100% Design Specifications

Repair Naval Reserve Bldg. 3370

Joint Base Charleston - Weapons Station
Charleston, SC

Contract No.: FA441822D0006
Task Order No.: FA441824F0153
Project No.: DKGV 1137999

Prepared for:

by:

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October 2025

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Date: October 6, 2025

Architectural and Engineering Stamps and Seals

Project Name: DKGV 1137999 Naval Reserve Center B3370

Client: Joint Base Charleston

Contract Number: FA441822D0006

Task Order Number: FA441824F0153

ZAPATA ENGINEERING OF NORTH CAROLINA, INC. REGISTERED ARCHITECTURAL CORPORATION 52842 NORTH CAROLINA CHARLOTTE, NC Corporate	SOUTH CAROLINA LICENSED PROFESSIONAL ENGINEER No. 22384 10/06/2025 HUGH C GIGGLEMAN SOUTH CAROLINA CERTIFICATE OF AUTHORIZATION LBE INC No. 5392 Plumbing	
SOUTH CAROLINA LICENSED PROFESSIONAL ENGINEER No. 38880 DONAVON PULLEN Civil 10/06/2025	SOUTH CAROLINA LICENSED PROFESSIONAL ENGINEER No. 22384 10/06/2025 HUGH C GIGGLEMAN SOUTH CAROLINA CERTIFICATE OF AUTHORIZATION LBE INC No. 5392 Mechanical	
MARCY PELUCIO REGISTERED ARCHITECT CERT. NO. 13309 10/06/2025 NORTH CAROLINA CHARLOTTE N.C. Architectural	SOUTH CAROLINA LICENSED PROFESSIONAL ENGINEER No. 21979 10/06/2025 LARRY M. COKER SOUTH CAROLINA CERTIFICATE OF AUTHORIZATION LBE INC No. 5392 Electrical	

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LIST OF DRAWINGS
02/24

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

G-001	COVER SHEET AND INDEX OF DRAWINGS
G-002	GENERAL NOTES, SYMBOLS AND ABBREVIATIONS
C-001	CIVIL NOTES & LEGEND
C-101	EXISTING CONDITIONS, SITE DEMOLITION & EROSION CONTROL PLAN
C-102	SITE UTILITY PLAN
C-501	CIVIL DETAILS
AD101	DEMOLITION FIRST FLOOR PLAN
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PD101	FIRST FLOOR PLUMBING DEMOLITION PLAN
P-101	FIRST FLOOR PLUMBING PLAN
M-001	MECHANICAL GENERAL NOTES
MD101	FIRST FLOOR MECHANICAL DEMOLITION PLAN
MD102	ROOF MECHANICAL DEMOLITION PLAN
M-101	FIRST FLOOR MECHANICAL PLAN
M-102	ROOF MECHANICAL PLAN

M-501	MECHANICAL DETAILS
M-601	MECHANICAL SCHEDULES
MI001	MECHANICAL CONTROLS GENERAL SHEET
MI101	FIRST FLOOR MECHANICAL CONTROLS PLAN
MI801	RTU-1 CONTROLS AND OPERATION
MI802	RTU-2-8 CONTROLS AND OPERATION
MI803	EF CONTROLS AND OPERATION
E-001	ELECTRICAL GENERAL NOTES
ED101	FIRST FLOOR DEMOLITON PLAN
ED102	ROOF ELECTRICAL DEMOLITION PLAN
EP101	FIRST FLOOR ELECTRICAL PLAN
EP102	ROOF ELECTRICAL PLAN
E-501	ELECTRICAL DETAILS
EP601	PARTIAL ONE LINE DIAGRAM MECHANICAL EQUIPMENT SCHEMATIC AND ELECTRICAL SCHEDULES
E-602	(E)MDP ELEVATION AND PANEL SCHEDULES

-- End of Document --

SECTION 01 11 00

SUMMARY OF WORK
JB CHS 12/21

PART 1 GENERAL

1.1 WORK COVERED BY CONTRACT DOCUMENTS

1.1.1 Project Description

The work includes the renovation to the Naval Reserve Building 3370 that is used for reserve training 1-2 weekends per month with approximately 250 occupants per weekend. Daily occupancy is about 25 people on a normal usage and the weekends have high occupancy. The project scope is to replace the HVAC equipment in attempts to eliminate the recent mold growth inside the facility and incidental related work. The humid air has caused the need for temporary de-humidifiers and mold cleanup within the facility. The building currently has numerous HVAC roof top units (RTUs), and the climate control is not working to reduce the high humidity inside the building. A new HVAC system will be designed and any mold or water damaged areas removed, and finishes restored, such as re-painting or replace ceiling tiles. Cybersecurity is also required for this project renovation.

The renovation shall include providing new HVAC units on the roof and providing any electrical equipment needed to support the new HVAC system, as well as provide duct smoke detectors. A HAZMAT survey was performed to determine the extent of mold that may need to be abated during demolition and refinishing. A plumbing sewer line is in need of repair at the latrines to replace it for positive drainage to the existing sewer pump.

Note to Contractor:
Fire Protection Engineering Verification

A. The Contractor shall engage a qualified, licensed Fire Protection Engineer (FPE) to verify the proper installation and operation of all new fire and life safety devices and systems integral to the HVAC and duct replacement project. The FPE shall be independent of the installing contractor.

B. The scope of the FPE's verification shall include, but not be limited to, the following:

1. Fire/Smoke Dampers: Verification that all fire and smoke dampers are installed in strict accordance with the manufacturer's UL-listed installation instructions and project drawings. This includes checking the damper, sleeve, breakaway connections, and access for serviceability.

2. Firestopping: Inspection of all firestopping systems applied to penetrations of fire-rated assemblies (walls and floors) by new ductwork, conduits, and piping to ensure they meet the specified ratings and are installed per their approved design.

3. HVAC Emergency Shutdown Integration: Functional testing to verify that the HVAC units (RTUs) and exhaust fans (EFs) correctly shut down upon activation of the associated smoke detectors and emergency shutdown switches, as detailed in the control sequences.

4. Low-Leakage Dampers: Verification that all outside air and exhaust air dampers meet the specified low-leakage requirements and close completely upon emergency shutdown activation.

C. Reporting: The FPE shall submit a final verification report to the Contracting Officer upon completion of inspections. The report shall certify that all specified fire and life safety components have been installed in accordance with the contract documents and applicable codes. Any deficiencies noted during the verification process must be documented and re-inspected by the FPE after correction by the Contractor. Final acceptance of the fire safety systems is contingent upon receipt of a satisfactory verification report from the FPE

1.1.2 Location

The work shall be located on Joint Base Charleston (JB CHS) Weapons Station (WS), approximately as indicated on the G-001 cover sheet. The exact location will be shown by the Contracting Officer.

1.2 OCCUPANCY OF PREMISES

Building(s) will not be occupied during performance of work under this Contract.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.3 EXISTING WORK

In addition to FAR 52.236-9 Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements:

a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer or Contracting Officer's Representative. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

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SECTION 01 14 00

WORK RESTRICTIONS
JB CHS 12/21

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" or "S" classification are for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Air Force - Base Civil Engineering - Dig Permit Request; G

Approved Utility Outage Requests; G

Road Closure And Utility Outage Requests; G

List Of Contact Personnel; FIO

1.2 SPECIAL SCHEDULING REQUIREMENTS

a. B3370 must be ready for operation as approved by Contracting Officer before work is started on B3370 which would interfere with normal operation.

b. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work.

c. The B3370 will not remain in operation during the entire construction period. The Contractor must conduct his operations so as to cause the least possible interference with normal operations of the activity.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Requirements for security and base access varies between various locations within Joint Base Charleston. It is incumbent on the Contractor to ascertain the current security and base access requirements appropriate to the project location and incorporate the cost, if any, for compliance to said requirements into Contractor's proposal. Lack of knowledge of current requirements does not constitute a basis for an adjustment to the contract. Should requirements change during the construction timeframe, Contractor may be eligible, subject to documentation acceptable to the Government, for an adjustment to the contract.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.2 Additional Personnel Requirements

1.3.1.2.1 General Construction and Finish Work

General construction and finish work of the secure area must be performed by U.S. firms using U.S. citizens. General construction includes construction activities such as building sitework, utilities, foundations, structure, and enclosure or shell, including doors, windows and façade work. Finish Work includes construction activities such as insulation, floor, partition, and ceiling systems; cabinet work; specialties; building furnishings, fixtures, and equipment; and mechanical and electrical services and equipment including those specialized for fire protection, security, communication, control, energy conservation, safety, comfort, convenience, and similar purposes.

1.3.1.3 Identification Badges / Passes

Identification badges/passes are required for unescorted access beyond 1 day per 12 month period. Contractor shall confirm the most current security requirements with the Contracting Officer or Base Sponsor. Application for and use of badges shall be as directed based on current access requirements. Contractor shall furnish a completed Joint Base Charleston (JB CHS) Worksheet for Base Access form for all personnel requesting badges/passes for Base Sponsor digital signature no later than 7 calendar days in advance of needing a badge/pass. The most current version of this form can be obtained from the Base Sponsor. The Prime Contractor shall be responsible for filling out the form accurately and delivering a signed copy of the form with required supporting documentation (including photo identification in accordance with Real ID Act) to the Air Base or Weapons Station Visitor Control Center (VCC) after obtaining sponsor signature. The Prime Contractor is responsible for the accuracy of worksheet information and proper use of all badges/passes issued for purposes related to their contract. Badges/passes shall only be used to access the locations specified, for the purposes specified, during the dates and times specified. When Applicants no longer requires access, it is the Prime Contractor's responsibility to ensure all access badges/passes are promptly returned to the issuing VCC. Contractor shall immediately report instances of lost or stolen badges/passes to the Base Sponsor. Any noncompliance with Joint Base Charleston, USAF, DOD, and Federal policies and directives could result in adverse contract action, legal action, and/or being barred from the installation.

1.3.1.4 Tobacco Use Policy

Tobacco use is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.3.2 Working Hours

Regular working hours will consist of an 8 1/2 hour period established by the Contracting Officer, between 7 a.m. and 3:30 p.m., Monday through Friday through, and 7 a.m. to 3:30 p.m. on Saturday, excluding Government holidays.

1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

1.3.4 Road Closure and Utility Outage Requrests

a. Make road closures and utility outages after normal working hours or on Saturdays, Sundays, and Government holidays when practical. Road closure and utility outage requests shall be transmitted to the Contracting Officer or the Contracting Officer's Representative for coordination and Government approval at least 21 calendar days prior to the desired outage or closure date. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS. Road closure and approved utility outage requests shall be identified on the Contractor's Three (3)-Week Look Ahead Schedule.

b. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. The Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

1.3.5 On-Site Permits

1.3.5.1 Dig Permit Rqurest

1.3.5.1.1 South Carolina 811

a. Prior to submitting a dig permit request, the Contractor shall contact South Carolina Palmetto Utility Protection Services (PUPS) and obtain a PUPS tracking number.
b. Locators use the APWA Uniform color code to mark underground facilities. Each color represents a different type of utility:

Red - Electric Power Lines, Cables, Conduit or Lightning Cables
Yellow - Gas, Oil, Steam, Petroleum or Gaseous Material
Orange - Communication, Cable TV, Signal Cables, or Telephone
Blue - Potable Water
Green - Sewer or Drain Lines
Pink - Temporary Survey Markings
Purple - Reclaimed Water, Irrigation, or Slurry Lines
White - Proposed Excavation

1.3.5.1.2 Air Force - Base Civil Engineering - Dig Permit Request

a. Complete the Air Force - Base Civil Engineering - Dig Permit Request and transmit to the Contracting Officer or the Contracting

Officer Representative for routing and approval 14 calendar days prior to the desired outage or closure date.

b. The Contractor is responsible for verifying all utilities prior to excavation. The Contractor is responsible for maintaining all markings on the project site throughout excavation. The markings shall be kept current throughout the contract period of performance - transmit to the Contracting Officer or the Contracting Officer Representative for routing and re-approval every 21 Calendar Days after initial approval to avoid an expired permit.

c. The Contractor is responsible to verify the elevations of existing piping, utilities, and any type of underground or encased obstruction not indicated to be specified or removed but indicated or discovered in locations to be traversed by piping, ducts, and other work to be conducted or installed. Verify elevations before installing new work closer than nearest manhole or other structure at which an adjustment in grade can be made.

d. Notify the Contracting Officer or the Contracting Officer Representative at least 48 hours prior to starting excavation work.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES
11/20, CHG 3: 02/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 1110-1-8	(2021) Engineering and Design -- Construction Equipment Ownership and Operating Expense Schedule

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval.When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Earned Value Report; G

1.3 EARNED VALUE REPORT

1.3.1 Data Required

This Contract requires the use of a cost-loaded Network Analysis Schedule (NAS). Schedule of Prices must not be used with cost-loaded Network Analysis Schedule (NAS). Use Earned Value Report derived from cost-loaded NAS. Within 15 calendar days of notice of award, prepare and deliver to the Contracting Officer a Earned Value Report (construction Contract) as directed by the Contracting Officer. Provide a detailed breakdown of the Contract price, giving quantities for each of the various kinds of work, unit prices and extended prices. Contractor overhead and profit including salaries for field office personnel, if applicable, must be proportionately spread over all pay items and not included as individual pay items.

1.3.2 Payment Schedule Instructions

Payments will not be made until the Earned Value Report from the cost-loaded NAS has been submitted to and accepted by the Contracting Officer.

Additionally, the Earned Value Report must be separated as follows:

a. Primary Facilities Cost Breakdown:

Defined as work on the primary facilities out to the 5 foot line. Work out to the 5 foot line includes construction encompassed within a theoretical line 5 foot from the face of exterior walls and includes attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 foot line.

b. Supporting Facilities Cost Breakdown:

Defined as site work, including incidental work, outside the 5 foot line.

1.3.3 Schedule Requirements for HVAC TAB

The field work requirements in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC must be broken down in the Earned Value Report from the cost-loaded NAS by separate line items which reflect measurable deliverables. The value for each pay item listed below will be established on a case by case basis for each Contract. The line items are as follows:

a. Approval of Design Review Report: The TABS Agency is required to conduct a review of the project plans and specifications to identify any feature, or the lack thereof, that would preclude successful testing and balancing of the project HVAC systems. Submit the resulting findings to the Government to allow correction of the design. The progress payment will not be issued until the report is reviewed and approved.

b. Approval of the pre-field engineering report: The TABS Agency submits a report which outlines the scope of field work. The report must contain details of what systems will be tested, procedures to be used, sample report forms for reporting test results and a quality control checklist of work items that must be completed before TABS field work commences.

c. Season I field work: Incremental payments are issued as the TABS field work progresses. The TABS Agency mobilizes to the project site and executes the field work as outlined in the pre-field engineering report. The HVAC water and air systems are balanced and operational data must be collected for one seasonal condition (either summer or winter depending on project timing).

d. Approval of Season I report: On completion of the Season I field work, the data is compiled into a report and submitted to the Government. The report is reviewed, and approved, after ensuring compliance with the pre-field engineering report scope of work.

e. Completion of Season I field QA check: Contract QC and Government representatives meet the TABS Agency at the jobsite to retest portions of the systems reported in the Season I report. The purpose of these tests are to validate the accuracy and completeness of the previously submitted Season I report.

f. Approval of Season II report: The TABS Agency completes all Season II field work, which is normally comprised mainly of taking heat transfer temperature readings, in the season opposite of that under which Season I performance data was compiled. Compile this data into a report and submit to the Government. On completion of submittal review to ensure compliance with the pre-field engineering report

scope, progress payment is issued. Progress payment is less than that issued for the Season I report since most of the water and air balancing work effort is completed under Season I.

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause DFARS 252.236-7000 Modification Proposals-Price Breakdown, and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, base equipment use rates upon the applicable provisions of the EP 1110-1-8.

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27 Prompt Payment for Construction Contracts and FAR 52.232-5 Payments Under Fixed-Price Construction Contracts. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies. The requests for payment shall include the documents listed below.

a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 must include certification by Quality Control (QC) Manager as required by the Contract.

b. The Estimate for Voucher/ Contract Performance Statement on NAVFAC Form 4330/54 furnished by the Government. Use NAVFAC Form 4330, unless otherwise directed by the Contracting Officer, on NAVFAC Contracts when a Monthly Estimate for Voucher is required. Earned Value Report from the cost-loaded NAS.

c. Contractor's Monthly Estimate for Voucher and Contractors Certification (NAVFAC Form 4330) with Subcontractor and supplier payment certification. Other documents, including but not limited to, that need to be received prior to processing payment include the following submittals as required. These items are still required monthly even when a pay voucher is not submitted.

d. Monthly Work-hour report.

e. Updated Construction Progress Schedule and tabular reports required by the contract.

f. Contractor Safety Self Evaluation Checklist.

g. Updated submittal register.

h. Solid Waste Disposal Report.

i. Certified payrolls.

j. Updated testing logs.

k. Other supporting documents as requested.

1.5.2 Submission of Invoices

Monthly invoices and supporting forms for work performed through the anniversary award date of the Contract must be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, if Contract award date is the 7th of the month, the date of each monthly invoice must be the 7th and the invoice must be submitted by the 12th of the month.

1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this Contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR 32.103 Progress Payments Under Construction Contracts:

a. Reasonable deductions due to defects in material or workmanship;

b. Claims which the Government may have against the Contractor under or in connection with this Contract;

c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and

d. Failure to maintain accurate "as-built" or record drawings in accordance with FAR 52.236.21.

1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the Contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.

b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment must be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

c. Materials to be considered for progress payment prior to installation must be specifically and separately identified in the Contractor's

estimates of work submitted for the Contracting Officer's approval in accordance with Earned Value Report requirement of this Contract. Requests for progress payment consideration for such items must be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 Payments Under Fixed-Price Construction Contracts have been met.

d. Materials are adequately insured and protected from theft and exposure.

e. Provide a written consent from the surety company with each payment request for offsite materials.

f. Materials to be considered for progress payments prior to installation must be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

g. Materials in transit to the job site or storage site are not acceptable for payment.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
JB CHS 12/21

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Resume For The Proposed Superintendent; G

1.2 MINIMUM INSURANCE REQUIREMENTS

Procure and maintain during the entire period of performance under this contract the following minimum insurance coverage:

a. Comprehensive general liability: $500,000 per occurrence

b. Automobile liability: $200,000 per person, $500,000 per occurrence for bodily injury, $20,000 per occurrence for property damage

c. Workmen's compensation as required by Federal and State workers' compensation and occupational disease laws.

d. Employer's liability coverage of $100,000, except in States where workers compensation may not be written by private carriers.

e. Others as required by State law.

1.3 CONTRACTOR SPECIAL REQUIREMENTS

1.3.1 HVAC TAB

All contract requirements of Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC, shall be accomplished directly by a first tier subcontractor, including the Commissioning Agent. No work required by Section 23 05 93 shall be accomplished by a second tier subcontractor.

1.4 SUPERVISION

1.4.1 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work.In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

1.4.2 Superintendent Qualifications

Superintendent must have a minimum of 5 years experience as a project manager, project engineer, superintendent, or quality control manager on projects similar in size, scope and complexity to this project as identified in Section 01 11 00. The project superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping work on all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop work orders shall be made the subject of a claim for extension of time for excess costs or damages by the contractor.

Approval of the project superintendent is required prior to the start of construction. Provide a resume for the proposed superintendent describing their experience with references and qualifications to the contracting officer for approval. The contracting officer reserves the right to interview the proposed project superintendent at any time in order to verify the submitted qualifications.

1.5 PRECONSTRUCTION MEETING

After award of the contract but prior to commencement of any work at the site, meet with the Contracting Officer to discuss and develop a mutual understanding relative to the administration of the contract and safety program, preparation of the schedule of prices, shop drawings, and other submittals, scheduling programming, prosecution of the work, and clear expectations of the "Interim DD Form 1354" Submittal. Major subcontractors who will engage in the work must also attend.

1.6 AVAILABILITY OF CADD DRAWING FILES

After award and upon request, the electronic "Computer-Aided Drafting and Design (CADD)" drawing files will only be made available to the Contractor for use in preparation of construction drawings and data related to the referenced contract subject to the following terms and conditions.

Data contained on these electronic files shall not be used for any purpose other than as a convenience in the preparation of construction drawings and data for the referenced project. Any other use or reuse shall be at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor shall make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor shall, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CADD drawing files are not construction documents. Differences may exist between the CADD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CADD files, nor does it make representation to the compatibility of these files with the Contractors hardware or software. In the event that a conflict arises between signed and sealed construction documents prepared by the Government and the furnished CADD files, the signed and sealed

construction documents shall govern. The Contractor is responsible for determining if any conflict exists. Use of these CADD files does not relieve the Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project.

If the Contractor uses, duplicates and/or modifies these electronic CADD files for use in producing construction drawings and data related to this contract, all previous indicia of ownership (seals, logos, signatures,

1.7 ELECTRONIC MAIL (E-MAIL) ADDRESS

The Contractor shall establish and maintain electronic mail (e-mail) capability along with the capability to open various electronic attachments in Microsoft, Adobe Acrobat, and other similar formats. Within 10 days after contract award, the Contractor shall provide the Contracting Officer a single (only one) e-mail address for electronic communications from the Contracting Officer related to this contract including, but not limited to contract documents, invoice information, request for proposals, and other correspondence. The Contracting Officer may also use email to notify the Contractor of base access conditions when emergency conditions warrant, such as hurricanes or terrorist threats, etc. Multiple email addresses will not allowed.

It is the Contractor's responsibility to make timely distribution of all Contracting Officer initiated e-mail with its own organization including field office(s). The Contractor shall promptly notify the Contracting Officer, in writing, of any changes to this email address.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SECTION 01 32 00

PROJECT SCHEDULE
JB CHS 12/21

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Project Scheduler Qualifications; G

Baseline Cost Loaded Critical Path Method (Cpm) Project Schedule; G

Schedule And Pay Request Calendar; G

SD-07 Certificates

Monthly Cost Loaded Cpm Schedule Updates; G

SD-11 Closeout Submittals

As-Built Schedule; G

1.2 PROJECT SCHEDULER QUALIFICATIONS

Designate an authorized representative to be responsible for the preparation of the schedule and all required updating and production of reports. The authorized representative must have a minimum of 5-years' experience scheduling construction projects similar in size and nature, as identified in Section 01 11 00, to this project with scheduling software that meets the requirements of this specification. Representative must have a comprehensive knowledge of CPM scheduling principles and application. A resume outlining the individual's qualifications shall be submitted for acceptance by the Contracting Officer.

PART 2 PRODUCTS

2.1 SOFTWARE

The scheduling software utilized to produce and update the schedules required herein must be capable of meeting all requirements of this specification.

2.1.1 Contractor Software

Scheduling software used by the contractor must be commercially available from the software vendor for purchase with vendor software support agreements available.

2.1.1.1 Primavera

If Primavera P6 is selected for use, provide the "xer" export file Additionally, provide an exported .pdf files for submittal review IAW the "Schedule Reports" paragraph.

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Prepare for approval a Project Schedule, as specified herein, pursuant to FAR Clause 52.236-15 Schedules for Construction Contracts. Show in the schedule the proposed sequence to perform the work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire project is required. The scheduling of construction is the responsibility of the Contractor. Contractor management personnel shall actively participate in the development of the schedule and coordinate closely with the Contracting Officer, Contracting Officer's Representative, and Facility Manager(s) in its maintenance. Subcontractors and suppliers working on the project must also contribute in developing and maintaining an accurate Project Schedule. Provide a schedule that is a forward planning as well as a project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules. Prepare each Project Schedule using the Precedence Diagram Method (PDM) to control, budget, and invoice project activities. For consistency, when scheduling software terminology is used in this specification, the terms in Primavera's scheduling programs are used.

3.2 BASIS FOR PAYMENT AND COST LOADING

The Baseline Cost Loaded CPM Project Schedule is the basis for determining contract earnings during each update period and therefore the amount of each progress payment. The aggregate value of all activities coded to a contract CLIN must equal the value of the CLIN.

Lack of an approved updated Cost Loaded CPM Project Schedule may result in an inability of the Contracting Officer to evaluate the Contractor's progress for the purposes of payment. The Baseline Cost Loaded CPM Project Schedule shall be submitted for approval within 30 calendar days after the Notice of Award has been acknowledged.

The Contractor is responsible for scheduling, sequencing, budgeting, and executing work to comply with the requirements of the Contract Documents. Government acceptance extends only to the activities of the Contractor's schedule that the Government has been assigned responsibility and agrees it is responsible. Comments offered on other parts of the schedule, to which the Contractor is assigned responsibility, are offered as a courtesy and are not conditions of Government acceptance; but are for the general conformance with established industry scheduling concepts.

The Contractor shall submit a Schedule and Pay Request Calendar with the Baseline Cost Loaded CPM Project Schedule within 30 calendar days after the Notice of Award has been acknowledged. Reference Attachment A as an example. The Schedule and Pay Request Calendar shall forecast dates for the following:

a. Contractor Provides Draft Monthly Cost Loaded CPM Schedule Updates and Narrative to JB Charleston.

b. Contractor Conducts Monthly Periodic Schedule Meeting with JB Charleston.

c. Contractor Submits Final Monthly Cost Loaded CPM Schedule Update and all Reports.

d. Contractor Provides Approved Monthly Cost Loaded CPM Schedule Update and Submits Pay Application (AIA Style) to JB Charleston.

e. Contractor Submits Approved Invoice for Payment in Wide Area Work Flow (WAWF).

Reference Attachment A - Schedule and Pay Request Calendar as an example submittal requirement. An excel spreadsheet version of this calendar can be provided upon request.

All pay applications may be submitted using the AIA Document G702-1992, Application and Certificate for Payment, and G703-1992, Continuation Sheet.

3.2.1 Activity Cost Loading

Activity cost loading must be reasonable and without front-end loading. Provide additional documentation to demonstrate reasonableness if requested by the Contracting Officer.

The Contractor is advised to refer to the contract's payments clause for more detail relative to payment for stored material or equipment. Material and Equipment Costs for which payment will be requested in advance of installation shall be assigned to their respective procurement activity (i.e., the material/equipment on-site activity). All other construction costs shall be assigned to their respective Construction Activities. The value of inspection/testing activities will not be less than 10 percent of the total costs for Procurement and Construction Activities. Evenly disperse overhead and profit to each activity over the duration of the project.

Each cost-loaded activity shall have a detailed quantity breakdown and unit of measure.

3.2.2 Withholdings / Payment Rejection

Failure to meet the requirements of this specification may result in the disapproval of the baseline or periodic schedule updates and subsequent rejection of payment requests until compliance is met.

In the event that the Contracting Officer directs schedule revisions and those revisions have not been included in subsequent Project Schedule revisions or updates, review and approval of pay applications may be delayed.

3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS

3.3.1 Level of Detail Required

Develop the Project Schedule to the appropriate level of detail to address major milestones and to allow for satisfactory project planning and execution. Failure to develop the Project Schedule to an appropriate level of detail will result in its disapproval. The Contracting Officer will

consider, but is not limited to, the following characteristics and requirements to determine appropriate level of detail:

3.3.2 Activity Durations

Reasonable activity durations are those that allow the progress of ongoing activities to be accurately determined between update periods. Less than 2 percent of all non-procurement activities may have Original Durations (OD) greater than 28 calendar days.

3.3.3 Procurement Activities

Include activities associated with the critical submittals and their approvals, procurement, fabrication, and delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 90 calendar days.

3.3.4 Mandatory Tasks

Include the following activities/tasks in the baseline project schedule and all updates.

a. Submission, review and acceptance of SD-01 Preconstruction Submittals (individual activity for each).

b.

c. Submission of mechanical/electrical/information systems layout drawings.

d. Long procurement activities

e. Submission and approval of O & M manuals.

f. Submission and approval of as-built drawings.

g. Submission and approval of DD1354 data and installed equipment lists.

h. Submission and approval of testing and air balance (TAB).

i. Submission and approval of fire protection specialist.

j. Building commissioning - Functional Performance Testing.

k. Controls testing.

l. Performance Verification testing.

m. Pre-Warranty Conference.

n. Other systems testing, if required.

o. Contractor's pre-final inspection.

p. Correction of punch list from Contractor's pre-final inspection.

q. Government's pre-final inspection.

r. Correction of punch list from Government's pre-final inspection.

s. Final inspection.

At a minimum, each activity shall include the following information:

a. Activity ID

b. Activity Description

c. Calendar

d. Original Duration in Calendar Days

e. Early Start Date

f. Early Finish Date

g. Late Start Date

h. Late Finish Date'

i. Total Float

j. Contract Line Item Number (CLIN) (i.e.: CLIN 0001, CLIN 0002, etc.)

3.3.5 Government Activities

Show Government and other agency activities that could impact progress. These activities include, but are not limited to: permit approvals by State regulators, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

3.3.6 Contract Milestones and Constraints

Milestone activities are to be used for significant project events including, but not limited to, project phasing, project start and end activities, or interim completion dates. The use of artificial float constraints such as "zero free float" or "zero total float" are prohibited.

Mandatory constraints that ignore or effect network logic are prohibited. No constrained dates are allowed in the schedule other than those specified herein. Submit additional constraints to the Contracting Officer for approval on a case by case basis.

3.3.6.1 Contract Award Date Milestone

The Contractor shall include as the first activity on the schedule a start milestone titled "Contract Award", which shall have a Mandatory Start constraint equal to the Contract Award Date.

3.3.6.2 Project Start Date Milestone and Constraint

The Contractor shall include as an activity after award on the schedule a start milestone titled "Notice to Proceed (NTP)", which shall have a Mandatory Start constraint equal to the date the Notice to Proceed is acknowledged.

3.3.6.3 Projected Completion Milestone

The Contractor shall include an unconstrained finish milestone on the schedule titled "Projected Completion", indicating the point in time at which the project is complete and ready for its intended use. This milestone shall have the Contract Completion Date (CCD) as its only successor.

3.3.6.4 Contract Completion Date (CCD) Milestone

The Contractor shall include as the last activity on the schedule a finish milestone titled "Contract Completion (CCD)", which shall have a Finish on or Before constraint equal to the Contract Completion Date. The only predecessor to the Contract Completion Date Milestone shall be the Projected Completion Milestone. The CCD Shall be equal to the Period of Performance (in Calendar Days) added to the NTP date.

Constrain the project schedule to the Contract Completion Date in such a way that if the schedule calculates an early finish, then the float calculation for "Contract Completion Date" milestone reflects positive float on the longest path. If the project schedule calculates a late finish, then the "Contract Completion Date" milestone float calculation reflects negative float on the longest path. The Government is under no obligation to accelerate Government activities to support a Contractor's early completion.

3.3.6.5 Interim Completion Dates and Constraints

Constrain contractually specified interim completion dates to show negative float when the calculated late finish date of the last activity in that phase is later than the specified interim completion date.

3.3.6.5.1 Start Phase

Use a start milestone as the first activity for a project phase. Call the start milestone "Start Phase X" where "X" refers to the phase of work.

3.3.6.5.2 End Phase

Use a finish milestone as the last activity for a project phase. Call the finish milestone "End Phase X" where "X" refers to the phase of work.

3.3.7 Calendars

Schedule activities on a Calendar to which the activity logically belongs. Develop calendars to accommodate any contract defined work period such as a 7-day calendar for Government Acceptance activities, concrete cure times, etc.

Develop the default Calendar to match the physical work plan with non-work periods identified including weekends and holidays. Develop Seasonal Calendar(s) and assign to seasonally affected activities as applicable.

All activity durations and float values shall be shown in calendar days. Default activity type shall be set to "Task" and the project "Must Finish By" date shall be left blank. .

3.3.7.1 Monthly Anticipated Adverse Weather Delays - In Calendar Days

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
6	5	5	5	5	8	9	9	7	5	4	6

If an activity is weather sensitive it should be assigned to a calendar showing non-work days on a monthly basis, with the non-work days selected at random across the weeks of the calendar, using the anticipated adverse weather delay days. The above chart in paragraph 3.3.8.1 indicates the amount of weather days to be accounted for in the Critical Path Method Schedule for those activities that are weather sensitive. A lost workday due to weather conditions is defined as a day in which the Contractor cannot reasonably work at least 50 percent of the day on the impacted activity.

UPDATE YEARLY Use the National Oceanic and Atmospheric Administration's (NOAA) Summary of Monthly Normals report to obtain the historical average number of days each month with precipitation, using a nominal 30-year, greater than 0.10 inch precipitation amount parameter, as indicated on the Station Report for the NOAA location closest to the project site as the basis for establishing a "Weather Calendar" showing the number of anticipated Non-workdays for each month due to adverse weather, in addition to Saturdays, Sundays and all Federal Holidays as non-work days. Table 3.3.8.1 indicates this anticipated schedule for Joint Base Charleston.

3.3.7.2 Government Holidays

628 CES TO REVIEW/EDIT EACH YEAR The following holidays shall be incorporated into the Project Schedule as non-work days. Follow the procedures identified in Specification 01 14 00 to request work on the following days. Reference the Office of Personnel Management Website (https://www.opm.gov/policy-data-oversight/pay-leave/federal-holidays/#url=Overview) for the most current information regarding Government Holidays and the specific days for observance:

New Year's Day

Birthday of Martin Luther King, Jr.

Washington's Birthday

Memorial Day

Juneteenth National Independence Day

Independence Day

Labor Day

Columbus Day

Veterans Day

Thanksgiving Day

Christmas Day

3.3.8 Open Ended Logic

Only two open ended activities are allowed: the first activity "Contract Award Date" may have no predecessor logic, and the last activity, "Contract Completion Date" may have no successor logic.

Predecessor open ended logic may be allowed in a time impact analyses upon the Contracting Officer's approval.

3.3.9 Default Progress Data Disallowed

Actual Start and Finish dates must not automatically update with default mechanisms included in the scheduling software. Updating of the percent complete and the remaining duration of any activity must be independent functions. Disable program features that calculate one of these parameters from the other. Activity Actual Start (AS) and Actual Finish (AF) dates assigned during the updating process must match those dates provided in the Contractor Quality Control Reports. Failure to document the AS and AF dates in the Daily Quality Control report will result in disapproval of the Contractor's schedule.

3.3.10 Out-of-Sequence Progress

Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case basis subject to approval by the Contracting Officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule. Address out of sequence progress or logic changes in the Narrative Report and in the periodic schedule update meetings.

3.3.11 Added and Deleted Activities

Do not delete activities from the project schedule or add new activities to the schedule without approval from the Contracting Officer. Activity ID and description changes are considered new activities and cannot be changed without Contracting Officer approval.

3.3.12 Original Durations

Activity Original Durations (OD) must be reasonable to perform the work item. OD changes are prohibited unless justification is provided and approved by the Contracting Officer.

3.3.13 Leads, Lags, and Start to Finish Relationships

Lags, Leads (negative lags), and Start-to-Finish (SF) relationships are prohibited.

3.3.14 Retained Logic

Schedule calculations must retain the logic between predecessors and successors ("retained logic" mode) even when the successor activity(s) starts and the predecessor activity(s) has not finished (out-of-sequence progress). Software features that in effect sever the tie between predecessor and successor activities when the successor has started and the predecessor logic is not satisfied ("progress override") are not be allowed.

3.3.15 Percent Complete

Update the percent complete for each activity started, based on the realistic assessment of earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiation of successor activities may be declared 100 percent complete to allow for proper schedule management.

3.3.16 Remaining Duration

Update the remaining duration for each activity based on the number of estimated calendar days it will take to complete the activity. Remaining duration may not mathematically correlate with percentage found under paragraph entitled Percent Complete.

3.3.17 Cost Loading of Closeout Activities

Cost load the "Correction of punch list from Government pre-final inspection" activity(ies) not less than 1 percent of the present contract value. Activity(ies) may be declared 100 percent complete upon the Government's verification of completion and correction of all punch list work identified during Government pre-final inspection(s).

3.3.17.1 As-Built Drawings

If there is no separate contract line item (CLIN) for as-built drawings, cost load the "Submission and approval of as-built drawings" activity not less than 1 percent of the present contract value. Activity will be declared 100 percent complete upon the Government's approval.

3.3.17.2 O & M Manuals

Cost load the "Submission and approval of O & M manuals" activity not less than 1 percent of the overall contract value. Activity will be declared 100 percent complete upon the Government's approval of all O & M manuals.

3.3.18 Early Completion Schedule and the Right to Finish Early

An Early Completion Schedule is a Baseline Project Schedule that indicates all scope of the required contract work will be completed before the contractually required completion date.

a. a. No Baseline Project Schedule indicating an Early Completion will be accepted without being fully resource-loaded (including crew sizes and man-hours) and the Government agreeing that the schedule is reasonable and achievable..

b. b. The Government is under no obligation to accelerate work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the contractor's accelerated work.

c. c. If an Early Completion Schedule is proposed by the Contractor and accepted by the Government, the difference between the Early Completion CCD and the original CCD will be considered project float. Project float, as defined herein, is shared by the Contractor and the Government. The decision to modify the contract to reflect the Early Completion CCD will rest with the Contracting Officer.

3.4 PROJECT SCHEDULE SUBMISSIONS

Provide the submissions as described below. The data CD/DVD, reports, and network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS. If the Contractor fails or refuses to furnish the information and schedule updates as set forth herein, then the Contractor will be deemed not to have provided an estimate upon which a progress payment can be made.

Review comments made by the Government on the schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents.

3.4.1 Schedule Acceptance Prior to Start of Work

The Contracting Officer and/or Contracting Officer's Representative and the Contractor shall participate in a preliminary meeting(s) to discuss the proposed schedule and requirements of this section prior to the Contractor submitting the Project Baseline Cost Loaded CPM Schedule. This preliminary meeting is to be requested by the Contractor to the Contracting Officer.

Only bonds shall be paid prior to acceptance of the Baseline Cost Loaded CPM Schedule.

The acceptance of a Baseline Cost Loaded CPM Schedule is a condition precedent to:

a. The Contractor starting work on the demolition or construction stage(s) of the contract.

b. Processing Contractor's pay request(s) for construction activities/items of work.

c. Review of any schedule updates.

Submittal of the Baseline Cost Loaded CPM Schedule and subsequent schedule updates shall be understood to be the Contractor's certification that the submitted schedule meets all of the requirements of the Contract Documents, represents the Contractor's plan on how the work shall be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

3.4.2 Periodic Schedule Updates

Update the Cost Loaded CPM Project Schedule on a regular basis, monthly at a minimum. Provide a draft Periodic Schedule Update for review at the schedule update meetings as prescribed in the paragraph PERIODIC SCHEDULE UPDATE MEETINGS. These updates will enable the Government to assess Contractor's progress.

a. Update information including Actual Start Dates (AS), Actual Finish Dates (AF), Remaining Durations (RD), and Percent Complete is subject to the approval of the Government at the meeting.

b. AS and AF dates must match the date(s) reported on the Contractor's Quality Control Report for an activity start or finish.

3.4.3 As-Built Schedule

As a condition precedent to making final payment, submit an "As-Built Schedule," as the last schedule update showing all activities at 100 percent completion. This schedule shall reflect the exact manner in which the project was actually constructed.

3.5 SUBMISSION REQUIREMENTS

Submit the following items for the Baseline Schedule and every Periodic Schedule Update throughout the life of the project:

3.5.1 Data Files

Submit the Baseline Cost Loaded Critical Path Method (CPM) Project Schedule and Monthly Cost Loaded Critical Path Method Project Schedule Updates on electronic media acceptable to the Contracting Officer. Also include the Narrative Report and all required Schedule Reports. Each schedule must have a unique file name and use project specific settings.

3.5.2 Narrative Report

Provide a Narrative Report with each schedule submission. The Narrative Report is expected to communicate to the Government the thorough analysis of the schedule output and the plans to compensate for any problems, either current or potential, which are revealed through that analysis. Include the following information as minimum in the Narrative Report:

a. Identify and discuss the work scheduled to start in the next update period.

b. A description of activities along the two most critical paths where the total float is less than or equal 28 calendar days.

c. A description of current and anticipated problem areas or delaying factors and their impact and an explanation of corrective actions taken or required to be taken.

d. Identify and explain why activities based on their calculated late dates should have either started or finished during the update period but did not.

e. Identify and discuss all schedule changes by activity ID and activity name including what specifically was changed and why the change was needed. Include at a minimum new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish date changes.

f. Identify and discuss out-of-sequence work.

3.5.3 Schedule Reports

The format, filtering, organizing and sorting for each schedule report will be as directed by the Contracting Officer. Typically, reports contain Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float, Actual Start Date, Actual Finish Date, and Percent Complete. Provide the reports electronically in .pdf format. The following lists typical reports that will be requested:

3.5.3.1 Activity Report

List of all activities sorted according to activity number.

3.5.3.2 Logic Report

List of detailed predecessor and successor activities for every activity in ascending order by activity number.

3.5.3.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. List activities which have the same amount of total float in ascending order of Early Start Dates. Do not show completed activities on this report.

3.5.3.4 Earnings Report by CLIN

A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN number and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

3.5.3.5 Schedule Log (F9 Report)

Provide a Scheduling/Leveling Report generated from the current project schedule being submitted.

3.5.4 Network Diagram

The Network Diagram is required for the Baseline Schedule and Periodic Schedule Updates. Depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

3.5.4.1 Continuous Flow

Show a continuous flow from left to right with no arrows from right to left. Show the activity number, description, duration, and estimated earned value on the diagram.

3.5.4.2 Project Milestone Dates

Show dates on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

3.5.4.3 Critical Path

Show all activities on the critical path. The critical path is defined as the longest path.

3.5.4.4 Banding

Organize activities using the WBS or as otherwise directed to assist in the understanding of the activity sequence. Typically, this flow will group activities by major elements of work, category of work, work area and/or responsibility.

3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram

With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates, and 2) Earned Value to-date.

3.6 PERIODIC SCHEDULE UPDATE

3.6.1 Periodic Schedule Update Meetings

Contractor and Government representatives shall meet at monthly intervals to review and agree on the information presented in the updated project schedule. The submission of an acceptable, updated monthly cost loaded critical path method project schedule to the Government is a condition precedent to the processing of the Contractor's pay request.

The Contractor's authorized scheduler must organize, group, sort, filter, perform schedule revisions as needed and review functions as requested by the Contractor and/or Government. The meeting is a working interactive exchange which allows the Government and Contractor the opportunity to review the updated schedule on a real time and interactive basis. Provide a draft of the proposed narrative report and schedule data file to the Government a minimum of four (4) calendar days in advance of the meeting. The Contractor's Project Manager and scheduler must attend the meeting with the authorized representative of the Contracting Officer. Superintendents, foremen and major subcontractors must attend the meeting as required to discuss the project schedule and work. Following the periodic schedule update meeting, make corrections to the draft submission. Progress payments are based on a cost-loaded schedule, therefore the Contractor and Government shall agree on percentage of payment for each activity progressed during the update period. Include only the approved schedule by the Government in the submission and invoice for payment.

Updated Project Schedules shall also be submitted for Government approval in conjunction with any Contractor requests for additional time. Submit copies of purchase orders and confirmation of delivery dates as directed by the Contracting Officer.

Provide the following with each Schedule submittal:

a. Reports listed in paragraph entitled "SUBMISSION REQUIREMENTS."

b. Electronic files containing the project schedule. Include the back-up native schedule program files.

c. Narrative Report

d. Earnings Report by CLIN: A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of

activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

e. Cash Flow / Schedule Variance Control (SVC) Diagram: With each schedule submission, provide a SVC diagram showing:

i) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates and;

ii) Earned Value to-date. Revise Cash Flow S-Curves when the contract is modified, or as directed by the Contracting Officer.

3.6.2 Update Submission Following Progress Meeting

Submit the complete Periodic Schedule Update of the Project Schedule containing all approved progress, revisions, and adjustments, pursuant to paragraph SUBMISSION REQUIREMENTS not later than seven (7) calendar days after the periodic schedule update meeting.

3.6.3 Three (3)-Week Look Ahead Schedule

Prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the CPM Schedule. Show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, and correlated to the CPM Schedule in an electronic format as directed by the Contracting Officer. Activities must not exceed seven (7) calendar days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Deliver one electronic file of the 3-Week Look Ahead Schedule to the Contracting Officer no later than 8 a.m. each Monday, and review during the Progress Meetings.

3.7 PROGRESS MEETINGS

Conduct a progress meeting with the Government in addition to the meetings described in paragraph entitled PERIODIC SCHEDULE UPDATE MEETINGS for the purpose of jointly reviewing the planned activities for the upcoming three (3) weeks and discuss any coordination required between the Government and Contractor.

This progress meeting is to be held at an interval mutually agreed to (weekly, bi-weekly, etc.). These meetings shall not be less frequent than once per month.

