Water Resources Agency

JOB HAZARD ANALYSIS (JHA)

Section A: General Information

Date:

Location:	
Equipment:	
Supervisor/Lead:	Phone Number:
Completed By:	

Scope of Work: Text

Scope of Work: Add Image

JOB HAZARD ANALYSIS (JHA)

Section B: Hazard Assessment

Sequence of Basic Job Steps	Recognized Hazards	Mitigations

State of California

Department of Water Resources

California Natural Water Resources Agency

JOB HAZARD ANALYSIS (JHA)

Section C: Emergency Contingency Plan

Emergency Numbers:	Area Control Center Phone:
Safety Engineer Name:	Safety Engineer Phone:
Additional Information: Text	Additional Information: Add Image

Section D: Hazard Assessment Review and Sign Off

Org	Print Name	Initial	Date	Org	Print Name	Initial	Date

State of California

Department of Water Resources

California Natural Water Resources Agency

JOB HAZARD ANALYSIS (JHA)

Section E: Guide for Hazard Assessment

Potential for Known Hazards:

☐ Fire or Explosion Potential	☐ Confined Spaces	☐ Shoring / Sloping
☐ Electrical Shock / Energized Equipment	☐ Chemical Exposure / Safety Data Sheet	☐ Sharp Edges or Hot / Cold Surfaces
☐ Skin Irritants	☐ High Noise Levels	☐ Bio Hazards (Wildlife, etc.)
☐ Toxic Material	☐ Excavation	☐ Viral / Bacterial / Fungal exposure
☐ Adjacent Work	☐ Water / Drowning	☐ Elevated Load or Work
☐ Environmental Extremes (Heat, Cold, Wind, etc.)	☐ Potential Release of Energy Kinetic / Gravity	☐ Lead / Asbestos Exposure
☐ Lifting	☐ Inadequate Ventilation	If No, Check Below:
☐ Traffic / Vibration	☐ Difficult Access	☐ Tested
☐ Third Party / Public	☐ Employees / New or Temp	☐ Master List
☐ Ionizing Radiation	☐ Multi-Employer Workplace	☐ Material Doesn't Apply
☐ *Welding / Burning Operations	☐ Compressed Gasses / Liquids	☐ Other:
☐ Pinch, Crush, or Striking	☐ Fall Potential	☐ Other:
*A Supplemental Hot Work Permit May Be Required for Welding and Burning Operations		

Applicable Safe Work Practices (OP2 and other Codes and Regulations):

☐ First Aid	☐ Hot Work Permit	☐ Wash hands with soap & water
☐ Energy Isolation / LOTO	☐ Use of Specialized Equipment	☐ Hand Sanitizer
☐ Dig Alert – Call 811	☐ Specialized Training Required	☐ Maintain physical distancing
☐ Approved Lighting	☐ Rescue / Retrieval Plans	☐ Cloth Face Covering
☐ Site / Job Orientation	☐ Standard Operating Procedures	☐ Disinfecting Wipes
☐ OP2 Procedure	☐ Review of Emergency Action Plans	☐ Sanitize frequently touched areas
☐ Use Barricades	☐ ST-19 Working Distance	☐ Pre-Shift Self Screening
☐ Communications: Visual / Audio	☐ Energized Equip. and Conductive Tools	☐ Continuous Self-Monitoring
☐ Confined Space Entry Permit	☐ Other:	☐ Other:

Special Precautions (OP2 and other Codes and Regulations):

☐ Simultaneous Operations	☐ Near Miss / Incidents
☐ Pollution Prevention Measure	☐ Hazardous Waste Disposal
☐ Redundant Protection Measures	☐ Heat Conditions
☐ Special Pre-Job Safety Discussions	☐ Other:

Electrical Power Line Issue:

☐ Overhead or Underground Power Lines Present Notify supervisor if underground lines possible in the area	☐ Overhead Power Lines within 100 feet of Work Site If yes, develop a Plan to Address Hazard
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Personal Protective Equipment (PPE):

