

Sole Source Justification Form

Bulletin Reference Number

27-340IAGO-IAGO3-B-52045

Requesting Agency/University

IAGO - Illinois Attorney Generals Office

Sole Source Justification Form - Part I

Section I - General Information

Department/Bureau/Section: Tobacco Enforcement Division

Need Identified Date: 7/1/2026

Supply/Service Need By Date: 7/1/2026

Project Title: FY27-FY29 AG Tobacco Database

Vendor: Certivault

Provide a description of the supplies or services required: AG Tobacco Database

Value: Actual

Value of Initial Term, this Change Order or Amendment: 340,500

Will this Sole Source amend a Professional or Artistic Services contract? Yes No

*Sole Source may not be used for amendments for Professional or Artistic Services if the amendment would increase the value by more than 5% of the initial award or extend the term by more than 60 days.

Type: New Sole Source

Section II - Proposed Term

One-Time Purchase Term Contract

Estimated Contract Begin Date: Jul 1, 2026

Estimated Contract End Date: Jun 30, 2029

Number of Potential Renewals: 0

Length of Each Renewal in Months: 0

Total Value of All Renewals: 0

If a Term Contract, does the term, including renewals, exceed 12 months? Yes No

If yes, provide a detailed justification including any economic benefit received for agreeing to a multi-year term:

Committing to a 3-year term guarantees a firm fixed price for 3 years.

Section III - Funding Source

Select the type of funding to be used (Check all that apply): State Appropriate Funds Federal Funds Other (Explain):

Section IV - Sole Source Justification

This purchase is economically only available from a single source **primarily** because it is: (If "Other" explain in one sentence)

Items are Copyrighted or Patented and the Items are Only Available from the Holder

Are there secondary justification(s) for this sole source? Yes No

Another justification that this purchase is only available from a sole source is because it is:

Software License/Upgrade/Maintenance

Another justification that this purchase is only available from a sole source is because it is:

Sole Source Justification Form

Bulletin Reference Number

27-340IAGO-IAGO3-B-52045

Requesting Agency/University

IAGO - Illinois Attorney Generals Office

Section V - Purchase History

Has the Agency or University Purchased these supplies or services in the past? Yes No

Term	Term From	Term To	Value	Description	Contract Number	Type
1	1/13/2023	6/30/2026	300,500	FY23-FY26 AG Tobacco Database	45CERTIV24	Sole Source
2						
3						
4						
5						
6						
7						
8						
9						
10						

If more than 10, or if other comments, explain:

Section VI - Business Rationale

1. Provide a detailed explanation of the need for the supplies or services:

The CertiVault system is a proprietary platform utilized by OAG Tobacco Enforcement staff. Data collection and maintenance of existing and future data on an ongoing basis is required to fulfill our duties under the Master Settlement Agreement (MSA). The MSA is a complex agreement entered into in 1998 between 46 states and territories and large tobacco manufacturers with respect to the settlement of Medicaid lawsuits against the tobacco industry (see People of the State of Illinois v. Philip Morris, et.al. 96-L-13146 (Cook County)).

2. Provide a list and describe in detail the specifications required to satisfy the need:

Certivault will continue the custom cloud-based application they built for OAG use that will continue to collect and maintain the same data that Tobacco Enforcement has and is required to collect. Certivault will provide the ability to run all current necessary reports as well as additional reports as identified. Certivault will provide access for manufacturers and distributors to file their required reports and certifications electronically and allow for information received from the Illinois Department of Revenue to be uploaded to the application. Certivault will aid in the maintenance of the Directories Tobacco Enforcement publishes listing what products are legal for sale in the state.

- Licensing maintenance and support
- MSA Directory services
- MSA manufacturer filing and data maintenance
- MSA escrow tracking services
- Customer relationship management (CRM) system
- Wholesaler and distributor database services
- Ongoing technical support, updates, and system maintenance

3. Provide detail explaining the justification selected in Section IV to explain why the requested supplies or services are the only ones available that can satisfy the agency or university requirements?