Use the current approved schedule update for the purposes of this meeting and for the production and review of reports. At a minimum, the following shall be discussed during the Progress Meeting:

Schedule

-Review the 3-week Look Ahead

Quality Control/Assurance/Safety

-Discuss any open Quality Control / Assurance Concerns including discrepancy logs, punch lists, and inspection reports.

-Discuss any open or upcoming safety items.

RFIs

-Review the RFI Register per Specification 01 33 00

Submittals

-Review the Submittal Register per Specification 01 33 00

Modifications

-Review all Addendums and Modifications

CPARS

-Review any items to be included in the Contractor's CPARS reports to include Quality, Schedule Control, Cost Control, Management, and Regulatory Compliance.

3.8 CORRESPONDENCE AND TEST REPORTS

All correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery tickets, photographs, etc.) shall reference Cost Loaded CPM Schedule Activities that are being addressed. All test reports (e.g., concrete, soil compaction, weld, pressure, etc.) shall reference Cost Loaded CPM Schedule Activities that are being addressed.

3.9 REQUESTS FOR TIME EXTENSIONS

Provide a justification of delay to the Contracting Officer in accordance with the contract provisions and clauses for approval within 14 calendar days of a delay occurring. Also, prepare a Time Impact Analysis (TIA) for each Government Request For Proposal (RFP) to justify time extensions.

3.9.1 Justification of Delay

Provide a description of the event(s) that caused the delay and/or impact to the work. As part of the description, identify all schedule activities impacted. Show that the event that caused the delay/impact was the responsibility of the Government. Provide a Time Impact Analysis (TIA) that demonstrates the effects of the delay or impact on the project completion date or interim completion date(s). Utilize a copy of the last approved schedule prior to the first day of the impact or delay for the TIA. Evaluate multiple impacts chronologically; each with its own justification of delay. With multiple impacts consider any concurrency of delay. A time extension and the schedule fragnet becomes part of the project schedule and all future schedule updates upon approval by the Contracting Officer.

3.9.2 Fragmentary Network (Fragnet)

Prepare a proposed fragnet for time impact analysis consisting of a sequence of new activities that are proposed to be added to the project schedule to demonstrate the influence of the delay or impact to the project's contractual dates. Provide an Activity Predecessor Report with the TIA to justify the impact of the delay to the Critical Path. Clearly show how the proposed fragnet is to be tied into the project schedule including all predecessors and successors to the fragnet activities. The proposed fragnet must be approved by the Contracting Officer prior to incorporation into the project schedule.

3.9.3 Time Extension

The Contracting Officer must approve the Justification of Delay including the time impact analysis before a time extension will be granted. No time extension will be granted unless the delay consumes all available Project Float and extends the projected finish date ("Project Completion Date" milestone) beyond the Contract Completion Date. The time extension will be in calendar days.

Actual delays that are found to be caused by the Contractor's own actions, which result in a calculated schedule delay will not be a cause for an extension to the performance period, completion date, or any interim milestone date.

3.10 FAILURE TO ACHIEVE PROGRESS

Should the progress fall behind the approved project schedule for reasons other than those that are excusable within the terms of the contract, the Contracting Officer may require provision of a written recovery plan for approval. The plan must detail how progress will be made-up to include which activities will be accelerated by adding additional crews, longer work hours, extra work days, etc.

3.10.1 Artificially Improving Progress

Artificially improving progress by means such as, but not limited to, revising the schedule logic, modifying or adding constraints, shortening activity durations, or changing calendars in the project schedule is prohibited. Indicate assumptions made and the basis for any logic, constraint, duration and calendar changes used in the creation of the recovery plan. Any additional resources, manpower, or daily and weekly work hour changes proposed in the recovery plan must be evident at the work site and documented in the daily report along with the Schedule Narrative Report.

3.10.2 Failure to Perform

Failure to perform work and maintain progress in accordance with the supplemental recovery plan may result in an interim and final unsatisfactory performance rating and may result in corrective action directed by the Contracting Officer pursuant to FAR 52.236-15 Schedules for Construction Contracts, FAR 52.249-10 Default (Fixed-Price Construction), and other contract provisions.

3.10.3 Recovery Schedule

Should the Contracting Officer find it necessary, submit a recovery

schedule pursuant to FAR 52.236-15 Schedules for Construction Contracts.

3.11 OWNERSHIP OF FLOAT

Float available in the schedule, at any time, will not be considered for the exclusive use of either the Government or the Contractor including activity and/or project float. Activity float is the number of calendar days that an activity can be delayed without causing a delay to the "Projected Completion Date" milestone. Project float (if applicable) is the number of calendar days between the projected early finish and the "Contract Completion Date" milestone.

-- End of Section --

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01 32 00 - Attachment A – SCHEDULE AND PAY REQUEST CALENDAR

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Schedule and Pay Request Calendar

Project Name

Notice to Proceed: 01-Jan-21
Contract Completion: 01-Jan-24

2021

JANUARY

S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

FEBRUARY

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28						

MARCH

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

APRIL

S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

MAY

S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

JUNE

S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

JULY

S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

AUGUST

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

SEPTEMBER

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

OCTOBER

S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

NOVEMBER

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

DECEMBER

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

Data Date: Last Day of the Month, Close-of-Business

- Contractor Provides Draft Monthly Cost Loaded CPM Schedule Update and Narrative to JB Charleston
- Contractor Conducts Monthly Periodic Schedule Meeting with JB Charleston
- Contractor Submits Final Monthly Cost Loaded CPM Schedule Update and all Reports
- Contractor Provides Approved Monthly Cost Loaded CPM Schedule Update and Submits Invoice Payment to JB CHS
- Contractor Submits Approved Invoice for Payment in Wide Area Work Flow (WAWF)

This calendar is a visualization tool for the monthly schedule updating and payment process and does not supersede contract requirements. Dates may need to shift based on holidays.

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SECTION 01 33 00

SUBMITTAL PROCEDURES
JB CHS 12/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to start of construction (work), including but not limited to:

Certificates Of Insurance; G

Surety Bonds; G

List Of Proposed Subcontractors; G

List Of Proposed Products; G

Project Schedules; G

Submittal Register; G

Schedule Of Prices; G
Work Plan; G

Quality Control (QC) Plan; G

Environmental Protection Plan; G

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

Project Updates

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (SDS)concerning impedances, hazards and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-10 Operation and Maintenance Data

Data that is furnished by the manufacturer, or the system provider, to the equipment operating and maintenance personnel, including manufacturer's help and product line documentation necessary to maintain and install equipment. This data is needed by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

This data is intended to be incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

Interim "DD Form 1354" with cost breakout for all assets IAW 01 78 00 CLOSEOUT REQUIREMENTS.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals,

construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-07 Certificates

JB CHS ENG Form 4288-R Submittal Register Updated; FIO

JB CHS Eng Form 4289-R Project Request For Information (RFI) Register; FIO

1.3 SUBMITTAL CLASSIFICATION

1.3.1 Government Approved (G)

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.3.2 For Information Only

Submittals not requiring Government approval will be for information onlyand shall be check-marked with an "FIO" on the JB CHS Transmittal Form 4025. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.3.3 Designer of Record Approval (DA) - for Contracts with Title II Services

Submittals for Designer of Record Approval shall be check-marked with a "DA" on the JB CHS Transmittal Form 4025.

1.4 PREPARATION

1.4.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to office of approving authority. Transmit submittals with the JB CHS Transmittal Form 4025, prescribed by the Contracting Officer and standard for the project. On the transmittal form, identify all requirements in paragraph IDENTIFYING SUBMITTALS. Process transmittal forms to record actions regarding sample installations.

1.4.2 Identifying Submittals

When submittals are provided by a Subcontractor, the Prime Contractor is to prepare, review and stamp with Contractor's approval all specified submittals using the provided JB CHS Transmittal Form 4025.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

a. Date

b. transmittal Number

c. To: (Reference Section F. of Award Documents - "Ship To Address")

Typically: 628 CES/CENM
210 W. Stewart Ave, B721
JB Charleston, SC 29404

d. From: (Contractor's Official Business Name and Address)

e. Contract Number

f. Check On (This is a New Transmittal or This is a Resubmittal of Enter previous transmittal number)

g. Specification Section Number:

h. Project Title and Location

i. Check One(Transmittal Type: "FIO", "GA", "DA", "CR", "DA/CR", "DA/GA")

j. The "Item No." for each entry on this form will be the same "Item No." as indicated on the submittal register.

k. Description of Submittal Item (Model Number, Catalog Number, Manufacturer, Nomenclature of Material, etc.)

l. Submittal Type Code (SD-01 through SD-11).

m. Type of Copies (Indicate "E" For Electronically Submitted or "H-#" for hard copy (replacing "#" with the quantity)

n. Specification Paragraph and/or Drawing Sheet Number Reference

o. If the data submitted are intentionally in variance with the contract requirements, indicate a variation in column g of the JB CHS TRANSMITTAL FORM 4025, and enter a statement in the Remarks block describing the detailed reason for the variation.

p. If the variation causes an impact to time or cost indicate the type of impact in column h of the JB CHS TRANSMITTAL FORM 4025, and enter the associated RFI number in the remarks.

1.4.3 Submittal Format

1.4.3.1 Format for SD-02 Shop Drawings

Shop drawings are not to be less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless other form is required.

Drawings are to be suitable for reproduction and be of a quality to produce clear, distinct lines and letters with dark lines on a white background.

Present 8 1/2 by 11 inches sized shop drawings as part of the bound volume for submittals required by section. Present larger drawings in sets.

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Contractors may use their own number system. Each drawing is to bear the number of the submittal in a uniform location adjacent to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Shop drawings requiring a designer of record (DOR) approval shall reserve a blank space, no smaller than 2 inches x 2 inches on the right hand side of each sheet for the DOR stamp.

Dimension drawings, except diagrams and schematic drawings; prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings.

Identify materials and products for work shown.

Include the nameplate data, size and capacity on drawings. Also include applicable federal, military, industry and technical society publication references.

1.4.3.2 Format of SD-03 Product Data and SD-08 Manufacturer's Instructions

Present product data submittals for each section as a complete, bound volume.
Include table of contents, listing page and catalog item numbers for product data.

Indicate, by prominent notation, each product which is being submitted; indicate specification section number and paragraph number to which it pertains.

Supplement product data with material prepared for project to satisfy submittal requirements for which product data does not exist. Identify this material as developed specifically for project, with information and format as required for submission of SD-07 Certificates.

Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry and technical society publication references. Should manufacturer's data require supplemental information for clarification, submit as specified for SD-07 Certificates.

Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), and Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer.

State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal and marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of construction effort.

Submit manufacturer's instructions prior to installation.

1.4.3.3 Format of SD-04 Samples

Furnish samples in sizes below, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately same size as specified:

Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at time of use.

Recording of Sample Installation: Note and preserve the notation of area constituting sample installation but remove notation at final clean-up of project.

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches:Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

d. Sample of Non-Solid Materials: Pint. Examples of non-solid materials are sand and paint.

e. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

f. Sample Panel: 4 by 4 feet.

g. Sample Installation: 100 square feet.

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at time of use.

Recording of Sample Installation: Note and preserve the notation of area constituting sample installation but remove notation at final clean-up of project.

When color, texture or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.4.3.4 Format of SD-05 Design Data and SD-07 Certificates

Provide design data on 8 1/2 by 11 inches paper. Provide a bound volume for submittals containing numerous pages.

1.4.3.5 Format of SD-06 Test Reports and SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inches paper in a complete bound volume. Indicate by prominent notation, each report in the submittal. Indicate specification number and paragraph number to which it pertains. Provide certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.4.3.6 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 00 CLOSEOUT REQUIREMENTS for O&M Data format.

1.4.3.7 Format of SD-01 Preconstruction Submittals and SD-11 Closeout Submittals

Comply with the requirements specified in Section 01 78 00 CLOSEOUT REQUIREMENTS for Closeout format.

1.5 QUANTITY OF SUBMITTALS

1.5.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit two sets of administrative submittals.

1.5.2 Number of SD-02 Shop Drawing Copies

Unless otherwise specified, Submit one electronic copy of submittals of shop drawings requiring review and approval only by QC organization and one electronic copy and one physical copy of shop drawings requiring review and approval by Contracting Officer.

1.5.3 Number of SD-03 Product Data and SD-08 Manufacturer's Instructions Copies

Unless otherwise specified, Submit one electronic copy of product data requiring review and approval by a QC organization. Submit one electronic copy and maintain one physical copy of product data requiring review and approval by the Contracting Officer. The maintained physical copy will be submitted during closeout phase in a 3-ring binder, tabbed by transmittal number.

Submit in compliance with quantity requirements specified for shop drawings.

1.5.4 Number of SD-04 Samples

a. Submit one sample of each required item.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of non-solid materials.

1.5.5 Number of SD-05 Design Data Copies

Unless otherwise specified, Submit one electronic copy of submittals of design data requiring review and approval by a QC organization. Submit one electronic copy and one physical copy of design data requiring review and approval by the Contracting Officer.

1.5.6 Number of SD-06 Test Report Copies

Unless otherwise specified, Submit one electronic copy of test reports requiring review and approval by a QC organization. Submit one electronic copy and maintain one physical copy of test reports requiring review and approval by the Contracting Officer. The maintained physical copy will be submitted during closeout phase in a 3-ring binder, tabbed by Specification Section.

1.5.7 Number of SD-07 Certificate Copies

Unless otherwise specified, Submit one electronic copy of certificates requiring review and approval by a QC organization. Submit one electronic copy and maintain one physical copy of certificates requiring review and approval by the Contracting Officer. The maintained physical copy will be submitted during closeout phase in a 3-ring binder, tabbed by Specification Section.

1.5.8 Number of SD-08 Manufacturer's Instructions Copies

Unless otherwise specified, Submit one electronic copy of manufacturer's instructions requiring review and approval by a QC organization. Submit one electronic copy and maintain one physical copy of manufacturer's instructions requiring review and approval by the Contracting Officer. The maintained physical copy will be submitted during closeout phase in a 3-ring binder, tabbed by Specification Section.

1.5.9 Number of SD-09 Manufacturer's Field Report Copies

Unless otherwise specified, Submit one electronic copy of manufacturer's field reports requiring review and approval by a QC organization. Submit one electronic copy and maintain one physical copy of manufacturer's field reports requiring review and approval by the Contracting Officer. The maintained physical copy will be submitted during closeout phase in a 3-ring binder, tabbed by Specification Section.

1.5.10 Number of SD-10 Operation and Maintenance Data Copies

Comply with the requirements specified in Section 01 78 00 CLOSEOUT REQUIREMENTS.

1.5.11 Number of SD-11 Closeout Submittals Copies

Comply with the requirements specified in Section 01 78 00 CLOSEOUT REQUIREMENTS.

1.6 PROJECT SUBMITTAL REGISTER

The initial JB CHS ENG Form 4288-R Submittal Register shall be submitted within 45 calendar days after contract award with SD-01 Preconstruction Submittals. This JB CHS ENG Form 4288-R Submittal Register shall have columns (r) "CPM Schedule Activity ID", (s) "Submit By", (t) "Approval Needed By", and (u)"Material Needed By" columns completed at the beginning of the contract.

Prepare and maintain submittal register, as the work progresses. Do not change data which is output in columns (c), (d), (e), (f through p), and (q) as delivered by Government; retain data which is output in columns (a), (b), (h), and (i) as approved. A submittal register showing items of equipment and materials for which submittals are required by the specifications is provided as an attachment. This list may not be all inclusive and additional submittals may be required.

Column (c): Lists specification section or drawing sheet reference in which submittal is required.

Column (d): Lists specification paragraph number in which submittal is required.

Column (e): Lists one principal paragraph in specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting project requirements.

Columns (f-g): Lists each submittal type code (SD No. and type, e.g. SD-02 Shop Drawings) required in each specification section.

Column (q): Identifies the submittal review classification code (FIO, GA, DA, CR, DA/CR, DA/GA) as indicated on the JB CHS Transmittal Form 4025.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns, including dates on which submittals are received and returned by the Government.

1.6.1 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (a) Transmittal Number: Contractor assigned list of consecutive numbers.

Column (b) Item No: Contractor assigned item number for each entry on the JB CHS Transmittal Form 4025.

Column (v) Date Submitted to Government

Column (w) Government Action Code

Column (x) Date returned to the Contractor

Column (y) Government Review Time (Difference between Column (x) and Column (v)

Column (z) Remarks

1.6.2 Copies Delivered to the Government

Deliver one copy of JB CHS ENG Form 4288-R Submittal Register Updated by Contractor to Government monthly for information only. Submit the updated JB CHS ENG Form 4288-R Submittal Register in .xlsx and .pdf electronic formats. Review the most recent JB CHS ENG Form 4288-R Submittal Register in each Progress Meeting per Specification 01 32 00.

1.7 VARIATIONS AND REQUESTES FOR INFORMATION (RFI)

Variations and Requests for Information (RFI) shall be identified in Column "g" of the JB CHS Transmittal Form 4025. Variations that will not result in a change in price or period of performance will not require a modification to the contract. These variations will be submitted, reviewed, and approved utilizing the JB CHS Transmittal Form 4025.

Any information the contractor may need to execute the contract that cannot be located within the project documents shall be officially requested via JB CHS Request for Information Form.

Variations that will result in a change in price or period of performance will require a modification in the contract, and thus require the Contracting Officer's review and approval. These variations will be submitted, reviewed, and approved utilizing the JB CHS Request for Information Form (Reference Attachment B - JB CHS REQUEST FOR INFORMATION FORM, MAR 2021).

1.7.1 Project Request for Information (RFI) Register

A sample JB CHS ENG Form 4289-R Project Request for Information (RFI) Register is provided as "Attachment D - JB CHS ENG Form 4289-R Project Request for Information (RFI) Register." This RFI Register shall be used throughout the contract to track information requests and official correspondence between the Contractor and Government. This RFI Register shall be updated and submitted monthly for information only (FIO).

1.7.2 Copies Delievered to the Government

Deliver one copy of JB CHS ENG Form 4289-R RFI Register updated by Contractor to Government monthly for information only. Submit the updated JB CHS ENG Form 4289-R RFI Register in .xlsx and .pdf electronic formats. Review the most recent JB CHS ENG Form 4289-R RFI Register in each Progress Meeting per Specification 01 32 00.

1.8 SCHEDULING

Schedule and submit concurrently submittals within the same specification section. Include certifications to be submitted with the pertinent drawings at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. The baseline project schedule will be reviewed to determine if a submittal was submitted on time with the allowable review period.

a. Coordinate scheduling, sequencing, preparing and processing of submittals with performance of work so that work will not be delayed by submittal processing. Allow for potential resubmittal of requirements.

b. Submittals called for by the contract documents will be listed on the register. If a submittal is called for but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer or Contracting Officer's Representative (COR) does not relieve the Contractor of supplying submittals required by the contract documents but which have been omitted from the register or marked "N/A."

c. All "SD-01 Preconstruction Submittals" from all Specification Sections require Contracting Officer approval and shall account for the 28 calendar day review period.

d. Re-submit the Submittal and RFI registers separately under "SD-07 Certificates" and annotate monthly by the Contractor with actual submission and approval dates. When all items on the register have been fully approved, no further re-submittal is required.

e. Carefully control procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."

f. Except as specified otherwise, allow review period, beginning with receipt by approving authority, that includes at least 21 calendar days for submittals for QC Manager approval, 21 calendar days for Contracting Officer's Representative (COR) approval, and 28 calendar days for submittals for Contracting Officer approval. Period of review for submittals with Contracting Officer and/or Contracting Officer's Representative (COR) approval begins when Government receives submittal from QC organization.

g. For submittals requiring review by fire protection engineer Fire Protection Engineer, allow review period, beginning when Government receives submittal from QC organization, of 42 Calendar Days for return of submittal to the Contractor.

1.9 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer and/or Contracting Officer's Representative (COR), the Government will:

a. Note the date on which the submittal was received.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, digitally sign and date submittals. Electronic copies of the submittal

will be retained by the Contracting Officer and/or Contracting Officer's Representative (COR) and electronic copies of the submittal will be returned to the Contractor.

1.9.1 Review Notations

Submittals will be returned to the Contractor with the following Submittal Action Codes in column "i" of the JB CHS Transmittal Form 4025:

a. "A - Approved as Submitted" - These submittals authorize proceeding with the work covered.

b. "B - Approved, except as noted. Resubmission not required" - These submittals authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections

c. "C - Approved, except as noted. Refer to attached comments. Resubmission Required" - These submittals indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. "D - Will be returned by separate correspondence" - These submittals indicate further review/investigation is required beyond reasonable review extent. Do not proceed with work for this item until the review is complete and approved."

e. "E - Disapproved. Refer to attached comments" - These submittals indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

f. "F - Receipt Acknowledged" - These submittals are typically used For Information Only.

g. "X - Receipt Acknowledged, does not comply with contract requirements, as noted" - These submittals are typically used For Information Only - the Contractor shall correct the deficiency noted in the comments immediately.

h. "G - Other action required (Specify)" - These submittals will be reviewed on a case-by-case basis to determine the impact to the project schedule and cost.

i. "K - Government concurs with intermediate design" - These submittals are typically used for Design-Build Contracts

j. "R - Design submittal is acceptable for release for construction" - These submittals are typically used for Design-Build Contracts

1.10 DISAPPROVED OR REJECTED SUBMITTALS

Contractor shall make corrections required by the Contracting Officer or Contracting Officer's Representative (COR) as noted on the transmittal form. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications; notice as required under the FAR "CHANGES" clause is to be given to the Contracting Officer. Contractor is responsible for the

dimensions and design of connection details and construction of work. Failure to point out deviations may result in the Government requiring rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and submission of the submittals in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

Identify a resubmittal on a new JB CHS Transmittal Form 4025 and identify the "Transmittal Number" as alphanumeric after the original submittal number.

For Example: If the original submittal was "01", make the resubmittal "01-A"

1.11 APPROVED/ACCEPTED SUBMITTALS

The Contracting Officer's approval or acceptance of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing and other information appear to meet the contract requirements.

Approval or acceptance will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the Contractor Quality Control (CQC) requirements of this contract is responsible for design, dimensions, all design extensions, such as the design of adequate connections and details, etc., and the satisfactory construction of all work.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.12 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous

approvals. Replace such materials or equipment to meet contract requirements.

Approval of the Contractor's samples by the Contracting Officer does not relieve the Contractor of their responsibilities under the contract.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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01 33 00 - Attachment A - JB CHS Transmittal Form 4025

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TRANSMITTAL OF SHOP DRAWINGS, EQUIPMENT DATA, MATERIAL SAMPLES, OR MANUFACTURER'S CERTIFICATES OF COMPLIANCE The proponent agency is 628CES/CEN.	DATE	TRANSMITTAL NO.

SECTION I - REQUEST FOR APPROVAL OF THE FOLLOWING ITEMS (*This section will be initiated by the contractor*)

TO:	FROM:	CONTRACT NO.	CHECK ONE: ☐ THIS IS A NEW TRANSMITTAL ☐ THIS IS A RESUBMITTAL OF TRANSMITTAL _____

SPECIFICATION SEC. NO. (*Cover only one section with each transmittal*)	PROJECT TITLE AND LOCATION	THIS TRANSMITTAL IS FOR: (See Note 8) ☐ FIO ☐ GA ☐ DA ☐ CR ☐ DA/CR ☐ DA/GA

ITEM NO. (*See Note 3*)	DESCRIPTION OF SUBMITTAL ITEM (*Model Number, Catalog Number, Manufacturer, Nomenclature of Material, etc.*)	SUBMITTAL TYPE CODE (*See Note 9*)	TYPE OF COPIES (See Note 1)	CONTRACT DOCUMENT REFERENCE		VARIATION (See Note 6)	TIME/COST IMPACT (See Note 7)	GOV ACTION CODE (*Note 10*)
				SPEC. PARA. NO.	DRAWING SHEET NO.			
a.	b.	c.	d.	e.	f.	g.	h.	i.

REMARKS	I certify that the above submitted items had been reviewed in detail and are correct and in strict conformance with the contract drawings and specifications except as otherwise stated.	
	NAME OF QC MANAGER	SIGNATURE OF QC MANAGER

SECTION II - APPROVAL ACTION

REMARKS	NAME AND TITLE OF APPROVING AUTHORITY	
	SIGNATURE OF APPROVING AUTHORITY	DATE

NOTES

1. Indicate "E" For Electronically Submitted or "H-#" for hard copy (replacing "#" with the quantity)

2. Each Transmittal shall be numbered consecutively. If the Transmittal is a resubmittal, then add a decimal point to the end of the original Transmittal Number and begin numbering the resubmittal packages sequentially after the decimal.

3. The "Item No." for each entry on this form will be the same "Item No." as indicated on the submittal register.

4. Submittals requiring expeditious handling will be submitted on a separate Transmittal Form.

5. Items transmitted on each transmittal form will be from the same specification section. Do not combine submittal information from different specification sections in a single transmittal.

6. If the data submitted are intentionally in variance with the contract requirements, indicate a variation in column g, and enter a statement in the Remarks block describing he detailed reason for the variation.

7. If the variation causes an impact to time or cost indicate the type of impact in column h, and enter the associated RFI number in the remarks

8. Select one of the following depending on which approval source is required.
   FIO – For Information Only GA – Government Approval DA - Designer of Record Approval CR - Government Conformance Review of Design
   DA/CR - Designer of Record Approval/Government Conformance Review DA/GA - Designer of Record Approval/Government Approval

9. When submittal items are transmitted, indicate the "Submittal Type" (*SD-01 through SD-11*) in column c of Section I.
   Submittal types are the following:
   SD-01 - Preconstruction SD-02 - Shop Drawings SD-03 - Product Data SD-04 - Samples SD-05 - Design Data SD-06 - Test Reports
   SD-07 - Certificates SD-08 - Manufacturer's Instructions SD-09 - Manufacturer's Field Reports SD-10 - O&M Data SD-11 - Closeout

10. For each submittal item, the Contractor will assign Submittal Action Codes in column g. The approving authority will assign Submittal Action Codes in column i.
    The Submittal Action Codes are:

    A -- Approved as submitted.
    B -- Approved, except as noted. Resubmission not required.
    C -- Approved, except as noted Refer to attached comments.
    Resubmission required.
    D -- Will be returned by separate correspondence.
    E -- Disapproved. Refer to attached comments.
    F -- Receipt acknowledged.
    X -- Receipt acknowledged, does not comply with contract requirements, as noted.
    G -- Other action required *(Specify)*
    K -- Government concurs with intermediate design. (*For D-B contracts*)
    R -- Design submittal is acceptable for release for construction. (*For D-B contracts*)

11. Approval of items does not relieve the contractor from complying with all the requirements of the contract.

01 33 00 - Attachment B - JB CHS RFI Form

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REQUEST FOR INFORMATION

ACTIVITY ID	DATE

CONTRACT NO	CONTRACT TITLE	RFI NO

RFI SUBJECT	SPEC SECTION	DWG NO

RFI PRIORITY?	☐ HIGH	☐ NORMAL	EST DAYS UNTIL CP IMPACT:	SPEC PARAGRAPH / DWG DETAIL
POTENTIAL TIME/COST IMPACT?	☐ YES	☐ NO		
SCHEDULE IMPACT?	☐ YES	☐ NO		SPEC PAGE NO / DWG SHEET NO
CRITICAL PATH IMPACT?	☐ YES	☐ NO		

SECTION I - CONTRACTOR INFORMATION

CONTRACTOR QUESTION/ISSUE: (Reference All Attachments)

CONTRACTOR PROPOSED SOLUTION: (Reference All Attachments)

NAME OF CONTRACTOR	NAME OF QC MANAGER	SIGNATURE OF QC MANAGER	DATE

SECTION II - RFI REVIEWER

REVIEWER RECOMMENDED RFI RESPONSE:

TITLE OF REVIEWER	NAME OF REVIEWER	SIGNATURE OF REVIEWER	DATE

SECTION III - RFI RESPONSE

NOTE: THE RFI SYSTEM IS INTENDED TO PROVIDE AN EFFICIENT MECHANISM FOR RESPONDING TO CONTRACTOR'S REQUESTS FOR INFORMATION. IT DOES NOT PROVIDE AUTHORITY TO PROCEED WITH ADDITIONAL WORK. IF THE CONTRACTOR CONSIDERS THE RFI RESPONSE A CHANGED CONDITION, PROVIDE WRITTEN NOTICE TO THE CONTRACTING OFFICER'S REPRESENTATIVE IN ACCORDANCE WITH CONTRACT PROVISIONS.

TITLE OF GOVERNMENT OFFICIAL	NAME OF GOVERNMENT OFFICIAL	SIGNATURE OF GOVERNMENT OFFICIAL	DATE

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01 33 00 - Attachment C - Form 4288-R (Submittal Register)

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SUBMITTAL REGISTER
JB CHS ENG FORM 4288-R

Contract No.: FA441822D0007

Project Title and Location Repair Naval Reserve Bldg. 3370 JB CHS, South Carolina

Contractor

Transmittal No	Item No	Specification Section or Drawing Sheet Reference	Specification Paragraph Number	Description of Submittal Item	Submittal Type Code: SD-01 - Preconstruction	SD-02 - Shop Drawings	SD-03 - Product Data	SD-04 - Samples	SD-05 - Design Data	SD-06 - Test Reports	SD-07 - Certificates	SD-08 - Manufacturer's Instructions	SD-09 - Manufacturer's Field Report	SD-10 - O&M Data	SD-11 - Closeout	Classification Code: FIO, GA, DA, CR, DA/CR, DA/GA	Contractor Schedule Dates: CPM Schedule Activity ID	Sumbit By	Approval Needed By	Material Needed By	Contractor Action: Date Submitted to Government	Government Action: Government Action Code	Date Returned	Government Review Time	Remarks
a.	b.	c.	d.	e.	f.	g.	h.	i.	j.	k.	l.	m.	n.	o.	p.	q.	r.	s.	t.	u.	v.	w.	x.	y.	z.
	1	01 14 00	1.3.5.1.2	Air Force - Base Civil Engineering - Dig Permit Request	X											G									
	1	01 14 00	1.3.4	Approved Utility Outage Requests	X											G									
	1	01 14 00	1.3.4	Road Closure And Utility Outage Requests	X											G									
	1	01 14 00	1.3.1.1	List Of Contact Personnel	X																				
	1	01 20 00	1.3	Earned Value Report	X											G									
	1	01 30 00	1.4.2	Resume For The Proposed Superintendent	X											G									
	1	01 32 00	1.2	Project Scheduler Qualifications	X											G									
	1	01 32 00	3.5.1	Baseline Cost Loaded Critical Path Method (Cpm) Project Schedule	X											G									
	1	01 32 00	3.2	Schedule And Pay Request Calendar	X											G									
	7	01 32 00	3.2	Monthly Cost Loaded Cpm Schedule Updates							X					G									
	11	01 32 00	3.4.3	As-Built Schedule											X	G									
	7	01 33 00	1.6.2	JB CHS ENG Form 4288-R Submittal Register Updated							X														
	7	01 33 00	1.7.1	JB CHS Eng Form 4289-R Project Request For Information (RFI) Register							X														
	1	01 35 26	1.6	Accident Prevention Plan (APP)	X											G									
	6	01 35 26	1.11	Notifications and Reports						X															
	6	01 35 26	1.11.2	Accident Reports						X						G									
	6	01 35 26	1.11.3	Crane Reports						X															
	7	01 35 26	1.7	Activity Hazard Analysis (AHA)							X														
	7	01 35 26	1.5.1.3	Provide Proof Of Current Qualification For Crane Operators.							X					G									
	7	01 35 26	1.8.1	Confined Space Entry Permit							X														
	7	01 35 26	1.8.1	Hot Work Permit							X														
	7	01 35 26	1.13	License Certificates							X														
	7	01 45 00	1.4	Contractor Code Compliance Affidavit							X					G									
	1	01 50 00	3.4.1	Traffic Control Plan	X											G									
	1	01 57 19	1.6.1	Preconstruction Survey	X																				
	1	01 57 19	1.6.2	Regulatory Notifications	X											G									
	6	01 57 19	1.10.1	Monthly Solid Waste Disposal Report						X															
	6	01 57 19	3.2.1.3	Inspection Reports						X															
	11	01 57 19	1.6.2	Regulatory Notifications											X	G									
	11	01 57 19	3.7.1	Waste Determination Documentation											X	G									
	3	01 78 00	1.11.1	Warranty Management Plan			X									G									
	3	01 78 00	1.11.5	Warranty Tags			X																		
	8	01 78 00	3.5	Condition Monitoring (Predictive Testing)								X				G									
	8	01 78 00	3.5	Inspection Schedule								X				G									
	10	01 78 00	3.3	Operation and Maintenance Manuals										X		G									
	11	01 78 00	3.2	Record Drawings											X	G									
	10	01 78 23	1.4	Facility Data Workbook										X		G									
	10	01 78 23	3.1.1	Training Plan										X		G									
	10	01 78 23	3.1.3	Training Outline										X		G									
	10	01 78 23	3.1.2	Training Content										X		G									
	10	01 78 23	3.2.1	Operation And Maintenance Manual, Progress Submittal										X		G									
	10	01 78 23	3.2.2	Operation And Maintenance Manual, Prefinal Submittal										X		G									
	10	01 78 23	3.2.3	Operation And Maintenance Manual, Final Submittal										X		G									
	1	02 41 00	1.1	Existing Conditions	X																				
	7	02 41 00	1.6	Notification							X					G									
	11	02 41 00	3.3.5	Receipts											X										
	2	03 30 53	1.5	Installation Drawings		X										G									
	3	03 30 53	2.2.3.1	Air-Entraining Admixture			X																		
	3	03 30 53	2.2.3.2	Accelerating Admixture			X																		
	3	03 30 53	2.2.3.3	Water-Reducing or Retarding Admixture			X																		
	3	03 30 53	2.2.11	Curing Materials			X																		
	3	03 30 53	2.2.6	Expansion Joint Filler Strips, Premolded			X																		
	3	03 30 53	2.2.7	Joint Sealants - Field Molded Sealants			X																		
	3	03 30 53	2.4.1	Waterstops			X																		
	6	03 30 53	3.9.3	Slump						X						G									
	6	03 30 53	3.9.3	Air Content						X															

SUBMITTAL REGISTER
JB CHS ENG FORM 4288-R

Contract No.: FA441822D0007

Project Title and Location Repair Naval Reserve Bldg. 3370 JB CHS, South Carolina

Contractor

Transmittal No	Item No	Specification Section or Drawing Sheet Reference	Specification Paragraph Number	Description of Submittal Item	Submittal Type Code: SD-01 - Preconstruction	SD-02 - Shop Drawings	SD-03 - Product Data	SD-04 - Samples	SD-05 - Design Data	SD-06 - Test Reports	SD-07 - Certificates	SD-08 - Manufacturer's Instructions	SD-09 - Manufacturer's Field Report	SD-10 - O&M Data	SD-11 - Closeout	Classification Code: FIO, GA, DA, CR, DA/CR, DA/GA	Contractor Schedule Dates: CPM Schedule Activity ID	Sumbit By	Approval Needed By	Material Needed By	Contractor Action: Date Submitted to Government	Government Action: Government Action Code	Date Returned	Government Review Time	Remarks
a.	b.	c.	d.	e.	f.	g.	h.	i.	j.	k.	l.	m.	n.	o.	p.	q.	r.	s.	t.	u.	v.	w.	x.	y.	z.
	6	03 30 53	2.2.4	Water						X															
	7	03 30 53	2.2.1	Cementitious Materials							X														
	7	03 30 53	2.2.1.2	Pozzolan							X														
	7	03 30 53	1.5.1	CPG for recycled materials or appropriate Waiver Form							X														
	7	03 30 53	2.3	Delivery Tickets							X														
	8	03 30 53	2.4.2	Chemical Floor Hardener								X													
	8	03 30 53	2.4.3	Curing Compound								X													
	3	07 22 00	2.1	Insulation			X									G									
	3	07 22 00	1.4	Cover Board			X									G									
	3	07 22 00	2.6	Fasteners			X									G									
	3	07 22 00	2.4	Sheathing Paper			X									G									
	3	07 22 00	2.5	Moisture Control			X									G									
	3	07 22 00	1.10.1	Asphalt			X									G									
	6	07 22 00	1.8.1	Flame Spread Rating						X						G									
	7	07 22 00	1.6	Installer Qualifications							X					G									
	7	07 22 00	1.6	Certificates Of Compliance For Felt Materials							X					G									
	7	07 22 00	2.1.2	Indoor Air Quality For Insulation							X					S									
	7	07 22 00	2.1.3	Acceptable Foam Blowing Agents							X					S									
	8	07 22 00	2.6	Fasteners								X				G									
	8	07 22 00	2.1	Insulation								X				G									
	2	07 84 00	2.1	Firestopping System		X										G									
	3	07 84 00	2.2	Firestopping Materials			X									G									
	7	07 84 00	1.5.2	Inspector Qualifications							X														
	7	07 84 00	2.2	Firestopping Materials							X														
	3	09 90 00	3.3.5	Sealant			X																		
	4	09 90 00	2.2	Color				X								G									
	8	09 90 00	3.4.1	Application Instructions								X													
	8	09 90 00	2.1	Mixing								X													
	10	09 90 00	2.1	Coatings										X		G									
	2	22 00 00	3.7.1	Plumbing System		X										G									
	3	22 00 00	3.3	Vibration-Absorbing Features			X									G									
	3	22 00 00	3.7.1	Plumbing System			X																		
	6	22 00 00	3.7	Tests, Flushing and Disinfection						X															
	7	22 00 00	1.4	Materials and Equipment							X														
	7	22 00 00	2.1.1	Bolts							X														
	10	22 00 00	3.7.1	Plumbing System										X		G									
	2	23 05 48.19	3.1	Coupling and Bracing		X																			
	2	23 05 48.19	2.2	Equipment Restraint		X																			
	2	23 05 48.19	1.2.4	Contractor Designed Bracing		X										G									
	3	23 05 48.19	2.2	Equipment Restraint			X									G									
	3	23 05 48.19	2.6	Snubbers			X																		
	3	23 05 48.19	3.5	Anchor Bolts			X																		
	3	23 05 48.19	2.2.2	Vibration Isolators			X																		
	5	23 05 48.19	1.2.4	Design Calculations					X																
	6	23 05 48.19	3.5	Anchor Bolts						X						G									
	1	23 05 93	1.5.1	Independent TAB Agency and Personnel Qualifications	X											G									
	1	23 05 93	1.5.3.1	TAB Design Review Report	X											G									
	2	23 05 93	1.3.2	TAB Schematic Drawings and Report Forms		X										G									
	3	23 05 93	1.3	Equipment and Performance Data			X									G									
	3	23 05 93	1.5.1.3	TAB Related HVAC Submittals			X									G									
	6	23 05 93	3.3.5	Completed Pre-Final DALT Report						X						G									
	6	23 05 93	3.3.8	Certified Final DALT Report						X						G									
	6	23 05 93	1.5.3.2	Prerequisite HVAC Work Checkout List						X						G									
	6	23 05 93	1.5.3.2	Prerequisite HVAC Work Checkout List						X						G									
	6	23 05 93	1.5.3.2	Prerequisite HVAC Work Checkout List						X						G									
	6	23 05 93	3.4.4.1	Proportional Balancing						X						G									
	6	23 05 93	3.7	Proportional Balancing						X						G									

SUBMITTAL REGISTER
JB CHS ENG FORM 4288-R

Contract No.: FA441822D0007

Project Title and Location Repair Naval Reserve Bldg. 3370 JB CHS, South Carolina

Contractor

Transmittal No	Item No	Specification Section or Drawing Sheet Reference	Specification Paragraph Number	Description of Submittal Item	Submittal Type Code: SD-01 - Preconstruction	SD-02 - Shop Drawings	SD-03 - Product Data	SD-04 - Samples	SD-05 - Design Data	SD-06 - Test Reports	SD-07 - Certificates	SD-08 - Manufacturer's Instructions	SD-09 - Manufacturer's Field Report	SD-10 - O&M Data	SD-11 - Closeout	Classification Code: FIO, GA, DA, CR, DA/CR, DA/GA	Contractor Schedule Dates: CPM Schedule Activity ID	Sumbit By	Approval Needed By	Material Needed By	Contractor Action: Date Submitted to Government	Government Action: Government Action Code	Date Returned	Government Review Time	Remarks
a.	b.	c.	d.	e.	f.	g.	h.	i.	j.	k.	l.	m.	n.	o.	p.	q.	r.	s.	t.	u.	v.	w.	x.	y.	z.
	6	23 05 93	3.4.4.2	Season 2						X						G									
	7	23 05 93	1.5.3.2	TAB Pre-Field Engineering Report							X					G									
	7	23 05 93	1.5.5	Instrument Calibration Certificates							X					G									
	7	23 05 93	3.7	DALT and TAB Procedures Summary							X					G									
	7	23 05 93	3.7	Completed Pre-Final DALT Work Checklist							X					G									
	7	23 05 93	3.3.2	Advance Notice of Pre-Final DALT Field Work							X					G									
	7	23 05 93	3.4.4.1	Proportional Balancing							X					G									
	7	23 05 93	3.7	Proportional Balancing							X					G									
	7	23 05 93	3.4.4.2	Season 1							X					G									
	7	23 05 93	3.7	Season 1							X					G									
	7	23 05 93	3.4.4.2	Season 2							X					G									
	7	23 05 93	3.7	Season 2							X					G									
	2	23 07 00	3.2	Duct Insulation Systems		X																			
	2	23 07 00	3.3	Equipment Insulation Systems		X																			
	3	23 07 00	3.2	Duct Insulation Systems			X									G									
	3	23 07 00	3.3	Equipment Insulation Systems			X									G									
	4	23 07 00	2.2.1.3	Thermal Insulation				X								G									
	4	23 07 00	3.1.1	Display Samples				X								G									
	7	23 07 00	2.2.1	Indoor air quality for adhesives							X					S									
	8	23 07 00	3.2	Duct Insulation Systems								X				G									
	8	23 07 00	3.3	Equipment Insulation Systems								X				G									
	2	23 09 00	3.2	DDC Contractor Design Drawings		X										G									
	2	23 09 00	3.2	Draft As-Built Drawings		X										G									
	2	23 09 00	3.2	Final As-Built Drawings		X										G									
	3	23 09 00	1.8.3	Programming Software			X									G									
	3	23 09 00	1.8.4	Controller Application Programs			X									G									
	3	23 09 00	1.8.1	Configuration Software			X									G									
	3	23 09 00	1.8.2	Controller Configuration Settings			X									G									
	3	23 09 00	1.1.2.3	Proprietary Multi-Split Engineering Tool Software			X									G									
	3	23 09 00	2.2	Manufacturer's Product Data			X									G									
	6	23 09 00	1.9.1	Pre-Construction Quality Control (QC) Checklist						X						G									
	6	23 09 00	1.9.2	Post-Construction Quality Control (QC) Checklist						X						G									
	6	23 09 00	3.4.2	Start-Up Testing Report						X						G									
	6	23 09 00	3.5.5	Control Contractor's Performance Verification Testing Plan						X						G									
	6	23 09 00	3.5.3.1	Equipment Supplier's Performance Verification Testing Plan						X						G									
	6	23 09 00	3.5.8.3	Endurance Testing Results						X						G									
	6	23 09 00	3.5.9	Performance Verification Test Report						X						G									
	10	23 09 00	3.6	Operation and Maintenance (O&M) Instructions										X		G									
	10	23 09 00	3.8.1	Training Documentation										X		G									
	11	23 09 00	2.5	Enclosure Keys											X	G									
	11	23 09 00	3.1.6.1	Password Summary Report											X	G									
	11	23 09 00	1.9.3	Closeout Quality Control (QC) Checklist											X	G									
	2	23 30 00	1.4.4	Detail Drawings		X										G									
	3	23 30 00	2.9.1.1	Metallic Flexible Duct			X																		
	3	23 30 00	2.9.1.2	Insulated Nonmetallic Flexible Duct Runouts			X																		
	3	23 30 00	2.9.1.2	Duct Connectors			X																		
	3	23 30 00	2.9.2	Duct Access Doors			X									G									
	3	23 30 00	2.9.3	Fire Dampers			X																		
	3	23 30 00	2.9.4	Manual Balancing Dampers			X									G									
	3	23 30 00	2.9.6	Automatic Smoke-Fire Dampers			X																		
	3	23 30 00	2.9.7	Automatic Smoke Dampers			X																		
	3	23 30 00	2.9.9.1	Diffusers			X																		
	3	23 30 00	2.9.9.4	Registers and Grilles			X																		
	3	23 30 00	2.9.10	Louvers			X																		
	3	23 30 00	2.10.1.1	Centrifugal Fans			X																		
	3	23 30 00	2.10.1.3	Ceiling Exhaust Fans			X																		
	3	23 30 00	2.10.1.3	PL-109-58 label for ceiling exhaust fan product			X									S									

