2526-02 ITB OIR
Examination Services
Addendum No. 2

Failure to file a protest within the time prescribed in section 120.57(3), Florida Statutes, or failure to post the bond or other security required by law within the time allowed for filing a bond shall constitute a waiver of proceedings under Chapter 120, Florida Statutes.

This Addendum consists of the following sections:

A. Questions and Answers
B. Modifications to the ITB

A. Below are the questions and answers related to this solicitation. In the table below, the Office of Insurance Regulation (Office) has answered each of the questions submitted by potential respondents.Below are the questions and answers related to this solicitation. In the table below, the Office of Insurance Regulation (Office) has answered each of the questions submitted by potential respondents.

Question No.	Vendor Name	ITB Section	ITB Page #	Question	Office Response
1.	KT Financial Services	Attachment 2	3	For vendors that may not serve as the prime examination contractor but could provide administrative, financial documentation, project coordination, or related support services, will subcontracting opportunities be permitted under awarded contracts, subject to Office approval?	The Office intends to award multiple contracts pursuant to this ITB. At examination rollout Scopes of Services will be awarded to one Contractor per exam. This does not preclude the awarded Contractors from utilizing other professionals or subcontractors. See: Attachment 2, Scope of Services Agreement, Section 8.d.
2.	KT Financial Services	ITB, Section 5.5	15	Will the awarded vendor list be posted publicly after the Notice of Intent to Award?	Yes, as stated in Section 5.5, Agency Decision of the Invitation to Bid.

3.	Capital Edge Financial Solutions, LLC			Does the Office allow a respondent to participate through a team structure that includes owners, employees, independent professionals, consultants, or subcontractors with complementary qualifications?	See Response to Question 1.
4.	Capital Edge Financial Solutions, LLC			If a respondent lacks prior direct experience conducting examinations specifically for the Florida Office of Insurance Regulation, can the respondent still be considered if its team demonstrates relevant experience in accounting, insurance, technology, healthcare administration, or compliance?	The Office will award one or more Contracts pursuant to this ITB to those Respondents that meet the criteria described in Section 4, Selection Methodology. Prior experience conducting examinations on behalf of the Office is not required.
5.	Capital Edge Financial Solutions, LLC	Attachment 2		Does the Office anticipate assigning limited-scope support work, participating examiner work, document review support, accounting support, IT support, or other specialized tasks to contractors, or are contractors expected to lead full financial	The Office intends to award multiple contracts pursuant to this ITB. Examination Scopes of Services will be awarded to one Contractor per examination. At the time of examination rollout, documentation as to the examinaton services and roles required will be provided.

				condition examinations from start to finish?	
6.	Capital Edge Financial Solutions, LLC	Attachment B		May a respondent submit a Consultant Profile Questionnaire identifying only the categories of work for which it is currently qualified, while leaving other categories inapplicable or identifying them as not currently offered?	Yes.
7.	Capital Edge Financial Solutions, LLC	Attachment 2		Would the Office consider a firm for supporting roles if the firm currently lacks a Certified Financial Examiner, actuary, or reinsurance specialist on staff, but plans to collaborate with qualified independent professionals when required and approved?	See: Responses to Questions 1 and 4.
8.	Capital Edge Financial Solutions, LLC	Attachment 2		Must respondents have Certified Financial Examiners, actuaries, IT auditors, or other specialists on staff when responding, or may these professionals be included as proposed team members, consultants, or subcontractors?	Respondents must be able to provide one or more hourly rates for the Office to consider to be deemed responsive..

9.	Capital Edge Financial Solutions, LLC	Attachment B		Are there minimum certification requirements for firms or individuals seeking to provide accounting/documentation support, participating examiner support, or administrative examination support?	For examinations conducted by non-Office employees, see: Rule Chapter 69O-138, Florida Administrative Code.
10.	Capital Edge Financial Solutions, LLC	Attachment B		For IT-related examination support, what technology credentials, cybersecurity credentials, audit experience, or technical qualifications are acceptable or preferred?	See: Response to Question 9.
11.	Capital Edge Financial Solutions, LLC	Attachment B		Would a telecommunications engineering degree be relevant for IT control environment review, technology documentation review, cybersecurity support, or related examination support if paired with appropriate experience or supplemental training?	See: Response to Question 9.
12.	Capital Edge Financial Solutions, LLC			Does the Office require experience with the NAIC Financial Condition Examiners	Examinations must be conducted in accordance with Rule 690-138.001, Florida Administrative Code.