Due to the highly specialized data that is tracked by the OAG, Certivault is the only company equipped to maintain a system for our office needs. This system will ensure that our MSA and the Settlement compliance requirements are met. Licensing, service and support for the proprietary software is only offered by Certivault.

Sole Source Justification Form

Bulletin Reference Number

27-340IAGO-IAGO3-B-52045

Requesting Agency/University

IAGO - Illinois Attorney Generals Office

4. What are the unique features of the supplies or services that are not available in any other product or by any other vendor? Provide specific quantifiable factors/qualifications:

Certivault specializes in the creation and maintenance of filing, database, and directory systems for Tobacco Master Settlement Agreement (MSA) compliance. One of CertiVault's key strengths lies in their team of experts, including a former Assistant Attorney General and Director of Tobacco Enforcement for the State of Kansas, who possesses knowledge in MSA-specific enforcement, including arbitration management, enforcement statute drafting, state-based tobacco regulations, and multistate enforcement projects. Additionally, their lead project software designer brings over 30 years of experience in diverse fields such as industrial automation, education, and healthcare, with a focus on providing MSA-related data and filing solutions for over 10 years.

5. Has the Agency or University considered alternative supplies or services to satisfy their need? Yes No

5a. If no, why weren't alternatives evaluated?

The CertiVault system is a proprietary platform developed and maintained exclusively by CertiVault LLC. The software, data structures, compliance workflows, and system architecture are unique and not available through any other vendor.

6. Are there resellers or distributors?

Yes No N/A

7. What efforts were made to get the best possible price (i.e. did the agency/university negotiate) and how did you determine the price for this purchase is considered fair and reasonable?

Our staff members have worked closely with all parties involved in this project to refine and identify the OAG's specific needs and have ensured that the pricing is limited to those needs identified.

8. Will this purchase obligate the State to this vendor for future purchases such as maintenance, licensing or continuing need?

Yes No

8a. If yes, please provide details regarding future obligations and/or needs:

Based on the aforementioned factors and the evolution / development of our database, there is an ongoing need to secure future assurance/maintenance contracts.

9. What will be the financial or other impact to the State if this sole source is not approved and a competitive bid is required?

If this sole source is not approved, the OAG would be at risk of the system becoming inoperable. Our Information Technology staff has represented that there is no evidence that indicates any alternative path(s) to replicate what is already available through the vendor.

10. Is there any additional information you would like to add to justify this sole source?

Sole Source Justification Form

Bulletin Reference Number

27-340IAGO-IAGO3-B-52045

Requesting Agency/University

IAGO - Illinois Attorney Generals Office

Section VII

Requesting Department Signature Required

I know and understand the contents of this Sole Source Justification and attest that all statements are true and correct and the fairness and reasonableness of the price was adequately confirmed.

Requesting Department Representative

Eileen Pratt

Phone Number

312-814-3667

Date

Apr 20, 2026

Printed Name

Eileen Pratt

E-mail Address

eileen.pratt@ilag.gov

State Agency Bureau/Division Head or University Purchasing Director Approval and Signature Required

I know and understand the contents of this Sole Source Justification and attest that all statements are true and correct and the fairness and reasonableness of the price was adequately confirmed. (All prior form fields will lock once this e-signature is completed)

Agency Bureau/Division Head or University
Purchasing Director and Not a Designee

Eileen Pratt

Phone Number

312-814-3667

Date

Apr 20, 2026

Printed Name

Eileen Pratt

E-mail Address

eileen.pratt@ilag.gov

SPO Approval and Signature Required

I have reviewed and understand the contents of this Sole Source Justification and agree with the State Agency or University determination.

I have reviewed and understand the contents of this Sole Source Justification and do not agree with the State Agency or University determination. As a result, the State Agency or University must explore other sourcing methods to satisfy their need.

State Purchasing Officer Signature

Eileen Pratt

Phone Number

312-814-3667

Date

Apr 20, 2026

Printed Name

Eileen Pratt

E-mail Address

eileen.pratt@ilag.gov

Reviewed by ILOAG Procurement Counsel - 4/17/26 - *Karla A. Schreiber*