SUBMITTAL REGISTER
JB CHS ENG FORM 4288-R

Contract No.: FA441822D0007

Project Title and Location Repair Naval Reserve Bldg. 3370 JB CHS, South Carolina

Contractor

Transmittal No	Item No	Specification Section or Drawing Sheet Reference	Specification Paragraph Number	Description of Submittal Item	Submittal Type Code: SD-01 - Preconstruction	SD-02 - Shop Drawings	SD-03 - Product Data	SD-04 - Samples	SD-05 - Design Data	SD-06 - Test Reports	SD-07 - Certificates	SD-08 - Manufacturer's Instructions	SD-09 - Manufacturer's Field Report	SD-10 - O&M Data	SD-11 - Closeout	Classification Code: FIO, GA, DA, CR, DA/CR, DA/GA	Contractor Schedule Dates: CPM Schedule Activity ID	Sumbit By	Approval Needed By	Material Needed By	Contractor Action: Date Submitted to Government	Government Action: Government Action Code	Date Returned	Government Review Time	Remarks
a.	b.	c.	d.	e.	f.	g.	h.	i.	j.	k.	l.	m.	n.	o.	p.	q.	r.	s.	t.	u.	v.	w.	x.	y.	z.
	3	23 30 00	1.4.5	Test Procedures			X																		
	3	23 30 00	1.2.1.2	Diagrams			X									G									
	3	23 30 00	2.9.1	Indoor Air Quality for Duct Sealants			X									S									
	6	23 30 00	3.11	Performance Tests						X						G									
	6	23 30 00	3.9	Damper Acceptance Test						X						G									
	7	23 30 00	1.4.3	Ozone Depleting Substances Technician Certification							X														
	8	23 30 00	3.2	Manufacturer's Installation Instructions								X													
	8	23 30 00	3.13.2	Operation and Maintenance Training								X													
	10	23 30 00	3.13.1	Operation and Maintenance Manuals										X		G									
	10	23 30 00	2.9.3	Fire Dampers										X		G									
	10	23 30 00	2.9.4	Manual Balancing Dampers										X		G									
	10	23 30 00	2.9.6	Automatic Smoke-Fire Dampers										X		G									
	10	23 30 00	2.9.7	Automatic Smoke Dampers										X		G									
	10	23 30 00	2.10.1.1	Centrifugal Fans										X		G									
	10	23 30 00	2.10.1.3	Ceiling Exhaust Fans										X		G									
	11	23 30 00	3.12	Indoor Air Quality During Construction											X	S									
	3	23 81 00	3.7.1	Spare Parts			X																		
	3	23 81 00	3.4	Posted Instructions			X																		
	3	23 81 00	2.6.1	Coil Corrosion Protection			X																		
	3	23 81 00	3.6	System Performance Tests			X																		
	3	23 81 00	3.4	Training			X									G									
	3	23 81 00	1.4	Inventory			X																		
	3	23 81 00	2.1	Supplied Products			X																		
	3	23 81 00	2.2	Manufacturer's Standard Catalog Data			X																		
	6	23 81 00	3.5	Refrigerant Tests, Charging, and Start-Up						X						G									
	6	23 81 00	3.6	System Performance Tests						X						G									
	7	23 81 00	3.7.2	Service Organizations							X														
	10	23 81 00	3.4	Operation and Maintenance Manuals										X		G									
	11	23 81 00	2.2.2.3	Ozone Depleting Substances											X	S									
	1	25 05 11	3.3.2	Device Account Lock Exception Request	X											G									
	1	25 05 11	3.2.3.2	Multiple Ethernet Connection Device Request	X											G									
	1	25 05 11	1.9.1.6	Contractor Computer Cybersecurity Compliance Statements	X											G									
	1	25 05 11	1.9.6	Contractor Temporary Network Cybersecurity Compliance Statements	X											G									
	1	25 05 11	1.7.2	Cybersecurity Interconnection Schedule	X											G									
	1	25 05 11	1.7.1	Proposed STIG and SRG Applicability Report	X											G									
	1	25 05 11	1.7.4	Pre-Construction Control System Inventory Report	X											G									
	2	25 05 11	1.7.3	Network Communication, Ports, Protocols and Services Report		X										G									
	2	25 05 11	1.7.6	Cybersecurity Network (Riser) Diagram		X										G									
	3	25 05 11	1.7.8	Control System Cybersecurity Documentation			X									G									
	6	25 05 11	3.12.1	Control System Cybersecurity Testing Procedures						X						G									
	6	25 05 11	3.12.3	Control System Cybersecurity Testing Report						X						G									
	7	25 05 11	1.8	Software Licenses							X					G									
	11	25 05 11	3.4.5.5	Confidential Password Report											X	G									
	11	25 05 11	3.3.5	Enclosure Keys											X	G									
	11	25 05 11	1.7.5	Software and Configuration Backups											X	G									
	11	25 05 11	3.5.3	Auditing Front End Software											X	G									
	11	25 05 11	3.5.4.1	Device Audit Record Upload Software											X	G									
	11	25 05 11	3.9	System Maintenance Tool Software											X	G									
	11	25 05 11	3.10.2	Control System Scanning Tools											X	G									
	11	25 05 11	1.7.7	STIG, SRG and Vendor Guide Compliance Result Report											X	G									
	11	25 05 11	1.7.4	Final Control System Inventory Report											X	G									
	6	26 08 00	3.1	Acceptance Tests and Inspections						X						G									
	7	26 08 00	1.4.1	Qualifications							X					G									
	7	26 08 00	1.4.3	Acceptance Test and Inspections Procedure							X					G									
	2	26 12 19	1.5.1	Pad-mounted Transformer Drawings		X										G									
	3	26 12 19	2.2	Pad-mounted Transformers			X									G									
	6	26 12 19	3.7.1	Acceptance Checks and Tests						X						G									

SUBMITTAL REGISTER
JB CHS ENG FORM 4288-R

Contract No.: FA441822D0007

Project Title and Location Repair Naval Reserve Bldg. 3370 JB CHS, South Carolina

Contractor

Transmittal No	Item No	Specification Section or Drawing Sheet Reference	Specification Paragraph Number	Description of Submittal Item	Submittal Type Code: SD-01 - Preconstruction	SD-02 - Shop Drawings	SD-03 - Product Data	SD-04 - Samples	SD-05 - Design Data	SD-06 - Test Reports	SD-07 - Certificates	SD-08 - Manufacturer's Instructions	SD-09 - Manufacturer's Field Report	SD-10 - O&M Data	SD-11 - Closeout	Classification Code: FIO, GA, DA, CR, DA/CR, DA/GA	Contractor Schedule Dates: CPM Schedule Activity ID	Sumbit By	Approval Needed By	Material Needed By	Contractor Action: Date Submitted to Government	Government Action: Government Action Code	Date Returned	Government Review Time	Remarks
a.	b.	c.	d.	e.	f.	g.	h.	i.	j.	k.	l.	m.	n.	o.	p.	q.	r.	s.	t.	u.	v.	w.	x.	y.	z.
	9	26 12 19	2.7.1	Transformer Test Schedule									X			G									
	9	26 12 19	2.7.2	Design Tests									X			G									
	9	26 12 19	2.7.3	Routine and Other Tests									X			G									
	10	26 12 19	1.6.1	Transformer(s)										X		G									
	3	26 20 00	2.9	Receptacles			X									G									
	3	26 20 00	2.10.1	Circuit Breakers			X									G									
	3	26 20 00	2.8	Switches			X									G									
	6	26 20 00	3.5.2	600-volt Wiring Test						X						G									
	6	26 20 00	3.5.3	Ground-fault Receptacle Test						X						G									
	10	26 20 00	1.5.1	Electrical Systems										X		G									
	2	33 32 16	2.1	Fabrication Drawings		X																			
	2	33 32 16	2.1	Erection/Installation Drawings		X																			
	3	33 32 16	2.2	Submersible Sewage Grindernonclog Pumps			X									G									
	3	33 32 16	2.3	Pump Performance Curve			X									G									
	3	33 32 16	2.3	Pump Motor			X									G									
	3	33 32 16	2.5.1.2	Wet Well and Valve Vault			X									G									
	3	33 32 16	2.5.5	Flexible Flanged Coupling			X									G									
	3	33 32 16	2.5	Station Piping			X									G									
	3	33 32 16	2.6	Valves			X									G									
	3	33 32 16	3.3.1	Spare Parts Data			X									G									
	3	33 32 16	3.2.1	Pump Test			X									G									
	3	33 32 16	3.2.1	Float Test			X									G									
	7	33 32 16	2.2	Submersible Sewage Grindernonclog Pumps							X					G									
	7	33 32 16	2.6.1	Gate Valves							X					G									
	7	33 32 16	2.6.2	Check Valves							X					G									
	7	33 32 16	2.3	Pump Motor							X					G									
	8	33 32 16	2.4	Pump Control System								X				G									
	8	33 32 16	2.6.1	Gate Valves								X				G									
	8	33 32 16	2.6.2	Check Valves								X				G									
	8	33 32 16	2.3	Pump Motor								X				G									
	8	33 32 16	3.3.1	Special Tools								X				G									
	8	33 32 16	3.3.1	Posted Instructions								X				G									
	10	33 32 16	3.3.1	Operation And Maintenance Manuals										X											
	11	33 32 16	1.5	Warranty											X	G									
	3	33 71 02	2.6	Medium Voltage Cable Joints			X									G									
	3	33 71 02	2.5	Medium Voltage Cable Terminations			X									G									
	6	33 71 02	3.8.1	Field Acceptance Checks and Tests						X						G									
	6	33 71 02	2.10.1	Arc-proofing Test						X						G									
	7	33 71 02	1.5.1	Cable Installer Qualifications							X					G									

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01 33 00 - Attachment D - Form 4289-R (RFI Register)

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REQUEST FOR INFORMATION REGISTER JB CHS ENG FORM 4289-R										Contract No.	Contract No.: FA4418-XX-X-XXXX		
Project Title and Location										Contractor			
CPM Schedule Activity ID	RFI No	Specification Section or Drawing Sheet Reference	Specification Paragraph Number or Drawing Detail	RFI Subject	RFI Priority - Normal (N) High (H)	Potential Time/Cost Impact - Yes (Y) No (N)	Schedule Impact - Yes (Y) No (N)	Critical Path Impact - Yes (Y) No (N)	Estimated Days Until Critical Path Impact	Date Submitted to Government	Date Returned to Contractor	Number of Days in Review	Remarks
a.	b.	c.	d.	e.	f.	g.	h.	i.	j.	k.	l.	m.	n.
												0	
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SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
JB CHS 12/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASME INTERNATIONAL (ASME)

ASME B30.5	(2021) Mobile and Locomotive Cranes
ASME B30.7	(2021) Winches
ASME B30.22	(2016) Articulating Boom Cranes

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ASSP Z359.1	(2020) The Fall Protection Code

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10	(2022) Standard for Portable Fire Extinguishers
NFPA 51B	(2019) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70E	(2021) Standard for Electrical Safety in the Workplace

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1926	Safety and Health Regulations for Construction

29 CFR 1926.1400	Cranes and Derricks in Construction
29 CFR 1926.16	Rules of Construction
29 CFR 1926.500	Fall Protection
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

1.2.1 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

1.2.2 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even though provided by a physician or registered personnel.

1.2.3 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

a. Death, regardless of the time between the injury and death, or the length of the illness;

b. Days away from work (any time lost after day of injury/illness onset);

c. Restricted work;

d. Transfer to another job;

e. Medical treatment beyond first aid;

f. Loss of consciousness; or

g. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (a) through (f) above.

1.2.4 USACE Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

1.2.5 Weight Handling Equipment (WHE) Accident

A WHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment;

two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over). Any mishap meeting the criteria described above shall be documented in both the Contractor Significant Incident Report (CSIR) submitted within five days both as provided by the Contracting Officer.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G

SD-06 Test Reports

Notifications and Reports; FIO

Submit reports as their incidence occurs, in accordance with the requirements of the paragraph "Notifications and Reports".

Accident Reports; G

Crane Reports; FIO

SD-07 Certificates

Activity Hazard Analysis (AHA); FIO

Crane Critical Lift Plan; G

Provide Proof Of Current Qualification For Crane Operators.; G

Confined Space Entry Permit; FIO

Hot Work Permit; FIO

License Certificates; FIO

1.4 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.5 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.5.1 Personnel Qualifications

1.5.1.1 Site Safety and Health Officer (SSHO)

The SSHO must meets the requirements of EM 385-1-1 Section 1 and ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one (1) person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Designated Representative/Alternate shall be at the work site at all times to implement and administer the Contractor's safety program and government-accepted Accident Prevention Plan. The SSHO's training, experience, and qualifications shall be as required by EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

A Competent Person shall be provided for all of the hazards identified in the Contractor's Safety and Health Program in accordance with the accepted Accident Prevention Plan, and shall be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the the Contracting Officer for acceptance in consultation with the Safety Office.

1.5.1.2 Contractor Quality Control (QC) Person:

The Contractor Quality Control Person can be the SSHO on this project.

1.5.1.3 Crane Operators

Meet the crane operators requirements in USACE EM 385-1-1, Section 16 and Appendix I. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators as qualified by a source that qualifies crane operators (i.e., union, a government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification for crane operators.

1.5.2 Personnel Duties

1.5.2.1 Site Safety and Health Officer (SSHO)

The SSHO shall:

a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily quality control report.

b. Conduct mishap investigations and complete required reports. Maintain the OSHA Form 300 and Daily Production reports for prime and subcontractors.

c. Maintain applicable safety reference material on the job site.

d. Attend the pre-construction conference, pre-work meetings including preparatory inspection meetings, and periodic in-progress meetings.

e. Implement and enforce accepted APPs and AHAs.

f. Maintain a safety and health deficiency tracking system that monitors outstanding deficiencies until resolution. Post a list of unresolved safety and health deficiencies on the safety bulletin board.

g. Ensure subcontractor compliance with safety and health requirements.

h. Maintain a list of hazardous chemicals on site and their material safety data sheets.

Failure to perform the above duties will result in dismissal of the superintendent, QC Manager, and/or SSHO, and a project work stoppage. The project work stoppage will remain in effect pending approval of a suitable replacement.

1.5.3 Meetings

1.5.3.1 Preconstruction Conference

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project shall attend the preconstruction conference. This includes the project superintendent, Site Safety and Health officer, quality control supervisor, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the contract. This list of proposed AHAs will be reviewed at the conference and an agreement will be reached between the Contractor and the Contracting Officer's representative as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, review, and acceptance of AHAs to preclude project delays.

c. Deficiencies in the submitted APP will be brought to the attention of the Contractor at the preconstruction conference, and the Contractor shall revise the plan to correct deficiencies and re-submit it for acceptance. Do not begin work until there is an accepted APP.

1.6 ACCIDENT PREVENTION PLAN (APP)

Use a qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of USACE EM 385-1-1 and as supplemented herein. Cover all paragraph and subparagraph elements in USACE EM 385-1-1, Appendix A "Minimum Basic Outline for Accident Prevention Plan". Specific requirements for some of the APP elements are described below. The APP shall be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP shall interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the contract and the penalties for noncompliance, coordinating the work to

prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP shall be signed by the person and the firm (senior person) preparing the APP, the Contractor, the on-site superintendent, the designated site safety and health officer, the Contractor Quality Control Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH).

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance.

Once accepted by the Contracting Officer, the APP and attachments will be enforced as part of the contract. Disregarding the provisions of this contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified.

Once work begins, changes to the accepted APP shall be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate / remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSP A10.34), and the environment.

Copies of the accepted plan will be maintained at the Contracting Officer's office and at the job site.

Continuously review and amend the APP, as necessary, throughout the life of the contract. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered.

1.6.1 EM 385-1-1 Contents

In addition to the requirements outlined in Appendix A of USACE EM 385-1-1, the following is required:

1.6.1.1 Crane Critical Lift Plan

Prepare and sign weight handling critical lift plans for lifts over 75 percent of the capacity of the crane or hoist (or lifts over 50 percent of the capacity of a barge mounted mobile crane's hoists) at any radius of lift; lifts involving more than one crane or hoist; lifts of personnel; and lifts involving non-routine rigging or operation, sensitive equipment, or unusual safety risks. Submit 15 calendar days prior to on-site work and include the requirements of USACE EM 385-1-1, paragraph 16.H. and the following:

a. For lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400.

b. For barge mounted mobile cranes, provide barge stability calculations identifying barge list and trim based on anticipated loading; and load charts based on calculated list and trim. The amount of list and trim shall be within the crane manufacturer's requirements.

1.7 ACTIVITY HAZARD ANALYSIS (AHA)

The Activity Hazard Analysis (AHA) format shall be in accordance with USACE EM 385-1-1, Section 1. Submit the AHA for review at least 15 calendar days prior to the start of each phase. Format subsequent AHAs as amendments to the APP. The analysis should be used during daily inspections to ensure the implementation and effectiveness of the activity's safety and health controls.

The AHA list will be reviewed periodically (at least monthly) at the Contractor supervisory safety meeting and update as necessary when procedures, scheduling, or hazards change.

Develop the activity hazard analyses using the project schedule as the basis for the activities performed. Any activities listed on the project schedule will require an AHA. The AHAs will be developed by the contractor, supplier or subcontractor and provided to the prime contractor for submittal to the Contracting Officer.

1.8 DISPLAY OF SAFETY INFORMATION

1.8.1 Safety Bulletin Board

Within one calendar day after commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.06. Additional items required to be posted include:

a. Confined space entry permit.

b. Hot work permit.

1.9 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.10 EMERGENCY MEDICAL TREATMENT

Contractors will arrange for their own emergency medical treatment. Government has no responsibility to provide emergency medical treatment.

1.11 NOTIFICATIONS and REPORTS

1.11.1 Accident Notification

Notify the Contracting Officer as soon as practical, but no more than four hours, after any accident meeting the definition of Recordable Injuries or Illnesses or High Visibility Accidents, property damage equal to or greater than $2,000, or any weight handling equipment accident. Within notification include contractor name; contract title; type of contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property

damage, if any; extent of injury, if known, and brief description of accident (to include type of construction equipment used, PPE used, etc.). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted.

1.11.2 Accident Reports

a. Conduct an accident investigation for recordable injuries and illnesses for Medical Treatment defined in paragraph DEFINITIONS, property damage accidents resulting at least $20,000 in damages, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Utilize Attachment A - Accident Investigation Form (CIRS) and submit for Government Approval. Complete Accident Investigation Form (CIRS) The Contracting Officer will provide copies of any required or special forms.

b. Conduct an accident investigation for any weight handling equipment accident (including rigging gear accidents) to establish the root cause(s) of the accident, complete a WHE Accident Report (Crane and Rigging Gear) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. Utilize Attachment A - Accident Investigation Form (CIRS) and submit for Government Approval.

1.11.3 Crane Reports

Submit crane inspection reports required in accordance with USACE EM 385-1-1, Appendix I and as specified herein with Daily Reports of Inspections.

1.11.4 Certificate of Compliance

Provide a Certificate of Compliance for each crane entering an activity under this contract (see Contracting Officer for a blank certificate). State within the certificate that the crane and rigging gear meet applicable OSHA regulations (with the Contractor citing which OSHA regulations are applicable, e.g., cranes used in construction, demolition, or maintenance comply with 29 CFR 1926 and USACE EM 385-1-1 Section 16 and Appendix I. Certify on the Certificate of Compliance that the crane operator(s) is qualified and trained in the operation of the crane to be used. Also certify that all of its crane operators working on the DOD activity have been trained in the proper use of all safety devices (e.g., anti-two block devices). Post certifications on the crane.

1.12 HOT WORK

1.12.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the Joint Base Charleston Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". All extinguishers shall be current inspection tagged, approved safety pin and tamper

resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of 30 minutes after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency phone number. ANY FIRE, NO MATTER HOW SMALL, SHALL BE REPORTED TO THE RESPONSIBLE BASE FIRE DEPARTMENT IMMEDIATELY.

1.13 RADIATION SAFETY REQUIREMENTS

License Certificates for radiation materials and equipment shall be submitted to the Contracting Officer and Radiation Safety Office (RSO) for all specialized and licensed material and equipment that could cause fatal harm to construction personnel or to the construction project.

Workers shall be protected from radiation exposure in accordance with 10 CFR 20 Standards for Protection Against Radiation.

Loss of radioactive materials shall be reported immediately to the Contracting Officer.

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, no assumptions shall be made as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, a fully instructed employee shall be positioned inside such building or area to prevent exiting while external radiographic operations are in process. Transportation of Regulated Amounts of Radioactive Material will comply with 49 CFR 173, Subchapter C, Hazardous Material Regulations. Local Fire authorities and the site Radiation Safety officer (RSO) shall be notified of any Radioactive Material use.

Transmitter Requirements: The base policy concerning the use of transmitters such as radios, cell phones, etc., must be adhered to by all contractor personnel. They must also obey Emissions control (EMCON) restrictions.

1.14 FACILITY OCCUPANCY CLOSURE

Streets, walks, and other facilities occupied and used by the Government shall not be closed or obstructed without written permission from the Contracting Officer.

1.15 CONFINED SPACE ENTRY REQUIREMENTS.

Contractors entering and working in confined spaces while performing general industry work are required to follow the requirements of OSHA 29 CFR 1926, and comply with the requirements in Section 34 of EM 385-1-1, OSHA 29 CFR 1910, and OSHA 29 CFR 1910.146.

1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must:

a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

c. Ensure that temporary erosion controls are adequate.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

3.1.1 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with USACE EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, and lead-based paint are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.2 Unforeseen Hazardous Material

The design should have identified materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If additional material, not indicated, that may be hazardous to human health upon disturbance during construction operations are encountered, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4, "Changes" and FAR 52.236-2, "Differing Site Conditions."

3.2 PRE-OUTAGE COORDINATION MEETING

Apply for utility outages at least 28 days in advance. As a minimum, the request must include the location of the outage, utilities being affected, duration of outage and any necessary sketches. Special requirements for electrical outage requests are contained elsewhere in this specification section. Once approved, and prior to beginning work on the utility system

requiring shut down, attend a pre-outage coordination meeting with the Contracting Officer to review the scope of work and the lock-out/tag-out procedures for worker protection. No work will be performed on energized electrical circuits unless proof is provided that no other means exist. Identify the Pre-Outage Coordination Meeting on the 3-Week Look Ahead Schedule IAW 01 32 00.

3.3 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Ensure that each employee is familiar with and complies with these procedures and USACE EM 385-1-1, Section 12, Control of Hazardous Energy.

3.4 FALL HAZARD PROTECTION AND PREVENTION PROGRAM

Establish a fall protection and prevention program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures.

3.4.1 Training

Institute a fall protection training program. As part of the Fall Hazard Protection and Prevention Program, provide training for each employee who might be exposed to fall hazards. Provide training by a competent person for fall protection in accordance with USACE EM 385-1-1, Section 21.B.

3.4.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated for each specific work activity in the Fall Protection and Prevention Plan and/or AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

In addition to the required fall protection systems, safety skiffs, personal floatation devices, life rings, etc., are required when working above or next to water in accordance with USACE EM 385-1-1, Paragraphs 21.N through 21.N.04. Personal fall arrest systems are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall arrest systems are required when operating other equipment such as scissor lifts if the work platform is capable of being positioned outside the wheelbase. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, or travel. Fall protection must comply with 29 CFR 1926.500, Subpart M, USACE EM 385-1-1 or while performing work.

3.4.2.1 Personal Fall Arrest Equipment

Personal fall arrest equipment, systems, subsystems, and components shall meet ASSP Z359.1. Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. Body belts may only be used as a positioning device system (for uses such as steel reinforcing assembly and in addition to an approved fall arrest system). Harnesses shall have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Only locking snap hooks and carabiners shall be used. Webbing, straps, and

ropes shall be made of synthetic fiber. The maximum free fall distance when using fall arrest equipment shall not exceed 6 feet. The total fall distance and any swinging of the worker (pendulum-like motion) that can occur during a fall shall always be taken into consideration when attaching a person to a fall arrest system.

3.4.3 Fall Protection for Roofing Work

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

a. Low Sloped Roofs:

(1) For work within 6 feet of an edge, on low-slope roofs, protect personnel from falling by use of personal fall arrest system, guardrails, or safety nets.

(2) For work greater than 6 feet from an edge, erect and install warning lines in accordance with 29 CFR 1926.500 and USACE EM 385-1-1.

b. Steep-Sloped Roofs: Work on steep-sloped roofs requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also includes residential or housing type construction.

3.4.4 Horizontal Lifelines (HLL)

Design, install, certify and use under the supervision of a qualified person, for horizontal lifelines for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500).

3.4.5 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1 and 29 CFR 1926 Subpart M.

3.4.6 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP).

3.5 EQUIPMENT

3.5.1 Material Handling Equipment

a. Material handling equipment such as forklifts shall not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating

instructions.

b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions.

c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

3.5.2 Weight Handling Equipment (WHE)

a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.

b. Comply with the crane manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.

c. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, and ASME B30.8 for floating cranes and floating derricks.

d. Under no circumstance shall a Contractor make a lift at or above 90 percent of the cranes rated capacity in any configuration.

e. When operating in the vicinity of overhead transmission lines, operators and riggers shall be alert to this special hazard and follow the requirements of USACE EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.

f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane.

g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.

h. All employees must keep clear of loads about to be lifted and of suspended loads.

i. Use cribbing when performing lifts on outriggers.

j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.

k. A physical barricade must be positioned to prevent personnel from entering the counterweight swing (tail swing) area of the crane.

l. Certification records which include the date of inspection, signature of the person performing the inspection, and the serial number or other identifier of the crane that was inspected shall always be available for review by Contracting Officer personnel.

m. Written reports listing the load test procedures used along with any repairs or alterations performed on the crane shall be available for review by Contracting Officer personnel.

n. Certify that all crane operators have been trained in proper use of

all safety devices (e.g. anti-two block devices).

3.5.3 USE OF EXPLOSIVES

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.6 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

3.6.1 Utility Locations

All underground utilities in the work area must be positively identified by a third party, independent, private utility locating company in addition to any station locating service and coordinated with the station utility department.

3.6.2 Utility Location Verification

Physically verify underground utility locations, including utility depth, by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within three feet of the underground system.

3.6.3 Utilities Within and Under Concrete, Bituminous Asphalt, and Other Impervious Surfaces

Utilities located within and under concrete slabs or pier structures, bridges, parking areas, and the like, are extremely difficult to identify. Whenever contract work involves chipping, saw cutting, or core drilling through concrete, bituminous asphalt or other impervious surfaces, the existing utility location must be coordinated with station utility departments in addition to location and depth verification by a third party, independent, private locating company. The third party, independent, private locating company must locate utility depth by use of Ground Penetrating Radar (GPR), X-ray, bore scope, or ultrasound prior to the start of demolition and construction. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the Contractor from meeting this requirement.

3.7 ELECTRICAL

3.7.1 Portable Extension Cords

Size portable extension cords in accordance with manufacturer ratings for the tool to be powered and protected from damage. Immediately removed from service all damaged extension cords. Portable extension cords shall meet the requirements of EM 385-1-1, NFPA 70E, and OSHA electrical standards.

3.8 WORK IN CONFINED SPACES

Comply with the requirements in Section 34 of USACE EM 385-1-1, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, OSHA Directive CPL 2.100 and OSHA 29 CFR 1926. Any potential for a hazard in the confined space requires a permit system to be used.

a. Entry Procedures. Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. (See Section 34 of USACE EM 385-1-1 for entry procedures.) All hazards pertaining to the space shall be reviewed with each employee during review of the AHA.

b. Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its' action level.

c. Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

-- End of Section --

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01 35 26 - Attachment A - Accident Investigation Form (CIRS)

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◯ Initial Report
◯ Follow-up Report
◯ Final Report
Date _____/_____/_____

Contractor Incident Report System (CIRS)

1. Contract Information	Incident Information
Prime Contractor:	**Cage Code:**
Contract Number:	**Installation of Incident:**
Task Order #:	**Contracting Activity/ROICC Office:**

Contractor Contact Information	
Name (Last, First):	**Phone #:**
Email Address:	**Date Notified:**

2. Incident Type (Please Check/Bold All That Apply)

☐ Assault/Violent Act	☐ Extreme Environmental Exposure	☐ Man over the side (No water entry)
☐ Diving	☐ Falls, slip, trip, or bodily exertion	☐ Man Overboard - Water Entry
☐ Electrical Shock/Burns	☐ Fires - All Types	☐ Material Handling Equipment
☐ Equipment Installation/Repair	☐ Hazardous Material (any type)	☐ Ordnance-Related (Explosive)
☐ Explosion, Non-Ordnance	☐ **Industrial (Select Additional Below)**	☐ Vehicle (Government or Private)

Industrial Incident Additional Information (Please Check/Bold All That Apply)

☐ Confined Space	☐ Hand and Power Tools	☐ Work Platforms and Scaffolding
☐ Demolition/Renovation	☐ Rigging	☐ Underground Construction, Shafts, and Caissons
☐ Trenching/Entrapment	☐ Cranes and Hoisting Equipment	☐ Concrete, Masonry, Steel Erection and Residential Construction
☐ Traffic Control	☐ Floating Plant and Marine Activities	☐ Tree Maintenance and Removal
☐ Welding and Cutting	☐ Pressurized Equipment and System	☐ Airfield and Aircraft Operations
☐ Control of Hazardous Energy	☐ Fall Protection	

3. General Information	Incident Information
Date of Accident:	**Time of Accident:**

Describe the accident in detail in your words: *(Use the back of page if you need additional space)*

Exact Location of Accident:

Were Hazardous Material(s) Involved ☐ Yes ☐ No

If Yes, Explain What Hazardous Materials Were Involved and Why:

Who Provided Clean-up? ☐ Onsite ☐ Base ☐ Public

Activity of the injured person at the time of incident:

Personal Protective Equipment: (Check/Bold Response)

☐ Available and used ☐ Available and not used ☐ Not Required

☐ Not related to Mishap ☐ Wrong PPE for job

List PPE Used:

4. Fully Explain What Allowed or Caused the Incident: Incident Information

Direct Cause:

Indirect Cause:

Additional Action Taken: (Please Include a Begin Date and Est. End Date in Description)

Additional Action Taken: (Please Include a Begin Date and Est. End Date in Description) *(Use the back of page if you need additional space)*

5. Contributing Factors:

Was Visibility Restricted? ☐ Yes ☐ No

Distance Visibility was restricted:

Unit of Measure (Check/Bold): ☐ Feet ☐ Yards ☐ Meters ☐ Miles ☐ Nautical Miles

Visibility Restricted By: (Check/Bold all that apply)

☐ Fog ☐ Smoke ☐ Rain ☐ Sleet ☐ Snow

☐ Mist ☐ Dust ☐ Sandstorm ☐ Unknown Object ☐ Other:

Lighting Conditions at Site of Mishap:

(Please Check)

☐ Adequate ☐ Inadequate ☐ Unknown

Was Noise Level a Factor:

(Please Check)

☐ Yes ☐ No ☐ Unknown

Was Carbon Monoxide (CO) a Factor:(Please Check)

☐ Yes ☐ No

If Yes CO Alarm Manufacturer:

5. Contributing Factors: Continued

Other Contributing Factors:

6. Attached Documents

Attached Documents/Files Name/Description:	Date Added:	Uploaded By:

1. Injured Data (if applicable) Person

Age:	Gender: (Check/Bold) ☐ Male ☐ Female	Prime Contractor Company Name:	Subcontractor Company Name:

2. General Information

Drug or Alcohol Involved: (Check/Bold all that apply)

☐ None ☐ Unknown ☐ Alcohol ☐ Drugs ☐ Alcohol and Drugs

Who Provided First Aid? ☐ Onsite ☐ Base ☐ Public

Was Ergonomics a Factor: (Check/Bold) ☐ Yes ☐ No

Type of Ergonomic Injury: (Check/Bold All That Apply)

☐ Lifting ☐ Positioning ☐ Bending ☐ Equipment Placement Office

☐ Equipment Placement Industrial ☐ Repetitive Motion ☐ Impact Strain

3. Injury Illness/Fatality Information

Severity of Injury/Illness: (Check/Bold)

☐ Fatality ☐ Lost Workday Case Involving Days Away From Work

☐ Temporary Disability ☐ Recordable Workday Case Involving Restricted Duty

☐ Permanent Total Disability ☐ Other Recordable Case ☐ Recordable First Aid Case

☐ Permanent Partial Disability ☐ Non-Recordable Case ☐ No Injury

Where There Days Lost: (Check/Bold)	Where There Days Hospitalized: (Check/Bold)	Where There Days Restricted Duty: (Check/Bold)
☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

Part of Body Affected:

Nature of Injury or Illness:

Event or Exposure:

Source of Injury or Illness:

General Location Description:

Injury Activity Code:

4. License

(if applicable) **Person #**

Are Appropriate License and Certification/Medical Current: (Check/Bold) ☐ Yes ☐ No

Describe or Explain:

Attach Image of License or Certification Name/Description:	Date Added:	Uploaded By:

5. Training

Was all the contract-required training provided to the employee: (Check/Bold) ☐ Yes ☐ No

Explain:

6. Attached Documents

Attached Documents Name/Description:	Date Added:	Uploaded By:

1. Involved Person Data (if applicable) Property Damage

Age:	Gender: ☐ Male ☐ Female	Contractor:	Contractor:

2. Attached Documents

Attached Documents Name/Description:	Date Added:	Uploaded By:

3. Property Damaged

Was Anyone Injured: ☐ Yes ☐ No **Was A Government Motor Vehicle Involved:** ☐ Yes ☐ No

Property Type	Property ID #	Detailed Description	Property Owned By	Estimated Cost USD	Lost Use Days

4. License (if applicable) **Property Damage**

Are Appropriate License and Certification/Medical Current: (Check/Bold) ☐ Yes ☐ No

Describe or Explain:

Attach Image of License or Certification Name/Description:	Date Added:	Uploaded By:

5. Training

Was all the contract-required training provided to the employee? (Check/Bold) ☐ Yes ☐ No

Explain:

CONTRACTOR INCIDENT REPORT SYSTEM (CIRS) INSTRUCTIONS
Complete Only Sections Appropriate to Incident (Rev. 03/11).

NOTE: THE ATTACHED CIRS FORM IS TO BE USED BY CONTRACTORS TO RECORD THE RESULTS OF THEIR ACCIDENT/INCIDENTS INVESTIGATIONS AND SHALL BE PROVIDED TO THE CONTRACTING OFFICER WITHIN THE REQUIRED TIMEFRAMES.

GENERAL. Complete a separate report for each person who was injured in the accident pages 5-6. A report needs to be completed for all OSHA recordable accidents and property damage cases. Please type or print legibly. Appropriate items shall be Checkd/Bolded, non-applicable sections shall be marked "N/A". If additional space is needed, provide the information on a separate sheet of paper and attach to the completed form.

Mark the report: (Check/Bold)
Initial: If this form is being used as initial notification of a Fatality or High Visibility Mishap. The initial form is due within 4 hours of a serious accident. A form marked 'Follow-up' or 'Final' is required within 5 days.

Follow-Up: If you are providing additional information on a report previously submitted.

Final: If you are providing a completed report and expect no changes.

Incident Information

Section 1 Contract Information – Incident Information
Prime Contractor: Name as it appears on contract documents.

Cage Code: If known.

Contract Number: Number as it appears on the contract documents.

Installation: Name of installation where incident occurred.

Task Order #: Insert number if applicable.

Contracting Activity/ROICC Office: Enter the name and address of the Contracting Office administering the contract under which the mishap took place (e.g. ROICC MCBH, ROICC NORFOLK, PWC GUAM, etc.).
Contractor Contact Information: (Contractor point of contact information for the individual responsible for completing the form) Self Explanatory

Section 2 Incident Type: Check/Bold most applicable category, if you select Industrial you must Check/Bold at least one additional category from the **Industrial Incident Additional Information Section**.

Section 3 General Information Incident Information
Date of Accident: Enter the month, day, and year of accident.

Time of Accident: Enter the local time of accident in military time. Example: 14:30 hrs (not 2:30 p.m.).

Describe the Accident in Detail in your words: Fully describe the accident in the space provided. If property damage involved, give estimated dollar amount of damage and/or repair costs involved. If additional space is needed continue on a separate sheet and attach to this report. Give the sequence of events that describe what happened leading up to and including the accident. Fully identify personnel and equipment involved and their role(s) in the accident. Ensure that relationships between personnel and equipment are clearly specified. Ensure questions below regarding direct cause(s), indirect cause(s), and actions taken are answered. **NOTE!** Review questions in Section 4 (Fully Explain What Allowed or Caused the Incident - Incident Information) below before completing.

Exact Location of Accident: Enter facts needed to locate the accident scene (e.g. installation/project name, building/room number, street, direction and distance from closest landmark, etc.).

Were Hazardous Material(s) Involved Yes No

If Yes, Explain What Hazardous Materials Were Involved and Why: Check or Bold appropriate block and list name(s) and quantities of hazardous materials spilled/released during the mishap. List why the hazardous chemicals were being used.

Activity at the time of incident: What type of work/task was being performed by the injured when the injury took place or property damage occurred.

Personal Protective Equipment– Check/Bold appropriate items and list PPE which was being used by the injured person at the time of the accident (e.g. protective clothing, shoes, glasses, goggles, respirator, safety belt, harness, etc.)

Section 4 Fully Explain What Allowed or Caused the Incident - Incident Information
Direct Cause(s): The direct cause is that single factor which most directly lead to the accident. See examples below.

Indirect Cause(s): Indirect cause are those factors, which contributed to, but did not directly initiate the occurrence of the accident.

Examples for Direct and Indirect Cause:
1. Employee was dismantling scaffold and fell 12 feet from unguarded opening.
Direct cause: Failure to provide fall protection at elevation
Indirect causes: Failure to enforce safety requirements: improper training/motivation of employee (possibility that employee was not knowledgeable of fall protection requirements or was lax in his attitude toward safety); failure to ensure provision of positive fall protection whenever elevated; failure to address fall protection during scaffold dismantling in phase hazard analysis.

2. Private citizen had stopped his vehicle at intersection for red light when vehicle was struck in rear by contractor vehicle. (note contractor vehicles was in proper safe working condition.)
Direct cause: Failure of contractor driver to maintain control of and stop contractor vehicle within safe distance.
Indirect cause: Failure of employee to pay attention to driving (defensive driving).

Additional Action Taken: Fully describe all the actions taken, anticipated, and recommended to eliminate the cause(s) and prevent reoccurrence of similar accidents/illnesses. Continue in the additional box and or on additional sheets of paper if necessary to fully explain and attach to the completed report form.

Please Include a Begin Date and Estimated Completion Date in Description
(1) Begin: Enter the date when the corrective action(s) identified above will begin.
(2) Est. End Date - Enter the date when the corrective action(s) identified above will be completed.

Section 5 Contributing Factors Incident Information: Check/Bold appropriate items fill in information where required
Other Contributing Factors: Describe in detail any additional contributing factors not listed in previous information provided.

Section 6 Attached Documents: Provide the appropriate information for each document/file attached or uploaded.

Injured Data Person

Complete Pages 5 and 6 for each injured person At the upper right hand corner of page 5 and 6 differentiate between each person by using a numerical value (e.g. Person #1, Person #, Person #3, etc.)
Section 1 Injured Data: Fill in all applicable information, Check/bold appropriate responses.

Section 2 General Information:
Check/bold appropriate responses

Section 3 Injury/Illness Fatality Information: Check/bold appropriate responses
Part of Body Affected: Enter the most appropriate primary and when applicable, secondary, etc. body part(s) affected (e.g. arm: wrist: abdomen: single eye; jaw: both elbows: second finger: great toe: collar bone: kidney, etc.).

Nature of Injury/Illness: Describes the manner in which the injury or illness was inflicted or produced. It attempts to answer the broad question of "how" work injuries and illnesses occurred. (e.g. Fall, Struck By, Caught By, Repetitive Motion, Rubbed or Abraded By, etc.)

Event or Exposure: Describes what was produced by the injury or illness was produced or inflicted. (e.g. Infectious Parasitic Diseases, Traumatic Injuries and Disorders, Open Wounds, Burns, Intracranial Injuries, etc.)

Source of Injury Illness: Identifies the object, substance, bodily motion, or exposure, which directly produced or inflicted the previously identified injury or illness. (e.g. Acids, Chemical Products, Furniture and Fixtures, Machinery, Structures and Surfaces, Tools Instruments and Equipment, etc.)

General Location Description: Describes where the injury occurred (e.g. Industrial Facilities, Operational Industrial Building Plant , Roadway, etc.)

Injury Activity Code: Describes what the injured person was doing when the injury occurred. (e.g. Operating Type of Equipment, Construction Activity Being Performed, Industrial Operation Being Conducted, etc.)

Section 4 License:
Are Appropriate License and Certification/Medical Current: Did the injured employee have the appropriate license/certification or medical evaluations completed to conduct the work/task being performed.

Describe/Explain: Describe the required (licensing/certification/medical evaluation) for job/task being performed, date when license was issued, and expiration date. (e.g. "Powdered Actuated Tools, Hilti DX-350, License issued 11/29/2011, expires 3-years from issue date." "Respirator Semi Annual Medical Evaluation, conducted 12/30/2011, expires on 12/30/2013", etc.)

Attach Image of License or Certification: Self-Explanatory

Section 5 Training:
Was all the contract-required training provided to the employee: Self-Explanatory

Explain: If no, to the previous questions explain why the employee was not trained.

Section 6 Attached Documents:
Self-Explanatory use this for photos, drawings, diagrams, or other relevant documents.

Property Damage

Section 1 Involved Person Data: Fill in all applicable information, Check/bold appropriate responses.

Section 2 Attached Documents:
Self-Explanatory use this for photos, drawings, diagrams, or other relevant documents.

Section 3 Property Damaged:
Check/bold appropriate responses. Other Headings Self-Explanatory.

Section 4 License:
Are Appropriate License and Certification/Medical Current: Did the equipment operator have the appropriate license/certification or medical evaluations completed to conduct the work/task being performed.

Describe/Explain: Describe the required (licensing/certification/medical evaluation) for job/task being performed, date when license was issued, and expiration date. (e.g. "State Issued Driver, License issued 11/29/2011, expires on MM/DD/YYY)" "Scissor Lift, JLG Model 260MRT conducted 12/30/2011, does not expire.")

Attach Image of License or Certification: Self-Explanatory

Section 5 Training:
Was all the contract-required training provided to the employee: Self-Explanatory

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SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
05/24

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AACE INTERNATIONAL (AACE)
726 East Park Avenue #180
Fairmont, WV 26554 USA
Ph: 304-296-8444
Fax: 304-291-5728
Internet: https://web.aacei.org/

ACOUSTICAL SOCIETY OF AMERICA (ASA)
1305 Walt Whitman Road, Suite 110
Melville, NY 11747-4300
Ph: 516-576-2360
Fax: 631-923-2875
E-mail: asa@acousticalsociety.org
Internet: https://acousticalsociety.org/

AIR BARRIER ASSOCIATION OF AMERICA (ABAA)
1600 Boston-Providence Hwy
Walpole, MA 02081
Ph: 1-866-956-5888
Fax: 1-866-956-5819
E-mail: abaa@airbarrier.org
Internet: https://www.airbarrier.org/

AIR CONDITIONING CONTRACTORS OF AMERICA (ACCA)
1520 Belle View Blvd #5220
Alexandria, VA 22307
Ph: 703-575-4477
Internet: https://www.acca.org/

AIR DUCT COUNCIL (ADC)
1300 Summer Ave.