☐ Hard Hats	☐ Personal Ground	☐ Respirators – Specify in Mitigations
☐ Eye Protection	☐ Fire Resistance Clothing	☐ Other:
☐ Face Protection	☐ Chemical Protective Clothing – Specify in Mitigations	☐ Other:
☐ Hearing Protection	☐ Tyvek Suit	☐ Other:
☐ Safety Footwear	☐ Fall Protection / Fall Restraint	☐ Other:
☐ Hand Protection / Gloves – Specify in Mitigations	☐ Equipment – Specify in Mitigations	☐ Other:

HAZARD IDENTIFICATION

The hazard assessment wheel is a tool to help identify hazards associated with a project, task or job step. Thinking about hazards in a structured manner reduces the possibility of missing a hazard. Start at any point on the wheel and work your way around thinking about each category of hazards.



Gravity	Falling object, elevated work, or a body tripping or falling
Temperature	Open flame, ignition sources, hot or cold surfaces, liquids, or gases, steam, friction and general environmental and weather conditions
Pressure	Pressure piping, compressed gas cylinders, control lines, pressure vessels, tanks, hoses and pneumatic and hydraulic equipment
Motion	Vehicle, vessel, or equipment movement, flowing water; wind; and body position when lifting, straining, or bending
Mechanical	Rotating equipment, compressed springs, drive belts, conveyors, and motors
Chemical	Lead, asbestos, flammable vapors, reactive hazards, carcinogens or other toxic compounds, corrosives, pyrophoric substances, combustibles, oxygen deficient atmospheres, welding fumes and dusts
Electrical	Power lines, transformers, static charges, lighting, energized equipment, wiring and batteries
Water	Aqueduct banks, reservoirs, dams, weirs, levees, in-water or underwater activity, flood response, boating
Sound	Equipment noise, impact noise, vibration, high pressure release sounds and the impact of noise levels on communication
Biological	Animals, bacteria, viruses, insects, blood-borne pathogens, improperly handled food, contaminated water
Radiation	Lighting issues, welding, arcs, solar rays, microwaves, lasers, x-rays

**EXHIBIT B
BUDGET DETAIL AND PAYMENT PROVISIONS
PRIVATE ENTITIES**

A. INVOICING AND PAYMENT

Contractor shall submit three copies of the invoice to the State only after receiving verbal or written notice of satisfactory completion or acceptance of work by the DWR Contract Manager. **The State will not accept an invoice for work that has not been approved and will return the invoice as a disputed invoice to the Contractor.**

Itemized invoices shall be submitted no more often than monthly, in arrears, bearing the contract number. Small business contractors must identify their certified small business status on the invoice.

Contractor must submit three copies of each invoice to the following address in order to expedite approval and payment:

DWR Accounting Office
Contracts Payable Unit
P.O. Box 942836
Sacramento, California 94236-0001

Undisputed invoices shall be **paid** within 45 days of the date received by the DWR Accounting Office.

B. BUDGET CONTINGENCY CLAUSE

It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.

If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either: cancel this Agreement with no liability occurring to the State, or offer an Agreement Amendment to Contractor to reflect the reduced amount.

C. PROMPT PAYMENT CLAUSE

Payment will be made in accordance with and within the time specified in Government Code, Chapter 4.5 (commencing with Section 927).

**EXHIBIT B, ATTACHMENT 1
BID SHEET**

This is a placeholder page.

EXHIBIT C
GENERAL TERMS AND CONDITIONS

This is a placeholder page.