				Handbook prior to award, or may selected contractors complete additional NAIC or risk-focused examination training before receiving assignments?	
13.	Capital Edge Financial Solutions, LLC	Attachment 2	3	Is TeamMate+ access or prior TeamMate+ experience required when submitting the response or only before starting a specific examination assignment?	See: Response to Question 58.
14.	Capital Edge Financial Solutions, LLC	Attachment 2	3	If TeamMate+ is required, is the contractor responsible for obtaining and maintaining its own license, or does the Office provide access for specific examination assignments?	The Contractor is responsible for obtaining and maintaining its own TeamMate+ license.
15.	Capital Edge Financial Solutions, LLC	ITB, Section 1.1	3	Does the Office maintain a pool of eligible contractors after award and then select firms for individual assignments based on the needs of each examination?	The Office intends to award multiple contracts pursuant to this ITB. At the time of examination rollout, Contractors will be notified as to the examinaton services roles required. Contractors will be asked to complete a budget proposal for those examinations they are interested in conducting. Scopes of Services will then

					be awarded to one Contractor per examination.
16	Capital Edge Financial Solutions, LLC	ITB, Section 1.1	3	If multiple contracts are awarded, does receiving a contract place the respondent on an eligible contractor list without guaranteeing future assignments?	See: Response to Question 15.
17.	Capital Edge Financial Solutions, LLC	Attachment 2	6	Since our firm is also involved in the insurance industry as licensed brokers, how should potential conflicts of interest be disclosed and managed if an examination involves an insurer, agency, MGA, carrier, or related entity with which we may have a business relationship?	At the time of Examination Rollout, Contractors will be notified as to the examinaton services roles required. Contractors will be asked to complete a budget proposal for those examinations they are interested in conducting. As part of their response Contractors will be asked to note any potential conflicts of interest, including relationships with a parent, subsidiaries, and affiliates of those companies to be examined.
18.	Capital Edge Financial Solutions, LLC			Would holding active insurance licenses in Florida create any automatic restriction on participating in examination support services, or would this be handled on a case-by-case conflict-of-interest basis?	Without more, holding an active insurance license in Florida would not create an automatic restriction on participating in examination support services. At the time of Examination Rollout, however, as part of their response Contractors will be asked to note any potential conflicts of interest, including

					relationships with a parent, subsidiaries, and affiliates of those companies to be examined.
19.	Capital Edge Financial Solutions, LLC			Are bilingual English/Spanish capabilities considered beneficial for any examination-related work involving document review, communication, interviews, or support with regulated entities?	Relevant knowledge skills and abilities may be disclosed in Supporting Documentation Attachment B, Consultant Profile Questionnaire.
20.	Capital Edge Financial Solutions, LLC			Are remote examination support services permitted, or should respondents expect work to be performed in Tallahassee, at the examined company's premises, or through a hybrid arrangement?	The Office does not anticipate much, if any, required travel when performing the services required by this ITB. If travel is required for a particular examination, work location will be specified at the time of Examinaton Rollout.
21.	Capital Edge Financial Solutions, LLC	Attachment 1	4	For firms based outside Tallahassee but in Florida, are travel expenses reimbursable only when expressly pre-authorized by the Office?	<u>See:</u> Response to Question 20; if deemed necessary, travel expenses will require the pre-approval of the Office. Any authorized travel expenses will be reimbursed to the Contractor at a rate not to exceed that which is payable to state employees for travel and per diem as prescribed by section 112.061, Florida Statutes, and