Cleveland, OH 44115
Ph: 216-241-7333

E-mail: info@flexibleduct.org
Internet: https://flexibleduct.org/

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)
30 West University Drive
Arlington Heights, IL 60004-1893
Ph: 847-394-0150
Fax: 847-253-0088
E-mail: communications@amca.org
Internet: http://www.amca.org

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)
2311 Wilson Blvd, Suite 400
Arlington, VA 22201
Ph: 703-524-8800
Internet: http://www.ahrinet.org

ALLIANCE FOR TELECOMMUNICATIONS INDUSTRY SOLUTIONS (ATIS)
1200 G Street, NW, Suite 500
Washington, D.C. 20005
Ph: 202-628-6380

Internet: http://www.atis.org

ALUMINUM ASSOCIATION (AA)
1400 Crystal Drive
Suite 430
Arlington, VA 22202
Ph: 703-358-2960

Internet: https://www.aluminum.org/

AMERICAN ARCHITECTURAL MANUFACTURERS ASSOCIATION (AAMA)
1900 E Golf Rd, Suite 1250
Schaumburg, IL 60173
Ph: 847-303-5664
E-mail: customerservice@FGIAonline.org
Internet: https://fgiaonline.org/

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)
1001 N. Fairfax Street Suite 500
Alexandria, VA 22314
Ph: 703-842-0030
E-mail: info@americanbearings.org
Internet: https://www.americanbearings.org/

AMERICAN BOILER MANUFACTURERS ASSOCIATION (ABMA/BOIL)
8221 Old Courthouse Road, Suite 380
Vienna, VA 22182
Ph: 703-356-7172

E-mail: info@abma.com
Internet: https://www.abma.com/

AMERICAN BUREAU OF SHIPPING (ABS)
ABS Plaza
1701 City Plaza Drive
Spring, TX 77389 United States
Ph: 281-877-6000
Fax: 281-877-5976
E-Mail: ABS-WorldHQ@eagle.org
Internet: https://ww2.eagle.org/

AMERICAN COMPOSITES MANUFACTURERS ASSOCIATION (ACMA)
2000 N. 15th St, Suite 250
Arlington, VA 22201
Ph: 703-525-0511
Fax: 703-525-0743
Internet: https://acmanet.org

AMERICAN CONCRETE INSTITUTE (ACI)
38800 Country Club Drive
Farmington Hills, MI 48331-3439
Ph: 248-848-3800
Fax: 248-848-3701
Internet: https://www.concrete.org/

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)
3640 Park 42 Drive
Cincinnati, OH 45241
Ph: 513-742-2020
Fax: 513-742-3355
Email: customerservice@acgih.org
Internet: https://www.acgih.org/

AMERICAN GAS ASSOCIATION (AGA)
400 North Capitol Street, NW
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Washington, D.C. 20001
Ph: 202-824-7000
Internet: https://www.aga.org/

AMERICAN GEAR MANUFACTURERS ASSOCIATION (AGMA)
1001 N. Fairfax Street, Suite 500
Alexandria, VA 22314-1587
Ph: 703-684-0211
Fax: 703-684-0242
E-mail: tech@agma.org
Internet: https://www.agma.org/

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)
130 East Randolph, Suite 2000

Chicago, IL 60601
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Fax: 312-670-5403
Steel Solutions Center: 866-275-2472
E-mail: solutions@aisc.org
Internet: https://www.aisc.org/

AMERICAN IRON AND STEEL INSTITUTE (AISI)
25 Massachusetts Avenue, NW Suite 800
Washington, DC 20001
Ph: 202-452-7100
Internet: https://www.steel.org/

AMERICAN LADDER INSTITUTE (ALI)
1300 Summer Avenue
Clevelan, OH 44115
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Fax: 216-241-0105
E-mail: info@americanladderinstitute.org
Internet: https://www.americanladderinstitute.org

AMERICAN LUMBER STANDARDS COMMITTEE (ALSC)
7470 New Technology Way, Suite F
Frederick, MD 21703
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E-mail: alsc@alsc.org
Internet: http://www.alsc.org

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)
1899 L Street, NW,11th Floor
Washington, DC 20036
Ph: 202-293-8020
Fax: 202-293-9287
E-mail: info@ansi.org
Internet: https://www.ansi.org/

AMERICAN PETROLEUM INSTITUTE (API)
200 Massachusetts Avenue NW Suite 1100
Washington, DC 20001-5571
Ph: 202-682-8000
Internet: https://www.api.org/

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)
1201 Dublin Road Suite G04

Columbus, OH 43215-1045
Ph: 800-222-2768 or 614-274-6003

E-mail: customersupport@asnt.org
Internet: https://www.asnt.org/

AMERICAN SOCIETY FOR QUALITY (ASQ)
600 North Plankinton Avenue
Milwaukee, WI 53203

-or-
P.O. Box 3005
Milwaukee, WI 53201-3005
Ph: 800-248-1946; 414-272-8575
Fax: 414-272-1734
E-mail: help@asq.org
Internet: https://asq.org/

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191
Ph: 800-548-2723; 703-295-6300
Email: customercare@asce.org
Internet: https://www.asce.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
180 Technology Parkway NW
Peachtree Corners, GA 30092
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)
Two Park Avenue
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E-mail: customercare@asme.org
Internet: https://www.asme.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
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E-mail: customerservice@assp.org
Internet: https://www.assp.org/

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)
18927 Hickory Creek Drive, Suite 220
Mokena, IL 60448
Ph: 708-995-3019
Fax: 708-479-6139
Internet: http://www.asse-plumbing.org

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 W. Quincy Avenue
Denver, CO 80235 USA
Ph: 303-794-7711 or 800-926-7337
Fax: 303-347-0804
Internet: https://www.awwa.org/

AMERICAN WELDING SOCIETY (AWS)
8669 NW 36 Street, #130
Miami, FL 33166-6672
Ph: 800-443-9353
Email: customercare@aws.org
Internet: https://www.aws.org/

AMERICAN CLEAN POWER ASSOCIATION (ACP)
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Internet: https://cleanpower.org/

AMERICAN WOOD COUNCIL (AWC)
50 Catoctin Circle SE, Suite 201
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E-mail: info@awc.org
Internet: https://www.awc.org/

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Internet: https://www.asminternational.org/

ASSOCIATED AIR BALANCE COUNCIL (AABC)
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Fax: 202-315-0285
E-mail: info@aabc.com
Internet: https://www.aabc.com/

ASSOCIATION FOR IRON AND STEEL TECHNOLOGY (AIST)
186 Thorn Hill Road
Warrendale, PA 15086-7528
Ph: 724-814-3000
Fax: 724-814-3001
E-Mail: memberservices@aist.org
Internet: https://www.aist.org/publications-advertising

ASSOCIATION OF EDISON ILLUMINATING COMPANIES (AEIC)

P.O. Box 58130
Washington, DC 20037
Ph: 205-257-3839
Fax: 205-257-2540
Internet: https://aeic.org/

ASSOCIATION OF HOME APPLIANCE MANUFACTURERS (AHAM)
1111 19th Street NW, Suite 1150
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Internet: http://www.aham.org

ASSOCIATION OF THE WALL AND CEILING INDUSTRY (AWCI)
513 West Broad Street, Suite 210
Falls Church, VA 22046
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Fax: 703-534-8307
Internet: https://www.awci.org/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
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E-mail: service@astm.org
Internet: https://www.astm.org/

AUDIOVISUAL AND INTEGRATED EXPERIENCE ASSOCIATION (AVIXA)
11242 Waples Mill Road
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E-mail: membership@avixa.org
Internet: https://www.avixa.org/

BACNET TESTING LABORATORIES (BTL)
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2900 Delk Road
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Fax: 678-229-2777

Internet: https://www.btl.org/

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Tampa, FL 33637, USA
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Email: bicsi@bicsi.org
Internet: https://www.bicsi.org/

BIFMA INTERNATIONAL (BIFMA)
678 Front Ave. NW, Suite 150
Grand Rapids, MI 49504-5368
Ph: 616-285-3963
E-mail: email@bifma.org
Internet: https://www.bifma.org/

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)
529 14th Street NW Suite 1280

Washington, DC 20045
Ph: 212-297-2122
Fax: 212-370-9047
Internet: https://www.buildershardware.com/

CAST IRON SOIL PIPE INSTITUTE (CISPI)
2401 Fieldcrest Drive
Mundelein, IL 60060
Ph: 224-864-2910
Internet: https://www.cispi.org/

CEILINGS AND INTERIOR SYSTEMS CONSTRUCTION ASSOCIATION (CISCA)
P.O. Box 293
Elmhurst, IL 60126
Ph: 630-584-1919
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COMPRESSED AIR AND GAS INSTITUTE (CAGI)
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Fax: 216-241-0105
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Internet: https://www.cagi.org/

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McLean, Virginia 22102
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E-mail: cga@cganet.com
Internet: https://www.cganet.com/

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)
933 North Plum Grove Road
Schaumburg, IL 60173-4758
Ph: 847-517-1200
Email: crsi@crsi.org
Fax: 847-517-1206
Internet: http://www.crsi.org/

CONCRETE SAWING AND DRILLING ASSOCIATION (CSDA)
P.O. Box 324
St. Petersburg, FL 33701
PH: 727-577-5004
E-mail: info@csda.org
Internet: https://www.csda.org

CONSUMER ELECTRONICS ASSOCIATION (CEA)
1919 South Eads St.
Arlington, VA 22202
Ph: 703-907-7600
E-mail: CTA@CTA.tech

Internet: https://www.cta.tech/

CONSUMER TECHNOLOGY ASSOCIATION (CTA)
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Arlington, VA 22202
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E-mail: CTA@CTA.tech
Internet: https://www.cta.tech/

CONSUMER PRODUCT SAFETY COMMISSION (CPSC)
4330 East West Highway
Bethesda, MD 20814
Ph: 800-638-2772
Fax: 301-504-0124 or 301-504-0025
Internet: https://www.cpsc.gov

CONVEYOR EQUIPMENT MANUFACTURERS ASSOCIATION (CEMA)
P.O. Box 2145
Bonita Springs, FL 34133-2145
Ph: 239-514-3441
Fax: 239-514-3470
E-mail: karen@cemanet.org
Internet: https://www.cemanet.org/

COOL ROOF RATING COUNCIL (CRRC)
2435 N. Lombard St.
Portland, OR 97217
Ph: 866-465-2523
E-mail: info@coolroofs.org
Internet: https://coolroofs.org/

COOLING TECHNOLOGY INSTITUTE (CTI)
3845 Cypress Creek Parkway, Suite 420
Houston, TX 77068
Ph: 281-583-4087
Fax: 281-537-1721
E-mail: vmanser@cti.org
Internet: https://www.coolingtechnology.org/

COPPER DEVELOPMENT ASSOCIATION (CDA)
Internet: https://www.copper.org/

COUNCIL ON ENVIRONMENTAL QUALITY (CEQ) (WHITE HOUSE)
722 Jackson Place
Washington DC 20506
Internet: https://www.whitehouse.gov/administration/eop/ceq

CRANE MANUFACTURERS ASSOCIATION OF AMERICA (CMAA)
8720 Red Oak Boulevard, Suite 201
Charlotte, NC 28217-3992
Ph: 704-676-1190
Fax: 704-676-1199
Internet: http://www.mhi.org/cmaa

CSA GROUP (CSA)
178 Rexdale Blvd.
Toronto, ON, Canada M9W 1R3
Ph: 416-747-4044
Fax: 416-747-2510

E-mail: member@csagroup.org
Internet: https://www.csagroup.org/

DEPARTMENT OF DEFENSE EXPLOSIVES SAFETY BOARD (DDESB)
Internet: https://denix.osd.mil/ddes/home/

DISTRICT OF COLUMBIA MUNICIPAL REGULATIONS (DCMR)
1350 Pennsylvania Avenue, NW, Suite 419
Washington DC 20004
Ph: 202-727-6306
Fax: 202-727-3582
TTY: 711
E-mail: secretary@dc.gov
Internet:
https://os.dc.gov/service/publication-and-regulatory-services

DOOR AND ACCESS SYSTEM MANUFACTURERS ASSOCIATION (DASMA)
1300 Sumner Avenue
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Ph: 216-241-7333
Fax: 216-241-0105
Email dasma@dasma.com
Internet: https://www.dasma.com/

DUCTILE IRON PIPE RESEARCH ASSOCIATION (DIPRA)
P.O. Box 190306
Birmingham, AL 35216
Ph: 205-402-8700
Email: info@dipra.org
Internet: https://www.dipra.org/

ELECTRICAL GENERATING SYSTEMS ASSOCIATION (EGSA)
P.O. Box 73206
Washington, DC 20056
Ph: 561-750-5575
Fax: 561-395-8557
Email: e-mail@egsa.org
Internet: http://www.egsa.org

ELECTRIFICATION AND CONTROLS MANUFACTURERS ASSOCIATION (ECMA)
8720 Red Oak Blvd., Suite 201
Charlotte, NC 28217
Ph: 704-676-1190
E-mail: askidmore@mhi.org
Internet: www.mhi.org/ecma

ELECTRONIC COMPONENTS INDUSTRY ASSOCIATION (ECIA)
310 Maxwell Road, Suite 200
Alpharetta, GA 30009
Ph: 678-393-9990
Fax: 678-393-9998
E-mail: emikoski@ecianow.org
Internet: https://www.ecianow.org

ELECTRONIC INDUSTRIES ALLIANCE (EIA)
EIA has become part of the ELECTRONIC COMPONENTS INDUSTRY ASSOCIATION (ECIA)

ELECTROSTATIC DISCHARGE ASSOCIATION (ESD)
218 W Court St
Rome, NY 13440-2069
Ph: 315-339-6937
Fax: 315-339-6793
E-mail: info.eosesda@esda.org
https://www.esda.org/

ENERGY INSTITUTE (EI)
Publications Team
Energy Institute
61 New Cavendish Street
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W1G 7AR, UK
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Fax: +44 (0)20 7255 1472
E-mail: pubs@energyinst.org.uk
Internet: https://publishing.energyinst.org/

ETL TESTING LABORATORIES (ETL)
Intertek
Ph: 800-967-5352
Internet: http://www.intertek.com/

EUROPEAN COMMITTEE FOR STANDARDIZATION (CEN/CENELEC)
CEN-CENELEC Management Centre
Rue de la Science 23
B - 1040 Brussels, Belgium
Ph: 32-2-550-08-11
Fax: 32-2-550-08-19
Internet: https://www.cencenelec.eu/

EUROPEAN UNION (EU)
European Commission
Rue de la Loi 200
1000 Bruxelle
Belgium
Ph: +32 2 299 96 96
Internet: https://commission.europa.eu/index_en

EXPANSION JOINT MANUFACTURERS ASSOCIATION (EJMA)
25 North Broadway
Tarrytown, NY 10591
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E-mail: inquiries@ejma.org
Internet: http://www.ejma.org

EXTRON ELECTRONICS (EE)
Extron USA West
Worldwide Headquarters
1025 E. Ball Road
Anaheim, CA 92805
Ph: 800-633-9876
Fax: 714-491-1517
Internet: https://www.extron.com/

FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA)
615 Chestnut Street
One Independence Mall, Sixth Floor

Philadelphia, PA 19106-4404
Ph: 215-931-5597
E-mail: femar3newsdesk@fema.dhs.gov
Internet: https://www.fema.gov/

FEDERAL REMEDIATION TECHNOLOGIES ROUNDTABLE (FRTR)
Ph: 703-487-4650
Internet: https://frtr.gov/

FLUID CONTROLS INSTITUTE (FCI)
1300 Sumner Avenue
Cleveland, OH 44115
Ph: 216-241-7333
Fax: 216-241-0105
E-mail: fci@fluidcontrolsinstitute.org
Internet: https://fluidcontrolsinstitute.org/

FLUID SEALING ASSOCIATION (FSA)
994 Old Eagle School Rd. #1019
Wayne, PA 19087-1866
Ph: 610-971-4850
E-mail: info@fluidsealing.com
Internet: www.fluidsealing.com

FM GLOBAL (FM)
270 Central Avenue
Johnston, RI 02919-4949
Ph: 401-275-3000
Fax: 401-275-3029
Internet: https://www.fmglobal.com/

FPInnovations (FPI)
570 Saint-Jean Blvd.
Pointe-Claire, QC
Canada H9R 3J9
Ph: 514-630-4100
Internet: https://fpinnovations.ca/Pages/index.aspx
Download: http://www.masstimber.com
Hard copy: http://www.awc.org

GEOLOGICAL SOCIETY OF AMERICA (GeoSA)
3300 Penrose Place
Boulder, CO 80301-1806
Ph: 303-357-1000
Fax: 303-357-1070
E-mail: gsaservice@geosociety.org
Internet: http://www.geosociety.org

GEOSYNTHETIC INSTITUTE (GSI)
475 Kedron Avenue
Folsom, PA 19033-1208
Ph: 610-522-8440
Fax: 610-522-8441
Internet: https://geosynthetic-institute.org/

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National Glass Association
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PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

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SECTION 01 45 00

QUALITY CONTROL
JB CHS 12/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D3740	(2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E329	(2021) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Schedule item.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan; G

SD-07 Certificates

Contractor Code Compliance Affidavit; G

1.4 INFORMATION FOR THE CONTRACTING OFFICER

Prior to commencing work on construction, the Contractor can obtain a single copy set of the current report forms from the Contracting Officer. The report forms will consist of the Contractor Production Report, Contractor Production Report (Continuation Sheet), Contractor Quality Control (CQC) Report, CQC Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Rework Items List, and Testing Plan and Log.

Deliver the following to the KO:

a. CQC Report: Electronic copy, by 10:00 AM the next working day that work is performed;

b. Contractor Code Compliance Affidavit: One digital copy due before any work is concealed, energized, filled or utilized.
This affidavit is due weekly while work is being performed. Include production photos of this compliance.

c. Contractor Production Report: Electronic copy by 10:00 AM the next working day that work is performed;

d. Preparatory Phase Checklist: Electronic file with the CQC Report;

e. Initial Phase Checklist: Electronic file with the CQC Report;

f. Field Test Reports: An electronic copy, within two working days after the test is performed, with the CQC Report;

g. QC Meeting Minutes: An electronic copy, within two working days after the meeting; and

h. QC Certifications: As required by the paragraph entitled "QC Certifications."

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. The QC program consists of a QC Manager, a QC plan, a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, and QC certifications and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this contract. The QC program shall cover on-site and off-site work and shall be keyed to the work sequence. No work or testing may be performed unless the QC Manager is on the work site.

3.2 CONTRACTOR QUALITY CONTROL (CQC) PLAN

Submit no later than 30 calendar days after receipt of notice of award, the Contractor Quality Control (CQC) Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. Construction will be permitted to begin only after acceptance of the CQC Plan.

3.2.1 Preliminary Work Authorized Prior to Acceptance

The only work that is authorized to proceed prior to the acceptance of the CQC plan is mobilization of storage and office trailers, temporary utilities, and surveying.

3.2.2 Acceptance

Acceptance of the CQC plan is required prior to the start of

construction. The Contracting Officer reserves the right to require changes in the CQC plan and operations as necessary, including removal of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time in order to verify the submitted qualifications.

3.2.3 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed change, including changes in the QC organization personnel, a minimum of seven calendar days prior to a proposed change. Proposed changes shall be subject to the acceptance by the Contracting Officer.

3.2.4 Content of the CQC Plan

Include, as a minimum, the following to cover all design and construction-operations, both onsite and offsite, including work by subcontractors, consultants, architect/engineers (AE), fabricators, suppliers and purchasing agents:

a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified. Include a CQC System Manager that reports to the project superintendent.

b. Contractor Licenses: A list of responsible license holders and license numbers of the following trades: CHOOSE AS APPLICABLE Air Conditioning, Electrical, Heating, Lightning Protection, Fire Protection and Plumbing.

c. Contractor Code Compliance Affidavit: A document generated from an onsite in person license holder inspection before any work is concealed, energized, filled or utilized. This affidavit is due weekly while work is being performed or at another interval agreed to by the KO. The following trades require this inspection: CHOOSE AS APPLICABLE Air Conditioning, Electrical, Heating, Lightning Protection, Fire Protection and Plumbing.

d. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.

e. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the Contract. Letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities will be issued by the CQC System Manager. Furnish copies of these letters to the Contracting Officer.

f. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, consultants, architect engineers (AE), offsite fabricators, suppliers, and purchasing agents. These procedures must be in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

g. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, referenced by the specification paragraph number requiring

the test, the frequency, and the person responsible for each test. Submit Testing laboratory information required by the paragraphs "Accredited Laboratories" or "Testing Laboratory Requirements", as applicable.

h. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.

i. Procedures for tracking construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected.

j. Reporting procedures, including proposed reporting formats.

k. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and is identified by different trades or disciplines, or it is work by the same trade in a different environment. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

3.2.5 QC Manager

3.2.5.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program. The QC Manager may not perform the duties of project superintendent. The QC Manager is required to attend the Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by others.

3.2.5.2 Qualifications

An individual with a minimum of 10 years combined experience as a superintendent, inspector, QC Manager, project manager, or construction manager on similar size and type construction contracts which included the major trades that are part of this contract as identified in Section 01 11 00. The individual must be familiar with the requirements of the EM 385-1-1 and have experience in the areas of hazard identification and safety compliance.

3.2.5.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager shall have completed the course Construction Quality Management for Contractors and will have a current certificate.

3.2.6 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager shall be the same as for the QC Manager.

3.3 COORDINATION AND MUTUAL UNDERSTANDING MEETING

During the Pre-Construction conference and prior to the start of construction, discuss the QC program required by this contract. The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, and the coordination of the Contractor's management, production and the QC personnel. At the meeting, the Contractor will be required to explain how three phases of control will be implemented for each DFOW. Contractor's personnel required to attend shall include the QC Manager, project manager, and superintendent. Minutes of the meeting will be prepared by the QC Manager and signed by both the Contractor and the Contracting Officer. The Contractor shall provide a copy of the signed minutes to all attendees. Repeat the coordination and mutual understanding meeting when a new QC Manager is appointed.

3.4 QC MEETINGS

After the start of construction, the QC Manager shall conduct QC meetings once every one week at the work site with the superintendent and the foreman responsible for the ongoing and upcoming work. The QC Manager shall prepare the minutes of the meeting and provide a copy to the KO within two working days after the meeting. As a minimum, the following shall be accomplished at each meeting:

Review the minutes of the previous meeting;

b. Review the schedule and the status of work and rework;

c. Review the status of submittals;

d. Review the work to be accomplished in the next two weeks and documentation required;

e. Resolve QC and production problems (RFIs, etc.);

f. Address items that may require revising the CQC plan;

g. Review Accident Prevention Plan (APP) ; and

h. Review Contractor Code Compliance Affidavits (CCCA) and any associated production photos.

3.5 SUBMITTALS AND DELIVERABLES

Submittals, if needed, have to comply with the requirements in Section 01 33 00SUBMITTAL PROCEDURES. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the contract requirements. When Section 01 91 00.15 10 BUILDING COMMISSIONING are included in the contract, the submittals required by those sections have to be coordinated with Section 01 33 00 SUBMITTAL PROCEDURES to ensure adequate time is allowed for each type of submittal

required.

3.6 CONTROL

CQC is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control are required to be conducted by the CQC System Manager for each definable feature of the construction work as follows:

3.6.1 Preparatory Phase

This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase includes:

a. A review of each paragraph of applicable specifications, reference codes, and standards. Make available during the preparatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

b. Review of the Contract drawings.

c. Check to assure that all materials and equipment have been tested, submitted, and approved.

d. Review of provisions that have been made to provide required control inspection and testing.

e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the Contract.

f. Examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.

g. Review of the appropriate activity hazard analysis to assure safety requirements are met.

h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.

i. Check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.

j. Discussion of the initial control phase.

k. The Government needs to be notified at least 48 hours in advance of beginning the preparatory control phase. Include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. Document the results of the preparatory phase actions by separate minutes prepared by the CQC System Manager and attach to the daily CQC report. Instruct applicable workers as to the

acceptable level of workmanship required in order to meet contract specifications.

3.6.2 Initial Phase

This phase is accomplished at the beginning of a definable feature of work. Accomplish the following:

a. Check work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing are in compliance with the contract.

c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

d. Resolve all differences.

e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.

f. The Government needs to be notified at least 48 hours in advance of beginning the initial phase for definable feature of work. Prepare separate minutes of this phase by the CQC System Manager and attach to the daily CQC report. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases.

g. The initial phase for each definable feature of work is repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

3.6.3 Follow-up Phase

Perform daily checks to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. Record the checks in the CQC documentation. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work. Do not build upon nor conceal non-conforming work.

3.6.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same definable features of work if: the quality of on-going work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity; or if other problems develop.

3.7 TESTS

3.7.1 Testing Procedure

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. Perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.

e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

3.7.2 Testing Laboratories

All testing laboratories must be validated by the USACE Material Testing Center (MTC) for the tests to be performed. Information on the USACE MTC with web-links to both a list of validated testing laboratories and for the laboratory inspection request for can be found at: https://mtc.erdc.dren.mil/.

3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel is required to meet criteria detailed in ASTM D3740 and ASTM E329.

3.8 COMPLETION INSPECTION

3.8.1 Punch-Out Inspection

Conduct an inspection of the work by the CQC System Manager near the end of the work, or any increment of the work established by a time stated in FAR 52.211-10 Commencement, Prosecution, and Completion of Work, or by the

specifications. Prepare and include in the CQC documentation a punch list of items which do not conform to the approved drawings and specifications, as required by paragraph DOCUMENTATION. Include within the list of deficiencies the estimated date by which the deficiencies will be corrected. Make a second inspection the CQC System Manager or staff to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government Pre-Final inspection.

3.8.2 Pre-Final Inspection

The Government will perform the pre-final inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. Ensure that all items on this list have been corrected before notifying the Government, so that a Final inspection with the customer can be scheduled. Correct any items noted on the Pre-Final inspection in a timely manner. These inspections and any deficiency corrections required by this paragraph need to be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate completion dates.

3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative is required to be in attendance at the final acceptance inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands can also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notify the Contracting Officer at least 14 days prior to the final acceptance inspection and include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the Contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance FAR 52.246-12 Inspection of Construction.

3.9 DOCUMENTATION

3.9.1 Quality Control Activities

Maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

a. The name and area of responsibility of the Contractor/Subcontractor.

b. Operating plant/equipment with hours worked, idle, or down for repair.

c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.

d. Test and control activities performed with results and references to specifications/drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action.

e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.

f. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.

g. Offsite surveillance activities, including actions taken.

h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

i. Instructions given/received and conflicts in plans and specifications.

3.9.2 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract. Furnish the original and one copy of these records in report form to the Government daily within 48 hours after the date covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the Contractor Quality Control(CQC) System Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the CQC System Manager Report. Each CQC Report shall contain the following statement: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used and work performed during this reporting period is in compliance with the contract drawings and specifications to the best of my knowledge except as noted in this report."

3.9.3 As-Built Drawings

The QC Manager is required to review the as-built drawings, required by Section 01 78 00 CLOSEOUT REQUIREMENTS, are kept current on a daily basis and marked to show deviations, which have been made from the Contract drawings. Ensure each deviation has been identified with the appropriate modifying documentation, e.g. PC number, modification number, RFI number, etc. The QC Manager shall initial each deviation or revision. Upon completion of work, the QC Manager shall submit a certificate attesting to the accuracy of the as-built drawings prior to submission to the KO.

3.9.4 Final Code Compliance Affidavit

The license holder of a trade shall generate a final affidavit stating work performed in compliance with the codes and specifications of the project. The document shall include the contractor's license number and signature as witness by QC and KO or COR.

3.10 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, will be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer can issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

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01 45 00 - Attachment B - Contractor Code Compliance Affidavit

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Contractor Code Compliance Affidavit

Contract Number _____
Project Name _____
Prime Contractor _____

I, _____ do hereby certify that the work performed under my license during the period from _____ to _____conforms to all applicable codes and the contract Plans and Specifications.

Definable Feature of Work: _____

Business Name: _____

Licensed Individual: _____

State where licensed is issued: _____

License Type: _____ (mechanical, electrical, plumbing, etc)

License Number: _____

Print Name: _____ Date: _____

Signature:_____

QCM (Print Name): _____ Date: _____

QCM (Signature): _____

Reference From Project Specifications Section 01 45 00, Quality Control for Minor Construction:

Contractor Code Compliance Affidavit: A document generated from an onsite in person license holder inspection before any work is concealed, energized, filled or utilized. This affidavit is due weekly while work is being performed or at another interval agreed to by the KO. The following trades require this inspection: Air Conditioning, Electrical, Heating, Lightning Protection, Fire Protection and Plumbing.

Final Code Compliance Affidavit
The license holder of a trade shall generate a final affidavit stating work performed in compliance with the codes and specifications of the project. The document shall include the contractor’s license number and signature as witness by QC and KO or COR.

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SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
JB CHS 12/19

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C511	(2007) Standard for Reduced-Pressure Principle Backflow Prevention Assembly

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH (FCCCHR)

FCCCHR List	(continuously updated) List of Approved Backflow Prevention Assemblies
FCCCHR Manual	(10th Edition) Manual of Cross-Connection Control

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 241	(2013; Errata 2015) Standard for Safeguarding Construction,Alteration, and Demolition Operations
NFPA 70	(2014; AMD 1 2013; Errata 1 2013; AMD 2 2013; Errata 2 2013; AMD 3 2014; Errata 3-4 2014; AMD 4-6 2014) National Electrical Code

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)

FAA AC 70/7460-1	(2007; Rev K) Obstruction Marking and Lighting

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2009) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan; G

Traffic Control Plan; G

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

1.4 BACKFLOW PREVENTERS CERTIFICATE

Certificate of Full Approval from FCCCHR List, University of Southern California, attesting that the design, size and make of each backflow preventer has satisfactorily passed the complete sequence of performance testing and evaluation for the respective level of approval. Certificate of Provisional Approval will not be acceptable.

1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with any company participating in any other phase of this Contract.

1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

1.5 HURRICANE CONDITION OF READINESS

Unless directed otherwise, comply with:

a. Condition FOUR (Sustained winds of 50 knots or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 4 feet high. Remove all debris, trash, or objects that could become missile hazards.

b. Condition THREE (Sustained winds of 50 knots or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness. Contact Contracting Officer for weather and Condition of Readiness(COR) updates and completion of required actions.

c. Condition TWO (Sustained winds of 50 knots or greater expected within 24 hours): Curtail or cease routine activities until securing operation is complete. Reinforce or remove form work and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions.

d. Condition ONE. (Sustained winds of 50 knots or greater expected within 12 hours): Secure the jobsite, and leave Government premises.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Immediately upon beginning of work, provide a weatherproof glass-covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the contract, Wage Rate Information poster, and other information approved by the Contracting Officer.

2.1.2 Project and Safety Signs

The requirements for the signs, their content, and location are as indicated. Erect signs within 15 days after receipt of the notice to proceed. Correct the data required by the safety sign daily, with light colored metallic or non-metallic numerals.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this contract. Construct with suitable grades and widths; sharp curves, blind corners, and dangerous cross traffic are be avoided. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and hauling roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to

hazardous areas. Whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic barricades will be required. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.2.3 Fencing

Provide fencing along the construction site at all open excavations and tunnels to control access by unauthorized people.

2.2.4 Temporary Wiring

Provide temporary wiring in accordance with NFPA 241 and NFPA 70. Include frequent inspection of all equipment and apparatus.

2.2.5 Backflow Preventers

Reduced pressure principle type conforming to the applicable requirements AWWA C511. Provide backflow preventers complete with 150 pound flanged cast iron, bronze mounted gate valve and strainer, 304 stainless steel or bronze, internal parts. The particular make, model/design, and size of backflow preventers to be installed must be included in the latest edition of the List of Approved Backflow Prevention Assemblies issued by the FCCCHR List and be accompanied by a Certificate of Full Approval from FCCCHR List. After installation conduct Backflow Preventer Tests and provide test reports verifying that the installation meets the FCCCHR Manual Standards.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Contractor employees will park privately owned vehicles in an area designated by the Contracting Officer. This area will be within reasonable walking distance of the construction site. Contractor employee parking must not interfere with existing and established parking requirements of the government installation.

3.2 TEMPORARY BULLETIN BOARD

Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, as approved by the Contracting Officer.

3.3 AVAILABILITY AND USE OF UTILITY SERVICES

3.3.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.3.2 Payment for Utility Services

a. The Government will make all reasonably required utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, reasonable amounts of the following utilities will be made available to the

Contractor without charge: Electricity, potable water and sanitary sewer.

b. The point at which the Government will deliver such utilities or services and the quantity available is to be coordinated with the Contractor. Pay all costs incurred in connecting, converting, and transferring the utilities to the work. Make connections, including providing backflow-preventing devices on connections to domestic water lines; providing meters; and providing transformers; and make disconnections.

3.3.3 Sanitation

a. Provide and maintain within the construction area minimum field-type sanitary facilities approved by the Contracting Officer and periodically empty wastes into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into any municipal, district, or commercial sanitary sewer system. Any penalties and / or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times without nuisance. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.3.4 Telephone

Make arrangements and pay all costs for telephone facilities desired.

3.3.5 Obstruction Lighting of Cranes

Provide a minimum of 2 aviation red or high intensity white obstruction lights on temporary structures (including cranes) over 100 feet above ground level. Light construction and installation must comply with FAA AC 70/7460-1. Lights must be operational during periods of reduced visibility, darkness, and as directed by the Contracting Officer.

3.3.6 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials weekly to minimize potential hazards.

3.4 TRAFFIC PROVISIONS

3.4.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close any thoroughfare or interfere in any way with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Make all notifications and obtain any permits required for modification to traffic movements outside Station's jurisdiction.. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the

highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at contractors expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.

3.4.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of any damage to roads caused by construction operations.

3.4.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations without notification to and approval by the Contracting Officer.

3.4.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Treat dust abatement on access roads with applications of calcium chloride, water sprinklers, or similar methods or treatment.

3.5 CONTRACTOR'S TEMPORARY FACILITIES

3.5.1 Safety

Protect the integrity of any installed safety systems or personnel safety devices. If entrance into systems serving safety devices is required, the Contractor must obtain prior approval from the Contracting Officer. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.5.2 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

3.5.3 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and

materials. Include plastic strip inserts, colored brown, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store Trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on any given day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

3.5.4 Supplemental Storage Area

Upon Contractor's request, the Contracting Officer will designate another or supplemental area for the Contractor's use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Fencing of materials or equipment may be required at this site. The Contractor is responsible for cleanliness and orderliness of the area used and for the security of any material or equipment stored in this area. Utilities will not be provided to this area by the Government.

3.5.5 Appearance of Trailers

a. Trailers utilized by the Contractor for administrative or material storage purposes must present a clean and neat exterior appearance and be in a state of good repair. Trailers which, in the opinion of the Contracting Officer, require exterior painting or maintenance will not be allowed on installation property.

b. and maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal.

3.5.6 Maintenance of Storage Area

a. Keep fencing in a state of good repair and proper alignment. Grassed or unpaved areas, which are not established roadways, will be covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways, should the Contractor elect to traverse them with construction equipment or other vehicles; gravel gradation will be at the Contractor's discretion. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers will be edged or trimmed neatly.

3.5.7 Security Provisions

Provide adequate outside security lighting at the Contractor's temporary facilities. The Contractor will be responsible for the security of its own equipment; in addition, the Contractor will notify the appropriate law enforcement agency requesting periodic security checks of the temporary project field office.

3.5.8 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.5.8.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.6 PLANT COMMUNICATION

Whenever the Contractor has the individual elements of its plant so located that operation by normal voice between these elements is not satisfactory, the Contractor must install a satisfactory means of communication, such as telephone or other suitable devices and made available for use by Government personnel.

3.7 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the contract and, upon completion and acceptance of the work, will become the property of the Contractor and be removed from the work site.

3.8 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store any salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.9 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and any other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence that will become the property of the Contractor. Restore areas used by the Contractor for the storage of equipment or material, or other use to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS
JB CHS 05/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1053	Respirable Crystalline Silica
29 CFR 1910.1200	Hazard Communication
29 CFR 1926.1153	Respirable Crystalline Silica
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 64	Compliance Assurance Monitoring
40 CFR 82	Protection of Stratospheric Ozone
40 CFR 112	Oil Pollution Prevention
40 CFR 122.26	Storm Water Discharges (Applicable to State NPDES Programs, see section 123.25)
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 262.11	Hazardous Waste Determination and

	Recordkeeping
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 273.6	Applicability - Aerosol Cans
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications
40 CFR 355	Emergency Planning and Notification
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution
40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 172.101	Hazardous Material Regulation-Purpose and Use of Hazardous Material Table

49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

WASHINGTON STATE ADMINISTRATIVE CODE (WAC)

WAC-173-303-573	Standards for Universal Waste Management
WAC-173-303-573(2)	Standards for Universal Waste Management - Batteries
WAC-173-303-573(3)	Standards for Universal Waste Management - Mercury-containing Equipment
WAC-173-303-573(5)	Standards for Universal Waste Management - Lamps

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e., methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally or historically.

1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction.

The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

1.2.7 Hazardous Materials

Hazardous material is any material that: Is defined in 49 CFR 171, listed in 49 CFR 172, regulated as a hazardous material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.1200; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibits a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D, or meets a state, local, or host nation definition of a hazardous waste.

1.2.9 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

1.2.10 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by municipalities or installations to obtain NPDES permit coverage for their stormwater discharges.

1.2.11 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point sources that discharge pollutants into waters of the United States.

1.2.12 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, perform a hazardous waste determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.13 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

1.2.14 Sediment

Sediment is soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.15 Solid Waste

Solid waste is a solid, liquid, semi-solid or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

1.2.15.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may not be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

1.2.15.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be

re-used are not included.

1.2.15.3 Material Not Regulated As Solid Waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.15.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 261.

1.2.15.5 Recyclables

Recyclables are materials, equipment and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

1.2.15.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

1.2.15.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

1.2.15.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

1.2.16 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, or creeks meeting the definition of "waters of the United

States". Surface discharges from construction sites are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

1.2.17 Wastewater

Wastewater is the used water and solids that flow through a sanitary sewer to a treatment plant.

1.2.17.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

1.2.18 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

1.2.19 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

1.2.20 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

1.2.21 Location Specific Universal Waste

Any of the following dangerous waste that are subject to the universal waste requirements of WAC-173-303-573: Batteries as described in WAC-173-303-573(2)); Lamps as described in WAC-173-303-573(5); Mercury-containing equipment as described in WAC-173-303-573(3).

1.2.21.1 Reportable Release

A reportable release means any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing into the environment of a known or unknown material or hazardous substance that poses an immediate threat to human health or the environment to the air, soil, or water. Reportable releases are: a sheen of oil on the water; a violation of the Installation's or project's water permit (NPDES permit); a sewage spill that threatens human health or the environment; a Comprehensive Environmental Response, Compensation, and Liability Act reportable quantity for hazardous/toxic substances (40 CFR 302); an air or hazardous substance release that is a threat to human

health or the environment, or released outside the facility boundaries; any discharge from an underground storage tank regulated under WAC 173-360; or oil spilled to the ground or to permeable secondary containment of 160 liters 42 gallons and greater.

1.2.21.2 Non-Emergency Spill Event

A non-emergency spill event is a discharge of a known material or any hazardous substance that does not pose an immediate threat to human health or the environment, can be cleaned up as part of normal housekeeping by the personnel who discovered the spill, and is not released on the soil or into any waterway inlet (for example, storm drain) or outside Navy property boundaries.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey;

Regulatory Notifications; G

Environmental Protection Plan; G

Dirt and Dust Control Plan; G

Solid Waste Management Permit; G

Stormwater Pollution Prevention Plan (SWPPP); G, (IF APPLICABLE)

Stormwater Notice of Intent (for NPDES coverage under the general permit for construction activities); G, (IF APPLICABLE)

Spill Prevention Control And Countermeasure (SPCC) Plan; G

SD-06 Test Reports

Monthly Solid Waste Disposal Report;

Inspection Reports;

SD-11 Closeout Submittals

Regulatory Notifications; G

Waste Determination Documentation; G,

Project Solid Waste Disposal Documentation Report; G

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental

protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

1.4.1 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Office will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

1.5 SPECIAL ENVIRONMENTAL REQUIREMENTS

Comply with the special environmental requirements listed here and attached at the end of this section.

1.5.1 Comply with the following state, regional, and local requirements.

1.5.1.1 South Carolina - JB Charleston

1.5.1.1.1 Unique Local Requirements COMPLETE IF APPLICABLE

1.5.1.1.2 Environmental Point of Contact Information for Design Review and Base Specific Requirements.

1.5.1.1.2.1 628 CES/CEIE - Contact the Contracting Officer for the most current Point of Contact Information for design review and base specific requirements.

1.6 QUALITY ASSURANCE

1.6.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

1.6.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer prior to commencement of work activities. Additionally, submit copies of regulatory notifications to the Installation Environmental Office as directed in the Pre-Construction Conference.

Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.6.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and installation Environmental Office to discuss the proposed Environmental Protection Plan (EPP) or equipment local requirement. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

1.6.4 Environmental Manager

Appoint in writing an Environmental Manager for the project site. The Environmental Manager is directly responsible for coordinating contractor compliance with federal, state, local, and installation requirements. The Environmental Manager must ensure compliance with the Solid Waste Program and Hazardous Waste Program requirements (including waste minimization; maximization of recycling materials; segregation of wastes for reqycling; hazardous waste handling, storage, manifesting, and disposal); implement the EPP; ensure environmental permits are obtained, maintained, and closed out; ensure compliance with Stormwater Program requirements; ensure compliance with Hazardous Materials (storage, handling, and reporting) requirements; and coordinate any remediation of regulated substances (lead, asbestos, PCB transformers). The environmental Manager must ensure all waste items to be disposed / recycled in the contractor designated containers only. Base funded disposal containers cannot be used for disposal of contractor gereated waste. This can be a collateral position; however, the person in this position must be trained to adequately accomplish the following duties: ensure waste segregation and storage compatibility requirements are met; inspect and manage Satellite Accumulation areas; ensure only authorized personnel add wastes to containers; ensure Contractor personnel are trained in 40 CFR requirements in accordance with their position requirements; coordinate removal of waste containers; and maintain the Environmental Records binder and required documentation, including environmental permits compliance and close-out. Submit Environmental Manager Qualifications to the Contracting Officer.

1.6.5 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet state requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Conduct additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of

devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area. Provide a copy of teh Certification as required by the State of South Carolina.

1.6.6 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. FAR 52.242-14 Suspension of Work provides that a suspension, delay, or interruption of work due to the fault or negligence of the Contractor allows for no adjustments to the contract for time extensions or equitable adjustments. In addition to a suspension of work, the Contracting Officer may use additional authorities under the contract or law.

1.7 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after Contractor award and not less than 10 days before the preconstruction meeting. Submit the EPP not less than 60 calendar days before scheduled final site or building design approval. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

1.7.1 General Overview and Purpose

1.7.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as Storm Water Pollution Prevention P lan (SWPPPP);, Spill Prevention Control and Countermeasures plan; Solid W aste Management Plan; Wastewater Management Plan; Air Pollution Control P lan;, contaminant prevention plan; Hazardous, Toxic and Radioactive Waste (HTRW) Plan; Non-Hazardous Solid Waste Disposal Plan; Borrowing Material P

lan.

1.7.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

1.7.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

1.7.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

1.7.1.5 Contact Information

Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

1.7.2 General Site Information

1.7.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

1.7.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

Show where any fuels, hazardous substances, solvents, or lubricants will be stored. Provide a spill plan to address any releases of those materials.

1.7.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

1.7.3 Management of Natural Resources

a. Land resources

b. Tree protection

c. Replacement of damaged landscape features

d. Temporary construction

e. Stream crossings

f. Fish and wildlife resources

g. Wetland areas

1.7.4 Protection of Historical and Archaeological Resources

a. Objectives

b. Methods

1.7.5 Stormwater Management and Control

a. Ground cover

b. Erodible soils

c. Temporary measures

(1) Structural Practices

(2) Temporary and permanent stabilization

d. Effective selection, implementation and maintenance of Best Management Practices (BMPs).

e. Stormwater Pollution Prevention Plan (SWPPP).

1.7.6 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste.

Control and disposal of hazardous waste.

This item consist of the management procedures for hazardous waste to be generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan when within an installation. The Contracting Officer will provide a copy of the Installation Hazardous Waste Management Plan as applicable.

As a minimum, include the following:

a. List of the types of hazardous wastes expected to be generated

b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated

c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications

d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)

e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)

f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268)

g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar

h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures

i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

j. Plans for hazardous waste of liquids. Liquids shall not be disposed of in industrial dumpsters. Liquid waste shall be solidified prior to disposal, or managed as sewer when appropriate. Liquid waste other than domestic sanitary sewer will need to be approved by the Installation Hazardous Waste Manager prior to discharge into the sanitary or storm sewer system(s). Liquids meeting the definition of Hazardous Waste shall not be discharged to the sanitary or storm sewer system(s).

1.7.7 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

1.7.8 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

1.7.9 Clean Air Act Compliance

1.7.9.1 Haul Route

Submit truck and material haul routes along with a Dirt and Dust Control Plan for controlling dirt, debris, and dust on Installation roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

1.7.9.2 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery or operations that could generate air emissions during the project to the Installation Environmental Office (Air Program Manager). Ensure required permits are obtained prior to installing and operating applicable equipment/processes.

1.7.9.3 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60 Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between maintenance/testing, emergency, and non-emergency operation.

Provide a manufacture's cut sheet, and clear photographs of any manufacture's label plates. Label plates/decals shall document serial numbers, capacities, certifications, etc. of the installed equipment.

1.7.9.3.1 Other Stationary Combustion Sources

Provide a manufacture's cut sheet, and clear photographs of any manufacture's label plates. Label plates/decals shall document serial numbers, capacities, certifications, etc. of the installed equipment.

1.7.9.4 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82 requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the property of the Government, coordinate with the Installation Environmental Office to determine the appropriate turn in location.