Under the State of California's standardized contract process, a hardcopy of Exhibit C is not included in the standard agreement package or in this solicitation document. As indicated on the Std. 213, a copy of Exhibit C can be found at the internet site: [GTC 02/2025](#)

Or, you may copy and paste the following text for the GTC listing from the DGS website:

<https://www.dgs.ca.gov/OLS/Resources/Page-Content/Office-of-Legal-Services-Resources-List-Folder/Standard-Contract-Language>

If you do not have internet access, please contact the Bid Administrator below to receive a copy:

Mao Yang
(209) 833-2070

**EXHIBIT D – Special Terms and Conditions for
Department of Water Resources
(Over \$10,000 Standard Payable)**

1. **EXCISE TAX:** The State of California is exempt from Federal Excise Taxes, and no payment will be made for any taxes levied on employees' wages.
2. **RESOLUTION OF DISPUTES:** In the event of a dispute, Contractor shall file a "Notice of Dispute" with the Director or the Director's Designee within ten (10) days of discovery of the problem. The State and Contractor shall then attempt to negotiate a resolution of such claim and, if appropriate, process an amendment to implement the terms of any such resolution. If the State and Contractor are unable to resolve the dispute, the decision of the Deputy Director of Business Operations shall be final, unless appealed to a court of competent jurisdiction.

In the event of a dispute, the language contained within this agreement shall prevail over any other language including that of the bid proposal.

3. **PAYMENT RETENTION CLAUSE:** Ten percent of any progress payments that may be provided for under this contract shall be withheld per Public Contract Code Section 10346 pending satisfactory completion of all services under the contract.
4. **AGENCY LIABILITY:** The Contractor warrants by execution of this Agreement, that no person or selling agency has been employed or retained to solicit or secure this Agreement upon agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the Contractor for the purpose of securing business. For breach or violation of this warranty, the State shall, in addition to other remedies provided by law, have the right to annul this Agreement without liability, paying only for the value of the work actually performed, or otherwise recover the full amount of such commission, percentage, brokerage, or contingent fee.
5. **POTENTIAL SUBCONTRACTORS:** Nothing contained in this Agreement or otherwise shall create any contractual relation between the State and any subcontractors, and no subcontract shall relieve the Contractor of its responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or enforce the payment of any moneys to any subcontractor.
6. **SUBCONTRACTING:** The Contractor is responsible for any work it subcontracts. Subcontracts must include all applicable terms and conditions of this Agreement. Any subcontractors, outside associates, or consultants required by the Contractor in connection with the services covered by this Agreement shall be limited to such individuals or firms as were specifically identified in the bid or agreed to during negotiations for this Agreement, or as are specifically authorized by the Contract Manager during the performance of this Agreement. Any substitutions in, or additions to, such subcontractors, associates or consultants shall be subject to the prior written approval of the Contract Manager. Contractor warrants, represents and agrees that it and its subcontractors, employees and representatives shall at all times comply with all applicable laws, codes, rules and regulations in the performance of this Agreement. Should State determine that the work performed by a subcontractor is substantially unsatisfactory and is not in substantial accordance with the contract terms and conditions, or that the subcontractor is substantially delaying or disrupting the process of work, State may request substitution of the subcontractor.

Contract # [REDACTED]

Exhibit D

Page 2 of 3

7. RENEWAL OF CCC: Contractor shall renew the Contractor Certification Clauses or successor documents every three (3) years or as changes occur, whichever occurs sooner.
8. REPORT OF RECYCLED CONTENT CERTIFICATION: In accordance with Public Contract Code Sections 12200-12217, et seq. and 12153-12158, et seq. the contractor must complete and return the form DWR 9557, Recycled Content Certification, for each required product to the Department at the conclusion of services specified in this contract. Form DWR 9557 is attached to this Exhibit and made part of this contract by this reference.
9. TERMINATION CLAUSE: The State may terminate this contract without cause upon 30 days advance written notice. The Contractor shall be reimbursed for all reasonable expenses incurred up to the date of termination.
10. COMPUTER SOFTWARE: For contracts in which software usage is an essential element of performance under this Agreement, the Contractor certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this contract for the acquisition, operation or maintenance of computer software in violation of copyright laws.
11. PRIORITY HIRING CONSIDERATIONS: For contracts, other than consulting services contracts, in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the contract to qualified recipients of aid under Welfare and Institutions Code Section 11200. (Public Contract Code Section 10353).
12. EQUIPMENT RENTAL AGREEMENTS: This provision shall apply to equipment rental agreements. The State shall not be responsible for loss or damage to the rented equipment arising from causes beyond the control of the State. The State's responsibility for repairs and liability for damage or loss to such equipment is restricted to that made necessary or resulting from the negligent act or omission of the State or its officers, employees, or agents.
13. CONTRACTOR COOPERATION DURING INVESTIGATION: Contractor agrees to cooperate fully in any investigation conducted by or for DWR regarding unsatisfactory work or allegedly unlawful conduct by DWR employees or DWR contractors. The word "cooperate" includes but is not limited to, in a timely manner, making Contractor staff available for interview and Contractor records and documents available for review.
14. CONFLICT OF INTEREST:
 - a. Current and Former State Employees: Contractor should be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.
 - (1) Current State Employees: (PCC §10410)
 - (a) No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
 - (b) No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.
 - (2) Former State Employees: (PCC §10411)
 - (a) For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