					shall be submitted in accordance with said section on the appropriate travel form.
22.	Capital Edge Financial Solutions, LLC	Attachment B		Is the respondent expected to provide hourly rates for each possible role listed in the Price Response, even if the respondent is only proposing to provide services in selected categories?	See: Response to Question 23.
23.	Capital Edge Financial Solutions, LLC	Attachment B		If a respondent proposes a limited range of services, should the Price Response include rates only for those applicable roles?	Yes.
24.	Capital Edge Financial Solutions, LLC	Attachment B		Can the Office clarify whether small firms may submit a response as a specialized support contractor rather than as a full-service examination firm?	See: Response to Question 1.
25.	Capital Edge Financial Solutions, LLC	Attachment 2	6	Does the Office encourage or permit larger awarded firms to use smaller Florida-based firms as approved subcontractors for specific support functions?	See: Response to Question 1
26.	Capital Edge Financial Solutions, LLC	Attachment 2	6	If a small firm wishes to be considered as a	Respondents may include any limitations or qualifying

				subcontractor or supporting resource to other awarded contractors, is there a preferred process for indicating that interest in the response?	information as part of their Volume 1 Response, Qualification Documents.
27.	Capital Edge Financial Solutions, LLC	Attachment B		Are resumes, professional biographies, licenses, certifications, degrees, and training records sufficient supporting documentation for the Consultant Profile Questionnaire, or are additional forms of proof required?	Respondents should submit sufficient documentation to demonstrate the credentials of the personnel proposed. Respondents may wish to review Rule Chapter 69O-138, Florida Administrative Code for guidance.
28.	Capital Edge Financial Solutions, LLC	Attachment B		Should copies of professional licenses, insurance licenses, certifications, degrees, and NAIC or other training certificates be included with the response?	See: Response to Question 27.
29.	Capital Edge Financial Solutions, LLC	Attachment B		Are respondents required to provide references specifically related to insurance regulatory examinations, or may they provide broader professional references related to	See: Response to Question 27.

				accounting, insurance, compliance, technology, or financial services work?	
30.	Capital Edge Financial Solutions, LLC	Attachment 1 and PUR 1000	4 and 15	Does the Office require professional liability insurance, errors and omissions insurance, cyber liability insurance, or other specific insurance coverage before contract execution?	No.
31.	Capital Edge Financial Solutions, LLC	ITB, Section 5.6	15	Are respondents required to be registered in MyFloridaMarketPlace at the time of response submission, or only prior to contract execution if awarded?	As stated in Section 5.6, MyFloridaMarketPlace (MFMP) Registration, the awarded Respondent must have a current vendor registration in MFMP, prior to Contract Execution.
32.	Capital Edge Financial Solutions, LLC	Attachment B		Are firms that are minority-owned, women-owned, veteran-owned, or small Florida-based businesses encouraged to include such designations in Attachment B or elsewhere in the response?	See: revised Section 5.2: Award Preference for Identical Evaluation of Identical Responses, below.
33.	Capital Edge Financial Solutions, LLC			If our firm plans to expand its examination-related capabilities through additional training and qualified	Respondents should provide only that information solicited in Section 3, Response Instructions

				professional partnerships, is it appropriate to describe that development plan within the response?	
34.	Capital Edge Financial Solutions, LLC			Does the Office anticipate using awarded contractors for examinations involving health maintenance organizations, prepaid limited health service organizations, discount plan organizations, or other healthcare-related regulated entities?	See section 1.2 Background. Also, see Response to Question 5.
35.	Capital Edge Financial Solutions, LLC			Given our combined insurance, accounting, telecommunications, and healthcare administrative credentials, is there any specific category within the Consultant Profile Questionnaire where the Office recommends we focus our response?	Respondent should submit a response in accordance with the requirements of Section 3, Response Instructions.
36.	Capital Edge Financial Solutions, LLC			Are there any upcoming vendor outreach sessions, training opportunities, informational resources, or recommended materials for firms seeking to understand	No.