No Class I refrigerants shall be purchased as part of this contract. If Class I refrigerants are needed as part of this contract, the refrigerant will be supplied by the Government (if applicable).

1.7.9.5 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

1.7.9.6 Compliant Materials

Provide the Government a list of SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act

regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet installation permit requirements for emissions.

1.8 LICENSES AND PERMITS

Obtain licenses and permits required for the construction of the project and in accordance with FAR 52.236-7 Permits and Responsibilities. Notify the Government of all equipment that may require permits or special approvals that the Contractor plans to use on site. This paragraph supplements the Contractor's responsibility under FAR 52.236-7 Permits and Responsibilities.

1.9 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

1.10 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

1.10.1 Monthly Solid Waste Disposal Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

1.11 FACILITY HAZARDOUS WASTE GENERATOR STATUS

JB Charleston will sign all Hazardous Waste Manifest as the generator of that hazardous waste Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this Installation. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with the Installation Environmental Office as applicable, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of the Installation Environmental Office or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and Installation Environmental Office to determine appropriate action for trees and other landscape features scarred or damaged by equipment operations.

Tree protection shall prevent heavy equipment from damaging roots out to the drip line of the tree canopy.

3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in compliance with all required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

3.2 STORMWATER

Do not discharge stormwater from construction sites to the sanitary sewer. If the water is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization in advance from the Installation Environmental Office for any release of contaminated water.

3.2.1 Construction General Permit

(If Required) Provide a Construction General Permit as required by 40 CFR 122.26 or the State of South Carolina General Permit. Under the terms and conditions of the permit, install, inspect, maintain BMPs, prepare stormwater erosion and sediment control inspection reports, and submit SWPPP inspection reports. Maintain construction operations and management in compliance with the terms and conditions of the general permit for stormwater discharges from construction activities.

3.2.1.1 Stormwater Pollution Prevention Plan

Preparation of a Storm Water Pollution Prevention Plan (SWPPP) shall be predicated on the South Carolina's NPDES General Permit for Stormwater Discharges from Construction Activities, SCR100000. SWPPP requirements are based on the Decision Flow Chart from the Storm Water Management Plans (SWMP)- Reference Attachment C.

Submit a project-specific Stormwater Pollution Prevention Plan (SWPPP) to the Contracting Officer for approval, within 45 calendar days after Notice to Proceed or 30 calendar days prior to the commencement of work, whichever occurs first.

Include the following:

a. Comply with terms of the general permit for stormwater discharges from construction activities. Prepare SWPPP in accordance with state requirements.

b. Select applicable BMPs in accordance with applicable state or local requirements.

c. Include a completed copy of the Notice of Intent, BMP Inspection Report Template, and Stormwater Notice of Termination, except for the effective date.

3.2.1.2 Stormwater Notice of Intent for Construction Activities

Prepare and submit the Notice of Intent for NPDES coverage under the general permit for construction activities to the Contracting Officer for review and approval.

Submit the approved NOI and appropriate permit fees onto the appropriate federal or state agency for approval. No land disturbing activities may commence without permit coverage. Maintain an approved copy of the SWPPP at the onsite construction office, and continually update as regulations require, reflecting current site conditions.

Comply with additional state and local requirements.

3.2.1.3 Inspection Reports

Submit "Inspection Reports" to the Contracting Officer in accordance with the state of South Carolina Construction General Permit.

3.2.1.4 Stormwater Pollution Prevention Plan Compliance Notebook

Create and maintain a three ring binder of documents that demonstrate compliance with the Construction General Permit. Include a copy of the permit Notice of Intent, proof of permit fee payment, SWPPP and SWPPP update amendments, inspection reports and related corrective action records, copies of correspondence with the the South Carolina State Permitting Agency, and a copy of the permit Notice of Termination in the binder. At project completion, the notebook becomes property of the Government. Provide the compliance notebook to the Contracting Officer.

3.2.1.5 Stormwater Notice of Termination for Construction Activities

Submit a Notice of Termination to the Contracting Officer for approval once construction is complete and final stabilization has been achieved on all portions of the site for which the permittee is responsible. Once approved, submit the Notice of Termination to the appropriate state or federal agency. Prepare as-built topographic survey information required by the permitting agency for certification of the stormwater management system, and provide to the Contracting Officer.

3.2.2 Erosion and Sediment Control Measures

Provide erosion and sediment control measures in accordance with state and local laws and regulations. Preserve vegetation to the maximum extent practicable.
Erosion control inspection reports may be compiled as part of a stormwater pollution prevention plan inspection reports.

3.2.2.1 Erosion Control

Prevent erosion by mulching, Compost Blankets, Geotextiles, temporary slope drains, or straw bales as required. Stabilize slopes by chemical stabilization, sodding, seeding, or such combination of these methods necessary for effective erosion control. Use of hay bales is prohibited.

3.2.2.2 Sediment Control Practices

Implement sediment control practices to divert flows from exposed soils, temporarily store flows, or otherwise limit runoff and the discharge of pollutants from exposed areas of the site. Implement sediment control practices prior to soil disturbance and prior to creating areas with concentrated flow, during the construction process to minimize erosion and sediment laden runoff. Include the following devices: silt fence, temporary diversion dikes, storm drain inlet protection as required. Location and details of installation and construction are indicted on the drawings.

3.2.3 Contractor Facilities and Work Areas

Place field offices, staging areas, stockpile storage, and temporary buildings in areas designated on the drawings or as directed by the Contracting Officer. Move or relocate the Contractor facilities only when approved by the Government. Provide erosion and sediment controls for

onsite borrow and spoil areas to prevent sediment from entering nearby waters. Control temporary excavation and embankments for plant or work areas to protect adjacent areas.

3.2.4 Municipal Separate Storm Sewer System (MS4) Management

Comply with the Installation's MS4 permit requirements.

3.3 SURFACE AND GROUNDWATER

3.3.1 Cofferdams, Diversions, and Dewatering

Construction operations for dewatering, removal of cofferdams, tailrace excavation, and tunnel closure must be constantly controlled to maintain compliance with existing state water quality standards and designated uses of the surface water body. Comply with the State of South Carolina water quality standards and anti-degradation provisions and the Clean Water Act Section 404, Nation Wide Permit No. . Do not discharge excavation ground water to the sanitary sewer, storm drains, or to surface waters without prior specific authorization in writing from the Installation Environmental Office or Contracting Officer. Discharge of hazardous substances will not be permitted under any circumstances. Use sediment control BMPs to prevent construction site runoff from directly entering any storm drain or surface waters.

If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization for any contaminated groundwater release in advance from the Installation Environmental Officer and the federal or state authority, as applicable. Discharge of hazardous substances will not be permitted under any circumstances.

3.3.2 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States. except as authorized herein. The protection of waters of the United States shown on the drawings in accordance with paragraph LICENSES AND PERMITS is the Contractor's responsibility. Authorization to enter specific waters of the United States identified does not relieve the Contractor from any obligation to protect other waters of the United States within, adjacent to, or in the vicinity of the construction site and associated boundaries.

3.4 PROTECTION OF CULTURAL RESOURCES

3.4.1 Archaeological Resources

Existing archaeological resources within the work area are shown on the drawings. Protect these resources and be responsible for their preservation during the life of the Contract. If, during excavation or other construction activities, any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, activities that may damage or alter such resources will be suspended. Resources covered by this paragraph include, but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find,

immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. Cease all activities that may result in impact to or the destruction of these resources. Secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources. The Government retains ownership and control over archaeological resources.

3.4.2 Historical Resources

Existing historical resources within the work area are shown on the drawings. Protect these resources and be responsible for their preservation during the life of the Contract.

3.5 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

3.5.1 Preconstruction Air Permits

Notify the Air Program Manager, through the Contracting Officer, at least 6 months prior to bringing equipment, assembled or unassembled, onto the Installation, so that air permits can be secured. Necessary permitting time must be considered in regard to construction activities. Clean Air Act (CAA) permits must be obtained prior to bringing equipment, assembled or unassembled, onto the Installation.

Permits will be provided by the Government.

3.5.2 Oil or Dual-fuel Boilers and Furnaces

Provide product data and details for new, replacement, or relocated fuel fired boilers, heaters, or furnaces to the Installation Environmental Office (Air Program Manager) through the Contracting Officer. Data to be reported include: equipment purpose (water heater, building heat, process), manufacturer, model number, serial number, fuel type (oil type, gas type) size (MMBTU heat input). Provide in accordance with paragraph PRECONSTRUCTION AIR PERMITS.

3.5.3 Burning

Burning is not authorized as a means for disposal.

3.5.4 Class I ODS, Class II ODS, and Halon ODS Prohibitionn

All Class I ODS are Government property and must be returned to the Government for appropriate management.

The only Class II ODS considered Government property is HCFC-22 (R-22) and must be returned to the Government for appropriate management.

All Halon is Government property and must be returned to the Government for appropriate management.

Class I ODS, Class II ODS, and Halon shall not be used without Contracting Officer approval.

The Contractor shall be responsible for the approved containers in accordance with 49 CFR 173 and 49 CFR 178.

Coordinate with the Installation Environmental Office to determine the appropriate location and means for turn in of all reclaimed refrigerant.

3.5.5 Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer. Intentional venting of refrigerants (including most Non-ODS substitute refrigerants) is prohibited per 40 CFR 82.

3.5.6 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as provided by environmental law.

3.5.7 Dust Control

Keep dust down at all times, including during nonworking periods. Sprinkle or treat, with dust suppressants, the soil at the site, haul roads, and other areas disturbed by operations. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster. Since these products contain Crystalline Silica, comply with the applicable OSHA standard, 29 CFR 1910.1053 or 29 CFR 1926.1153 for controlling exposure to Crystalline Silica Dust.

3.5.7.1 Particulates

Dust particles, aerosols and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

3.5.7.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the Installation Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

3.5.8 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

3.6 WASTE MINIMIZATION

Waste Minimization can include reusing items/materials whenever possible while maintaining quality control; recycling items like scrap metal to reduce the amount being landfilled; and minimizing the use of hazardous materials to reduce the generation of hazardous waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan if available, for reference material when preparing this part of the EPP.

3.6.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

3.6.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	cubic yards as appropriate
C&D Debris Recycled	cubic yards as appropriate
C&D Debris Composted	cubic yards as appropriate
Total C&D Debris Generated	cubic yards as appropriate

Construction and Demolition (C&D) Debris Disposed	cubic yards as appropriate
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	cubic yards as appropriate

3.7 WASTE MANAGEMENT AND DISPOSAL

3.7.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g., scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 262.11 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the Installation environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not exhaustive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

3.7.2 Solid Waste Management

3.7.2.1 Project Solid Waste Disposal Documentation Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentationContractor certification must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.7.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option.

Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

3.7.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer and Installation Hazardous Waste Manager.

3.7.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed by personnel from the Installation Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.7.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or one quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite accumulation impractical, the Contractor may request a temporary 90-day or 180-day, as appropriate, accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

Contract Number	
Contractor	
Haz/Waste or Regulated Waste POC	
Phone Number	
Type of Waste	

Contract Number	
Source of Waste	
Emergency POC	
Phone Number	
Location of the Site	

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g., training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.7.3.3 Hazardous Waste Disposal

3.7.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the Installations Environmental Office for review, approval, and signature prior to shipping waste off Government property.

3.7.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260 - 40 CFR 279, local, and state, laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

3.7.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

3.7.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

3.7.3.3.1.4 Labeling

During waste accumulation label all containers in accordance with 40 CFR 262. Prior to offering a waste for off-site transport, determine the Department of Transportation's (DOT's) proper shipping names for waste in accordance with 49 CFR 172 (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262 and applicable state or local regulations.

3.7.3.3.2 Contractor Disposal Turn-In Requirements

Hazardous waste generated must be disposed of in accordance with the following conditions to meet installation requirements:

a. Drums must be compatible with waste contents and drums must meet DOT requirements for 49 CFR 173 for transportation of materials.

b. Band drums to wooden pallets.

c. No more than three 55 gallon drums or two 85 gallon over packs are to be banded to a pallet.

d. Band using 1-1/4 inch minimum band on upper third of drum.

e. Provide label in accordance with 49 CFR 172.101.

f. Leave 3 to 5 inches of empty space above volume of material.

All contractor generated waste must be disposed/recycled in the contractor designated containers only. Base funded disposal containers cannot be used for the disposal of contractor generated waste.

3.7.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

a. Batteries as described in 40 CFR 273.2

b. Lamps as described in 40 CFR 273.5

c. Mercury-containing equipment as described in 40 CFR 273.4

d. Aerosol cans as described in 40 CFR 273.6

e. Installation specific

All contractor generated waste must be disposed/recycled in the contractor designated containers only. Base funded disposal containers cannot be used for the disposal of contractor generated waste.

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

3.7.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

All contractor generated waste must be disposed/recycled in the contractor

designated containers only. Base funded disposal containers cannot be used for the disposal of contractor generated waste.

3.7.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer or designated representative for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or state permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifests must be reviewed, signed, and approved by the Contracting Officer before the Contractor may ship waste. To obtain specific disposal instructions, coordinate with the Installation Environmental Office. Refer to location special requirements for the Installation Point of Contact information.

3.7.4 Releases/Spills of Oil and Hazardous Substances

3.7.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Installation Fire Department, the Installation Command Duty Officer, the Installation Environmental Office, the Contracting Officer and the state or local authority.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government.

3.7.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

3.7.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for

disposal.

3.7.6 Wastewater

3.7.6.1 Disposal of Wastewater

Disposal of wastewater must be as specified below.

3.7.6.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations by collecting and placing it in a retention pond where suspended material can be settled out or the water can evaporate to separate pollutants from the water. The site for the retention pond must be coordinated and approved with the Contracting Officer. The residue left in the pond prior to completion of the project must be removed, tested, and disposed of off-Government property in accordance with federal, state, and local laws and regulations. Backfill the area to the original grade, top-soiled, and seeded or sodded.

3.7.6.1.2 Surface Discharge

For discharge of ground water, obtain a state or federal permit specific for pumping and discharging ground water prior to surface discharging. Surface discharge in accordance with federal, state, and local laws and regulations. Surface discharge in accordance with the requirements of the NPDES or state STORMWATER DISCHARGES FROM CONSTRUCTION SITES permit.

3.7.6.1.3 Land Application

Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing must be land- applied in accordance with federal, state, and local laws and regulations for land applicationdischarged into the sanitary sewer with prior approval and notification to the Wastewater Treatment Plant's Operator.

3.8 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261and state

and installation requirements.

3.9 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

3.10 CONTROL AND MANAGEMENT OF ASBESTOS-CONTAINING MATERIAL (ACM)

Manage and dispose of asbestos- containing waste in accordance with all applicable federal, state, and local (or Host Nation) requirements. Manifest asbestos-containing waste and provide the manifest to the Contracting Officer. Notifications to the regulatory authorities and Installation Air Program Manager are required before starting any asbestos work.

3.11 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)

Manage and dispose of lead-contaminated waste in accordance with 40 CFR 745.Manifest any lead-contaminated waste and provide the manifest to the Contracting Officer.

3.12 MILITARY MUNITIONS

In the event military munitions, as defined in 40 CFR 260, are discovered or uncovered, immediately stop work in that area and immediately inform the Contracting Officer.

3.13 PETROLEUM, OIL, LUBRICANT (POL) STORAGE AND FUELING

POL products include flammable or combustible liquids, such as gasoline, diesel, lubricating oil, used engine oil, hydraulic oil, mineral oil, and cooking oil. Store POL products and fuel equipment and motor vehicles in a manner that affords the maximum protection against spills into the environment. Manage and store POL products in accordance with EPA 40 CFR 112, and other federal, state, regional, and local laws and regulations. Use secondary containments, dikes, curbs, and other barriers, to prevent POL products from spilling and entering the ground, storm or sewer drains, stormwater ditches or canals, or navigable waters of the United States. Describe in the EPP (see paragraph ENVIRONMENTAL PROTECTION PLAN) how POL tanks and containers must be stored, managed, and inspected and what protections must be provided. Storage of oil, including fuel, on the project site is not allowed. Fuel must be brought to the project site each day that work is performed.

3.13.1 Used Oil Management

Manage used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while onsite exhibits a characteristic of hazardous waste. Used oil containing 1,000 parts per million of solvents is considered a hazardous waste and disposed of at the Contractor's expense. Used oil mixed with a hazardous waste is also considered a hazardous waste. Dispose in accordance with paragraph HAZARDOUS WASTE DISPOSAL.

3.13.2 Oil Storage Including Fuel Tanks

Provide secondary containment and overfill protection for oil storage tanks. A berm used to provide secondary containment must be of sufficient size and strength to contain the contents of the tanks plus 5 inches freeboard for precipitation. Construct the berm to be impervious to oil for 72 hours that no discharge will permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Use drip pans during oil transfer operations; adequate absorbent material must be onsite to clean up any spills and prevent releases to the environment. Cover tanks and drip pans during inclement weather. Provide procedures and equipment to prevent overfilling of tanks. If tanks and containers with an aggregate aboveground capacity greater than 1320 gallons will be used onsite (only containers with a capacity of 55 gallons or greater are counted), provide and implement a Spill Prevention Control and Countermeasure (SPCC) plan meeting the requirements of 40 CFR 112. Do not bring underground storage tanks to the installation for Contractor use during a project. Submit the SPCC plan to the Contracting Officer for approval.

Monitor and remove any rainwater that accumulates in open containment dikes or berms. Inspect the accumulated rainwater prior to draining from a containment dike to the environment, to determine there is no oil sheen present.

3.14 INADVERTENT DISCOVERY OF PETROLEUM-CONTAMINATED SOIL OR HAZARDOUS WASTES

If petroleum-contaminated soil, or suspected hazardous waste is found during construction that was not identified in the Contract documents, immediately notify the Contracting Officer. Do not disturb this material until authorized by the Contracting Officer.

3.15 CHLORDANE

Evaluate excess soils and concrete foundation debris generated during the demolition of housing units or other wooden structures for the presence of chlordane or other pesticides prior to reuse or final disposal.

3.16 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives are not permitted without written permission from the Contracting Officer, and then only during the designated times. Confine pile-driving operations to the period between 8 a.m. and 4 p.m., Monday through Friday, exclusive of holidays, unless otherwise specified.

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of South Carolina rules.

3.17 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. Grade parking area

and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

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SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
JB CHS 10/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 273	Standards for Universal Waste Management
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.2.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.2.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.2.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.2.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.2.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying

constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.2.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.2.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

1.2.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.2.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.3 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60 percent by weight of the project construction waste and demolition debris/waste from the landfill or incinerator. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.4 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.4.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to

provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.4.2 Oversight

1.4.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.4.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.4.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris

b. Asphalt

c. Masonry and CMU

d. Concrete

e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)

f. Wood (nails and staples allowed)

g. Glass

h. Paper

i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)

j. Gypsum

k. Non-hazardous paint and paint cans

l. Carpet

m. Ceiling Tiles

n. Insulation

o. Beverage Containers

1.5 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals
Construction Waste Management Plan; G,
SD-06 Test Reports

Monthly Reports; G

SD-11 Closeout Submittals

Final Construction Waste Diversion Report; G

1.6 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section 01 45 00 QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

a. Preconstruction meeting.

b. Regular Quality Control meetings.

c. Work safety meeting (if applicable).

1.7 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 45 calendar days after contract award. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project.

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

l. Identification of at least 2 construction or demolition material streams for diversion.

m. Detailed plan and distribution of waste diversion between buildings, when project is a part of a campus.

n. Facilities or subcontractors offering construction waste transport on-site or off-site must ensure that proper shipping orders, bill of lading, manifests, or other shipping documents containing waste diversion information meet requirements of 40 CFR 273 Universal Waste Management, 49 CFR 173 Shippers - General Requirements for Shipments and Packagings, and 49 CFR 178 Specifications for Packaging. Individuals signing manifests or other shipping documents should meet the minimum training requirements.

o. List each supplier who deliver construction materials, in bulk, or

package products in returnable containers or returnable packaging, or have take-back programs. List each program and the applicable material to actively monitor and track to assist in meeting waste diversion requirements on the project.

Distribute copies of the waste management plan to each subcontractor, Quality Control Manager , and the Contracting Officer.

1.8 RECORDS (DOCUMENTATION)

1.8.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.8.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

1.9 REPORTS

1.9.1 General

Maintain current construction waste diversion information on site for periodic inspection by the Contracting Officer. Include in the quarterly reports, annual reports and final reports: the project name, contract information, information for waste generated, diverted and disposed of for the current reporting period and show cumulative totals for the project. Reports must identify quantifies of waste by type and disposal method. Also include in each report, supporting documentation to include manifests, weigh tickets, receipts, and invoices specifically identifying the project and waste material type and weighted sum.

1.9.2 Quarterly Reporting

Submit monthly reports not later than 15 calendar days after the preceding month has ended. Submit Monthly Reports to the Contracting Officer, and they will be reviewed/approved by 628 Civil Engineering Squadron, Installation Management, Environmental Element (628 CES/CEIE)

1.10 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide Final Construction Waste Diversion Report 30 days prior to the Beneficial Occupancy Date (BOD).

1.11 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection

from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS. Separate materials by one of the following methods described herein:

1.11.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

1.11.2 Co-Mingled Method

Place waste products and recyclable materials into a single container and then transport to an authorized recycling facility, which meets all applicable requirements to accept and dispose of recyclable materials in accordance with all applicable local, state and federal regulations. The Co-mingled materials must be sorted and processed in accordance with the approved Construction Waste Management Plan.

1.11.3 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

1.12 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.12.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered scrap metal is allowed on the Installation. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

1.12.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.12.3 Compost

Composting is not currently practiced at Joint Base Charleston. Consider composting off site if a reasonable amount of compostable materials will be available and a utilization of compostable material can be determined and appropriately planned for. Compostable materials include plant materials, sawdust and certain food scraps. Composting as a strategy must be explicitly addressed in the Construction Waste Management Plan submitted for approval to ensure it is feasible

1.12.4 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 78 00

CLOSEOUT REQUIREMENTS
JB CHS 12/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site that depict actual conditions, and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site and . These files serve as the basis for the creation of the record drawings.

1.1.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.2 SOURCE DRAWING FILES

Request the full set of electronic drawings, in the source format, for Record Drawing preparation, after award and at least 30 days prior to required use.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan; G

Warranty Tags; FIO

Spare Parts Data; FIO

SD-08 Manufacturer's Instructions

Posted Instructions; FIO

Preventative Maintenance; G

Condition Monitoring (Predictive Testing); G

Inspection Schedule; G

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

O&M Database; G

SD-11 Closeout Submittals

As-Built Drawings; G

Record Drawings; G

1.4 OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data for the provided equipment, product, or system, defining the importance of system interactions, troubleshooting, and long-term preventive operation and maintenance. Compile, prepare, and aggregate O&M data to including clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

1.4.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.4.2 Package Content

Provide data package content in accordance with paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES. Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission, except as follows. Use Data Package 3, 4, or 5 for commissioned items without a specified data package requirement in the individual technical sections. Provide a data package 3, 4, or 5 instead of Data Package 1 or 2, as specified In teh individual technical section, for items that are commissioned.

1.4.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

1.4.4 Commissioning Authority Review and Approval

Submit the commissioned systems and equipment submittals to the Commissioning Authority (CxA) to review for completeness and applicability. Obtain validation from the CxA that the systems and equipment provided meet the requirements of the Contract documents and design intent, particularly as they relate to functionality, energy

performance, water performance, maintainability, sustainability, system cost, indoor environmental quality, and local environmental impacts. The CxA communicates deficiencies to the Contracting Officer. Submit the O&M manuals to the Contracting Officer upon a successful review of the corrections, and with the CxA recommendation for approval and acceptance of these O&M manuals. This work is in addition to the normal review procedures for O&M data.

1.5 O&M DATABASE

Develop an editable electronic spreadsheet based on the equipment in the Operations and Maintenance manuals that contains the information required to start a preventative maintenance program. As a minimum, provide list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

1.6 OPERATION AND MAINTENANCE MANUAL FILE FORMAT

Assemble data packages into electronic Operation and Maintenance Manuals. Assemble each manual into a composite electronically indexed file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete electronically linked operation and maintenance directory.

1.6.1 Organization

Bookmark Product and Drawing Information documents using the current version of CSI Masterformat numbering system, and arrange submittals using the specification sections as a structure. Use CSI Masterformat and UFGS numbers along with descriptive bookmarked titles that explain the content of the information that is being bookmarked.

1.6.2 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number

b. Project Title

c. Activity and Location

d. Construction Contract Number

e. Prepared for: (Contracting Agency)

f. Prepared By: (Name, title, phone number and email address)

g. Include the disk content on the disk label

h. Date

i. Virus scanning program used

1.7 TYPES OF INFROMATION REQUIRED IN O&M DATA PACKAGES

The following are a detailed description of the data package items listed in paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES.

1.7.1 Operating Instructions

Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.7.1.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENT SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard.

1.7.1.2 Operator Prestart

Include procedures required to install, set up, and prepare each system for use.

1.7.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.7.1.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.7.1.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.7.1.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.7.1.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.7.1.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary

operating records.

1.7.1.9 Additional Requirements for HVAC Control Systems

Provide Data Package 5 and the following for control systems:

a. Narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.

b. Full as-built sequence of operations.

c. Copies of checkout tests and calibrations performed by the Contractor (not Cx tests).

d. Full points list. Provide a listing of rooms with the following information for each room:

(1) Floor

(2) Room number

(3) Room name

(4) Air Handler Unit ID

(5) Reference drawing number

(6) Air terminal unit tag ID

(7) Heating or cooling valve tag ID

(8) Minimum cfm

(9) Maximum cfm

e. Full print out of all schedules and set points after testing and acceptance of the system.

f. Full as-built print out of software program.

g. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.7.2 Preventive Maintenance

Provide the following information for preventive and scheduled maintenance to minimize repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Include the required inspection schedule with instructions that state when systems should be retested.

1.7.2.1 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.

c. A Lubrication Schedule showing service interval frequency.

1.7.2.2 Preventive Maintenance Plan and Schedule

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (preventive tests) and adjustments required to ensure proper and economical operation and to minimize corrective maintenance. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.7.3 Repair

Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

1.7.3.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.7.3.2 Wire Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the

terminals for each type, identically to actual installation configuration and numbering.

1.7.3.3 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

1.7.3.4 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Instructions shall include a combination of text and illustrations.

1.7.3.5 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.7.3.6 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate

1.7.4 Real Property Equipment

Provide a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. In the "EQUIPMENT-IN-PLACE LIST" include, as applicable, the following for each piece of equipment installed: description of item, location (by room number), model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Submit the final list 30 days after transfer of the completed facility.

Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIMPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog Model, and Serial Number	Composition and Size	Where Used

1.7.5 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the

product or equipment. Include the following:

1.7.5.1 Product Submittal Data

Provide a copy of all SD-03 Product Data submittals documented with the required approval.

1.7.5.2 Manufacturer's Instructions

Provide a copy of all SD-08 Manufacturer's Instructions submittals documented with the required approval.

1.7.5.3 O&M Submittal Data

Provide a copy of all SD-10 Operation and Maintenance Data submittals documented with the required approval.

1.7.5.4 Parts Identification

Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog.

1.7.5.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components of the system. Provide copies of warranties required by this section.

1.7.5.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid.

1.7.5.7 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.7.5.8 Testing Equimpent and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components.

1.7.5.9 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms.

1.7.5.10 Field Test Reports

Provide Field Test Reports (SD-06) that apply to the equipment associated with the system.

1.7.5.11 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.8 TYPES OF INFORMATION REQUIRED IN CONTROLS O&M DATA PACKAGES

Include Data Package 5 and the following for control systems:

a. Narrative description on how to perform and apply all functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.

b. Full as-built sequence of operations.

c. Copies of all checkout tests and calibrations performed by the Contractor (not Cx tests).

d. Full print out of all schedules and set points after testing and acceptance of the system.

e. Full as-built print out of software program.

f. Electronic File:

(1) Assemble each manual into a composite electronically indexed file in PDF format. Provide HDD's, DVD's or CD's as appropriate, so that each one contains all maintenance and record files, and also the Project Record Documents and Training Videos, of the entire program for this facility.

(2) Name each indexed document file in composite electronic index with applicable item name. Include a complete electronically linked operation and maintenance directory.

(3) Link the index to separate files within the composite of files. Book mark maintenance and record files, that have a Table of Contents, according to the Table of Contents

g. Marking of all system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.9 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Furnish the O&M data packages specified in individual technical sections. The required information for each O&M data package is as follows:

1.9.1 Data Package 1

a. Safety precautions

b. Cleaning recommendations

c. Maintenance and repair procedures

d. Warranty information

e. Contractor information

f. Spare parts and supply list

1.9.2 Data Package 2

a. Safety precautions

b. Normal operations

c. Environmental conditions

d. Lubrication data

e. Preventive maintenance plan, and schedule

f. Cleaning recommendations

g. Maintenance and repair procedures

h. Removal and replacement instructions

i. Spare parts and supply list

j. Parts identification

k. Warranty information

l. Contractor information

1.9.3 Data Package 3

a. Safety precautions

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Environmental conditions

g. Lubrication data

g. Preventive maintenance plan, and schedule

i. Cleaning recommendations

j. Troubleshooting guides and diagnostic techniques

k. Wiring diagrams and control diagrams

l. Maintenance and repair procedures

m. Removal and replacement instructions

n. Spare parts and supply list

o. Product submittal data

p. O&M submittal data

q. Parts identification

r. Warranty information

s. Testing equipment and special tool information

t. Testing and performance data

u. Contractor information

1.9.4 Data Package 4

a. Safety precautions

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Operator service requirements

g. Environmental conditions

h. Lubrication data

i. Preventive maintenance plan and schedule

j. Cleaning recommendations

k. Troubleshooting guides and diagnostic techniques

l. Wiring diagrams and control diagrams

m. Maintenance and Repair procedures

n. Removal and replacement instructions

o. Spare parts and supply list

p. Corrective maintenance man-hours

q. Product submittal data

r. O&M submittal data

s. Parts identification

t. Warranty information

u. Personnel training requirements

v. Testing equipment and special tool information

w. Testing and performance data

x. Contractor information

1.9.5 Data Package 5

a. Safety precautions

b. Operator prestart

c. Start-up, shutdown, and post-shutdown procedures

d. Normal operations

e. Environmental conditions

f. Preventive maintenance plan and schedule

g. Troubleshooting guides and diagnostic techniques

h. Wiring and control diagrams

i. Maintenance and repair procedures

j. Removal and replacement instructions

k. Spare parts and supply list

l. Product submittal data

m. Manufacturer's instructions

n. O&M submittal data

o. Parts identification

p. Testing equipment and special tool information

q. Warranty information

r. Testing and performance data

s. Contractor information

1.10 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

1.11 WARRANTY MANAGEMENT

1.11.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Identify the Pre-Warranty Conference on the 3-Week Look Ahead Schedule IAW 01 32 00. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

a. Roles and responsibilities of all personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.

b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.

c. A list and status of delivery of all Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems such as fire protection and alarm systems, sprinkler systems, lightning protection systems.

d. As-Built Record of Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:

(1) Name of item.
(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.
(5) Names, addresses and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one yearmust be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance manuals.
(11) Organization, names and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of all equipment covered by warranties longer than one year.

g. Copies of posted instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.11.2 Performance Bond

The Performance Bond must remain effective throughout the construction and warranty period.

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.

b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.11.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section.

Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty will be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.11.4 Contractor's Response to Construction Warranty Service

Following oral or written notification by the Contracting Officer, respond to construction warranty service requirements in accordance with the "Construction Warranty Service Priority List" and the three categories of priorities listed below. Submit a report on any warranty item that has been repaired during the warranty period. Include within the report the cause of the problem, date reported, corrective action taken, and when the repair was completed. If the Contractor does not perform the construction warranty within the timeframe specified, the Government will perform the work and back charge the construction warranty payment item established.

a. First Priority Code 1. Perform onsite inspection to evaluate situation, and determine course of action within 4 hours, initiate work within 6 hours and work continuously to completion or relief.

b. Second Priority Code 2. Perform onsite inspection to evaluate situation, and determine course of action within 8 hours, initiate work within 24 hours and work continuously to completion or relief.

c. Third Priority Code 3. All other work to be initiated within 3 workdays and work continuously to completion or relief.

d . The "Construction Warranty Service Priority List" is as follows:

Code 1-Life Safety Systems
(1) Fire suppression systems.
(2) Fire alarm system(s)in place in the building.

Code 1-Air Conditioning Systems
(1) Recreational support.
(2) Air conditioning leak in part of building, if causing damage.
(3) Air conditioning system not cooling properly.

Code 1-Doors
(1) Overhead doors not operational, causing a security, fire, or safety problem.
(2) Interior, exterior personnel doors or hardware, not functioning properly, causing a security, fire, or safety problem.

Code 3-Doors
(1) Overhead doors not operational.
(2) Interior/exterior personnel doors or hardware not functioning properly.

Code 1-Electrical
(1) Power failure (entire area or any building operational after 1600hours).
(2) Security lights
(3) Smoke detectors

Code 2-Electrical
(1) Power failure (no power to a room or part of building).
(2) Receptacle and lights (in a room or part of building).

Code 1-Gas
(1) Leaks and breaks.

Code 1-Heat
(1) Area power failure affecting heat.
(2) Heater in unit not working.

Code 1-Plumbing
(1) Hot water heater failure.
(2) Leaking water supply pipes.

Code 2-Plumbing
(1) Flush valves not operating properly.
(2) Fixture drain, supply line to commode, or any water pipe leaking.
(3) Commode leaking at base.

Code 3 -Plumbing
Leaky faucets.

Code 3-Interior
(1) Floors damaged.
(2) Paint chipping or peeling.
(3) Casework.

Code 1-Roof Leaks
Temporary repairs will be made where major damage to property is occurring.

Code 2-Roof Leaks
Where major damage to property is not occurring, check for location of leak during rain and complete repairs on a Code 2 basis.

Code 2-Water (Exterior)
No water to facility.

Code 2-Water (Hot)
No hot water in portion of building listed.

Code 3-All other work not listed above.

1.11.5 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to

letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where items(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

   (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

   (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

   (3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

   1) Add an entire drawing to contract drawings

   2) Change the contract drawing to show

   3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances

may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared and/or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

l. Modifications (include within change order price the cost to change working as-built markup drawings to reflect modifications).

m. Actual location of anchors, construction and control joints, etc., in concrete.

n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.

o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 RECORD DRAWINGS

3.3 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals. Provide an electronic copy of the Operation and Maintenance Manual files three (3) hard copy of

the Operation and Maintenance Manuals. Submit to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD. .

3.3.1 Configuration

Operation and Maintenance Manuals must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions. Provide manufacturer's PDF files of above and bind information in manual format and grouped by technical sections. Organize data by separate index and tabbed sheets for vendor data and manuals. Test data must be legible and of good quality. Caution and warning indications must be clearly labeled.

3.3.1.1 Training and Instruction

Submit classroom and field instructions in the operation and maintenance of systems equipment where required by the technical provisions. These services must be directed by the Contractor, using the manufacturer's factory-trained personnel or qualified representatives. Contracting Officer will be given 7 calendar days written notice of scheduled instructional services. Instructional materials belonging to the manufacturer or vendor, such as lists, static exhibits, and visual aids, must be made available to the Contracting Officer.

3.4 CLEANUP

Leave premises "broom clean." Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site.

3.5 PREVENTATIVE MAINTENANCE

Submit Preventative Maintenance, Condition Monitoring (Predictive Testing) and Inspection Schedule with instructions that state when systems should be retested.

a. Define the anticipated length of each test, test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a signoff blank for the Contractor and Contracting Officer for each test feature; e.g., gpm, rpm, psi. Include a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventative maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize corrective maintenance and repair.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

-- End of Section --

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SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
05/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE GUIDELINE 1.4	(2019) Preparing Systems Manuals for Facilities

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings
ASTM E2166	(2016; R 2023) Standard Practice for Organizing and Managing Building Data

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Facility Data Workbook; G

Training Plan; G

Training Outline; G

Training Content; G

Operation And Maintenance Manual, Progress Submittal; G

Operation And Maintenance Manual, Prefinal Submittal; G

Operation And Maintenance Manual, Final Submittal; G

SD-11 Closeout Submittals

Validation of Training Completion; G

Training Plan; G

Record Drawings And Utility Systems; G

1.3 MEETINGS

To assure that Operation and Maintenance Manual and Facility Data Workbook requirements are being met through the duration of the project, organize the following meetings and discuss the subsequent topics:

1.3.1 Pre-Construction Meeting

At a minimum, discuss the following:

a. The requirement for Operation and Maintenance Manuals and Facility Data deliverables under this contract including coordination meetings

b. Processes and method of gathering Facility Data information during construction

c. Primary roles and responsibilities associated with the development and delivery of the Operation and Maintenance Manuals and Facility Data deliverables, and

d. Identify and agree upon a date and attendance list for the meetings described below:

1.3.2 Operation and Maintenance Manual and Facility Data Workbook Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting prior to the submission of the Operation and Maintenance Manual Progress Submittal. Meeting attendance must include the Contractor's Operation and Maintenance Manual and Facility Data Workbook Preparer, Quality Control Manager, the Commissioning Authority (CxA), the Government's Design Manager (DM), Contracting Officer's Representative, and Government's facility data reviewer. Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for Operation and Maintenance Manual and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation and timely Government review of Operation and Maintenance Manual.

1.3.3 Submittal Coordination Meeting

Facilitate a meeting following submission and Government review of each design or progress submittal of the Operation and Maintenance Manual and Facility Data Workbook.

a. Include personnel from the Coordination meeting and any additional personnel identified.

b. The purpose of this meeting is to demonstrate ongoing compliance with the requirements identified in this specification. Discuss Government review comments and unresolved items preventing completion and Government approval of the Operation and Maintenance Manuals and Facility Data Workbook (FDW).

c. The applicable deliverables, along with Government remarks associated with review of these submittals serve as the primary guide and agenda

for this meeting.

1.3.4 Facility Turnover Meeting

Include Operation and Maintenance Manual in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in Section 01 30 00, ADMINISTRATIVE REQUIREMENTS.

1.4 FACILITY DATA WORKBOOK

Develop an editable, electronic spreadsheet based on the equipment in the Operation and Maintenance Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide Facility Data Workbook as a list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

1.5 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble Operation and Maintenance Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete bookmarked operation and maintenance directory.

1.5.1 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number

b. Project Title

c. Activity and Location

d. Construction Contract Number

e. Prepared For: (Contracting Agency)

f. Prepared By: (Name, title, phone number and email address)

g. Include the disk content on the disk label

h. Date

i. Virus scanning program used

1.5.2 O&M Manual Tabbed Hard Copy

Provide a hard copy of the O&M manual upon completion of the project. Provide tabs for each section and subsection for ease of navigation by the user.

1.6 O&M MANUAL CONTENT

Organize the bookmarked Operation and Maintenance Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified

and detailed below. Word template for O&M Manual is available at: https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-78-23.

1.6.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the purpose of the manual and a description of the manual's organization.

1.6.2 Part 2: Facility Design and Construction

1.6.2.1 General Facility and Systems Description

Provide an overview of the intent for design and use of the facility. Provide a PDF of the Record Drawings prepared in accordance with 01 78 00 CLOSEOUT SUBMITTALS and bookmarked using the sheet title and sheet number. Include uncluttered 11 by 17 inches floor plans with room numbers, type or function of space, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

Detail the overall dimensions of the facility, number of floors, foundation type, expected number of occupants, and facility Category Code list and generally describe all the facility systems and any special building features (for example, HVAC Controls, Sprinkler Systems, Cranes, Elevators, and Generators). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

1.6.2.2 Basis of Design

Provide a copy of the contract Basis of Design.

1.6.2.3 Contract Documents, RFP, Amendments, and Modifications

Provide the contract construction documents complete, to include specifications, drawings, Request for Proposal, amendments, and modifications.

1.6.2.4 Room Inventory of Real Property and Finishes

Provide a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. Include, as applicable, the following information for each piece of equipment installed: description of item, all dimensions, location by room number, model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Real property includes, but is not limited to, floor coverings, wall surfaces, ceiling surfaces, windows, roofing, HVAC filters, plumbing fixtures, and lighting fixtures. Submit the final list 30 days after transfer of the completed facility.

Include spatial data defining actual net square footage and data of each room. Also include the room finish schedule including room names and numbers. Include schedules in the construction drawings in the room inventory. Add a column to each schedule to record what was provided by the contractor during construction. Provide a PDF of room inventory. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used

1.6.3 Part 3: Facilities, Systems, and Assemblies Information

1.6.3.1 Organization

Bookmark information in this section using the current version of ASTM E2166 Uniformat II, UFGS numbers, and document type as outlined in the example below. Bookmark/tab each item to the third level for easy navigation of the manual.

Example as shown in Table below:

PARTS AND SUBPART NUMBERING
3.1 B20 EXTERIOR CLOSURE (System)
3.1.1 B2030 EXTERIOR DOORS (Subsystem)
3.1.1.1 B2030110 GLAZED DOORS (Component)
3.1.1.1.1 Applicable specifications List in UFGS Format
3.1.1.1.2 Manufacturer's Operations and Maintenance Data
3.1.1.1.3 Approved Submittal
3.1.1.1.4 Coordination/Shop Drawings
3.1.1.1.5 Sequence of Operation for Operating Equipment
3.1.1.1.6 Testing Equipment Information and Performance Data
3.1.1.1.7 Routine Maintenance Requirements
3.1.1.1.8 Repair Procedures
3.1.1.1.9 Emergency Procedures & Locations of Applicable Controls
3.1.1.1.10 Warranties
3.1.1.1.11 Record Drawings and Utility Systems
3.1.1.1.12 Contractor / Supplies Listing and Contact Information

1.6.3.2 Related Specifications

Reference each specification related to the subsystem in this section, and locate the actual specification section in Part 2 of the O&M Manual. List

specifications in table format as shown in the below example.

UFGS Number	Specification Title	Page Spec Begins in Part 2

1.6.3.3 Manufacturer's Operations and Maintenance Data

Provide a copy of all manufacturer specifications and cutsheets. Provide text-searchable, high-quality document files from the manufacturer's online or electronic documentation. Color documents are preferred. Provide documents specific to the product(s) installed under this Contract. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Provide Uniformat II Level 3 identification for D20, D30, D40 installed equipment. When possible, do not submit document files containing multiple product catalogs from the same manufacturer, or product data from multiple manufacturers in the same files. Provide documents directly from the manufacturer whenever possible. Do not provide scanned copies of hardcopy documents. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master part catalog.

1.6.3.4 Approved Submittals and Certificates

Provide a copy of all submittals documented with the required approval as applicable for each UFGS specification listed in the table outlined in applicable specifications. Include copies of SD-07 Certificates submittals documented with the required approval, SD-08 Manufacturer's Instructions submittals documented with the required approval, and SD-10 Operation and Maintenance Data submittals documents with the required approval.

1.6.3.5 Approved Coordination/Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work. Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project. Drawings prepared by or for the Contractor to show how multiple systems and

interdisciplinary work will be coordinated.

1.6.4 Sequence of Operation for Operating Equipment

Provide record one-line diagrams for each floor, delineating mechanical equipment location within the building. Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.6.4.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard. Specify if any certifications or licenses are required to operate the equipment.

1.6.4.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.6.4.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.6.4.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.6.4.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.6.4.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.6.4.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.6.4.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary

operating records.

1.6.4.9 Additional Requirements for Equipment Control Systems

Provide Data Package 5 and the following for all control systems:

a. Provide a narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.

b. Submit complete controls equipment schedules, full as-built sequence of operations, wiring and logic diagrams, Input/Output Tables, equipment schedules, copies of checkout tests and calibrations performed by the Contractor (not Cx tests), and all associates information.

c. Full points list. Provide a listing of rooms with the following information for each room:

(1) Floor

(2) Room number

(3) Room name

(4) Air handler unit ID

(5) Reference drawing number

(6) Air terminal unit tag ID

(7) Heating or cooling valve tag ID

(8) Minimum cfm

(9) Maximum cfm

d. Full print out of all schedules and set points after testing and acceptance of the system.

e. Full as-built print out of software program.

f. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.6.4.10 Testing Equipment Information and Performance Data

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.5 Routine Maintenance Requirements

1.6.5.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.6.5.2 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities. Provide procedural instructions for Oil Sampling for all equipment.

c. A Lubrication Schedule showing service interval frequency.

1.6.6 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

1.6.6.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical

malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.6.6.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.6.6.3 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

1.6.6.4 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.6.6.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.7 Record Drawings and Utility Systems

The record drawings are the final compilation of actual conditions reflected in the as-built drawings. Provide record drawings as outlined in 01 78 00 CLOSEOUT SUBMITTALS.