Contract # [REDACTED]
Exhibit D
Page 3 of 3

- (b) For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

b. Penalty for Violation:

- (a) If the Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (PCC §10420)

c. Members of Boards and Commissions:

- (a) Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (PCC §10430 (e))

d. Representational Conflicts of Interest:

The Contractor must disclose to the DWR Program Manager any activities by contractor or subcontractor personnel involving representation of parties, or provision of consultation services to parties, who are adversarial to DWR. DWR may immediately terminate this contract if the contractor fails to disclose the information required by this section. DWR may immediately terminate this contract if any conflicts of interest cannot be reconciled with the performance of services under this contract.

e. Financial Interest in Contracts:

Contractor should also be aware of the following provisions of Government Code §1090:

"Members of the Legislature, state, county district, judicial district, and city officers or employees shall not be financially interested in any contract made by them in their official capacity, or by any body or board of which they are members. Nor shall state, county, district, judicial district, and city officers or employees be purchasers at any sale or vendors at any purchase made by them in their official capacity."

f. Prohibition for Consulting Services Contracts:

For consulting services contracts (see PCC §10335.5), the Contractor and any subcontractors (except for subcontractors who provide services amounting to 10 percent or less of the contract price) may not submit a bid/SOQ, or be awarded a contract, for the provision of services, procurement of goods or supplies or any other related action which is required, suggested, or otherwise deemed appropriate in the end product of such a consulting services contract (see PCC §10365.5).

15. COMMERCIAL DRIVER MEAL PERIOD AND REST PERIOD: To comply with Supreme Court case *Dynamex Operations West, Inc. v. Superior Court* (2018) 4 Cal.5th 903, Contractor shall ensure that commercial drivers employed or subcontracted for under this contract shall receive meal periods and rest periods commensurate with those required by Department of Industrial Relations Wage Order 9, sections 11 and 12:
<https://www.dir.ca.gov/IWC/IWCArticle9.pdf>.
16. ORDER OF PRECEDENCE: In the event of any inconsistency between the terms, specifications, provisions or attachments which constitute this Contract, the following order of precedence shall apply:
 - a) The General Terms and Conditions;
 - b) The Std. 213;
 - c) The Scope of Work;
 - d) Any other incorporated attachments in the Contract by reference

EXHIBIT D, ATTACHMENT 1

State of California

DEPARTMENT OF WATER RESOURCES

California Natural Resources Agency

Contract #
Exhibit , Attachment
Page 1 of 2

RECYCLED CONTENT CERTIFICATION

To be completed by the vendor/bidder/contractor and returned to:

DEPARTMENT OF WATER RESOURCES
Recycling Coordinator
Purchasing Services Office
715 P Street, 7th Floor, Sacramento, CA 95814
FAX: (916) 654-5506

COMPANY: _____

PERSON COMPLETING FORM: _____

DATE: _____

DESCRIPTION Please include item name, brand, and product number	% POSTCONSUMER	RECYCLED MATERIAL TYPE