				the Office's examination contractor expectations?	
37.	Capital Edge Financial Solutions, LLC			Are awarded contractors able to decline specific examination assignments if there is a conflict of interest, lack of availability, or if the assignment falls outside the contractor's qualified service area?	Contractors will be notified at the time of Examination Service Rollout of the examinaton services requested by the Office. A Contractor is not required to respond to a request for services if it would constitute a conflict of interest, lack of availability, or if it falls outside the Contractor's qualified service area.
38.	Support Global Trust			What specific credentials, certifications, or years of experience are required to qualify as an Information Technology Specialist respondent under this ITB? Does the Office accept credentials such as Certified Information Systems Auditor (CISA), Certified Information Security Manager (CISM), or equivalent industry certifications?	<u>See:</u> Response to Question 27.
39.	Support Global Trust			May a Respondent submit a Response covering the Information	<u>See:</u> Response to Question 23

				Technology Specialist category only, or must Respondents cover multiple examination categories to be considered responsive?	
40.	Support Global Trust			What types of IT-related examination work does the Office most commonly require — for example, cybersecurity assessments, data governance reviews, systems access controls, or IT general controls?	<u>See</u>: Rule Chapter 69O-138, Florida Administrative Code.
41.	Support Global Trust	Attachment 2	6	Is subcontracting or teaming with a prime contractor permitted under the resulting Contract?	<u>See:</u> Response to Question 1
42.	Support Global Trust	Attachment B		For Respondents that have not previously submitted a Consultant Profile Questionnaire to the Office, is the Attachment B Questionnaire submitted through MFMP VIP or delivered separately?	Respondents submit Attachment B, Consultant Profile Questionnaire as part of their bid response. See Section 3.3.2.B. of the ITB.
43.	Thomas Howell Ferguson	NA	NA	Does the contract require the Project Manager or any other key personnel to hold a Certified Fraud	For purposes of this ITB, CFE refers to Certified Financial Examiner. <u>See also</u>: Rule Chapter 69O-138, Florida Administrative Code

				Examiner (CFE) certification or any other specific professional certifications?	for other examinaton-related requirements.
44.	Thomas Howell Ferguson	NA	NA	What positions or labor categories would be considered acceptable to perform the duties outlined with the ITB?	See Rule Chapter 69O-138 Florida Administrative Code.
45.	Thomas Howell Ferguson	NA	NA	Are there minimum degree or education requirements for proposed personnel, including the Project Manager and support staff?	See: Response to Question 44.
46.	Examination Resources, LLC	3.2 Mandatory Responsiveness Requirements	2 – footnote 1, 10	There was not an Attachment C, Price Response included in the documents in VIP. There is a 'Standard Hourly Rates Form' tab as part of Attachment B, Consultant Profile Questionnaire. Should we keep that tab blank on Attachment B, resave and rename attachment B to Attachment C and only complete the hourly rates on the newly renamed document?	Respondents should disregard references in the ITB to Attachment C, Price Response, and include their hourly rates in Revised Attachment B, Consultant Profile Questionnaire.

47.	Examination Resources, LLC	3.3.2 Volume 2: Mandatory Requirements	11	Since Attachments B and C are part of Volume 2, please confirm that the two attachments (B & C) can be included in the same Volume 2 email submission.	Yes; see also: Response to Question 46.
48.	Examination Resources, LLC	3.3.2 Volume 2: Mandatory Requirements / Attachment B Consultant Profile Questionnaire	11	Please confirm the supporting documentation required as part of Attachment B can be included in the same Volume 2 email submission.	Yes
49.	Examination Resources, LLC	5.2 Award Preferences for Identical Evaluation of Identical Responses	15	Attachment B, Consultant Profile Questionnaire does not include an Identical Tie Response tab	See: Revised Section 5.2, below.
50.	Examination Resources, LLC	Attachment 4, PUR 1000	Various Page references	Please confirm General Contract Conditions (Form PUR 1000 (07/2024) is the same as Attachment 4.	Yes, Attachment 4 is the General Contract Conditions known as Form PUR 1000.
51.	INS Regulatory Insurance, Inc.	Attachment B-Consultant Profile Questionnaire	Standard Hourly Rates Form	Can lines be added to the hourly rates table to differentiate rates charged for Life and Health Actuaries vs	See: Revised Attachment B – Consultant Profile Questionnaire.