Using Record Source Drawings, show and document details of the actual installation of the utility systems, annotate and highlight the Operation and Maintenance information. Provide the following drawings at a large enough scale to differentiate designated isolation units from surrounding valves and switches.

1.6.6.8 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.6.6.9 Contractor / Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email and website of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.6.7 Part 4: Facility Operations

1.6.7.1 Completed Facility Operating Plan

Provide a plan that documents that procedures for the operation of systems and assemblies in the facility. The systems that should be included in the Operating Plan include, but are not limited to:

a. Electrical systems and equipment

b. Mechanical systems and equipment

c. Fire Protection systems and equipment

d. Control Systems and equipment

e. Architectural and Structural systems, fixtures, structures, and equipment

f. Vertical transportation such as elevators and escalators

1.6.7.2 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.6.7.3 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.7.4 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals.

1.6.7.5 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

1.6.7.5.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

1.6.7.5.2 Ongoing Commissioning Operational and Maintenance Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

1.6.7.5.3 Janitorial and Cleaning Plans and Procedures

Include a copy of facility cleaning and janitorial plan with procedures and intended chemicals and equipment.

Provide environmentally friendly cleaning recommendations in accordance with ASTM E1971.

1.6.7.6 Utility Record Drawings

1.6.7.6.1 Utility Schematic Diagrams

Provide a one-line schematic diagram for each utility system such as power, water, wastewater, and gas/fuel. Schematic diagram must show from the point where the utility line is connected to the mainline up to the 5 foot connection point to the facility. Indicate location or area designation for route of transmission or distribution lines; locations of duct banks, manholes/handholes or poles; isolation units such as valves and switches; and utility facilities such as pump stations, lift stations, and substations.

1.6.7.6.2 Enlarged Connection and Cutoff Plans

Provide enlarged floor plans and provide information between the 5 foot utilities connection point and where utilities connect to facility distribution. Enlarge floor plans/elevations of the rooms where the utility enters the building and indicate on these plans the locations of the main interiors and exterior connection and cutoff points for the utilities. Also enlarge floor plans/elevations of the rooms where equipment is located. Include enough information to enable someone unfamiliar with the facility to locate the connection and cutoff points. Indicate designations such as room number, panel number, circuit breaker, or valve number of each utility and equipment connection and cutoff point, and what that connection and cutoff point controls.

1.6.7.6.2.1 Description of Utility Metering and Monitoring Systems

Provide in narrative format a description of the utility metering and monitoring systems. Include locations, function, and related systems.

1.6.7.6.2.2 Procedures for Tracking Utility Use and Reporting

Procedures for usage reporting and tracking in support of establishing and monitoring utility budgets and costs, and in developing annual energy reports.

1.6.7.6.2.3 One-Line Diagrams and Meter Location of System

Provide one-line diagrams and design drawings that highlight meter locations on the site.

1.6.7.6.3 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.6.8 Part 5: Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

1.6.9 Part 6: Cx Project Report and TAB Report

Provide the final Cx Plan and complete Cx reports with evaluation and testing forms and records for each building system. Include relevant commissioned system assemblies test reports including installers checklists of assemblies. Provide all Cx Progress Reports, issues and resolutions logs with resolution or status of each item, and a list of any open items and seasonal or additional testing required.

1.6.10 Part 7: Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.6.11 Part 8: Permits

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.6.12 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.7 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.7.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.7.2 Data Package 1

a. Safety precautions and hazards

b. Cleaning recommendations

c. Maintenance and repair procedures

d. Warranty information

e. Extended warranty information

f. Contractor information

g. Spare parts and supply list

1.7.3 Data Package 2

a. Safety precautions and hazards

b. Normal operations

c. Environmental conditions

d. Lubrication data

e. Preventive maintenance plan, schedule, and procedures

f. Cleaning recommendations

g. Maintenance and repair procedures

h. Removal and replacement instructions

i. Spare parts and supply list

j. Parts identification

k. Warranty information

l. Extended warranty information

m. Contractor information

1.7.4 Data Package 3

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Environmental conditions

g. Operating log

h. Lubrication data

i. Preventive maintenance plan, schedule, and procedures

j. Cleaning recommendations

k. Troubleshooting guides and diagnostic techniques

l. Wiring diagrams and control diagrams

m. Maintenance and repair procedures

n. Removal and replacement instructions

o. Spare parts and supply list

p. Product submittal data

q. O&M submittal data

r. Parts identification

s. Warranty information

t. Extended warranty information

u. Testing equipment and special tool information

v. Testing and performance data

w. Contractor information

x. Field test reports

1.7.5 Data Package 4

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Operator service requirements

g. Environmental conditions

h. Operating log

i. Lubrication data

j. Preventive maintenance plan, schedule, and procedures

k. Cleaning recommendations

l. Troubleshooting guides and diagnostic techniques

m. Wiring diagrams and control diagrams

n. Repair procedures

o. Removal and replacement instructions

p. Spare parts and supply list

q. Repair work-hours

r. Product submittal data

s. O&M submittal data

t. Parts identification

u. Warranty information

v. Extended warranty information

w. Personnel training requirements

x. Testing equipment and special tool information

y. Testing and performance data

z. Contractor information

aa. Field test reports

1.7.6 Data Package 5

a. Safety precautions and hazards

b. Operator prestart

c. Start-up, shutdown, and post-shutdown procedures

d. Normal operations

e. Environmental conditions

f. Preventive maintenance plan, schedule, and procedures

g. Troubleshooting guides and diagnostic techniques

h. Wiring and control diagrams

i. Maintenance and repair procedures

j. Removal and replacement instructions

k. Spare parts and supply list

l. Product submittal data

m. Manufacturer's instructions

n. O&M submittal data

o. Parts identification

p. Testing equipment and special tool information

q. Warranty information

r. Extended warranty information

s. Testing and performance data

t. Contractor information

u. Field test reports

v. Additional requirements for HVAC control systems

1.7.7 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the Facilities Management Specialist, building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the Operation and Maintenance Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the and the Commissioning Authority (CxA) prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and CxA. Include within the plan the following elements:

a. Equipment included in training

b. Intended audience

c. Location of training

d. Dates of training

e. Objectives

f. Outline of the information to be presented and subjects covered including description

g. Start and finish times and duration of training on each subject

h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)

i. Instructor names and instructor qualifications for each subject

j. List of texts and other materials to be furnished by the Contractor that are required to support training

k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The CxA is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

d. Design intent.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

3.1.3 Training Outline

Provide the Operation and Maintenance Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

3.1.7 Quality Control Coordination

Coordinate this training with the CxA in accordance with Section 01 45 00 QUALITY CONTROL.

3.2 SUBMITTAL SCHEDULING

3.2.1 Operation and Maintenance Manual, Progress Submittal

Submit the Progress submittal when construction is approximately 50 percent complete, to the Contracting Officer for approval. Provide Operation and Maintenance Manual Files (Bookmarked PDF). Include the elements and portions of system construction completed up to this point. The purpose of this submittal is to verify progress is in accordance with contract requirements as discussed during the Operation and Maintenance Manual Coordination Meeting.

3.2.2 Operation and Maintenance Manual, Prefinal Submittal

Submit the 100 percent submittal of the Operation and Maintenance Prefinal Submittal to the Contracting Officer for approval within 90 calendar days of the Beneficial Occupancy Date (BOD). This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of Operation and Maintenance Progress submittal must be corrected prior to the Prefinal submission. The Prefinal Submittal must include Operation and Maintenance Manual Files (Bookmarked PDF).

3.2.3 Operation and Maintenance Manual, Final Submittal

Submit completed Operation and Maintenance Manual Files (Bookmarked PDF). The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal submittal, including the Field Verification, must be corrected prior to the Final submission.

-- End of Section --

SECTION 01 91 00.15

BUILDING COMMISSIONING
05/23, CHG 2: 11/23

PART 1 GENERAL

Building Commissioning is a systematic, quality-focused process for enhancing the delivery of a project that focuses on verifying and documenting that all of the commissioned systems and assemblies are planned, designed, installed, tested, operated, and maintained to meet the project requirements. The purpose is to reduce the cost and performance risks associated with delivering facilities projects, and to increase value to owners, occupants, and users.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 202	(2018) Commissioning Process for Buildings and Systems

1.2 DEFINITIONS

Commissioning Process (Cx) - a quality-focused process for enhancing the delivery of a project. Refer to ASHRAE 202 for a comprehensive description of the commissioning process.

(CxC) - The entity who leads, plans, and coordinates the Commissioning Team. The terms Commissioning Provider, Commissioning Firm, Lead Commissioning Specialist, Commissioning Specialist, and Commissioning Authority (CA or CxA) when used by sustainable Third Party Certification (TPC) programs, are interchangeable.

Commissioning Authority – The Government retains the authority for oversight and assurance of the entire commissioning process, and final approval of all commissioning deliverables.

1.3 SYSTEMS TO BE COMMISSIONED

Coordinate commissioning and quality control activities for the following systems, equipment, and associated controls. System-specific requirements are located in the associated specification sections. Commission the following systems, equipment, and associated controls in accordance with this section and the inspection, testing, and quality control requirements of their respective sections:

Heating, ventilating, air-conditioning, and refrigeration systems (mechanical and passive) and associated controls (HVAC)

Lighting systems: interior and exterior, automatic and manual daylighting controls, occupancy sensing devices, automatic shut-off controls, time switching, and other lighting control devices, and

dimming systems

Service hot-water systems and controls

Water pressure-booster systems

Building Control Systems

Utility Monitoring and Control Systems

Metering and sub-metering

Automatic Receptacle Control

1.4 COMMISSIONING TEAM

The Commissioning team will include, but is not limited to the following team members.

Ensure all Construction Activities for systems to be commissioned are coordinated with the appropriate commissioning team members.

a. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned

b. Technical Commissioning Specialists for each system to be commissioned

c. TAB Representative

d. Equipment manufacturer representatives

e. Government Contracting Officer

f. Government Representatives

g. Installation Maintenance Representative

h. Facility End User

1.5 PROJECT SCHEDULE

Include the following tasks in the project schedule required by Section. Ensure sufficient time is scheduled to complete each item. The order of items listed below is not intended to imply a specified sequence:

a. Commissioning Kickoff Meeting

b. Regular Commissioning Coordination Meetings

c. Installation of permanent utilities (gas, water, electric, steam)

d. Building Enclosure Construction

e. Submission and approval of the Completed Building Enclosure Inspection Checklists

f. Manufacturer's Equipment Start-Up for each of the systems to be commissioned

g. Submission and approval of the Completed Commissioning Observation Checklists

h. Submission and approval of Certificate of Readiness for each system to be commissioned

i. Commissioning Testing, including Functional Performance Testing, for each system to be commissioned

j. Integrated Systems Tests

k. Post-test deficiency correction for each system to be commissioned

l. Re-Testing

m. Training for each of the systems to be commissioned

1.6 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section:

SD-06 Test Reports

Completed Construction Observation Checklists; G

1.7 ISSUES LOG

The Commissioning Specialist develops and maintains an Issues Log for the systems to be commissioned. The issues log documents and tracks resolution of deficiencies identified during submittal reviews, inspection, and testing. At any point during construction, any commissioning team member finding deficiencies may communicate those deficiencies in writing to the Commissioning Specialist for inclusion into the Issues Log. For each issue, the Issues Log includes, but is not limited to, a unique reference number, description of the issue with contract requirement referenced, location of or equipment name/tags exhibiting the issue, the initials of the individual's name whom reported the issue, the date of first observation, the proposed resolution of the issue and date proposed, the date of any subsequent observations with applicable additional information, and the date of implementation of the final resolution of the issue as confirmed by the Commissioning Specialist and Contracting Officer. Issues must not be deleted from the issues log.

1.8 CERTIFICATE OF READINESS

Prior to scheduling Commissioning Tests for each system, the Quality Control Manager must issue a Certificate of Readiness for each system, certifying that inspections have been completed, open issues have been resolved, and the system is ready for Commissioning Tests. Refer to each related technical commissioning specification section for additional requirements.

Submit the Certificate of Readiness for each system prior to Commissioning Tests of that system. Do not schedule Commissioning Tests for a system until the Certificate of Readiness is approved by the Government.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 DESIGN REVIEW

3.2 CONSTRUCTION SUBMITTAL REVIEWS

Coordinate construction submittal document reviews for commissioned systems and assemblies with the CxC. The commissioning submittal review does not replace the Government submittal review, in accordance with Section.

3.3 COMMISSIONING KICKOFF MEETING

the commissioning process including contract requirements, lines of communication, roles and responsibilities, schedules, documentation requirements, inspection and test procedures, and logistics as specified in this section.

The Quality Control team, Designer of Record, and the Government must attend this meeting. Invite the User and Base Civil Engineering Representative to attend this meeting.

3.4 REGULAR COMMISSIONING COORDINATION MEETINGS

The Quality Control team, Designer of Record, and the Government must attend this meeting. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned must attend this meeting as requested by the CxC. Invite the User and a Base Civil Engineering Representative to attend this meeting.

Participate in monthly commissioning coordination meetings led by the CxC when installation of commissioned systems begins. Provide status of commissioned systems, open issues log items, outstanding submittals, and upcoming commissioning activities. Participate in bi-weekly commissioning coordination meetings within 30 days of the scheduled date for commissioning testing.

3.5 CONSTRUCTION PHASE COMMISSIONING PLANS

The Interim Construction Phase Commissioning Plan identifies the commissioning and testing standards and outline the overall commissioning process, the commissioning schedule, the commissioning team members and responsibilities, lines of communication, documentation requirements for the construction phase of the project, and Template Building Enclosure Inspection Checklists.

The Final Construction Phase Commissioning Plan includes the information provided in the Interim Construction Phase Commissioning Plan as well as commissioning construction observation checklists and test procedures such as Pre-Functional Checklists, Integrated Systems Test Checklists, and Functional Performance Test Checklists and other Commissioning Test Checklists for each building, for each system required to be commissioned,

and for each component for inclusion in the Final Construction Phase Commissioning Plan. Refer to the related technical commissioning specification section for additional requirements for checklists.

3.5.1 Test Procedures and Checklists

3.6 COMMISSIONING INSPECTIONS

Complete inspections using Construction Observation Checklists for each individual item of equipment or system for each system required to be commissioned in accordance with the commissioning plan. Indicate commissioning team member inspection and validation of each checklist item by initials. Validation of each checklist item by each team member indicates that item conforms to the contract documents and design in their area of responsibility. Commissioning Specialist validation of each checklist item indicates that each item has been installed correctly and in accordance with contract documents. Submit the initialed and Completed Construction Observation Checklists no later than 7 calendar days after completion of inspection of all checklists items for each system.

3.7 COMMISSIONING TESTS

Demonstrate that all systems, equipment, and components have been installed correctly and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents. Perform tests as specified in related technical commissioning specifications. Provide all materials, services, and labor required to perform all commissioning tests.

Commissioning Specialist's duties include leading and documenting all tests for the systems to be commissioned with appropriate sub-contractors performing the Tests. The representatives listed in the paragraph COMMISSIONING TEAM must attend the tests with the exception of the.

3.7.1 Test Scheduling and Coordination

Schedule Commissioning Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Commissioning tests.

Commissioning Tests and Integrated Systems Tests must be performed with the CxC present. Government reserves the right to witness all tests. Coordinate test schedule with Government representatives.

3.7.2 Testing Procedures

Commissioning tests include tests such as functional performance and integrated systems tests. For electrical systems testing, include testing of sensor calibrations, control responses, safeties, interlocks, operating modes, capacity, lighting levels, and verification of all other electrical system contract performance requirements. Perform test procedures in accordance with the commissioning standards specified. In addition, comply with the testing procedures specified in the sections listed in paragraph RELATED SECTIONS.

3.7.3 Sample Strategy

3.7.4 Aborted Tests and Re-Testing

Abort any test if any deficiency prevents successful completion of the test or if any required commissioning team member is not present for the test. Re-test after all deficiencies identified during the original test have been corrected. Contracting Officer may withhold payment equivalent to lost time, re-testing, and aborted tests. These costs may include salary, travel costs, and per diem for Government team members.

3.8 TRAINING PLAN

CxC must review the training plan for training associated with the equipment and systems to be commissioned, checking that each plan has the trainer name, trainer contract information, training schedule and location. Submit review at least 30 days prior to the first training event. Incorporate CxC review comments prior to submitting training plan. Update and resubmit the training plan based on any corrective action taken.

-- End of Section --

SECTION 02 41 00

DEMOLITION
08/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI Guideline K	(2009) Guideline for Containers for Recovered Non-Flammable Fluorocarbon Refrigerants

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 145	(1991; R 2012) Standard Specification for Classification of Soils and Soil-Aggregate Mixtures for Highway Construction Purposes
AASHTO T 180	(2017) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.6	(2006) Safety & Health Program Requirements for Demolition Operations - American National Standard for Construction and Demolition Operations

ASTM INTERNATIONAL (ASTM)

ASTM D2487	(2017; E 2020) Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)

CARPET AND RUG INSTITUTE (CRI)

CRI 104	(2015) Carpet Installation Standard for Commercial Carpet
CRI 105	(2015) Carpet Installation Standard for Residential Carpet

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. DEFENSE LOGISTICS AGENCY (DLA)

DLA 4145.25	(Jun 2000; Reaffirmed Oct 2010) Storage and Handling of Liquefied and Gaseous Compressed Gases and Their Full and Empty Cylinders; https://www.dla.mil/Portals/104/Documents/Dispositions/ddsr/docs/cylinderjointpub.pdf

U.S. DEPARTMENT OF DEFENSE (DOD)

DOD 4000.25-1-M	(2006) MILSTRIP - Military Standard Requisitioning and Issue Procedures
MIL-STD-129	(2014; Rev R; Change 1 2018; Change 2 2019; Change 3 2023) Military Marking for Shipment and Storage

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)

FAA AC 70/7460-1	(2016; Rev L; Change 2) Obstruction Marking and Lighting

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61	National Emission Standards for Hazardous Air Pollutants
40 CFR 82	Protection of Stratospheric Ozone
49 CFR 173.301	Shipment of Compressed Gases in Cylinders and Spherical Pressure Vessels

1.2 PROJECT DESCRIPTION

1.2.1 Definitions

1.2.1.1 Demolition

Demolition is the process of tearing apart and removing any feature of a facility together with any related handling and disposal operations.

1.2.1.2 Deconstruction

Deconstruction is the process of taking apart a facility with the primary goal of preserving the value of all useful building materials.

1.2.1.3 Demolition Plan

Demolition Plan is the planned steps and processes for managing demolition activities and identifying the required sequencing activities and disposal mechanisms.

1.2.1.4 Deconstruction Plan

Deconstruction Plan is the planned steps and processes for dismantling all or portions of a structure or assembly, to include managing sequencing activities, storage, re-installation activities, salvage and disposal mechanisms.

1.2.2 Demolition/Deconstruction Plan

Prepare a Demolition Plan and submit proposed demolition, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress, a disconnection schedule of utility services, a detailed description of methods and equipment to be used for each operation and of the sequence of operations. Identify components and materials to be salvaged for reuse or recycling with reference to paragraph Existing Facilities to be Removed. Append tracking forms for all removed materials indicating type, quantities, condition, destination, and end use. Coordinate with Waste Management Plan in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Include statements affirming Contractor inspection of the existing roof deck and its suitability to perform as a safe working platform or if inspection reveals a safety hazard to workers, state provisions for securing the safety of the workers throughout the performance of the work. Provide procedures for safe conduct of the work in accordance with EM 385-1-1. Plan must be approved by Contracting Officer prior to work beginning.

1.2.3 General Requirements

Do not begin demolition or deconstruction until authorization is received from the Contracting Officer. The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials. Remove rubbish and debris from the project site; do not allow accumulations inside or outside the building.The work includes demolition,, salvage of identified items and materials, and removal of resulting rubbish and debris. Remove rubbish and debris from Government property daily, unless otherwise directed. Store materials that cannot be removed daily in areas specified by the Contracting Officer. In the interest of occupational safety and health, perform the work in accordance with EM 385-1-1, Section 17, Demolition, and other applicable Sections.

1.3 ITEMS TO REMAIN IN PLACE

Comply with FAR 52.236-9 to protect existing vegetation, structures, equipment, utilities, and improvements. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload structural elements . Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove dust, dirt, and debris from work areas daily.

1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

1.3.3 Trees

Protect trees within the project site which might be damaged during demolition or deconstruction, and which are indicated to be left in place, by a 6 foot high fence. Erect and secure fence a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Replace any tree designated to remain that is damaged during the work under this contract with like-kind or as approved by the Contracting Officer.

1.3.4 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the utility provider and disconnected and sealed by the utility provider will disconnect and seal utilities serving each area of alteration or removal upon written request from the Contractor.

1.3.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, must remain standing without additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Demolition Plan; G

Existing Conditions

SD-07 Certificates

Notification; G

SD-11 Closeout Submittals

Receipts

1.6 QUALITY ASSURANCE

Submit timely notification of demolitionand renovation projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify the Regional Office of the United States Environmental Protection Agency (USEPA) State's environmental protection agency and the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSP A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

1.6.1 Dust and Debris Control

Prevent the spread of dust and debris to occupied portions of the building and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Vacuum and dust the work area daily. Sweep pavements as often as necessary to control the spread of debris that may result in foreign object damage potential to aircraft.

1.7 PROTECTION

1.7.1 Traffic Control Signs

a. Where pedestrian and driver safety is endangered in the area of removal work, use traffic barricades with flashing lights. Notify the Contracting Officer prior to beginning such work.

Provide a minimum of 2 FAA type L-810 steady burning red obstruction lights on temporary structures (including cranes) over 100 feet, but less than 200 ft, above ground level. The use of LED based obstruction lights are not permitted. For temporary structures (including cranes) over 200 ft above ground level provide obstruction lighting in accordance with FAA AC 70/7460-1. Perform light construction and installation in compliance with FAA AC 70/7460-1. Lights must be operational during periods of reduced visibility, darkness, and as directed by the Contracting Officer. Maintain the temporary services during the period of construction and remove only after permanent services have been installed and tested and are in operation.

1.7.2 Protection of Personnel

Before, during and after the demolition work continuously evaluate the condition of the site specific features being demolished and take

immediate action to protect all personnel working in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.8 FOREIGN OBJECT DAMAGE (FOD)

Aircraft and aircraft engines are subject to FOD from debris and waste material lying on airfield pavements. Remove all such materials that may appear on operational aircraft pavements due to the Contractor's operations. If necessary, the Contracting Officer may require the Contractor to install a temporary barricade at the Contractor's expense to control the spread of FOD potential debris. Provide a barricade consisting of a fence covered with a fabric designed to stop the spread of debris. Anchor the fence and fabric to prevent displacement by winds or jet/prop blasts. Remove barricade when no longer required.

1.9 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair or replace items to be relocated which are damaged by the Contractor with new undamaged items as approved by the Contracting Officer.

1.10 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs or electronic images with a minimum resolution of 3072 x 2304 pixels, capable of a print resolution of 300 dpi, will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, finish floor elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results to the Contracting Officer.

PART 2 PRODUCTS

2.1 FILL MATERIAL

a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures. Provide fill material consisting of waste products from demolition or deconstruction until all waste appropriate for this purpose is consumed.

b. Provide fill material conforming to the definition of satisfactory soil material as defined in ASTM D2487. In addition, fill material must be free from roots and other organic matter, trash, debris, frozen materials, and stones larger than 2 inches in any dimension.

c. Proposed fill material must be sampled and tested by an approved soil testing laboratory, as follows:

Soil classification	AASHTO M 145
Moisture-density relations	AASHTO T 180, Method B or D

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Disassemble existing construction scheduled to be removed for reuse. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Designate materials for reuse onsite whenever possible.

3.1.1 Structures

a. Demolish structures in a systematic manner from the top of the structure to the ground. Complete demolition work above each tier or floor before the supporting members on the lower level are disturbed. Demolish concrete and masonry walls in small sections. Remove structural framing members and lower to ground by means of derricks, platforms hoists, or other suitable methods as approved by the Contracting Officer.

b. Locate demolition and deconstruction equipment throughout the structure and remove materials so as to not impose excessive loads to supporting walls, floors, or framing.

3.1.2 Utilities and Related Equipment

3.1.2.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition or deconstruction work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.2.2 Disconnecting Existing Utilities

Remove existing utilities , as indicated and uncovered by work and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered but are not indicated on the drawings, notify the Contracting Officer prior to further work in that area. Remove meters and related equipment and deliver to a location in accordance with instructions of the Contracting Officer.

3.1.3 Chain Link Fencing

Remove chain link fencing, gates and other related salvaged items scheduled for removal and transport to designated areas. Remove gates as whole units. Cut chain link fabric to 25 foot lengths and store in rolls off the ground.

3.1.4 Paving and Slabs

Remove sawcut concrete and asphaltic concrete paving and slabs including aggregate base as indicated to a depth of _6_ inches below existing adjacent grade. Provide neat sawcuts at limits of pavement removal as indicated. Move, grind and store pavement and slabs designated to be recycled and utilized in this project as directed by the Contracting Officer. Remove pavement and slabs not to be used in this project from the project site at Contractor's expense.

3.1.5 Masonry

Sawcut and remove masonry so as to prevent damage to surfaces to remain, to removed materials being salvaged and to facilitate the installation of new work. Where new masonry adjoins existing, abut or tie the new work into the existing construction as specified for the new work. Provide square, straight edges and corners where existing masonry adjoins new work and other locations. Masonry removed in pieces must be crushed for use as aggregate.

3.1.6 Concrete

Saw concrete along straight lines to a depth of a minimum 2 inch. Make each cut in walls perpendicular to the face and in alignment with the cut in the opposite face. Break out the remainder of the concrete provided that the broken area is concealed in the finished work, and the remaining concrete is sound. At locations where the broken face cannot be concealed, grind smooth or saw cut entirely through the concrete. Sawcuts in existing concrete pads must be at the nearest existing expansion joint or weakened plane joint and at full depth.

3.1.7 Miscellaneous Metal

Salvage shop-fabricated items such as access doors and frames, steel gratings, metal ladders, wire mesh partitions, metal railings, metal windows and similar items as whole units. Salvage light-gage and cold-formed metal framing, such as steel studs, steel trusses, metal gutters, roofing and siding, metal toilet partitions, toilet accessories and similar items. Recycle scrap metal as part of demolition and deconstruction operations. Provide separate containers to collect scrap metal and transport to a scrap metal collection or recycling facility, in accordance with the Waste Management Plan.

3.1.8 Carpentry

Salvage for recycle lumber, millwork items, and finished boards, and sort by type and size.

3.1.9 Carpet

Remove existing carpet for reclamation in accordance with manufacturer recommendations and as follows. Remove used carpet in large pieces, roll

tightly, and pack neatly in a container. Remove adhesive according to recommendations of the Carpet and Rug Institute (CRI). Provide adhesive removal solvents in compliance with CRI 104/CRI 105. Recycle removed carpet cushion.

3.1.10 Acoustic Ceiling Tile

Remove, neatly stack, and recycle acoustic ceiling tiles. Recycling may be available with manufacturer. Otherwise, give priority to a local recycling organization. Recycling is not required if the tiles contain or may have been exposed to asbestos material.

3.1.11 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent finished surfaces, using on-site materials when available. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Make finished surfaces of patched area flush with the adjacent existing surface and match the existing adjacent surface as closely as possible to texture and finish. Provide patching as specified and indicated, and include the following:

a. Concrete and Masonry: Completely fill holes and depressions, caused by previous physical damage or left as a result of removals in existing masonry walls to remain, with an approved masonry patching material, applied in accordance with the manufacturer's printed instructions.

b. Where existing partitions have been removed leaving damaged or missing resilient tile flooring, patch to match the existing floor tile.

c. Patch acoustic lay-in ceiling where partitions have been removed. Make the transition between the different ceiling heights by continuing the higher ceiling level over to the first runner on the lower ceiling and closing the vertical opening with a painted sheet metal strip.

3.1.12 Air Conditioning Equipment

Remove air conditioning, refrigeration, and other equipment containing refrigerants without releasing chlorofluorocarbon refrigerants to the atmosphere in accordance with the Clean Air Act Amendment of 1990. Recover all refrigerants prior to removing air conditioning, refrigeration, and other equipment containing refrigerants and dispose of in accordance with the paragraph entitled "Disposal of Ozone Depleting Substance (ODS)." Turn in salvaged Class I ODS refrigerants as specified in paragraph, "Salvaged Materials and Equipment."

3.1.13 Cylinders and Canisters

Remove all fire suppression system cylinders and canisters and dispose of in accordance with the paragraph entitled "Disposal of Ozone Depleting Substance (ODS)."

3.1.14 Locksets on Swinging Doors

Remove all locksets from all swinging doors indicated to be removed and disposed of. Deliver the locksets and related items to a designated location for receipt by the Contracting Officer after removal.

3.1.15 Mechanical Equipment and Fixtures

Disconnect mechanical hardware at the nearest connection to existing services to remain, unless otherwise noted. Disconnect mechanical equipment and fixtures at fittings. Remove service valves attached to the unit. Salvage each item of equipment and fixtures as a whole unit; listed, indexed, tagged, and stored. Salvage each unit with its normal operating auxiliary equipment. Transport salvaged equipment and fixtures, including motors and machines, to a designated storage area as directed by the Contracting Officer. Do not remove equipment until approved. Do not offer low-efficiency equipment for reuse; provide to recycling service for disassembly and recycling of parts.

3.1.15.1 Preparation for Storage

Remove water, dirt, dust, and foreign matter from units; drain tanks, piping and fixtures; if previously used to store flammable, explosive, or other dangerous liquids, steam clean interiors. Seal openings with caps, plates, or plugs. Secure motors attached by flexible connections to the unit. Change lubricating systems with the proper oil or grease.

3.1.15.2 Piping

Disconnect piping at unions, flanges and valves, and fittings as required to reduce the pipe into straight lengths for practical storage. Store salvaged piping according to size and type. If the piping that remains can become pressurized due to upstream valve failure, attach end caps, blind flanges, or other types of plugs or fittings with a pressure gage and bleed valve to the open end of the pipe to ensure positive leak control. Carefully dismantle piping that previously contained gas, gasoline, oil, or other dangerous fluids, with precautions taken to prevent injury to persons and property. Store piping outdoors until all fumes and residues are removed. Box prefabricated supports, hangers, plates, valves, and specialty items according to size and type. Wrap sprinkler heads individually in plastic bags before boxing. Classify piping not designated for salvage, or not reusable, as scrap metal.

3.1.15.3 Ducts

Classify removed duct work as scrap metal.

3.1.15.4 Fixtures, Motors and Machines

Remove and salvage fixtures, motors and machines associated with plumbing, heating, air conditioning, refrigeration, and other mechanical system installations. Salvage, box and store auxiliary units and accessories with the main motor and machines. Tag salvaged items for identification, storage, and protection from damage. Classify non-porcelain broken, damaged, or otherwise unserviceable units and not caused to be broken, damaged, or otherwise unserviceable as debris to be disposed of by the Contractor. Salvage and crush porcelain plumbing fixtures unsuitable for reuse.

3.1.16 Electrical Equipment and Fixtures

Salvage motors, motor controllers, and operating and control equipment that are attached to the driven equipment. Salvage wiring systems and components. Box loose items and tag for identification. Disconnect

primary, secondary, control, communication, and signal circuits at the point of attachment to their distribution system.

3.1.16.1 Fixtures

Remove and salvage electrical fixtures. Salvage unprotected glassware from the fixture and salvage separately. Salvage incandescent, mercury-vapor, and fluorescent lamps and fluorescent ballasts manufactured prior to 1978, boxed and tagged for identification, and protected from breakage.

3.1.16.2 Electrical Devices

Remove and salvage switches, switchgear, transformers, conductors including wire and nonmetallic sheathed and flexible armored cable, regulators, meters, instruments, plates, circuit breakers, panelboards, outlet boxes, and similar items. Box and tag these items for identification according to type and size.

3.1.16.3 Wiring Ducts or Troughs

Remove and salvage wiring ducts or troughs. Dismantle plug-in ducts and wiring troughs into unit lengths. Remove plug-in or disconnecting devices from the busway and store separately.

3.1.16.4 Conduit and Miscellaneous Items

Salvage conduit except where embedded in concrete or masonry. Consider corroded, bent, or damaged conduit as scrap metal. Sort straight and undamaged lengths of conduit according to size and type. Classify supports, knobs, tubes, cleats, and straps as debris to be removed and disposed.

3.1.17 Elevators and Hoists

Remove elevators, hoists, and similar conveying equipment and salvage as whole units, to the most practical extent. Remove and prepare items for salvage without damage to any of the various parts. Salvage and store rails for structural steel with the equipment as an integral part of the unit.

3.1.18 Items With Unique/Regulated Disposal Requirements

Remove and dispose of items with unique or regulated disposal requirements in the manner dictated by law or in the most environmentally responsible manner.

3.2 CONCURRENT EARTH-MOVING OPERATIONS

Do not begin excavation, filling, and other earth-moving operations that are sequential to demolition or deconstruction work in areas occupied by structures to be demolished or deconstructed until all demolition and deconstruction in the area has been completed and debris removed. Fill holes, open basements and other hazardous openings.

3.3 DISPOSITION OF MATERIAL

3.3.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, become the property of the Contractor and must be removed from Government property. Materials approved for storage by the Contracting Officer must be removed before completion of the contract. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

3.3.2 Reuse of Materials and Equipment

Remove and store materials and equipment listed in the Demolition Plan indicated to be reused or relocated to prevent damage, and reinstall as the work progresses. Coordinate the re-use of materials and equipment with the re-use requirements in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Capture re-use of materials in the diversion calculations for the project.

3.3.3 Salvaged Materials and Equipment

Remove materials and equipment that are listed in the Demolition Plan indicated and specified to be removed by the Contractor and that are to remain the property of the Government, and deliver to a storage site , as directed within 20 miles of the work site.

a. Salvage items and material to the maximum extent possible.

b. Store all materials salvaged for the Contractor as approved by the Contracting Officer and remove from Government property before completion of the contract. Coordinate the salvaged materials with tracking requirements in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Capture salvaged materials in the diversion calculations for the project.

c. Remove salvaged items to remain the property of the Government in a manner to prevent damage, and packed or crated to protect the items from damage while in storage or during shipment. Items damaged during removal or storage must be repaired or replaced to match existing items. Properly identify the contents of containers.

d. Remove and capture all Class I ODS refrigerants in accordance with the Clean Air Act Amendment of 1990, and turn in to the Navy as directed by the Commanding Officer or by shipping the refrigerant container to the Defense Logistics Agency at the following address:

   Defense Depot Richmond VA (DDRV)
   SW0400
   Cylinder Operations
   8000 Jefferson Davis Highway
   Richmond, VA 23297-5900

The Government will remove and capture Class I ODS refrigerants. To view

the web site for ODS, link to:
https://www.osd.mil/denix/Public/News/DLA/ODS/sect1.html

3.3.4 Debris Disposal in the San Diego Area

Landfill coupons, that permit waste disposal at the Miramar Landfill free of charge, are available from the Contracting Officer. The coupons will be issued only upon the submission of a written request, by the prime contractor to the ROICC, which must identify the nature of the waste and the number of coupons requested. The landfill coupons issued under this contract are to be used only for the disposal of waste generated by this contract. If the prime contractor, one of its subcontractors, or one of its waste haulers is found to be misusing the landfill coupons by disposing of waste not generated under this contract, all rights under the contract to use landfill coupons are forfeited, from the date of misuse forward. All unused coupons will be returned to the Contracting Officer and no additional coupons will be issued for the duration of the contract. The Contracting Officer's refusal to issue landfill coupons, because of prior misuse, is not a change to the contract and no adjustment of the contract price will be made.

3.3.5 Disposal of Ozone Depleting Substance (ODS)

Class I and Class II ODS are defined in Section, 602(a) and (b), of The Clean Air Act. Prevent discharge of Class I and Class II ODS to the atmosphere. Place recovered ODS in cylinders meeting AHRI Guideline K suitable for the type ODS (filled to no more than 80 percent capacity) and provide appropriate labeling. Recovered ODS must be Remove recovered ODS from Government property and dispose of in accordance with 40 CFR 82. Dispose products, equipment and appliances containing ODS in a sealed, self-contained system (e.g. residential refrigerators and window air conditioners) in accordance with 40 CFR 82. Submit Receipts or bills of lading, as specified. Submit a shipping receipt or bill of lading for all containers of ozone depleting substance (ODS) shipped to the Defense Depot, Richmond, Virginia.

3.3.5.1 Special Instructions

No more than one type of ODS is permitted in each container. Apply a warning/hazardous label to the containers in accordance with Department of Transportation regulations. Provide a tag with the following information on all cylinders including but not limited to fire extinguishers, spheres, or canisters containing an ODS:

a. Activity name and unit identification code

b. Activity point of contact and phone number

c. Type of ODS and pounds of ODS contained

d. Date of shipment

e. National stock number (for information, call (804) 279-4525).

3.3.5.2 Fire Suppression Containers

Deactivate fire suppression system cylinders and canisters with electrical charges or initiators prior to shipment. Also, safety caps must be used to cover exposed actuation mechanisms and discharge ports on these special

cylinders.

3.3.6 Transportation Guidance

Ship all ODS containers in accordance with MIL-STD-129, DLA 4145.25 (also referenced one of the following: Army Regulation 700-68, Naval Supply Instruction 4440.128C, Marine Corps Order 10330.2C, and Air Force Regulation 67-12), 49 CFR 173.301, and DOD 4000.25-1-M.

3.3.7 Unsalvageable and Non-Recyclable Material

Dispose of unsalvageable and non-recyclable combustible material off the site.

3.4 CLEANUP

Remove debris and rubbish from project site and similar excavations. Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

3.5 DISPOSAL OF REMOVED MATERIALS

3.5.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified in the Waste Management Plan. Storage of removed materials on the project site is prohibited.

3.5.2 Burning on Government Property

Burning of materials removed from demolished and deconstructed structures will not be permitted on Government property.

3.5.3 Removal to Spoil Areas on Government Property

Transport noncombustible materials removed from demolition and deconstruction structures to designated spoil areas on Government property.

3.5.4 Removal from Government Property

Transport waste materials removed from demolished and deconstructed structures, except waste soil, from Government property for legal disposal. Dispose of waste soil per Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.6 REUSE OF SALVAGED ITEMS

Recondition salvaged materials and equipment designated for reuse before installation. Replace items damaged during removal and salvage operations or restore them as necessary to usable condition.

-- End of Section --

SECTION 03 30 53

MISCELLANEOUS CAST-IN-PLACE CONCRETE
05/14

PART 1 GENERAL

1.1 SUMMARY

Perform all work in accordance with ACI 318.

1.2 UNIT PRICES

1.2.1 Concrete Payment

Payment will cover all costs associated with manufacturing, furnishing, delivering, placing, finishing, and curing of concrete for the various items of the schedule, including the cost of all formwork. Payment for concrete, for which payment is made as a lump sum, is to be included in this unit price payment item. Payment for grout, preformed expansion joints, field-molded sealants, waterstops, reinforcing steel bars or wire reinforcement is to be included in this unit price payment item.

1.2.2 Measurement

Concrete will be measured for payment on the basis of the actual volume of concrete within the pay lines of the structures as indicated. Measurement of concrete placed against the sides of any excavation without the use of intervening forms will be made only within the pay lines of the structure. No deductions will be made for rounded or beveled edge, for space occupied by metal work, for electrical conduits or timber, or for voids or embedded items that are either less than 5 cubic feet in volume or 1 square foot in cross section.

1.2.3 Unit of Measure

Unit of measure: cubic yard.

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 117	(2010; R 2015) Specifications for Tolerances for Concrete Construction and Materials and Commentary
ACI 301	(2020) Specifications for Structural Concrete
ACI 302.1R	(2015) Guide for Concrete Floor and Slab Construction
ACI 304R	(2000; R 2009) Guide for Measuring, Mixing, Transporting, and Placing Concrete

ACI 305R	(2020) Guide to Hot Weather Concreting
ACI 306R	(2016) Guide to Cold Weather Concreting
ACI 318	(2019; R 2022) Building Code Requirements for Structural Concrete (ACI 318-19) and Commentary (ACI 318R-19)
ACI 347R	(2014; Errata 1 2017) Guide to Formwork for Concrete
ACI SP-66	(2004) ACI Detailing Manual

ASTM INTERNATIONAL (ASTM)

ASTM A615/A615M	(2024) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM A1064/A1064M	(2024) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM C31/C31M	(2024b) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2024) Standard Specification for Concrete Aggregates
ASTM C39/C39M	(2024) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM C94/C94M	(2024c) Standard Specification for Ready-Mixed Concrete
ASTM C143/C143M	(2020) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C150/C150M	(2024) Standard Specification for Portland Cement
ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2024a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2024) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2010a; R 2016) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C309	(2019) Standard Specification for Liquid

	Membrane-Forming Compounds for Curing Concrete
ASTM C494/C494M	(2024) Standard Specification for Chemical Admixtures for Concrete
ASTM C595/C595M	(2024) Standard Specification for Blended Hydraulic Cements
ASTM C618	(2023; E 2023) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C685/C685M	(2024) Standard Specification for Concrete Made by Volumetric Batching and Continuous Mixing
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C989/C989M	(2024) Standard Specification for Slag Cement for Use in Concrete and Mortars
ASTM C1064/C1064M	(2023) Standard Test Method for Temperature of Freshly Mixed Hydraulic-Cement Concrete
ASTM C1157/C1157M	(2023) Standard Performance Specification for Hydraulic Cement
ASTM C1260	(2023) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C1567	(2023) Standard Test Method for Potential Alkali-Silica Reactivity of Combinations of Cementitious Materials and Aggregate (Accelerated Mortar-Bar Method)
ASTM C1602/C1602M	(2022) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM D75/D75M	(2019) Standard Practice for Sampling Aggregates
ASTM D98	(2015) Calcium Chloride
ASTM D412	(2016; R 2021) Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers - Tension
ASTM D471	(2016a) Standard Test Method for Rubber Property - Effect of Liquids
ASTM D1752	(2018) Standard Specification for Preformed Sponge Rubber, Cork and Recycled PVC Expansion Joint Fillers for Concrete Paving and Structural Construction

ASTM E96/E96M	(2024) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials
ASTM E1155	(2020) Standard Test Method for Determining Floor Flatness and Floor Levelness Numbers
ASTM E1155M	(2014) Standard Test Method for Determining Floor Flatness and Floor Levelness Numbers (Metric)
ASTM E1643	(2018a) Standard Practice for Selection, Design, Installation, and Inspection of Water Vapor Retarders Used in Contact with Earth or Granular Fill Under Concrete Slabs
ASTM E1745	(2017; R 2023) Standard Specification for Water Vapor Retarders Used in Contact with Soil or Granular Fill under Concrete Slabs

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 513	(1974) Corps of Engineers Specifications for Rubber Waterstops
COE CRD-C 572	(1974) Corps of Engineers Specifications for Polyvinylchloride Waterstops

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 247	Comprehensive Procurement Guideline for Products Containing Recovered Materials

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Installation Drawings; G

SD-03 Product Data

Air-Entraining Admixture

Accelerating Admixture

Water-Reducing or Retarding Admixture

Curing Materials

Expansion Joint Filler Strips, Premolded

Joint Sealants - Field Molded Sealants

Waterstops

Chemical Floor Hardener

Batching and Mixing Equipment

Conveying and Placing Concrete

Formwork

Mix Design Data; G

Ready-Mix Concrete

Curing Compound

Mechanical Reinforcing Bar Connectors

SD-06 Test Reports

Aggregates

Concrete Mixture Proportions; G

Measurement of Floor Tolerances

Compressive Strength Testing; G

Slump; G

Air Content

Water

SD-07 Certificates

Cementitious Materials

Pozzolan

CPG for recycled materials or appropriate Waiver Form

Aggregates

Delivery Tickets

SD-08 Manufacturer's Instructions

Chemical Floor Hardener

Curing Compound

1.5 QUALITY ASSURANCE

Indicate specific locations of Concrete PlacementExpansion Joints on installation drawings and include, but not be limited to, square feet of concrete placements, thicknesses and widths, plan dimensions, and arrangement of cast-in-place concrete section.

1.5.1 Regulatory Requirements

The state statutory and regulatory requirements: form a part of this specification to the extent referenced. Submit CPG for recycled materials or appropriate Waiver Form.