All businesses shall certify in writing to the contracting officer or his or her representative the minimum percentage, if not exact percentage, of postconsumer material in the productions, materials, goods, or supplies offered or sold to the state regardless of whether the product meets the minimum content requirements specified in law (see page 2 for minimum content requirements). The certification shall be furnished under penalty of perjury. The certification shall be provided regardless of content, even if the product contains no recycled material. A state agency may waive the certification requirements if the percentage of postconsumer material in the products, materials, good or supplies can be verified in a written advertisement, including, but not limited to, a product label, a catalog, or manufacturer or vendor internet website.

Public Contract Code Sections 12200-12217, et seq. and 12153-12156, et seq.

I certify that the above information is true. I further certify that these environmental claims for recycled content regarding these products are consistent with the Federal Trade Commission's Environmental Marketing Guidelines in accordance with PCC 12404.

NAME OF PERSON COMPLETING FORM	TITLE	AGENCY/COMPANY

➤ _____
SIGNATURE OF PERSON COMPLETING FORM DATE

Contract #
Exhibit , Attachment
Page 2 of 2

1. Postconsumer material comes from products that were bought by consumers, used, then recycled. For example: a newspaper that has been purchased and read, next recycled, and then used to make another product would be postconsumer material.

If the product does not fit into any of the product categories, enter "N/A". Common N/A products include wood products, natural textiles, aggregate, concrete, electronics such as computers, TV, software on a disk or CD, telephone.

2. Product category refers to one of the product categories listed below, into which the reportable purchase falls. For products made from multiple materials, choose the category that comprises most of the product by weight, or volume.

Note: For reuse or refurbished products, there are no minimum content requirements.

For additional information visit State Agency Buy Recycled Campaign

Description Product Categories	Minimum Content Requirement
Paper Products – Recycled	30 percent postconsumer fiber, by fiber weight
Printing and Writing – Recycled	30 percent postconsumer fiber, by fiber weight
Compost, Co-compost, and Mulch – Recycled	80 percent recovered materials i.e., material that would otherwise be normally disposed of in a landfill
Glass – Recycled	10 percent postconsumer, by weight
Re-refined Lubricating Oil – Recycled	70 percent re-refined base oil
Plastic – Recycled	10 percent postconsumer, by weight
Printer or duplication cartridges	a. Have 10 percent postconsumer material, or b. Are purchased as remanufactured, or c. Are backed by a vendor-offered program that will take back the printer cartridges after their useful life and ensure that the cartridges are recycled and comply with the definition of recycled as set forth in Sections 12200-12217, et seq. and 12153-12156, et seq. of the Public Contract Code.
Paint – Recycled	50 percent postconsumer paint (exceptions when 50 percent postconsumer content is not available or is restricted by a local air quality management district, then 10 percent postconsumer content may be substituted)
Antifreeze – Recycled	70 percent postconsumer material
Retreated Tires – Recycled	Use existing casing that has undergone retreading or recapping process in accordance with Public Resource Code (commencing with section 42400).
Tire – Derived – Recycled	50 percent post consumer tires
Metals – Recycled	10 percent postconsumer, by weight

EXHIBIT E ADDITIONAL PROVISIONS

Executive Order N-6-22 – Russia Sanctions

On March 4, 2022, Governor Gavin Newsom issued Executive Order N-6-22 (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. “Economic Sanctions” refers to sanctions imposed by the U.S. government in response to Russia’s actions in Ukraine, as well as any sanctions imposed under state law. The EO directs state agencies to terminate contracts with, and to refrain from entering any new contracts with, individuals or entities that are determined to be a target of Economic Sanctions. Accordingly, should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities, that shall be grounds for termination of this agreement. The State shall provide Contractor advance written notice of such termination, allowing Contractor at least 30 calendar days to provide a written response. Termination shall be at the sole discretion of the State.

GenAI Technology Use & Reporting

The State of California seeks to realize the potential benefits of GenAI, through the development and deployment of GenAI tools, while balancing the risks of these new technologies.