				Property and Casualty Actuaries?	
52.	Baker Tilly Advistory Group, LP	TOC / Attachments table; Sections 3.2 and 3.3.2		Please confirm whether the Standard Hourly Rates Form tab in Attachment B Questionnaire constitutes Attachment C, Price Response, for purposes of the mandatory responsiveness requirements, or whether a separate Attachment C file must also be submitted.	See: Response to Question 46.
53.	Baker Tilly Advistory Group, LP	TOC footnote; Attachment B workbook		The footnote states that the Consultant Profile Questionnaire includes the Price Response, but also states that the Price Response is now Attachment C and must be submitted separately. Please clarify whether pricing should be submitted only within the Attachment B workbook, as a separate Attachment C file, or both.	See: Response to Question 46.
54.	Baker Tilly Advistory Group, LP	Attachment B, Standard Hourly Rates Form		Please confirm whether all renewal-rate fields are mandatory and whether renewal rates	If a Respondent anticipates a rate increase in subsequent renewal periods, it should provide those rates as part of its Response.

				may differ from the initial contract rates.	
55.	Baker Tilly Advisory Group, LP	Section 3.1; prior addendum		The current ITB allows a respondent to provide alternative terms that are equivalent to or exceed OIR terms, while the prior addendum stated OIR would not accept edits to contract documents. Please confirm whether contract exceptions or alternative terms may be submitted without causing the response to be deemed non-responsive.	It is not clear what is meant by Questioner's reference to "Prior Addendum". In accordance with section 3.1 of the ITB, Respondents may provide alternative contractual terms that are equivalent to or exceed the Office's contractual terms and conditions without being deemed non-responsive. If the Office deems a response that includes alternative contractual terms otherwise responsive, the Office reserves the right to accept, reject or modify any proposed alternative contractual terms and, should the Office decide to modify or reject such proposed alternative contractual terms it will provide the Respondent an opportunity to consider the Office's modification or rejection at the time of contract execution.
56.	Baker Tilly Advisory Group, LP	Section 1.1 / 1.2; Attachment B Questionnaire		Attachment B asks for capabilities across financial, market	The Office is seeking to contract for examination services provided in

				conduct, actuarial-only, IT-only, administrative supervision, investment, reinsurance, and other examination categories. Please confirm whether this procurement may result in assignments across all listed categories, or only financial examination services described in the sample Scope of Services Agreement.	accordance with sections 624.316, 624.44, 636.039 and 641.27, Florida Statutes. Market conduct exams are not contemplated as part of this ITB. See: Section 1.2, Background, revised below; See also: revised Attachment B, Consultant Profile Questionnaie.
57.	Baker Tilly Advisory Group, LP	Attachment B Questionnaire		Please confirm whether respondents may bid on selected service categories only, such as actuarial-only, IT-only, investment, reinsurance, or market conduct, or whether respondents must demonstrate capability across all categories.	Market conduct exams are not contemplated as part of this ITB. See also: Response to Question 6.
58.	Baker Tilly Advisory Group, LP	Attachment B / TeamMate+		Please confirm what documentation is required to evidence an	See: Questionnaire tab, Question 3.d., Attachment B

				active TeamMate+ license, and whether that documentation should be submitted with the response or only upon request / award.	– Consultant Profile Questionnaire. Proof of an active TeamMate+ license may be requested by the Office prior to the time of Contract award In accordance with Section 3.9, Additional Information.
59.	Baker Tilly Advisory Group, LP	Attachment 5, Data Access and Storage		Please confirm whether respondent employees located outside the continental United States, including affiliated employee resources operating under the respondent's corporate security protocols, may access Non-Open Data through approved systems, or whether prior CFO/designee approval is required for any such access.	Respondent employees located outside the continental United States, including affiliated employee resources operating under the respondent's corporate security protocols, may access Non-Open Data through approved systems only after written agency head or designee approval. See: Rule 60GG-4.002, Florida Administrative Code.
60.	Baker Tilly Advisory Group, LP	Attachment 2; Attachment 5		Please confirm whether contractor workpapers containing Non-Open	All work product, reports, work papers, records, files, documents, schedules,