1.5.2 Flatness and Levelness of Floor Slabs

Conduct floor flatness and levelness test, (FF and FL respectively), on floor slabs in accordance with the provisions set forth in ASTM E1155M or ASTM E1155. Make floor tolerance measurements by the approved laboratory and inspection service within 24 hours after completion of final troweling operation and before forms and shores have been removed. Provide results of floor tolerance tests, including formal notice of acceptance or rejection of the work, to the Contracting Officer within 24 hours after data collection.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

The Government retains the option to sample and test joint sealer, joint filler material, waterstop, aggregates and concrete to determine compliance with the specifications. Provide facilities and labor as may be necessary to assist the Government in procurement of representative test samples. Obtain samples of aggregates at the point of batching in accordance with ASTM D75/D75M. Sample concrete in accordance with ASTM C172/C172M. Determine slump and air content in accordance with ASTM C143/C143M and ASTM C231/C231M, respectively, when cylinders are molded. Prepare, cure, and transport compression test specimens in accordance with ASTM C31/C31M. Test compression test specimens in accordance with ASTM C39/C39M. Take samples for strength tests not less than once each shift in which concrete is produced from each strength of concrete required. Provide a minimum of four 6 x 12 inch or six 4 x 8 inch specimens from each sample; two 6 x 12 inch or three 4 x 8 inch to be tested at 28 days (90 days if pozzolan or slag cement is used) for acceptance. Two 6 x 12 inch or three 4 x 8 inch will be tested at 7 days for information.

2.1.1 Strength

Acceptance test results are the average strengths of two 6 x 12 inch or three 4 x 8 inch specimens tested at 28 days (90 days if pozzolan or slag cement is used). The strength of the concrete is considered satisfactory so long as the average of all three consecutive acceptance test results equal or exceed the specified compressive strength, f'c, and no individual acceptance test result falls below f'c by more than 500 psi.

2.1.2 Construction Tolerances

Apply a Class "C" finish to all surfaces except those specified to receive a Class "D" finish. Apply a Class "D" finish to all post-construction surfaces which will be permanently concealed. Surface requirements for the classes of finish required are as specified in ACI 117.

2.1.3 Concrete Mixture Proportions

Concrete mixture proportions are the responsibility of the Contractor.

Mixture proportions must include the dry weights of cementitious material(s); the nominal maximum size of the coarse aggregate; the specific gravities, absorptions, and saturated surface-dry weights of fine and coarse aggregates; the quantities, types, and names of admixtures; and quantity of water per yard of concrete. Provide materials included in the mixture proportions of the same type and from the same source as will be used on the project. The specified compressive strength f'c is 3,000 psi at 28 days (90 days if pozzolan is used). The maximum nominal size coarse aggregate is 3/4 inch, in accordance with ACI 304R. The air content must be between 4.5 and 7.5 percent with a slump between 2 and 5 inches. The maximum water-cementitious material ratio is 0.50. Submit the applicable test reports and mixture proportions that will produce concrete of the quality required, ten days prior to placement of concrete.

2.1.4 Slag Cement

Slag Cement must conform to ASTM C989/C989M, Grade 80, .

2.2 MATERIALS

Submit manufacturer's literature from suppliers which demonstrates compliance with applicable specifications for the specified materials.

2.2.1 Cementitious Materials

Submit Manufacturer's certificates of compliance, accompanied by mill test reports, attesting that the concrete materials meet the requirements of the specifications in accordance with the Special Clause "CERTIFICATES OF COMPLIANCE". Also, certificates for all material conforming to EPA's Comprehensive Procurement Guidelines (CPG), in accordance with 40 CFR 247. Provide cementitious materials that conform to the appropriate specifications listed:

2.2.1.1 Portland Cement

ASTM C150/C150M, Type I, low alkali including false set requirements with tri-calcium aluminates (C3A) content less than 10 percent and a maximum cement-alkali content of 0.80 percent Na2Oe (sodium oxide) equivalent.

2.2.1.2 Blended Hydraulic Cement

Provide blended cement conforming to ASTM C595/C595M and ASTM C1157/C1157M, Type IP, IL or IS, including the optional requirement for mortar expansion and consist of a mixture of ASTM C150/C150M Type I, or Type II cement and a complementary cementing material. The slag added to the Type IS blend must be ASTM C989/C989M ground granulated blast-furnace slag. The pozzolan added to the Type IP blend must be ASTM C618 Class F, interground with the cement clinker. Provide the manufacturer's written statement that the amount of pozzolan in the finished cement will not vary more than plus or minus 5 mass percent of the finished cement from lot-to-lot or within a lot. Do not change the percentage and type of mineral admixture used in the blend from that submitted for the aggregate evaluation and mixture proportioning.

2.2.1.3 Pozzolan

Provide pozzolan that conforms to ASTM C618, Class F, including requirements of Tables 1A and 2A.

2.2.2 Aggregates

For fine and coarse aggregates meet the quality and grading requirements of ASTM C33/C33M and test and evaluate for alkali-aggregate reactivity in accordance with ASTM C1260. Perform evaluation of fine and coarse aggregates separately and in combination, matching the proposed mix design proportioning. All results of the separate and combination testing must have a measured expansion less than 0.08 percent at 28 days after casting. If the test data indicates an expansion of 0.08 percent or greater, reject the aggregate(s) or perform additional testing using ASTM C1260 and ASTM C1567. Perform the additional testing using ASTM C1260 and ASTM C1567 using the low alkali portland cement in combination with ground granulated blast furnace (GGBF) slag, or Class F fly ash. Use GGBF slag in the range of 40 to 50 percent of the total cementitious material by mass. Use Class F fly ash in the range of 25 to 40 percent of the total cementitious material by mass. Submit certificates of compliance and test reports for aggregates showing the material(s) meets the quality and grading requirements of the specifications under which it is furnished.

2.2.3 Admixtures

Provide admixtures, when required or approved, in compliance with the appropriate specification listed. Retest chemical admixtures that have been in storage at the project site, for longer than 6 months or that have been subjected to freezing, at the expense of the Contractor at the request of the Contracting Officer and will be rejected if test results are not satisfactory.

2.2.3.1 Air-Entraining Admixture

Provide air-entraining admixture that meets the requirements of ASTM C260/C260M.

2.2.3.2 Accelerating Admixture

Provide calcium chloride meeting the requirements of ASTM D98. Other accelerators must meet the requirements of ASTM C494/C494M, Type C or E.

2.2.3.3 Water-Reducing or Retarding Admixture

Provide water-reducing or retarding admixture meeting the requirements of ASTM C494/C494M, Type A, B, or D. High-range water reducing admixture Type F may be used only when approved, approval being contingent upon particular placement requirements as described in the Contractor's Quality Control Plan.

2.2.4 Water

Mixing and curing water in compliance with the requirements of ASTM C1602/C1602M; potable, and free of injurious amounts of oil, acid, salt, or alkali. Submit test report showing water complies with ASTM C1602/C1602M.

2.2.5 Reinforcing Steel

Provide reinforcing bars conforming to the requirements of ASTM A615/A615M, Grade 60, deformed. Provide welded steel wire reinforcement conforming to the requirements of ASTM A1064/A1064M. Detail reinforcement not indicated in accordance with ACI 301 and ACI SP-66. Provide mechanical reinforcing

bar connectors in accordance with ACI 301 and provide 125 percent minimum yield strength of the reinforcement bar.

2.2.6 Expansion Joint Filler Strips, Premolded

Expansion joint filler strips, premolded of sponge rubber conforming to ASTM D1752, Type I.

2.2.7 Joint Sealants - Field Molded Sealants

Conform to ASTM C920, Type M, Grade NS, Class 25, use NT for vertical joints and Type M, Grade P, Class 25, use T for horizontal joints. Provide polyethylene tape, coated paper, metal foil, or similar type bond breaker materials. The backup material needs to be compressible, nonshrink, nonreactive with the sealant, and a nonabsorptive material such as extruded butyl or polychloroprene foam rubber. Immediately prior to installation of field-molded sealants, clean the joint of all debris and further cleaned using water, chemical solvents, or other means as recommended by the sealant manufacturer or directed.

2.2.8 Formwork

Design and engineer the formwork as well as its construction in accordance with ACI 301 Section 2 and 5 and ACI 347R. Fabricate of wood, steel, or other approved material. Submit formwork design prior to the first concrete placement.

2.2.9 Form Coatings

Provide form coating in accordance with ACI 301.

2.2.10 Vapor Retarder and VaporBarrier

ASTM E1745 Class C polyethylene sheeting, minimum 10 mil thickness or other equivalent material with a maximum permeance rating of 0.04 perms per ASTM E96/E96M.

Consider plastic vapor retarders and adhesives with a high recycled content, low toxicity low VOC (Volatile Organic Compounds) levels.

2.2.11 Curing Materials

Provide curing materials in accordance with ACI 301, Section 5.

2.3 READY-MIX CONCRETE

Provide ready-mix concrete with mix design data conforming to ACI 301 Part 4. Submit delivery tickets in accordance with ASTM C94/C94M for each ready-mix concrete delivery, include the following additional information: .

a. Type and brand cement

b. Cement content in 94-pound bags per cubic yard of concrete

c. Maximum size of aggregate

d. Amount and brand name of admixture

e. Total water content expressed by water cementitious material ratio

2.4 ACCESSORIES

2.4.1 Waterstops

2.4.1.1 PVC Waterstop

Polyvinylchloride waterstops conforming to COE CRD-C 572.

2.4.1.2 Rubber Waterstop

Rubber waterstops conforming to COE CRD-C 513.

2.4.1.3 Thermoplastic Elastomeric Rubber Waterstop

Thermoplastic elastomeric rubber waterstops conforming to ASTM D471.

2.4.1.4 Hydrophilic Waterstop

Swellable strip type compound of polymer modified chloroprene rubber that swells upon contact with water conforming to ASTM D412 as follows: Tensile strength 420 psi minimum; ultimate elongation 600 percent minimum. Minimum hardness of 50 on the type A durometer and the volumetric expansion ratio in distilled water at 70 degrees F; 3 to 1 minimum.

2.4.2 Chemical Floor Hardener

Provide hardener which is a colorless aqueous solution containing a blend of inorganic silicate or siliconate material and proprietary components combined with a wetting agent; that penetrates, hardens, and densifies concrete surfaces. Submit manufactures instructions for placement of liquid chemical floor hardener.

2.4.3 Curing Compound

Provide curing compound conforming to ASTM C309. Submit manufactures instructions for placing curing compound.

PART 3 EXECUTION

3.1 PREPARATION

Prepare construction joints to expose coarse aggregate. The surface must be clean, damp, and free of laitance. Construct ramps and walkways, as necessary, to allow safe and expeditious access for concrete and workmen. Remove snow, ice, standing or flowing water, loose particles, debris, and foreign matter. Satisfactorily compact earth foundations. Make spare vibrators available. Placement cannot begin until the entire preparation has been accepted by the Government.

3.1.1 Embedded Items

Secure reinforcement in place after joints, anchors, and other embedded items have been positioned. Arrange internal ties so that when the forms are removed the metal part of the tie is not less than 2 inches from concrete surfaces permanently exposed to view or exposed to water on the finished structures. Prepare embedded items so they are be free of oil and other foreign matters such as loose coatings or rust, paint, and

scale. The embedding of wood in concrete is permitted only when specifically authorized or directed. Provide all equipment needed to place, consolidate, protect, and cure the concrete at the placement site and in good operating condition.

3.1.2 Formwork Installation

Forms must be properly aligned, adequately supported, and mortar-tight. Provide smooth form surfaces, free from irregularities, dents, sags, or holes when used for permanently exposed faces. Chamfer all exposed joints and edges , unless otherwise indicated.

3.1.3 Vapor Retarder and Vapor Barrier Installation

Install in accordance with ASTM E1643. Apply vapor retarder and barrier over gravel fill. Lap edges not less than 12 inches. Seal all joints with pressure-sensitive adhesive not less than 2 inches wide. Protect the vapor barrier at all times to prevent injury or displacement prior to and during concrete placement.

3.1.4 Production of Concrete

3.1.4.1 Ready-Mixed Concrete

Provide ready-mixed concrete conforming to ASTM C94/C94M except as otherwise specified.

3.1.4.2 Concrete Made by Volumetric Batching and Continuous Mixing

Conform to ASTM C685/C685M.

3.1.4.3 Batching and Mixing Equipment

The option of using an on-site batching and mixing facility is available. The facility must provide sufficient batching and mixing equipment capacity to prevent cold joints. Submit the method of measuring materials, batching operation, and mixer for review, and manufacturer's data for batching and mixing equipment demonstrating compliance with the applicable specifications.

3.1.5 Waterstops

Install and splice waterstops as directed by the manufacturer.

3.2 CONVEYING AND PLACING CONCRETE

Convey and place concrete in accordance with ACI 301, Section 5.

3.2.1 Cold-Weather Requirements

Place concrete in cold weather in accordance with ACI 306R

3.2.2 Hot-Weather Requirements

Place concrete in hot weather in accordance with ACI 305R

3.3 FINISHING

3.3.1 Temperature Requirement

Do not finish or repair concrete when either the concrete or the ambient temperature is below 50 degrees F.

3.3.2 Finishing Formed Surfaces

Remove all fins and loose materials , and surface defects including filling of tie holes. Repair all honeycomb areas and other defects. Remove all unsound concrete from areas to be repaired. Ream or chip surface defects greater than 1/2 inch in diameter and holes left by removal of tie rods in all surfaces not to receive additional concrete and fill with dry-pack mortar. Brush-coat the prepared area with an approved epoxy resin or latex bonding compound or with a neat cement grout after dampening and filling with mortar or concrete. Use a blend of portland cement and white cement in mortar or concrete for repairs to all surfaces permanently exposed to view so that the final color when cured is the same as adjacent concrete.

3.3.3 Finishing Unformed Surfaces

Finish unformed surfaces in accordance with ACI 301, Section 5.

FINISH	LOCATION
Float	
Trowel	
Broom or Belt	

3.3.3.1 Flat Floor Finishes

In accordance with ACI 302.1R, construct in accordance with one of the methods recommended in Table 7.15.3, "Typical Composite FF/FL Values for Various Construction Methods." ACI 117 for tolerances tested by ASTM E1155M or ASTM E1155. These requirements are based upon the latest FF/FL method.

3.3.3.1.1 Floor Slabs

Conform floor slabs on grade to the following ACI F-number requirements unless noted otherwise:

Specified Overall Values	FF30/FL23 minimum
Minimum Local Values	FF17/FL15 minimum

3.3.3.1.2 Subject to Vehicular Traffic

Conform floor slabs on grade subject to vehicular traffic or receiving thin-set flooring to the following ACI F-number requirements:

Specified Overall Values	FF35/FL25 minimum
Minimum Local Values	FF25/FL17 minimum

3.3.3.2 Measurement of Floor Tolerances

Test floor slabs within 24 hours of the final troweling. Submit test results to Contracting Officer within 12 hours after collecting data. Floor flatness inspector mustl provide a tolerance report which includes:

a. Name of Project

b. Name of Contractor

c. Date of Data Collection

d. Date of Tolerance Report

e. A Key Plan Showing Location of Data Collected

f. Results Required by ASTM E1155M ASTM E1155

3.3.3.3 Expansion and Contraction Joints

Make expansion and contraction joints in accordance with the details shown or as otherwise specified. Provide 1/2 inch thick transverse expansion joints where new work abuts an existing concrete. Provide expansion joints at a maximum spacing of 30 feet on center in sidewalks , unless otherwise indicated. Provide contraction joints at a maximum spacing of 6 linear feet in sidewalks , unless otherwise indicated. Cut contraction joints at a minimum of 1 inch(es) deep with a jointing tool after the surface has been finished.

3.4 CURING AND PROTECTION

Cure and protect in accordance with ACI 301, Section 5.

3.5 FORM WORK

Provide form work in accordance with ACI 301, Section 2 and Section 5.

3.5.1 Removal of Forms

Remove forms in accordance with ACI 301, Section 2.

3.6 STEEL REINFORCING

Reinforcement must be free from loose, flaky rust and scale, and free from oil, grease, or other coating which might destroy or reduce the reinforcement's bond with the concrete.

3.6.1 Fabrication

Shop fabricate steel reinforcement in accordance with ACI 318 and ACI SP-66. Provide shop details and bending in accordance with ACI 318 and ACI SP-66.

3.6.2 Splicing

Perform splices in accordance with ACI 318 and ACI SP-66.

3.6.3 Supports

Secure reinforcement in place by the use of metal or concrete supports, spacers, or ties.

3.7 EMBEDDED ITEMS

Before placing concrete, take care to determine that all embedded items are firmly and securely fastened in place. Provide embedded items free of oil and other foreign matter, such as loose coatings of rust, paint and scale. Embedding of wood in concrete is permitted only when specifically authorized or directed.

3.8 CHEMICAL FLOOR HARDENER

Apply Chemical Floor Hardener where indicated, after curing and drying concrete surface. Dilute liquid hardener with water and apply in three coats. First coat is one-third strength, second coat one-half strength, and third coat two-thirds strength. Apply each coat evenly and allow it to dry 24 hours before applying next coat. Apply proprietary chemical hardeners in accordance with manufacturer's printed directions.

3.9 TESTING AND INSPECTING

Report the results of all tests and inspections conducted at the project site informally at the end of each shift. Submit written reports weekly. Deliver within three days after the end of each weekly reporting period. See Section 01 45 00 QUALITY CONTROL.

3.9.1 Field Testing Technicians

The individuals who sample and test concrete must have demonstrated a knowledge and ability to perform the necessary test procedures equivalent to the ACI minimum guidelines for certification of Concrete Field Testing Technicians, Grade I.

3.9.2 Preparations for Placing

Inspect foundation or construction joints, forms, and embedded items in sufficient time prior to each concrete placement to certify that it is ready to receive concrete.

3.9.3 Sampling and Testing

a. Obtain samples and test concrete for quality control during placement. Sample fresh concrete for testing in accordance with ASTM C172/C172M. Make six test cylinders.

b. Test concrete for compressive strength at 7 and 28 days for each design mix and for every 100 cubic yards of concrete. Test two cylinders at 7 days; two cylinders at 28 days; and hold two cylinders in reserve. Conform test specimens to ASTM C31/C31M. Perform compressive strength testing conforming to ASTM C39/C39M.

c. Test slump at the site of discharge for each design mix in accordance

with ASTM C143/C143M. Check slump once during each shift that concrete is produced for each strength of concrete required.

d. Test air content for air-entrained concrete in accordance with ASTM C231/C231M. Test concrete using lightweight or extremely porous aggregates in accordance with ASTM C173/C173M. Check air content at least once during each shift that concrete is placed for each strength of concrete required.

e. Determine temperature of concrete at time of placement in accordance with ASTM C1064/C1064M. Check concrete temperature at least once during each shift that concrete is placed for each strength of concrete required.

3.9.4 Action Required

3.9.4.1 Placing

Do not begin placement until the availability of an adequate number of acceptable vibrators, which are in working order and have competent operators, has been verified. Discontinue placing if any lift is inadequately consolidated.

3.9.4.2 Air Content

Whenever an air content test result is outside the specification limits, adjust the dosage of the air-entrainment admixture prior to delivery of concrete to forms.

3.9.4.3 Slump

Whenever a slump test result is outside the specification limits, adjust the batch weights of water and fine aggregate prior to delivery of concrete to the forms. Make the adjustments so that the water-cementitious material ratio does not exceed that specified in the submitted concrete mixture proportion and the required concrete strength is still met.

-- End of Section --

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SECTION 07 22 00

ROOF AND DECK INSULATION
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7	(2017) Minimum Design Loads for Buildings and Other Structures

ASTM INTERNATIONAL (ASTM)

ASTM C208	(2022) Standard Specification for Cellulosic Fiber Insulating Board
ASTM C578	(2023) Standard Specification for Rigid, Cellular Polystyrene Thermal Insulation
ASTM C728	(2017a; R 2022) Standard Specification for Perlite Thermal Insulation Board
ASTM C1177/C1177M	(2024) Standard Specification for Glass Mat Gypsum Substrate for Use as Sheathing
ASTM C1278/C1278M	(2024) Standard Specification for Fiber-Reinforced Gypsum Panel
ASTM C1289	(2023a) Standard Specification for Faced Rigid Cellular Polyisocyanurate Thermal Insulation Board
ASTM D41/D41M	(2011; R 2016) Standard Specification for Asphalt Primer Used in Roofing, Dampproofing, and Waterproofing
ASTM D226/D226M	(2017) Standard Specification for Asphalt-Saturated Organic Felt Used in Roofing and Waterproofing
ASTM D312/D312M	(2016a) Standard Specification for Asphalt Used in Roofing
ASTM D2178/D2178M	(2015a; R 2021) Standard Specification for Asphalt Glass Felt Used in Roofing and Waterproofing
ASTM D4263	(1983; R 2018) Standard Test Method for Indicating Moisture in Concrete by the Plastic Sheet Method

ASTM D4586/D4586M	(2007; R 2018) Asphalt Roof Cement, Asbestos-Free
ASTM D4601/D4601M	(2004; R 2020) Standard Specification for Asphalt-Coated Glass Fiber Base Sheet Used in Roofing
ASTM D4897/D4897M	(2016) Standard Specification for Asphalt-Coated Glass-Fiber Venting Base Sheet Used in Roofing
ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials

FM GLOBAL (FM)

FM 4450	(1989) Approval Standard for Class 1 Insulated Steel Deck Roofs
FM 4470	(2022) Single-Ply, Polymer-Modified Bitumen Sheet, Built-up Roof (BUR), and Liquid Applied Roof Assemblies for Use in Class 1 and Noncombustible Roof Deck Construction
FM APP GUIDE	(updated on-line) Approval Guide https://www.approvalguide.com/

INTERNATIONAL CODE COUNCIL (ICC)

ICC IBC	(2024) International Building Code

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 1	(2021) Fire Code

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

UL SOLUTIONS (UL)

UL 1256	(2023) Fire Test of Roof Deck Constructions
UL 2818	(2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Insulation Board Layout and Attachment; G

Verification of Existing Conditions; G

SD-03 Product Data

Insulation; G

Cover Board; G

Fasteners; G

Sheathing Paper; G

Moisture Control; G

Asphalt Products; G

SD-05 Design Data

Wind Resistance; G

SD-06 Test Reports

Flame Spread Rating; G

SD-07 Certificates

Installer Qualifications; G

Certificates Of Compliance For Felt Materials; G

Indoor Air Quality For Insulation; S

Acceptable Foam Blowing Agents; S

SD-08 Manufacturer's Instructions

Nails and Fasteners; G

Roof Insulation; G

1.3 SHOP DRAWINGS

Submit insulation board layout and attachment indicating methods of attachment and spacing of fasteners on each board, transitions, tapered components, thicknesses of materials, and closure and termination conditions. Show locations of ridges, valleys, crickets, interface with, and slope to, roof drains. Base shop drawings on verified field measurements and include verification of existing conditions..

1.4 PRODUCT DATA

Include data for material descriptions, recommendations for product shelf life, requirements for cover board or coatings, and precautions for flammability and toxicity. Include data to verify compatibility of sealants with insulation.

1.5 MANUFACTURER'S INSTRUCTIONS

Include field of roof, perimeter, and corner attachment requirements.

Provide a complete description of installation sequencing for each phase of the roofing system. Include weatherproofing procedures.

1.6 QUALITY CONTROL

Provide certification of installer qualifications from the insulation manufacturer confirming the specific installer has the required qualifications for installing the specific roof insulation system(s) indicated.

Provide certificates of compliance for felt materials.

1.7 WIND RESISTANCE REQUIREMENTS

The complete roof system assembly must be rated and installed to resist wind loads calculated in accordance with ASCE 7 and validated by uplift resistance testing in accordance with Factory Mutual (FM) test procedures. Coordinate with roof covering attachment requirements and submit wind resistance test certification, attachment patterns for field, perimeter, and corner roof areas along with perimeter and corner boundary dimensions.

1.8 FIRE PERFORMANCE REQUIREMENTS

1.8.1 Insulation in Roof Systems

Comply with the requirements of ICC IBC or UL 1256. Roof insulation must have a flame spread rating of 75 or less when tested in accordance with ASTM E84. Additional documentation of compliance with flame spread rating is not required when insulation of the type used for this project as part of the specific roof assembly is listed and labeled as FM Class 1 approved.

1.8.2 Thermal Barrier Requirements

Separate polyurethane insulation from a combustible steel deck with a thermal barrier of glass mat gypsum roof board or other approved barrier material in accordance with the requirements of the ICC IBC.

1.8.3 Fire Resistance Ratings for Roofs

Provide in accordance with ICC IBC Chapter 7 and Table 721.1(3) Min Protection For Floor and Roof Systems.

1.9 CERTIFICATIONS

Provide products certified to meet indoor air quality requirements by UL 2818(Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification by other third-party programs. Provide current product certification documentation from certification body.

1.10 DELIVERY, STORAGE, AND HANDLING

1.10.1 Delivery

Deliver materials to the project site in manufacturer's unopened and

undamaged standard commercial containers bearing the following legible information:

a. Name of manufacturer

b. Brand designation

c. Specification number, type, and class, as applicable, where materials are covered by a referenced specification

d. Asphalt flashpoint (FP), equiviscous temperature (EVT), and finished blowing temperature (FBT).

Deliver materials in sufficient quantity to allow continuity of the work.

1.10.2 Storage and Handling

Store and handle materials in accordance with manufacturer's printed instructions. Protect from damage, exposure to open flame or other ignition sources, wetting, condensation, and moisture absorption. Keep materials wrapped and separated from off-gassing materials (such as drying paints and adhesives). Do not use materials that have visible moisture or biological growth. Store in an enclosed building or trailer that provides a dry, adequately ventilated environment. Store felt rolls on ends. For the 24 hours immediately before application of felts, store felts in an area maintained at a temperature no lower than 50 degrees F above grade and having ventilation on all sides. Replace damaged material with new material.

1.11 ENVIRONMENTAL CONDITIONS

As per manufacturer's recommendations or Government's instructions, do not install roof insulation during inclement weather or when air temperature is below 40 degrees F and interior humidity is 45 percent or greater, or when there is visible ice, frost, or moisture on the roof deck.

1.12 PROTECTION

1.12.1 Flame Heated Equipment

1.12.1.1 Fire Protection

Locate melt kettles no closer than 25 feet from buildings or combustible materials. Do not place kettles or other flame-heated equipment on roofs. Provide and maintain two approved 4-A:40-B:C fire extinguishers within 25 feet of each operating kettle. Fire extinguishers, operations and locations must comply with NFPA 1 Section Tar Kettles. Equip asphalt (tar) kettles with tight fitting lids.

1.12.1.2 Operational Requirements

Equip kettles with automatic thermostatic control capable of maintaining asphalt temperature. Calibrate and maintain controls in working order for the duration of the work. Equip kettles with means of agitation and ensure they are operating as necessary to produce a controlled uniform temperature throughout kettle contents to prevent spot heating. Do not heat contents above flash point. Do not place flame heated equipment on the roof.

1.12.2 Special Protection

Provide special protection as approved by the insulation manufacturer.

1.12.3 Drippage of Bitumen

Seal joints in and at edges of deck as necessary to prevent drippage of asphalt into the building or onto adjacent surfaces.

1.12.4 Completed Work

Cover completed work with cover board for the duration of construction. Avoid traffic on completed work particularly when ambient temperature is above 80 degrees F. Replace crushed or damaged insulation prior to roof surface installation.

PART 2 PRODUCTS

2.1 INSULATION

2.1.1 Insulation Types

Provide one, or an assembly of a maximum of three, of the following roof insulation materials. Provide roof insulation that is compatible with attachment methods for the specified insulation and roof membrane.

a. Polyisocyanurate Board: Provide in accordance with ASTM C1289 REV A Type I, foil faced both sides, except minimum compressive strength of 25 pounds per square inch (psi).

b. Extruded Polystyrene (XPS) Board: In accordance with ASTM C578 REV A, Type IV or X.

c. Composite Boards: Provide in accordance with ASTM C1289 REV A, Type III, perlite insulation board faced on one side with fibrous felt or glass fiber mat membrane on opposite side.

d. Expanded Perlite Board: Provide in accordance with ASTM C728. Minimum 3/4 inch thick when both top and bottom surfaces must be in contact with asphalt.

2.1.2 Indoor Air Quality

Provide certification of indoor air quality for insulation.

2.1.3 Prohibited Materials

Products that contain high ozone depleting or high Global Warming Potential (GWP) blowing agents are prohibited. For a list of acceptable substitute foam blowing agents for the type of insulation used see https://www.epa.gov/snap/foam-blowing-agents. Provide validation of acceptable foam blowing agents that no prohibited materials are used.

2.1.4 Insulation Thickness

As necessary to provide the thermal resistance (R-value) indicated for average thickness of tapered system. Base calculation on the R-value for aged insulation. For insulation over steel decks, satisfy both specified

R-value and minimum thickness for width of rib opening recommended in insulation manufacturer's published literature.

2.1.5 Tapered Roof Insulation

One layer of the tapered roof insulation assembly must be factory tapered to a slope of not less than one in 1/4 inch per foot. Factory fabricate mitered joints from two diagonally cut boards or one board shaped to provide required slopes.

2.1.6 Cants and Tapered Edge Strips

Provide preformed cants and tapered edge strips of the same material as the roof insulation. When unavailable, provide pressure-preservative treated wood, or rigid perlite board cants and edge strips as recommended by the roofing manufacturer for the specific application, unless otherwise indicated. Face of cant strips to incline at 45 degrees with a minimum vertical height of 4 inches. Taper edge strips at a rate of one to 1 1/2 inch per foot down to approximately 1/8 inch thick.

2.2 COVER BOARD

For use as a thermal barrier (underlayment), fire barrier (overlayment), or cover board for hot-mopped, torched-down, or adhesive-applied roofing membrane over roof insulation.

2.2.1 Glass Mat Gypsum Roof Board

ASTM C1177/C1177M, 0 Flame Spread and 0 Smoke Developed when tested in accordance with ASTM E84, 500 psi, Class A, non-combustible, 1/4 inch thick, 4 by 8 feet board size.

2.2.2 Fiber-Reinforced Gypsum Board Panels

Provide non-glass-faced fiber-reinforced gypsum board panels in accordance with ASTM C1278/C1278M.

2.2.3 High Density Wood Fiber Board

Provide high density wood fiber board, Grade 2 in accordance with ASTM C208 with a transverse load of 12 lbf.

2.3 BITUMENS

2.3.1 Asphalt Primer

Provide in accordance with ASTM D41/D41M.

2.3.2 Asphalt

Provide in accordance with ASTM D312/D312M, Type III or IV. Asphalt flash point, finished blowing temperature, and equiviscous temperature (EVT) for mop and mechanical spreader application must be indicated on each container.

2.3.3 Asphalt Roof Cement

Provide in accordance with ASTM D4586/D4586M, Type I, for horizontal surfaces and surfaces sloped from 0 to 3 inches per foot. Type II for

vertical and surfaces sloped more than 3 inches per foot.

2.4 SHEATHING PAPER FOR WOOD DECKS

Rosin-sized building paper or unsaturated felt weighing not less than 5 pounds per 100 square feet.

2.5 MOISTURE CONTROL

2.5.1 Vapor Retarder

2.5.1.1 Asphalt Saturated Felt Base Sheet for Single Layer Application

Provide in accordance with ASTM D4601/D4601M, weighing not less than 35 pounds per 100 square feet.

2.5.1.2 Asphalt-Coated Glass Felt

Provide in accordance with ASTM D2178/D2178M, Type IV.

2.5.2 Ventilating Felt for Precast Concrete Decks

Provide in accordance with ASTM D4897/D4897M, Type II, non-perforated, with spot mopping holes where specified.

2.5.3 Organic Roofing

Provide in accordance with ASTM D226/D226M, Type I.

2.6 FASTENERS

Provide flush-driven fasteners through flat round or hexagonal steel or plastic plates. Provide zinc-coated steel plates, flat round not less than 1 3/8 inch diameter, hexagonal not less than 28 gage. Provide high-density plastic plates, molded thermoplastic with smooth top surface, reinforcing ribs and not less than 3 inches in diameter. Fully recess fastener head into plastic plate after it is driven. Form plates to prevent dishing. Do not use bell or cup shaped plates. Provide fasteners in accordance with insulation manufacturer's recommendations for holding power when driven, or a minimum of 120 pounds each in steel deck, whichever is the higher minimum. Provide fasteners for steel or concrete decks in accordance with FM APP GUIDE (https://www.approvalguide.com/) for Class I roof deck construction, and spaced to withstand uplift pressure of 90 pounds per square foot.

2.6.1 Roofing Nails for Wood Decks

Barbed 11 gage, zinc-coated nails with 7/16 to 5/8 inch diameter heads or annular ring shank, square head, one piece composite nails. Provide nails long enough to penetrate wood deck at least 5/8 inch without protruding through underside of decking.

2.6.2 Fasteners for Plywood Decks

Annular ring shank, square head, one-piece composite nails long enough to penetrate into plywood decks approximately 1/2 inch without protruding through underside of decking.

2.6.3 Fasteners for Steel Decks

Approved hardened penetrating fasteners or screws in accordance with FM 4450 and listed in FM APP GUIDE for Class I roof deck construction. Quantity and placement to withstand a minimum uplift pressure of 90 psf in accordance with FM APP GUIDE.

2.6.4 Fasteners for Poured Concrete Decks

Approved hardened fasteners or screws to penetrate deck at least one inch but not more than 1 1/2 inches, in accordance with FM 4470, and listed in FM APP GUIDE for Class I roof deck construction. Quantity and placement to withstand an uplift pressure of 90 psf in accordance with FM APP GUIDE.

2.7 FOAM ADHESIVE

Foam adhesive as recommended by the insulation manufacturer for adhering the insulation to concrete surfaces or underlying insulation layers and resist the required wind uplift pressure. Product must be compatible with insulation and air and water barrier materials, and with demonstrated capability to bond insulation securely to substrates without damaging insulation and substrates.

2.8 ENGINEERED METAL FRAMING NAILERS

Provide galvanized steel or aluminum engineered metal framing for use as nailers and attached to substrate with self-tapping screws.

PART 3 EXECUTION

3.1 EXAMINATION AND PREPARATION

3.1.1 Surface Inspection

Ensure surfaces are clean, smooth, and dry prior to application. Ensure surfaces receiving vapor retarder are free of projections that might puncture the vapor retarder. Check roof deck surfaces, including surfaces sloped to roof drains and outlets, for defects before starting work.

The Contractor must inspect and approve the surfaces immediately before starting installation. Prior to installing vapor retarder and or insulation, perform the following:

a. Examine wood decks to ascertain that deck boards have been properly nailed in accordance with IBC and wind uplift requirements and that exposed nail heads have been set.

b. Examine steel decks to ensure that panels are properly secured to structural members and to each other and that surfaces of top flanges are flat or slightly convex.

c. Examine precast concrete decks to ensure that joints between precast units are properly grouted and leveled to provide suitable surfaces for installation of vapor retarder and insulation.

d. In the presence of the Contracting Officer perform the following surface dryness test on concrete substrates:

(1) Foaming: When poured on the deck, one pint of asphalt when heated

in the range of 350 to 400 degrees F, does not foam upon contact.

(2) Strippability: After asphalt used in the foaming test application has cooled to ambient temperatures, test coating for adherence. Should a portion of the sample be readily stripped clean from surface, do not consider surface to be dry and do not start application. Should rain occur during application, stop work and do not resume until surface has been re-tested by method above and found dry.

e. Prior to installing any roof system on a concrete deck, moisture test the deck in accordance with ASTM D4263. The deck is acceptable for roof system application when there is no visible moisture on underside of plastic sheet after 24 hours.

3.1.2 Surface Preparation

To correct defects and inaccuracies in roof deck surface to eliminate poor drainage from hollow or low spots, perform the following:

a. Provide wood nailers of the same thickness as the insulation at eaves, edges, curbs, walls, and roof openings for securing of cant strips, gravel stops, gutters, flashing flanges, and curbs. Space nailers in accordance with approved shop drawings.

b. Fill or cover cracks or knot holes larger than 1/2 inch in diameter in wood decks as necessary to form an unyielding surface.

c. Cover wood decks with a layer of rosin-sized building paper or unsaturated felt. Lap sides and ends not less than 3 inches. Nail sufficiently to prevent tearing or buckling during installation.

d. Cover steel decks with a layer of insulation board of sufficient width to span the width of a deck rib opening, and in accordance with fire safety requirements. Secure with piercing or self-drilling, self-tapping fasteners of quantity and placement in accordance with FM APP GUIDE. Locate insulation joints parallel to ribs of deck on solid bearing surfaces only, not over open ribs.

e. Solidly apply asphalt primer to precast concrete decks at the rate of one gallon per 100 square feet of roof surface, stopping approximately 4 inches from joints between precast concrete units. Allow primer to dry thoroughly. Place felt strips, 4 inches or more in width, over joints, 2 inches on each side, between precast concrete units in a heavy coating of cold-applied asphalt roof cement.

3.2 INSTALLATION OF VAPOR RETARDER

Install vapor retarder in direct contact with roof deck surface insulation. Unless otherwise specified, vapor retarder must consist of either two plies of No. 15 asphalt-saturated felt, two plies of asphalt-coated glass felt, or one layer of asphalt-saturated felt base sheet. Lay vapor retarder at right angles to direction of slope. Install first ply of felt as specified herein for the specific deck. Apply second ply of 2-ply vapor retarder system using asphalt at rate of 20 to 35 lbs per 100 square feet, applied within plus or minus 25 degrees F of EVT. Do not heat asphalt above asphalt's FBT or 525 degrees F, whichever is less. Use thermometers to check temperatures during heating and application. Completely seal side and end laps. Asphalt must be visible beyond all

edges of each ply as it is being installed. Lay plies free of wrinkles, buckles, creases or fishmouths. Do not walk on mopped surfaces while asphalt is sticky. Press out air bubbles to obtain complete adhesion between surfaces. At walls, eaves, rakes, and other vertical surfaces, extend vapor retarder organic felts or separate plies 9 inches, with not less than 9 inches on the substrate, and the extended portion turned back and mopped in over the top of the insulation. At roof penetrations other than walls, eaves and rakes, and vertical surfaces, extend vapor retarder or separate plies 9 inches to form a lap folded back over the edge of the insulation. Provide asphalt roof cement under the vapor retarder for at least 9 inches from walls, eaves, rakes and other penetrations.

3.2.1 Vapor Retarder on Precast Concrete Decks

Evenly mop primed substrate with asphalt at a rate of 20 to 35 lbs per 100 square feet before installing vapor retarder. Lay first ply of two-ply system with each sheet lapping 19 inches over the preceding sheet. Lap ends not less than 4 inches. Stagger laps a minimum of 12 inches.

3.2.2 Vapor Retarder on Steel Decks

Even mop the mechanically secured insulation surface with asphalt before installing vapor retarder. For a two-ply vapor retarder, install each sheet lapping 19 inches over the preceding sheet. Lap ends not less than 4 inches. Stagger the laps a minimum of 12 inches. Cement felts together with solid mopping of asphalt. Apply asphalt moppings at rate of 20 to 35 lbs per 100 square feet. For a vapor retarder consisting of one layer of asphalt base sheet, lap each sheet 4 inches over preceding sheet. Lap ends not less than 4 inches, and stagger laps a minimum of 12 inches. Cement base sheets together with solid mopping of asphalt.

3.2.3 Over Gypsum Insulating Concrete or Lightweight Insulating Concrete

Lay one ply of venting inorganic base sheet, without mopping, at a right angle to the slope with 4 inch side laps and 6 inch end laps. Bond laps with hot asphalt. Stagger end laps. Nail base sheet 9 inches on center at side laps and in 2 rows 11 inches apart down the center of the sheet with nails 18 inches on centers and staggered attach to the concrete deck in accordance with uplift requirements. Apply 2-ply vapor retarder over the base sheet as specified above.

3.2.4 Over Concrete Decks and First Layer of Insulation on Steel Decks

Apply 2-ply vapor retarder as specified above except delete the venting inorganic base sheet.

3.2.5 Over Structural Concrete on Non-Venting Support

Lay one ply of venting inorganic base sheet with mopping holes at a right angle to the slope with 4 inch side laps and 6 inch end laps then apply the vapor retarder as specified.

3.3 INSTALLATION OF VENTILATING FELT

Apply ventilating felt in accordance with manufacturer's printed instructions, spot mopped with asphalt to concrete deck. Extend over roof cants, up vertical surfaces and terminate under cap flashing. At roof edges terminate under outside edge of perimeter edge nailers or under gravel stop fascia.

3.4 INSULATION INSTALLATION

Apply insulation in two layers with staggered joints when total required thickness of insulation exceeds 1/2 inch. Lay insulation so that continuous longitudinal joints are perpendicular to direction of , and end joints of each course are staggered with those of adjoining courses. When using multiple layers of insulation, provide joints of each succeeding layer that are parallel and offset in both directions with respect to the layer below. Keep insulation 1/2 inch clear of vertical surfaces penetrating and projecting from roof surface. Verify required slopes to each roof drain.

3.4.1 Installation Using Asphalt

Firmly embed each layer in solid asphalt mopping; mop only sufficient area to provide complete embedment of one board at a time. Provide 20 to 35 lbs of asphalt per 100 square feet of roof deck for each layer of insulation. Apply asphalt when temperature is within plus or minus 25 degrees F of EVT. Do not heat asphalt above asphalt's FBT or 525 degrees F, whichever is less, for longer than 4 consecutive hours. Use thermometers to check temperatures during heating and application.

3.4.2 Installation Using Asphalt on Steel Decks

Secure first layer of insulation and thermal barrier to deck with piercing or self-drilling, self-tapping fasteners. Engage fasteners by driving them through insulation into top flange of steel deck. Use driving method prescribed by fastener manufacturer. Locate insulation joints parallel to ribs of deck on solid bearing surfaces only, not over open ribs. Secure succeeding layers with solid asphalt moppings. Where insulation is applied over steel deck, locate long edge joints so that they bear continuously on the steel deck. Insulation that can be readily lifted after installation is not considered adequately secured. Apply insulation only in quantities that can be entirely waterproofed the same day. Phased construction is not permitted. Apply impermeable faced insulation without damage to the facing.

3.4.3 Installation of Protection for Asphalt Work

Before starting asphalt work, protect surrounding areas and surfaces from spillage and migration of asphalt onto other work. Provide non-combustible protective coverings at surfaces adjacent to hoists and kettles. Lap protective coverings at least 6 inches, secure against wind, and vent to prevent collection of moisture on covered surfaces. Keep protective coverings in place for the duration of asphalt work.

3.4.4 Installation Using Only Mechanical Fasteners

Secure total thickness of insulation with penetrating type fasteners.

3.4.5 Installation Using Mechanical Fasteners and Foam Adhesive

Secure first layer of insulation and thermal barrierto deck with piercing or self-drilling, self-tapping fasteners. Engage fasteners by driving them through insulation into top flange of steel deck. Use driving method prescribed by fastener manufacturer. Locate insulation joints parallel to ribs of deck on solid bearing surfaces only, not over open ribs. Secure succeeding layers with foam adhesive using installation procedures as

recommended by the insulation manufacturer for adhering the insulation and resisting the required wind uplift pressure. Installation must bond insulation securely to substrates without damaging insulation and substrates.

3.4.6 Installation on Concrete Substrates

Install using foam adhesive using installation procedures as recommended by the insulation manufacturer for adhering the insulation to concrete surfaces and resist the required wind uplift pressure. Installation must bond insulation securely to substrates without damaging insulation and substrates.

3.4.7 Special Precautions for Installation of Foam Insulation

3.4.7.1 Polyisocyanurate Insulation

Where polyisocyanurate foam board insulation is provided, install cover board over top surface of foam board insulation. Stagger joints of insulation with respect to foam board insulation below.

3.4.7.2 Polystyrene Insulation

a. Over the top surface of non-composite polystyrene board, install 1/2 inch thick high density wood fiberboard, 3/4 inch thick expanded perlite board insulation, glass mat gypsum roof board, or other overlayment approved by roofing sheet manufacturer. Tightly butt and stagger joints of field applied overlayment board at least 6 inches with respect to the polystyrene board below. Apply 6 inch wide glass fiber roofing tape centered over joints and edges of overlayment board.

b. Where composite boards consisting of polystyrene insulation are provided, apply 6 inch wide glass fiber roofing tape centered over joints and edges of composite board. Apply joint strips as recommended by roofing sheet manufacturer.

3.4.8 Cant Strips

Where indicated, provide cant strips at intersections of roof with walls, parapets, and curbs extending above roof. Wood cant strips must bear on and be anchored to wood blocking. Fit cant strips flush to vertical surfaces. Where possible, nail cant strips to adjoining surfaces. Where cant strips are installed against non-nailable materials, install in heavy mopping of asphalt or set in a heavy coating of asphalt roof cement or an approved adhesive.

3.4.9 Tapered Edge Strips

Where indicated, provide edge strips in the right angle formed by the juncture of roof and wood nailing strips that extend above the level of the roof. Install edge strips flush to vertical surfaces of wood nailing strips. Where possible, nail edge strips to adjoining surfaces. Where installed against non-nailable materials, install in a heavy mopping of asphalt or set in a heavy coating of asphalt roof cement or an approved adhesive.