Bidder / Offeror must notify the State in writing if it: (1) intends to provide GenAI as a deliverable to the State; or (2), intends to utilize GenAI, including GenAI from third parties, to complete all or a portion of any deliverable that materially impacts: (i) functionality of a State system, (ii) risk to the State, or (iii) Contract performance. For avoidance of doubt, the term “materially impacts” shall have the meaning set forth in State Administrative Manual (SAM) § 4986.2 Definitions for GenAI. Failure to report GenAI to the State may result in disqualification. The State reserves the right to seek any and all relief to which it may be entitled to as a result of such non-disclosure.

Upon notification by a Bidder / Offeror of GenAI as required, the State reserves the right to incorporate GenAI Special Provisions into the final contract or reject bids/offers that present an unacceptable level of risk to the State. Government Code 11549.64 defines “Generative Artificial Intelligence (GenAI)” as an artificial intelligence system that can generate derived synthetic content, including text, images, video, and audio that emulates the structure and characteristics of the system’s training data.

1. CERTIFICATE OF INSURANCE

a. General Provisions Applying to All Policies

- i. Coverage Term – Coverage needs to be in force for the complete term of the contract. If insurance expires during the term of the contract, a new certificate must be received by the State at least 30 days prior to the expiration of this insurance. Any new insurance must still comply with the original terms of the contract.
- ii. Policy Cancellation or Termination & Notice of Non-Renewal – Contractor shall provide to the Department, within five (5) business days following receipt by contractor, a copy of any cancellation or non-renewal of insurance required by this contract. In the event Contractor fails to keep in effect at all times the specified insurance coverage, the State may, in addition to any other remedies it may have, terminate this Contract upon the occurrence of such event, subject to the provisions of this Contract.
- iii. Deductible – Contractor is responsible for any deductible or self-insured retention contained within their insurance program.
- iv. Primary Clause – Any required insurance contained in this contract shall be primary, and not excess or contributory, to any other insurance carried by the State.

- v. Insurance Carrier Required Rating – All insurance companies must carry a rating acceptable to the Office of Risk and Insurance Management – A or better and financial size category of VII or better to the latest edition of the A.M. Best Key Rating Guide. If the Contractor is self-insured for a portion or all of its insurance, review of financial information including a letter of credit may be required.
 - vi. Endorsements – Any required endorsements requested by the State must be physically attached to all requested certificates of insurance and not substituted by referring to such coverage on the certificate of insurance.
 - vii. Inadequate Insurance – Inadequate or lack of insurance does not negate the contractor's obligations under the contract.
 - viii. Satisfying an SIR - All insurance required by this contract must allow the State to pay and/or act as the contractor's agent in satisfying any self-insured retention (SIR). The choice to pay and/or act as the contractor's agent in satisfying any SIR is at the State's discretion.
 - ix. Available Coverages/Limits - All coverage and limits available to the contractor shall also be available and applicable to the State.
 - x. Subcontractors - In the case of Contractor utilization of subcontractors to complete the contracted scope of work, contractor shall include all subcontractors as insured's under Contractor and insurance or supply evidence of insurance to The State equal to policies, coverages and limits required of Contractor.
- b. **Insurance Requirements:** The contractor shall furnish to the State evidence of the following required insurance:
- i. **Commercial General Liability** – Contractor shall maintain general liability on an occurrence form with limits not less than \$1,000,000 per occurrence/\$2,000,000 aggregate for bodily injury and property damage liability. The policy shall include coverage for liabilities arising out of premises, operations, independent contractors, products, completed operations, personal & advertising injury, and liability assumed under an insured contract. This insurance shall apply separately to each insured against whom claim is made or suit is brought subject to the Contractor's limit of liability. The policy must include the contract number and the following additional insured designation and endorsement:

“The State of California, Department of Water Resources, its officers, agents, and employees are to be covered as additional insureds with respect to liability arising out of work or operations.”