				Data may be retained in accordance with professional standards after completion or termination, and what destruction, transfer, or certification requirements apply.	computations, and correspondence created by or in the possession or control of the Contractor in the course of performing work resulting from this ITB, whether in physical or electronic format, shall become the exclusive property of the Office. Contractors may retain, in confidence, copies of their working papers in accordance with their professional obligations
61.	Baker Tilly Advisory Group, LP	Attachment 2, Travel; Standard Hourly Rates Form		The Standard Hourly Rates Form appears to contemplate hourly rates inclusive of expenses, while Attachment 2 allows travel reimbursement only with prior written authorization. Please confirm whether approved travel is reimbursed separately from hourly rates.	The Office anticipates little, if any, travel associated with the provision of services pursuant to this ITB. Any travel deemed necessary will require the pre-approval of the Office. Respondents should bid their hourly rates accordingly. Any authorized travel expenses will be reimbursed to the Contractor at a rate not to exceed that which is payable to state employees for travel and per diem as prescribed by section 112.061, Florida Statutes, and shall be submitted in accordance with said section on the appropriate travel form.

62.	Baker Tilly Advisory Group, LP	Attachment B, Supporting Documents		Please confirm whether the Supporting Documents checklist items must be submitted with the initial response, and whether they should be included in Volume 2, attached separately, or only provided upon request.	Yes, Supporting Documents listed or provided in accordance with Attachment B, Consultant Profile Questionnaire should be provided in Volume 2, Mandatory Requirements.
63.	Baker Tilly Advisory Group, LP	Attachment B, IT qualifications		The IT qualifications section references "CISSA" and "CISSM." Please confirm whether these refer to CISA and CISM, or whether other specific certifications are intended.	Yes. Please see revised Attachment B – Consultant Profile Questionnaire.
64.	Baker Tilly Advisory Group, LP	Attachment B, Standard Hourly Rates Form		The rate form includes "Legal Services - In House." Please confirm whether in-house legal services are an eligible billable category under examination assignments and when prior OIR approval is required.	In-house legal services are an eligible billable category and Respondents should bid their hourly rate accordingly. Need for in-house legal services will be noted by the Office during Examination Services Rollout.
65.	BDO Government	TOC footnote; Attachment B workbook		1. The invitation to bid for Examination Services (Number 2526-02 ITB OIR) notes the requirement	<u>See:</u> Response to Question 46.

				for the submittal of pricing on Attachment C in multiple places (see below) but that attachment is not included. There is a "Standard Hourly Rate Form" worksheet within Attachment B Consultant Profile Questionnaire. Is there an Attachment C that we should use for pricing or should we use the worksheet within Attachment B? • The footnote on page 2 says "The Price Response is now Attachment C and must be submitted separately." • Section 3.2 Mandatory Responsiveness Requirements on page 10 says "The mandatory responsiveness requirements are as follows:…2. The Response must include an Attachment C, Price Response."	

				Section 3.3.2 Volume 2: Mandatory Requirements on page 11 says "The Respondent shall: A. Price Response Submit an Attachment C, Price Response	
66.	Risk & Regulatory Consulting, LLC	Attachment 1, Section 17	23	Would the Office consider limiting Contractor's gross negligence or willful misconduct?	See: Response to Question 55.
67.	Risk & Regulatory Consulting, LLC	Attachment 2, Section 8f	33	Would the Office consider making the liability cap in this first paragraph mutual?	See: Response to Question 55.
68.	Risk & Regulatory Consulting, LLC	Attachment 5, Section 2a	55	Would the Office confirm this ISO 27001 Framework meets the requirement of this subsection?	See: Response to Question 55.
69.	Risk & Regulatory Consulting, LLC	Attachment 5, Section 6	56	Would the Office consider limiting access to Contractor's personnel only, and remove the required access to systems and facilities?	See: Response to Question 55.
70.	Risk & Regulatory Consulting, LLC	Attachment 5, Section 9	57	Would the Office consider limiting access to Contractor's personnel only, and remove the required access to systems and facilities?	See: Response to Question 55.