The endorsement must be supplied under form acceptable to the Office of Risk and Insurance Management.
 - ii. **Workers Compensation and Employers Liability** – Workers' Compensation insurance as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease.

Policy shall provide a Waiver of Subrogation endorsement in favor of the State of California and the California Department of Water Resources.
 - iii. **Automobile Liability** – Contractor shall maintain motor vehicle liability with limits not less than \$1,000,000 combined single limit per occurrence. Such insurance shall cover liability arising out of a motor vehicle including owned, hired and non-owned motor vehicles.

The State of California, Department of Water Resources, its officers, agents, and employees are to be covered as additional insureds with respect to liability arising out of work or operations.

Subsequent renewals of the insurance certificate will be sent to the following name and address which also will appear on the certificate as the certificate holder.

Department of Water Resources
Division of Operations and Maintenance
Delta Field Division
Attn: Trent Schaffer
5280 Bruns Road
Byron, California 94514

2. PERMITS AND LICENSES

The Contractor shall procure all permits and licenses, pay all charges and fees and give all notices necessary and incidental to the due and lawful prosecution of the work.

3. CONTRACT AMENDMENTS – DVBE PROGRAM REQUIREMENTS

Disabled Veteran Business Enterprise (DVBE) program requirements shall be included and made a part of any subsequent contract amendment(s) when DVBE program requirements were included as part of the Department's original solicitation/contract effort. DVBE participation program goal percentage(s) committed by the contractor in the original contract are extended through the amended contract termination date and include any additionally encumbered funds that are a result of the contract amendment. The Prime Contractor's Certification – DVBE Subcontractor Report (STD 817) shall be included in all subsequent contract amendment(s). The Contractor shall be responsible for continued program compliance and reporting.

4. CONTRACT AUDITS RELATED TO DVBE PROGRAM REQUIREMENTS

Contractor agrees that the State or its designee will have the right to review, obtain, or copy all records pertaining to performance of the contract as performance pertains to DVBE requirements. Contractor agrees to provide the State or its representative with any relevant information requested and shall permit the State or its representative access to its premises, upon reasonable notice, during normal business hours for the purpose of interviewing employees and inspecting and copying such books, records, accounts, and other material that may be relevant to a matter under investigation for the purpose of determining compliance with this requirement. Contractor further agrees to maintain such records for a period of no less than three (3) years after final payment under the contract.

5. SUBSTITUTION OF A DVBE SUBCONTRACTOR

Contractor understands and agrees that should award of this contract be based in part on their commitment to use the DVBE subcontractor(s) identified in their bid or offer, per [Military and Veterans Code \(MVC\) 999.5\(g\)](#) and [California Code of Regulations \(CCR\) 1896.73](#) a DVBE subcontractor may only be replaced by another DVBE subcontractor and must be approved by the Department of General Services in writing prior to the commencement of any work by another DVBE subcontractor. Changes to the scope of work that impact the DVBE subcontractor(s) identified in the bid or offer and approved DVBE substitutions will be documented by contract amendment.

Contractor must immediately notify the Department's SB/DVBE Advocate that substitution of a DVBE subcontractor is requested and refer to [2CCR 1896.73](#) for details. Failure of Contractor to seek substitution and adhere to the DVBE participation level identified in the bid or offer may be cause for contract termination, recovery of damages under rights and remedies due the State, and penalties as outlined in [MVC 999.9](#); [Public Contract Code Section \(PCC\) 10115](#), or [PCC Section 4110](#) (applies to public works only).

6. CONTRACTOR CERTIFICATION OF PAYMENT TO SMALL BUSINESS SUBCONTRACTOR(S)

If, for this Contract, Contractor made a commitment to achieve small business participation, then Contractor upon contract close out or completion must report to the awarding department the actual percentage of small business participation that was achieved. ([Government Code § 14841](#))

Contractor's certification of payments must be made using form DWR 9683 (Small Business Subcontractor Payment Certification), Exhibit E Attachment 1. Fully complete this form with the authorized signature and submit to DWR's Contract Manager with a copy to DWR's SB/DVBE Program Manager.

7. PRIME CONTRACTOR'S CERTIFICATION – DVBE SUBCONTRACTOR REPORT (STD 817)

a. STD 817 – Statutory Reporting Requirements

Upon completion of contracts that include commitments to DVBE subcontractors, the State's Prime Contractors are required to certify, under penalty of perjury, the total amount received from the State *and* the details of payments made to all DVBE subcontractors under those contracts.

Contract awarding departments are *required to withhold \$10,000* from the final payment (or the full payment if less than \$10,000) to Prime Contractors until their compliance with the certification requirements by submitting an accurate and appropriately signed Std. 817 to the awarding department. The withhold amount will be *permanently deducted* if Prime Contractors do not comply with Std. 817 requirements after given notice to cure deficiencies.

Prime Contractors are required to maintain supporting documentation for a minimum of six years for all payments to DVBE subcontractors identified in the Std. 817. Upon request, proof of payment must be provided. [[Military and Veteran Code 999.5\(d\)](#); [Government Code 14841](#); [California Code of Regulations 1896.78\(e\)](#); [Public Contract Code 10369](#)]

b. STD 817 – Link to Form with Completion Instructions

<https://www.dgs.ca.gov/PD/Search-Results?search=817&divisionid=7bce8ca3ed8a4aedbdeaf52f5e77df36&activeFilters=division-or-office-facets>

c. STD 817 – Filing Instructions

Upon completion of the contract submit one Std. 817 per contract, signed by the Prime's authorized representative, to DWR's SB/DVBE Program Manager by either option below.

1. Option #1. Email electronic signed versions of the Std. 817 to: SB.DVBE@water.ca.gov and DWR Contract Manager (contact information in Exhibit A).
2. Option #2. Mail or deliver signed hard copies of the Std. 817 to:

Department of Water Resources
Attention: SB/DVBE Program Manager
715 P St, 7th Floor, DBS #19
Sacramento, CA 94236-0001

EXHIBIT E, ATTACHMENT 1

State of California

DEPARTMENT OF WATER RESOURCES

California Natural Resources Agency

Contract No. _____

Exhibit _____

SMALL BUSINESS SUBCONTRACTOR PAYMENT CERTIFICATION

Government Code § 14841 states "Upon completion of an awarded contract for which a commitment to achieve small business or disabled veteran business enterprise participation goals was made, the contractor shall report to the awarding department the actual percentage of Small Business (SB) and Disabled Veteran Business Enterprise (DVBE) participation that was achieved."

This Small Business Subcontractor Payment Certification form (DWR 9683) must be completed and submitted to DWR once all work has been completed, and invoices have been paid under this contract.

Complete and sign a separate DWR 9683 form for each SB subcontractor and submit the form(s) in pdf format, by email, to DWR's Contract Manager identified in the Scope of Work and the SB/DVBE Program Manager at SB.DVBE@water.ca.gov

DVBE subcontractor participation information will be submitted by the Contractor on a separate form, Prime Contractor's Certification – DVBE Subcontractor Report (STD. 817).

PRIME CONTRACTOR

Company Name	Contract Number	Street Address	City	State	Zip Code

SMALL BUSINESS SUBCONTRACTOR

Company Name	Certification Type(s) (SB, SB-Micro, SB-PW)	OSDS No.	Street Address	City	State	Zip Code

PAYMENT INFORMATION

Total Contract Amount (\$) (including amendments)	Total Dollars (\$) Paid to Prime	Total Dollars (\$) Paid to SB Subcontractor	Total Percentage (%) Committed to SB Subcontractor	Total Dollars (\$) Committed to SB Subcontractor	Explanation for Difference Between Commitment Amount and What was Paid to SB Subcontractor

PRIME CONTRACTOR - AUTHORIZED REPRESENTATIVE

Print Name	Email	Signature
Title	Phone	Date Signed