71.	Risk & Regulatory Consulting, LLC	Attachment B, Consultant Profile Questionnaire	Attachment B Questionnaire tab	Market Conduct Examination Services is referenced multiple times in Attachment B-Consultant Profile Questionnaire, but we do not see it referenced in the main body of the ITB, nor outlined in the scope of services. Can you please tell us if Market Conduct Examination Services is part of the scope of services requested of this ITB?	Market Conduct Examination Services are not part of the services requested by this ITB. See: Revised Attachment B, Consultant Profile Questionnaire.
72.	Risk & Regulatory Consulting, LLC	Section 1.2/Attachment B	p. 3/N/A	If market conduct examinations are within scope, will the Office provide a market conduct scope of services/deliverables template (e.g., expected work products, reporting requirements, timelines, and acceptance criteria) similar to what is provided for financial examinations?	Market Conduct Examination Services are not part of the services requested by this ITB. See: Revised Attachment B, Consultant Profile Questionnaire.

73.	Risk & Regulatory Consulting, LLC	Mandatory Responsive Requirements, Section 3.2	10	Section 3.2 Mandatory Responsiveness Requirements state that the response must include an Attachment C, Price Response. However, we cannot seem to locate attachment C in any of the documents provided. Is this intended to reference the "Standard Hourly Rates Form" in Attachment B: Questionnaire or is there a document we are missing. If missing, could you please provide the attachment?	See: Response to Question 46.
74.	Risk & Regulatory Consulting, LLC	Attachment B – Standard Hourly Rates Form	N/A	The form requests "standard hourly rates (including expenses)." Please clarify what expenses should be included in the rate and whether travel costs are expected to be included or separately reimbursed when authorized.	The Office anticipates little if any travel associated with the provision of services pursuant to this ITB. Any travel deemed necessary will require the pre-approval of the Office. Respondents should bid their hourly rates accordingly. Any authorized travel expenses will be reimbursed to the Contractor at a rate not to exceed that which is payable to state employees for travel and per diem as prescribed by section 112.061, Florida Statutes, and shall be submitted in

					accordance with said section on the appropriate travel form.
75.	Risk & Regulatory Consulting, LLC			How much travel is expected and for what services/purpose?	The Office anticipates little if any travel associated with the provision of services pursuant to this ITB. Any travel deemed necessary will be noted by the Office at the time of Examination Rollout.
76.	Risk & Regulatory Consulting, LLC			What is the expected volume of work for each service?	At the time of Examination Rollout, documentation as to the examinaton services and roles required will be provided.
77.	Risk & Regulatory Consulting, LLC	11. 69O-138 FAC		We only see references to Life and Health related insurers. Could you please confirm if there will be any exams or reviews required for Property & Casualty insurers?	Examination services for Life and Health and Property and Casualty are contemplated by this ITB. See: Revised Attachment B, Consultant Profile Questionnaire. Market conduct and pharmacy benefit manager examinations are not contemplated as part of this ITB.

78.	Risk & Regulatory Consulting, LLC	Attachment B – Supporting Documents	N/A	Please clarify what documentation is acceptable to demonstrate required NAIC training.	See: Response to Question 9.
79.	Risk & Regulatory Consulting, LLC	Rule 69O-138.002 / 69O-138.005	pp. 1–4	The rule references daily fees and reimbursement structures for examination personnel. Please confirm whether these provisions have any applicability to contractor pricing or if compensation is governed solely by contract pricing.	Compensation shall be governed by the Contract executed between the Office and a Responsive Contractor and any corresponding Scope of Services awarded at the time of Examination Rollout.
80.	Risk & Regulatory Consulting, LLC	Section 8. Additional Contract Terms.	p. 6 of 7	The scope includes restrictions on accepting other engagements related to examined companies. Please clarify the extent of this restriction, including whether it applies to non-exam services and affiliated entities.	The anticipated Scope of Services, Section 8b, Limit on other Engagements provides in general that a Contractor shall not accept any other engagement relating to the Compnay examined…unless the Office consents in writing. This prohibition includes any parent or subsidiary of the Company.

B. Modifications to the ITB: Insertations to the ITB are indicated by an underscore, deletions are indicated by a ~~strikethrough~~.

1. **Table of Contents**

Footnote 1 – Deleted.

2. **Section 1.2: Background**

The Office has the statutory duty to examine the affairs, transactions, accounts, records, and assets of each authorized insurer, prepaid limited health service organization or discount plan organization and health maintenance organization. The Office's ability to satisfy this requirement will be enhanced by contracting with multiple qualified examiners capable of performing examinations on behalf of the Office. Section 624.316(2)(e), F.S., allows the Office to conduct insurer examinations by contracting for the services of an independent Certified Public Accountant, an actuary, a reinsurance specialist, an investment specialist, information technology specialist, or any combination of these individuals, as the circumstances of the examination require.

The financial examination standards with which the Office must comply are established by statute and adopted by Rule 69O-138, Florida Administrative Code (F.A.C.). The statutes and rules meet the requirements of the National Association of Insurance Commissioners for accreditation in financial regulation.

For more information regarding the Office's financial examinations, see sections 624.316, 624.44, ~~624.3161~~ 636.039 and 641.27, F.S., and Rule 69O-138.005, Florida Administrative Code (F.A.C.) which is attached for reference.

3. **Section 3.2: Mandatory Responsiveness Requirements**

A Respondent whose Response does not meet the mandatory responsiveness requirements listed below will be deemed non-responsive and will not be considered for contract award.

The mandatory responsiveness requirements are as follows:

1. The Response must include a fully completed, Attachment B, Consultant Profile Questionnaire.

~~2. The Response must include an Attachment C, Price Response.~~

The Office will not evaluate a Response from a Respondent that does not meet the mandatory responsiveness requirements. A Response that meets the mandatory responsiveness requirements listed above is presumed to conform in all material respects to the solicitation. The Office reserves the right to re-evaluate its responsiveness determination at any time during the solicitation.

4. **Section 3.3.2 Volume 2: Mandatory Requirements**

The Respondent shall:

~~A. **Price Response**~~
~~Submit an Attachment C, Price Response.~~

B. Consultant Profile Questionnaire

Submit a completed Attachment B, Consultant Profile Questionnaire. The Mandatory Criteria Form is included in the Consultant Profile Questionnaire. On the Mandatory Criteria Form, Respondents must provide a "Yes" Certification Answer for each Certification Question. A Respondent's failure to provide a "Yes" Certification Answer for each Certification Question will result in the Respondent being deemed non-responsive.

5. **Section 5.2: Award Preference for Identical Evaluation of Identical Responses**

In the event that the ITB results in identical evaluations of Responses, ~~the Office will review the Identical Tie Response Form contained in Attachment B, Consultant Profile Questionnaire. Based on those forms~~, the Office will give the award to a Respondent if it is a ~~minority-owned (which includes women-owned) or~~ veteran-owned business. If more than one Respondent is entitled to this preference, the preference will be given to the Respondent that is the qualifying business of the smallest net worth, consistent with section 295.187(4)(b), F.S. ~~If the award cannot be decided based on this preference, the Office will apply the criteria identified in subsections (1), (3), (4) of Rule 60A-1.011, F.A.C., in that order of precedence.~~

6. **Section 5.5: Agency Decision**

The Office will post a Notice of Intent to Award, stating its intent to enter into one (1) or more Contracts with the Respondent(s) identified therein on the VIP. If the Office decides to reject all Responses at any time during the solicitation, the Office will post a notice to that effect on the VIP.

7. **Attachment B-Consultant Profile Questionnaire** has been replaced in its entirety.

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