3.5 PROTECTION

3.5.1 Protection of Applied Insulation

Completely cover each day's installation of insulation with finished roofing specified on same day. Phased construction is not permitted. Protect open spaces between insulation and parapets or other walls and spaces at curbs, scuttles, and expansion joints, until permanent roofing and flashing are applied. Storing, walking, wheeling, or trucking directly on insulation or on roofed surfaces is not permitted. Provide smooth, clean board or plank walkways, runways, and platforms near supports, as necessary, to distribute weight in accordance with indicated live load limits of roof construction live load limit. Protect exposed edges of insulation with cutoffs at the end of each workday or whenever precipitation is imminent. Cutoffs must be two layers of bituminous-saturated felt set in plastic bituminous cement or EPDM membrane set in roof cement. Fill all profile voids in cutoffs to prevent trapping moisture below the membrane. Remove cutoffs when work resumes.

3.5.2 Damaged Work and Materials

Restore work and materials that become damaged during construction to original condition or replace with new materials.

3.6 INSPECTION

Establish and maintain inspection procedures to assure compliance of the installed roof insulation with Contract requirements. Remove, replace, correct in an approved manner, any work found not in compliance. Quality control must include, but is not limited to, the following:

a. Observation of environmental conditions; number and skill level of insulation workers; start and end time of work.

b. Verification of certification, listing or label compliance with FM Data Sheets.

c. Verification of proper storage and handling of insulation and vapor retarder materials before, during, and after installation.

d. Inspection of vapor retarder application, including edge envelopes and mechanical fastening.

e. Inspection of mechanical fasteners; type, number, length, and spacing.

f. Coordination with other materials, cants, sleepers, and nailing strips.

g. Inspection of insulation joint orientation and laps between layers, joint width and bearing of edges of insulation on deck.

h. Installation of cutoffs and proper joining of work on subsequent days.

i. Continuation of complete roofing system installation to cover insulation installed same day.

j. Verification of required slope to each roof drain.

-- End of Section --

SECTION 07 84 00

FIRESTOPPING
05/10, CHG 1: 08/13

PART 1 GENERAL

1.1 SUMMARY

Furnish and install tested and listed firestopping systems, combination of materials, or devices to form an effective barrier against the spread of flame, smoke and gases, and maintain the integrity of fire resistance rated walls, partitions, floors, and ceiling-floor assemblies, including through-penetrations and construction joints and gaps.

a. Through-penetrations include the annular space around pipes, tubes, conduit, wires, cables and vents.

b. Construction joints include those used to accommodate expansion, contraction, wind, or seismic movement; do not allow firestopping material to interfere with the required movement of the joint.

c. Gaps requiring firestopping include gaps between the curtain wall and the floor slab and between the top of the fire-rated walls and the roof or floor deck above and at the intersection of shaft assemblies and adjoining fire resistance rated assemblies.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E84	(2024) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E119	(2024) Standard Test Methods for Fire Tests of Building Construction and Materials
ASTM E699	(2009) Standard Practice for Evaluation of Agencies Involved in Testing, Quality Assurance, and Evaluating of Building Components
ASTM E814	(2024) Standard Test Method for Fire Tests of Penetration Firestop Systems
ASTM E1399/E1399M	(1997; R 2022) Standard Test Method for Cyclic Movement and Measuring the Minimum and Maximum Joint Widths of Architectural Joint Systems
ASTM E1966	(2024) Standard Test Method for Fire-Resistive Joint Systems

ASTM E2174	(2024) Standard Practice for On-Site Inspection of Installed Firestop Systems
ASTM E2307	(2025) Standard Test Method for Determining Fire Resistance of Perimeter Fire Barrier Systems Using Intermediate-Scale, Multi-story Test Apparatus
ASTM E2393	(2024) Standard Practice for On-Site Inspection of Installed Fire Resistive Joint Systems and Perimeter Fire Barriers

FM GLOBAL (FM)

FM 4991	(2013) Approval of Firestop Contractors
FM APP GUIDE	(updated on-line) Approval Guide https://www.approvalguide.com/

INTERNATIONAL CODE COUNCIL (ICC)

ICC IBC	(2024) International Building Code

UL SOLUTIONS (UL)

UL 723	(2018; Reprint Jun 2025) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials
UL 1479	(2015; Reprint Apr 2025) UL Standard for Safety Fire Tests of Penetration Firestops
UL 2079	(2015; Reprint Jun 2025) Tests for Fire Resistance of Building Joint Systems
UL Fire Resistance	(2014) Fire Resistance Directory

1.3 SEQUENCING

Coordinate the specified work with other trades. Apply firestopping materials, at penetrations of pipes and ducts, prior to insulating, unless insulation meets requirements specified for firestopping. Apply firestopping materials. at building joints and construction gaps, prior to completion of enclosing walls or assemblies. Locate cast-in-place firestop devices and install in place before concrete placement. Install pipe, conduit or cable bundles through cast-in-place device after concrete placement but before area is concealed or made inaccessible. Firestop material must be inspected and approved prior to final completion and enclosing of any assemblies that may conceal installed firestop.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Firestopping System; G

SD-03 Product Data

Firestopping Materials; G

SD-06 Test Reports

Inspection; G

SD-07 Certificates

Inspector Qualifications

Firestopping Materials

Installer Qualifications; G

1.5 QUALITY ASSURANCE

1.5.1 Installer

Engage an experienced Installer who is:

a. FM Research approved in accordance with FM 4991, operating as a UL Certified Firestop Contractor, or

b. Certified, licensed, or otherwise qualified by the firestopping manufacturer as having the necessary staff, training, and a minimum of 3 years experience in the installation of manufacturer's products in accordance with specified requirements. Submit documentation of this experience. A manufacturer's willingness to sell its firestopping products to the Contractor or to an installer engaged by the Contractor does not in itself confer installer qualifications on the buyer. The Installer must be a trained representative of the manufacturer (not distributor or agent) in the proper selection and installation procedures. Obtain and submit installer's written certification of training, and retain proof of certification for duration of firestop installation.

1.5.2 Inspector Qualifications

The inspector must meet the criteria contained in ASTM E699 for agencies involved in quality assurance and must have a minimum of two years experience in construction field inspections of firestopping systems, products, and assemblies. The inspector must be completely independent of, and divested from, the installer, the manufacturer, and the supplier of any material or item being inspected. The inspector must not be a competitor of the installer, the contractor, the manufacturer, or supplier of any material or item being inspected. Include in the qualifications submittal a notarized statement assuring compliance with the requirements stated herein.

1.6 DELIVERY, STORAGE, AND HANDLING

Deliver materials in the original unopened packages or containers showing name of the manufacturer and the brand name. Store materials off the

ground, protected from damage and exposure to elements and temperatures in accordance with manufacturer requirements. Remove damaged or deteriorated materials from the site. Use materials within their indicated shelf life.

PART 2 PRODUCTS

2.1 FIRESTOPPING SYSTEM

Submit detail drawings including manufacturer's descriptive data, typical details conforming to UL Fire Resistance or other details certified by another nationally recognized testing laboratory, installation instructions or UL listing details for a firestopping assembly in lieu of fire-test data or report. For those firestop applications for which no UL tested system is available through a manufacturer, submit a manufacturer's engineering judgment, derived from similar UL system designs or other tests for review and approval prior to installation. Submittal must indicate the firestopping material to be provided for each type of application. When more than a total of 5 penetrations and/or construction joints are to receive firestopping, provide drawings that indicate location, "F" "T" and "L" ratings, and type of application.

Also, submit a written report indicating locations of and types of penetrations and types of firestopping used at each location; record type by UL list printed numbers.

2.2 FIRESTOPPING MATERIALS

Provide firestopping materials, supplied from a single domestic manufacturer, consisting of commercially manufactured, asbestos-free, nontoxic products FM APP GUIDE approved, or UL listed, for use with applicable construction and penetrating items, complying with the following minimum requirements:

2.2.1 Fire Hazard Classification

Provide material that has a flame spread of 25 or less, and a smoke developed rating of 50 or less, when tested in accordance with ASTM E84 or UL 723. Provide an approved firestopping material as listed in UL Fire Resistance or by a nationally recognized testing laboratory.

2.2.2 Toxicity

Provide material that is nontoxic and carcinogen free to humans at all stages of application or during fire conditions and does not contain hazardous chemicals or require harmful chemicals to clean material or equipment.

2.2.3 Fire Resistance Rating

Firestop systems must be UL Fire Resistance listed or FM APP GUIDE approved with "F" rating at least equal to fire-rating of fire wall or floor in which penetrated openings are to be protected. Where required, firestop systems must also have "T" rating at least equal to the fire-rated floor in which the openings are to be protected.

2.2.3.1 Through-Penetrations

Firestopping materials for through-penetrations, as described in paragraph SUMMARY, must provide "F", "T" and "L" fire resistance ratings in

accordance with ASTM E814 or UL 1479. Provide fire resistance ratings as follows:

2.2.3.1.1 Penetrations of Fire Resistance Rated Walls and Partitions

F Rating = _2 hour or Rating of wall or partition being penetrated.

2.2.3.1.2 Penetrations of Fire Resistance Rated Floors, Floor-Ceiling Assemblies and the Ceiling Membrane of Roof-Ceiling Assemblies

F Rating = 2 hour, T Rating = _2 hour. Where the penetrating item is outside of a wall cavity the F rating must be equal to the fire resistance rating of the floor penetrated, and the T rating must be in accordance with the requirements of ICC IBC.

2.2.3.1.3 Penetrations of Fire and Smoke Resistance Rated Walls, Floors, Floor-Ceiling Assemblies, and the ceiling membrane of Roof-Ceiling Assemblies

F Rating = 2 hour, T Rating = 2 hour and L Rating = <10 cfm/sf Where L rating is required.

2.2.3.2 Construction Joints and Gaps

Fire resistance ratings of construction joints, as described in paragraph SUMMARY, and gaps such as those between floor slabs and curtain walls must be the same as the construction in which they occur. Provide construction joints and gaps with firestopping materials and systems that have been tested in accordance with ASTM E119, ASTM E1966 or UL 2079 to meet the required fire resistance rating. Provide curtain wall joints with firestopping materials and systems that have been tested in accordance with ASTM E2307 to meet the required fire resistance rating. Systems installed at construction joints must meet the cycling requirements of ASTM E1399/E1399M or UL 2079. Provide a minimum class II movement capability for all joints at the intersection of the top of a fire resistance rated wall and the underside of a fire-rated floor, floor ceiling, or roof ceiling assembly.

2.2.4 Material Certification

Submit certificates attesting that firestopping material complies with the specified requirements. Provide certification of compliance with UL 1479 for all intumescent firestop materials used in through penetration systems.

PART 3 EXECUTION

3.1 PREPARATION

Areas to receive firestopping must be free of dirt, grease, oil, or loose materials which may affect the fitting or fire resistance of the firestopping system. For cast-in-place firestop devices, formwork or metal deck to receive device prior to concrete placement must be sound and capable of supporting device. Prepare surfaces as recommended by the manufacturer.

3.2 INSTALLATION

Completely fill void spaces with firestopping material regardless of geometric configuration, subject to tolerance established by the manufacturer. Firestopping systems for filling floor voids 4 inches or

more in any direction must be capable of supporting the same load as the floor is designed to support or be protected by a permanent barrier to prevent loading or traffic in the firestopped area. Install firestopping in accordance with manufacturer's written instructions. Provide tested and listed firestop systems in the following locations, except in floor slabs on grade:

a. Penetrations of duct, conduit, tubing, cable and pipe through floors and through fire-resistance rated walls, partitions, and ceiling-floor assemblies.

b. Penetrations of vertical shafts such as pipe chases, elevator shafts, and utility chutes.

c. Gaps at the intersection of floor slabs and curtain walls, including inside of hollow curtain walls at the floor slab.

d. Gaps at perimeter of fire-resistance rated walls and partitions, such as between the top of the walls and the bottom of roof decks.

e. Construction joints in floors and fire rated walls and partitions.

f. Other locations where required to maintain fire resistance rating of the construction.

3.2.1 Insulated Pipes and Ducts

Cut and remove thermal insulation where pipes or ducts pass through firestopping, unless insulation meets requirements specified for firestopping. Replace thermal insulation with a material having equal thermal insulating and firestopping characteristics.

3.2.2 Fire Dampers

Install and firestop fire dampers in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION. Firestop installed with fire damper must be tested and approved for use in fire damper system. Firestop installed with fire damper must be tested and approved for use in fire damper system.

3.2.3 Data and Communication Cabling

Seal cabling for data and communication applications with re-enterable firestopping products.

3.2.3.1 Re-Enterable Devices

Provide firestopping devices that are pre-manufactured modular devices, containing built-in self-sealing intumescent inserts. Allow for cable moves, additions or changes without the need to remove or replace any firestop materials. Devices must be capable of maintaining the fire resistance rating of the penetrated membrane at 0 percent to 100 percent visual fill of penetrants; while maintaining "L" rating of <10 cfm/sf at 0 percent to 100 percent visual fill.

3.2.3.2 Re-Sealable Products

Provide firestopping pre-manufactured modular products, containing self-sealing intumescent inserts. Allow for cable moves, additions or changes. Provide devices capable of maintaining the fire resistance

rating of the penetrated membrane at 0 percent to 100 percent visual fill of penetrants.

3.3 INSPECTION

For all projects, do not cover or enclose the remainder of the firestopped areas until inspection is complete and approved by the Contracting Officer. The inspector must inspect the applications initially to ensure adequate preparations (clean surfaces suitable for application, etc.) and periodically during the work to assure that the completed work has been accomplished according to the manufacturer's written instructions and the specified requirements. Submit written reports indicating locations of and types of penetrations and types of firestopping used at each location; record type by UL listed printed numbers.

3.3.1 Inspection Standards

Inspect all firestopping in accordance with ASTM E2393 and ASTM E2174 for firestop inspection, and document inspection results to be submitted.

3.3.2 Inspection Reports

Submit inspection report stating that firestopping work has been inspected and found to be applied according to the manufacturer's recommendations and the specified requirements.

-- End of Section --

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SECTION 07 92 00

JOINT SEALANTS
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C509	(2006; R 2021) Standard Specification for Elastomeric Cellular Preformed Gasket and Sealing Material
ASTM C734	(2015; R 2019) Standard Test Method for Low-Temperature Flexibility of Latex Sealants After Artificial Weathering
ASTM C919	(2024) Standard Practice for Use of Sealants in Acoustical Applications
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C1193	(2016) Standard Guide for Use of Joint Sealants
ASTM C1311	(2022) Standard Specification for Solvent Release Sealants
ASTM C1521	(2019; R 2020) Standard Practice for Evaluating Adhesion of Installed Weatherproofing Sealant Joints
ASTM D217	(2021a) Standard Test Methods for Cone Penetration of Lubricating Grease
ASTM D1056	(2020) Standard Specification for Flexible Cellular Materials - Sponge or Expanded Rubber
ASTM D1667	(2022) Standard Specification for Flexible Cellular Materials - Poly (Vinyl Chloride) Foam (Closed-Cell)
ASTM D2452	(2015; R 2019) Standard Test Method for Extrudability of Oil- and Resin-Base Caulking Compounds
ASTM D2453	(2015; R 2020; E 2020) Standard Test Method for Shrinkage and Tenacity of Oil- and Resin-Base Caulking Compounds

ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2022) Adhesive and Sealant Applications

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Sealants; G

Primers; G

Bond Breakers; G

Backstops; G

Caulking; G

Cleaning Solvents; G

SD-06 Test Reports

Field Adhesion; G

1.3 PRODUCT DATA

Include storage requirements, shelf life, curing time, instructions for mixing and application, and accessories. Provide manufacturer's Safety Data Sheets (SDS) for each solvent, primer and sealant material proposed.

1.4 ENVIRONMENTAL CONDITIONS

Apply sealant when the ambient temperature is between 40 and 90 degrees F.

1.5 DELIVERY AND STORAGE

Deliver materials to the jobsite in unopened manufacturers' sealed shipping containers, with brand name, date of manufacture, color, and material designation clearly marked thereon. Label elastomeric sealant containers to identify type, class, grade, and use. Handle and store materials in accordance with manufacturer's printed instructions. Prevent exposure to foreign materials or subjection to sustained temperatures exceeding 90 degrees F or lower than 0 degrees F. Keep materials and

containers closed and separated from absorptive materials such as wood and insulation.

1.6 QUALITY ASSURANCE

1.6.1 Compatibility with Substrate

Verify that each sealant is compatible for use with each joint substrate in accordance with sealant manufacturer's printed recommendations for each application.

1.6.2 Joint Tolerance

Provide joint tolerances in accordance with manufacturer's printed instructions.

1.6.3 Mock-Up

Provide a mock-up of each type of sealant using materials, colors, and techniques approved for use on the project. Approved mock-ups may be incorporated into the Work.

1.6.4 Adhesion

Provide in accordance with ASTM C1193 or ASTM C1521.

PART 2 PRODUCTS

2.1 SEALANTS

Provide sealant products that have been tested, found suitable, and documented as such by the manufacturer for the particular substrates to which they will be applied.

In areas with ambient temperatures that exceed 110 degrees F, do not use polybutene, bituminous, acrylic-latex, polyvinyl acetate latex sealants, polychloroprene (neoprene), polyvinyl chloride (PVC), and polyurethane foams, and neoprene, PVC, and styrene butadiene rubber extruded seals and closure strips due to these materials having maximum recommended surface temperature ranges from 130 to 180 degrees F.

2.1.1 Interior Sealants

Provide ASTM C920, Type S or M, Grade NS, Class 12.5, Use NT. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior sealants. Location(s) and color(s) of sealant for the following (Note, color "as selected" refers to manufacturer's full range of color options):

LOCATION	COLOR
a. Small voids between walls or partitions and adjacent lockers, casework, shelving, door frames, built-in or surface mounted equipment and fixtures, and similar items.	_match adjacent material color ____
b. Perimeter of frames at doors, windows, and access panels which adjoin exposed interior concrete and masonry surfaces.	_match adjacent material color__
c. Joints of interior masonry walls and partitions which adjoin columns, pilasters, concrete walls, and exterior walls unless otherwise detailed.	_match adjacent material color
d. Joints between edge members for acoustical tile and adjoining vertical surfaces.	__match adjacent material color___
e. Interior locations, not otherwise indicated or specified, where small voids exist between materials specified to be painted.	match adjacent material color
g. Joints formed between tile floors and tile base cove; joints between tile and dissimilar materials; joints occurring where substrates change.	match adjacent material color
h. Behind escutcheon plates at valve pipe penetrations and showerheads in showers.	match adjacent material color

2.1.2 Exterior Sealants

For joints in vertical surfaces, provide ASTM C920, Type S or M, Grade NS, Class 25, Use NT. For joints in horizontal surfaces, provide ASTM C920, Type S or M, Grade P, Class 25, Use T. Provide location(s) and color(s) of sealant as follows (Note, color "as selected" refers to manufacturer's full range of color options):

LOCATION	COLOR
a. Joints and recesses formed where frames and subsills of windows, doors, louvers, and vents adjoin masonry, concrete, or metal frames. Use sealant at both exterior and interior surfaces of exterior wall penetrations.	Match adjacent surface color

LOCATION	COLOR
b. Joints between new and existing exterior masonry walls.	match adjacent material color
c. Masonry joints where shelf angles occur.	match adjacent material color
d. Joints in wash surfaces of stonework.	match adjacent material color
e. Expansion and control joints.	match adjacent material color
f. Interior face of expansion joints in exterior concrete or masonry walls where metal expansion joint covers are not required.	match adjacent material color
g. Voids where items pass through exterior walls.	match adjacent material color
h. Metal reglets, where flashing is inserted into masonry joints, and where flashing is penetrated by coping dowels.	match adjacent material color
i. Metal-to-metal joints where sealant is indicated or specified.	match adjacent material color
j. Joints between ends of gravel stops, fascia, copings, and adjacent walls.	match adjacent material color
	match adjacent material color

2.1.3 Floor Joint Sealants

ASTM C920, Type S or M, Grade P, Class 25, Use T. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior floor joint sealants. Provide location(s) and color(s) of sealant as follows (Note, color "as selected" refers to manufacturer's full range of color options):

LOCATION	COLOR
a. Seats of metal thresholds for exterior doors.	match adjacent material color

LOCATION	COLOR
b. Control and expansion joints in floors, slabs, ceramic tile, and walkways.	match adjacent material color

2.1.4 Acoustical Sealants

Rubber or polymer based acoustical sealant in accordance with ASTM C919 to have a flame spread of 25 or less and a smoke developed rating of 50 or less when tested in accordance with ASTM E84. Provide non-staining acoustical sealant with a consistency of 250 to 310 when tested in accordance with ASTM D217. Acoustical sealant must remain flexible and adhesive after 500 hours of accelerated weathering as specified in ASTM C734. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior acoustical sealants.

2.1.5 Preformed Sealants

Provide preformed sealants of polybutylene or isoprene-butylene based pressure sensitive weather resistant tape or bead sealants capable of sealing out moisture, air and dust when installed as recommended by the manufacturer. At temperatures from minus 30 to plus 160 degrees F, sealants must be non-bleeding and have no loss of adhesion.

2.1.5.1 Tape

Tape sealant: Provide cross section dimensions of 1/8".

2.1.5.2 Bead

Bead sealant: Provide cross section dimensions of 1/8".

2.1.5.3 Foam Strip

Provide foam strip of polyurethane foam with cross section dimensions of _ 1/8". Provide foam strip capable of sealing out moisture, air, and dust when installed and compressed in accordance with manufacturer's printed instructions. Service temperature must be minus 40 to plus 275 degrees F. Install untreated strips with adhesive to hold them in place. Do not allow adhesive to stain or bleed onto adjacent finishes. Saturate treated strips with butylene waterproofing or impregnate with asphalt.

2.2 PRIMERS

Non-staining, quick drying type and consistency as recommended by the sealant manufacturer for the particular application. Provide primers for interior applications that meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

2.3 BOND BREAKERS

Type and consistency as recommended by the sealant manufacturer to prevent adhesion of the sealant to the backing or to the bottom of the joint.

Provide bond breakers for interior applications that meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

2.4 BACKSTOPS

Provide glass fiber roving, neoprene, butyl, polyurethane, or polyethylene foams free from oil or other staining elements as recommended by sealant manufacturer. Provide 25 to 33 percent oversized backing for closed cell and 40 to 50 percent oversized backing for open cell material, unless otherwise indicated. Provide backstop material that is compatible with sealant. Do not use oakum or other types of absorptive materials as backstops.

2.4.1 Rubber

Provide in accordance with ASTM D1056, Type 1, open cell, Class A, Grade _A, round cross section for cellular rubber sponge backing.

2.4.2 PVC

Provide in accordance with ASTM D1667, Grade VO 12, open-cell foam, round cross section for polyvinyl chloride (PVC) backing.

2.4.3 Synthetic Rubber

Provide in accordance with ASTM C509, Option I, Type I preformed rods for synthetic rubber backing.

2.4.4 Neoprene

Provide in accordance with ASTM D1056, closed cell expanded neoprene cord Type 2, Class C, Grade 2C2 open cell neoprene sponge Type 1, Class C, Grade 1C3 for neoprene backing.

2.4.5 Butyl Rubber Based

Provide in accordance with ASTM C1311, from a single component, with solvent release. color as selected from manufacturer's full range of color choices.

2.4.6 Silicone Rubber Base

Provide in accordance with ASTM C920, from a single component, with solvent release, Non-sag, Type _A, Grade _A, Class 25. Color as selected from manufacturer's full range of color choices.

2.5 CAULKING

For interior use and only where there is little or no anticipated joint movement. Provide in accordance with ASTM D2452 and ASTM D2453, Type _A_, for oil and resin-based caulking. Provide products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior caulking.

2.6 CLEANING SOLVENTS

Provide type(s) recommended by the sealant manufacturer and in accordance with environmental requirements herein. Protect adjacent aluminum and bronze surfaces from solvents. Provide solvents for interior applications that meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

PART 3 EXECUTION

3.1 FIELD QUALITY CONTROL

Perform a field adhesion test in accordance with manufacturer's instructions and ASTM C1193, Method A or ASTM C1521, Method A, Tail Procedure. Remove sealants that fail adhesion testing; clean substrates, reapply sealants, and re-test. Test sealants adjacent to failed sealants. Submit field adhesion test report indicating tests, locations, dates, results, and remedial actions taken.

3.2 SURFACE PREPARATION

Prepare surfaces according to manufacturer's printed installation instructions. Clean surfaces from dirt, frost, moisture, grease, oil, wax, lacquer, paint, or other foreign matter that would destroy or impair adhesion. Remove oil and grease with solvent; thoroughly remove solvents prior to sealant installation. Wipe surfaces dry with clean cloths. When resealing an existing joint, remove existing caulk or sealant prior to applying new sealant. For surface types not listed below, provide in accordance with sealant manufacturer's printed instructions for each specific surface.

3.2.1 Steel Surfaces

Remove loose mill scale by sandblasting or, if sandblasting is impractical or would damage finished work, scraping and wire brushing. Remove protective coatings by sandblasting or using a residue free solvent. Remove resulting debris and solvent residue prior to sealant installation.

3.2.2 Aluminum or Bronze Surfaces

Remove temporary protective coatings from surfaces that will be in contact with sealant. When masking tape is used as a protective coating, remove tape and residual adhesive prior to sealant application. For removing protective coatings and final cleaning, use non-staining solvents recommended by the manufacturer of the item(s) containing aluminum or bronze surfaces.

3.2.3 Concrete and Masonry Surfaces

Where surfaces have been treated with curing compounds, oil, or other such materials, remove materials by sandblasting or wire brushing. Remove laitance, efflorescence and loose mortar from the joint cavity. Remove resulting debris prior to sealant installation.

3.2.4 Wood Surfaces

Ensure wood surfaces that will be in contact with sealants are free of splinters, sawdust and other loose particles.

3.3 SEALANT PREPARATION

Do not add liquids, solvents, or powders to sealants. Mix multicomponent elastomeric sealants in accordance with manufacturer's printed instructions.

3.4 APPLICATION

3.4.1 Joint Width-To-Depth Ratios

Acceptable Ratios:

JOINT WIDTH	JOINT DEPTH	
	Minimum	Maximum
For metal, glass, or other nonporous surfaces:		
1/4 inch (minimum)	1/4 inch	1/4 inch
over 1/4 inch	1/2 of width	Equal to width
For wood, concrete, masonry, stone:		
1/4 inch (minimum)	1/4 inch	1/4 inch
over 1/4 inch to 1/2 inch	1/4 inch	Equal to width
over 1/2 inch to 1 inch	1/2 inch	5/8 inch
Over 1 inch	prohibited	

Unacceptable Ratios: Where joints of acceptable width-to-depth ratios have not been provided, clean out joints to acceptable depths and grind or cut to acceptable widths without damage to the adjoining work. Grinding is prohibited at metal surfaces.

3.4.2 Unacceptable Sealant Use

Do not install sealants in lieu of other required building enclosure weatherproofing components such as flashing, drainage components, and joint closure accessories, or to close gaps between walls, floors, roofs, windows, and doors, that exceed acceptable installation tolerances. Remove sealants that have been used in an unacceptable manner and correct building enclosure deficiencies to comply with contract documents requirements.

3.4.3 Masking Tape

Place masking tape on the finished surface on one or both sides of joint cavities to protect adjacent finished surfaces from primer or sealant smears. Remove masking tape within 10 minutes of joint filling and tooling.

3.4.4 Backstops

Provide backstops dry and free of tears or holes. Tightly pack the back

or bottom of joint cavities with backstop material to provide joints in specified depths. Provide backstops where indicated and where backstops are not indicated but joint cavities exceed the acceptable maximum depths specified in JOINT WIDTH-TO-DEPTH RATIOS Table.

3.4.5 Primer

Clean out loose particles from joints immediately prior to application of. Apply primer to joints in concrete masonry units, wood, and other porous surfaces in accordance with sealant manufacturer's printed instructions. Do not apply primer to exposed finished surfaces.

3.4.6 Bond Breaker

Provide bond breakers to surfaces not intended to bond in accordance with, sealant manufacturer's printed instructions for each type of surface and sealant combination specified.

3.4.7 Sealants

Provide sealants compatible with the material(s) to which they are applied. Do not use a sealant that has exceeded its shelf life or has jelled and cannot be discharged in a continuous flow from the sealant gun. Apply sealants in accordance with the manufacturer's printed instructions with a gun having a nozzle that fits the joint width. Work sealant into joints so as to fill the joints solidly without air pockets. Tool sealant after application to ensure adhesion. Apply sealant uniformly smooth and free of wrinkles. Upon completion of sealant application, roughen partially filled or unfilled joints, apply additional sealant, and tool smooth as specified. Apply sealer over sealants in accordance with the sealant manufacturer's printed instructions.

3.5 PROTECTION AND CLEANING

3.5.1 Protection

Protect areas adjacent to joints from sealant smears. Masking tape may be used for this purpose if removed 5 to 10 minutes after the joint is filled and no residual tape marks remain.

3.5.2 Final Cleaning

Upon completion of sealant application, remove remaining smears and stains and leave the work in a clean and neat condition.

a. Masonry and Other Porous Surfaces: Immediately remove fresh sealant that has been smeared on adjacent masonry, rub clean with a solvent, and remove solvent residue, in accordance with sealant manufacturer's printed instructions. Allow excess sealant to cure for 24 hours then remove by wire brushing or sanding. Remove resulting debris.

b. Metal and Other Non-Porous Surfaces: Remove excess sealant with a solvent moistened cloth. Remove solvent residue in accordance with solvent manufacturer's printed instructions.

-- End of Section --

SECTION 09 30 10

CERAMIC, QUARRY, AND GLASS TILING
08/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI A108/A118/A136.1	(2024) American National Standard Specifications for the Installation of Ceramic Tile
ANSI A137.1	(2019) American National Standards Specifications for Ceramic Tile

ASTM INTERNATIONAL (ASTM)

ASTM A1064/A1064M	(2024) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM C33/C33M	(2024) Standard Specification for Concrete Aggregates
ASTM C144	(2018) Standard Specification for Aggregate for Masonry Mortar
ASTM C150/C150M	(2024) Standard Specification for Portland Cement
ASTM C206	(2014; R 2022) Standard Specification for Finishing Hydrated Lime
ASTM C207	(2024) Standard Specification for Hydrated Lime for Masonry Purposes
ASTM C373	(2018; R 2023)Standard Test Methods for Determination of Water Absorption and Associated Properties by Vacuum Method for Pressed Ceramic Tiles and Glass Tiles and Boil Method for Extruded Ceramic Tiles and Non-tile Fired Ceramic Whiteware Products
ASTM C648	(2020) Standard Test Method for Breaking Strength of Ceramic Tile
ASTM C847	(2014a) Standard Specification for Metal Lath
ASTM C1027	(2009; R 2017) Standard Test Method for

	Determining Visible Abrasion Resistance of Glazed Ceramic Tile

MARBLE INSTITUTE OF AMERICA (MIA)

MIA Design Manual	(2016) Dimension Stone Design Manual

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

TILE COUNCIL OF NORTH AMERICA (TCNA)

TCNA Hdbk	(2017) Handbook for Ceramic, Glass, and Stone Tile Installation

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

36 CFR 1191	Americans with Disabilities Act (ADA) Accessibility Guidelines for Buildings and Facilities; Architectural Barriers Act (ABA) Accessibility Guidelines

UL SOLUTIONS (UL)

UL 2818	(2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Detail Drawings; G

SD-03 Product Data

Transition Strips; G

Setting-Bed; G

Mortar, Grout, and Adhesive; G

Reinforcing Wire Fabric

Waterproof Membrane; G

Crack Isolation Membrane; G

SD-04 Samples

Tile; G

Accessories; G

Transition Strips; G

Metal Strips; G

Grout; G

SD-08 Manufacturer's Instructions

Manufacturer's Approved Cleaning Instructions

SD-10 Operation and Maintenance Data

Transition Strips, Data Package 1; G

Metal Strips, Data Package 1; G

1.3 CERTIFICATIONS

1.3.1 Indoor Air Quality Certifications

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body.When product does not have certification, provide validation that product meets the indoor air quality product requirements cited in this Section.

1.3.2 Water Absorption Rates Certification

Provide certification for each tile type indicating compliance with the following water absorption (wa) rates per ANSI A137.1 criteria as tested per ASTM C373 requirements.

a. Ceramic Tile (Non-Vitreous): Provide maximum water absorption (wa) of 7.0 percent.

1.4 QUALITY ASSURANCE

Provide installers having a minimum of two years of experience with a company specializing in performing the type of work described. Each type and color of tile to be provided from a single source. Each type and color of mortar, adhesive, and grout to be provided from the same source.

1.5 DELIVERY, STORAGE, AND HANDLING

Ship tiles in sealed packages and clearly marked with the grade, type of tile, producer identification, and country of origin. Deliver materials to the project site in manufacturer's original unopened containers with seals unbroken and labels and hallmarks intact. Protect materials from weather, and store them under cover in accordance with manufacturer's printed instructions. Store and handle tiles per manufacturer's instructions for gauged porcelain tile and gauged porcelain tile panels/slabs.

1.6 ENVIRONMENTAL REQUIREMENTS

Do not perform ceramic tile work unless the substrate and ambient

temperature is at least 50 degrees F and rising. Maintain temperature above 50 degrees F while the work is being performed and for at least 7 days after completion of the work. When temporary heaters are used, ventilate the area to the outside to avoid carbon dioxide damage to new tilework.

1.7 WARRANTY

Provide manufacturer's warranty to repair or replace defective tiling materials and workmanship, including tile, mortar and grout products and installation as a system, for a period of one year from date of final acceptance of the work..

1.8 EXTRA MATERIALS

Supply an extra 2 percent of each type tile used in clean and marked cartons.

PART 2 PRODUCTS

2.1 TILE

Provide tiles that comply with ANSI A137.1 and are standard grade tiles. . Provide a minimum breaking strength of 125 lbs. for wall tile and 250 lbs. for floor tile in accordance with ASTM C648. Provide floor tiles with a minimum wet dynamic coefficient of friction (DCOF) value of 0.42 when tested in accordance with ANSI A137.1 requirements. Provide glazed floor tile with a Class IV-Commercial classification as rated by the manufacturer when tested in accordance with ASTM C1027 for visible abrasion resistance as related to foot traffic. For materials like tile, accessories, and transition strips submit samples of sufficient size to show color range, pattern, type and joints.

Submit manufacturers' descriptive product data for each type of ceramic, quarry and glass tiling indicated. Include manufacturers' literature, finishes, profiles and thicknesses of materials.

Submit manufacturers' operations and maintenance data for each type of ceramic, quarry and glass tiling indicated in accordance with Section 01 78 23 OPERATIONS AND MAINTENANCE DATA.

2.1.1 Porcelain Tile

Provide through body (surface color and pattern go all the way through the tile body) or color body (body of tile is stained to match the glaze color) and cove base and trim pieces. Provide tile with a V0 aesthetic classification. Blend tiles in factory and in a packages to have same color range and continuous blend for installation. Provide nominal tile size(s) of 6 by 6 12 by 12 inch and 3/8 inch thick or to match exisitng adjacent tile size and thickness.

2.2 SETTING-BED

Submit manufacturer's catalog data. Compose the setting-bed of the following materials:

2.2.1 Aggregate for Concrete Fill

Conform to ASTM C33/C33M for aggregate fill. Do not exceed one-half the

thickness of concrete fill for maximum size of coarse aggregate.

2.2.2 Portland Cement

Conform to ASTM C150/C150M for cement, Type I, white for wall mortar and gray for other uses.

2.2.3 Sand

Conform to ASTM C144 for sand.

2.2.4 Hydrated Lime

Conform to ASTM C206 for hydrated lime, Type S or ASTM C207, Type S.

2.2.5 Metal Lath

Conform to ASTM C847 for flat expanded type metal lath, and weighing a minimum 2.5 pound/square yard.

2.2.6 Reinforcing Wire Fabric

Conform to ASTM A1064/A1064M for wire fabric. Provide 2 by 2 inch mesh, 16/16 wire.

2.3 WATER

Provide potable water.

2.4 MORTAR, GROUT, AND ADHESIVE

Provide bond coat, mortar, and grout supplied from the same manufacturer.

2.4.1 Dry-Set Portland Cement Mortar

TCNA Hdbk.

2.4.2 Furan Mortar

TCNA Hdbk.

2.4.3 Latex-Portland Cement Mortar

TCNA Hdbk.

2.4.4 Ceramic Tile Grout

TCNA Hdbk; petroleum-free and plastic-free sand-portland cement grout .

2.4.5 Organic Adhesive

TCNA Hdbk, Type I. Water-resistant. Comply with ANSI A108/A118/A136.1.

2.4.6 Epoxy Resin Grout

TCNA Hdbk. Water cleanable epoxy conforming to ANSI A108/A118/A136.1; provide manufacturer proportioned and packaged kit having hardener, resin and colored filler and horizontal and vertical grade products as applicable.

2.4.7 Furan Resin Grout

TCNA Hdbk; chemical resistant furan conforming to ANSI A108/A118/A136.1; and consist of an intimate mixture of furfuryl-alcohol resin with carbon filler and catalyst. Prohibited unless specifically indicated otherwise.

2.4.8 Urethane Grout

TCNA Hdbk; premixed, urethane, water-based grout with color consistency and antimicrobial protection; no color fading, streaking or shading, chemical and stain resistant; and UV stable.

2.4.9 Sealants

Comply with applicable regulations regarding toxic and hazardous materials and as specified. Provide sealant that does not change the color or alter the appearance of the grout. Refer to Section 07 92 00 JOINT SEALANTS.

2.5 MISCELLANEOUS TRIMS

2.5.1 Transition Strips

Provide anodized aluminum transitions between tile and carpet or resilient flooring. Provide types as recommended by flooring manufacturer for both edges and transitions of flooring materials specified or marble transitions to match adjacent conditions. Categorize marble Group A as classified by MIA Design Manual. Provide a fine sand-rubbed finish marble, beige in color. Provide transition strips that comply with 36 CFR 1191requirements.

2.5.2 Metal Strips

Provide , Angle, and L-shape, trim shapes, height to match tile and setting thickness, designed specifically for flooring, and wall applications. Provide extruded, stainless steelcove strip where floor tile abuts wall tile for sanitary transition and elimination of cove tile base. Provide extruded squarestainless steel edging at tile surfaces with exposed outside and inside corners. Provide profiles appropriate for finished floor and wall materials as indicated.

2.6 WATERPROOF MEMBRANE

2.6.1 General

Manufacturer's standard product that complies with ANSI A108/A118/A136.1 and is recommended by the manufacturer for the application indicated. Include reinforcement and accessories recommended by manufacturer.

2.6.2 Chlorinated-Polyethylene Shower Waterproof Membrane

Nonplasticized, chlorinated polyethylene faced on both sides with nonwoven polyester fabric; 0.040 inch nominal thickness.

2.7 CRACK ISOLATION MEMBRANE

2.7.1 General

Manufacturer's standard product that complies with ANSI A108/A118/A136.1

and is recommended by the manufacturer for the application indicated. Include reinforcement and accessories recommended by manufacturer.

2.7.2 Chlorinated-Polyethylene Crack Isolation Membrane

Nonplasticized, chlorinated polyethylene faced on both sides with nonwoven polyester fabric; 0.030 inch nominal thickness.

2.8 COLOR, TEXTURE, AND PATTERN

Provide color, pattern and texture as indicated; colors listed are not intended to limit the selection of equal colors from other manufacturers.

PART 3 EXECUTION

3.1 PREPARATORY WORK AND WORKMANSHIP

Inspect surface to receive tile in conformance to the requirements of TCNA Hdbk for surface conditions for the type setting bed specified and for workmanship. Provide variations of tiled surfaces that fall within maximum values shown below:

TYPE	WALLS	FLOORS
Dry-Set Mortar	1/8 inch in 8 ft.	1/8 inch in 10 ft.
Organic Adhesives	1/8 inch in 8 ft.	1/16 inch in 3 ft.
Latex-Portland Cement Mortar	1/8 inch in 8 ft.	1/8 inch in 10 ft.
Epoxy	1/8 inch in 8 ft.	1/8 inch in 10 ft.

3.2 GENERAL INSTALLATION REQUIREMENTS

Do not start tile work until roughing in for mechanical and electrical work has been completed and tested, and built-in items requiring membrane waterproofing have been installed and tested. Close space, in which tile is being set, to traffic and other work. Keep closed until tile is firmly set. Do not start floor tile installation in spaces requiring wall tile until after wall tile has been installed. Apply tile in colors and patterns indicated in the area shown on the drawings. Install tile with the respective surfaces in true even planes to the elevations and grades shown. Provide special shapes as required for sills, jambs, recesses, offsets, external corners, and other conditions to provide a complete and neatly finished installation. Solidly back tile bases and coves with mortar. Do not walk or work on newly tiled floors without using kneeling boards or equivalent protection of the tiled surface. Keep traffic off horizontal portland cement mortar installations for at least 72 hours. Keep all traffic off epoxy installed floors for at least 40 hours after grouting, and heavy traffic off for at least 7 days, unless otherwise specifically authorized by manufacturer. Dimension and draw detail drawings at a minimum scale of 1/4 inch = 1 foot. Include drawings of pattern at inside corners, outside corners, termination points and location of all equipment items such as thermostats, switch plates, mirrors and toilet accessories mounted on surface. Submit drawings showing ceramic tile pattern floor plans. Submit manufacturer's preprinted installation instructions.

Do not install building construction materials that show visual evidence of biological growth.

3.3 INSTALLATION OF FLOOR TILE

Install floor tile in accordance with TCNA Hdbk method and with grout joints as recommended by the manufacturer for the type of tile. .

3.3.1 Workable or Cured Mortar Bed

Install floor tile over a workable mortar bed or a cured mortar bed at the option of the Contractor. Conform to TCNA Hdbk method for workable mortar bed materials and installation. Conform to TCNA Hdbk method for cured mortar bed materials and installation. Provide minimum 1/4 inch to maximum 3/8 inch joints in uniformed width.

3.3.2 Dry-Set and Latex-Portland Cement

Use latex-portland cement mortar to install tile directly over properly cured, plane, clean concrete slabs in accordance with TCNA Hdbk method . Use latex-portland cement when installing porcelain ceramic tile.

3.3.3 Ceramic Tile Grout

Prepare and install ceramic tile grout in accordance with TCNA Hdbk method . Provide and apply manufacturer's standard product for sealing grout joints in accordance with manufacturer's recommendations.

3.3.4 Waterproof and Crack Isolation Membranes

Install as indicated in accordance with manufacturer's written instructions.

3.3.5 Concrete Fill

3.4 INSTALLATION OF MISCELLANEOUS TRIMS

3.4.1 Transition Strips

Install transition strips where indicated, in a manner similar to that of the ceramic tile floor and as recommended by the manufacturer. Provide thresholds full width of the opening. Install head joints at ends not exceeding 1/4 inch in width and grouted full.

3.4.2 Metal Trims

Install trim where indicated. Embed anchoring leg in setting mortar in accordance with manufacturer's instructions. During grouting of tile joints, immediately wipe grout from finish surface.

3.5 EXPANSION JOINTS

Form and seal joints as specified in Section 07 92 00 JOINT SEALANTS.

3.5.1 Floors

Provide expansion joints over construction joints, control joints, and expansion joints in concrete slabs in accordance with TCNA Hdbk method EJ171 type to suit conditions. Provide expansion joints where tile abuts restraining surfaces such as perimeter walls, curbs and columns and at intervals of 20 to 25 feet each way in large interior floor areas. and 8 to 12 feet each way in large exterior areas or areas exposed to direct sunlight or moisture. Extend expansion joints through setting-beds and fill.

3.6 CLEANING AND PROTECTING

Upon completion, thoroughly clean tile surfaces in accordance with manufacturer's approved cleaning instructions. Do not use acid for cleaning glazed tile. Clean floor tile with resinous grout or with factory mixed grout in accordance with printed instructions of the grout manufacturer. After the grout has set, provide a protective coat of a noncorrosive soap or other approved method of protection for tile wall surfaces. Cover tiled floor areas with building paper before foot traffic is permitted over the finished tile floors. Provide board walkways on tiled floors that are to be continuously used as passageways by workmen. Replace damaged or defective tiles.

-- End of Section --

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SECTION 09 51 00

ACOUSTICAL CEILINGS
08/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM A489	(2018; E 2018) Standard Specification for Carbon Steel Eyebolts
ASTM A641/A641M	(2019) Standard Specification for Zinc-Coated (Galvanized) Carbon Steel Wire
ASTM A653/A653M	(2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A1008/A1008M	(2024) Standard Specification for Steel, Sheet, Cold-Rolled, Carbon, Structural, High-Strength Low-Alloy, High-Strength Low-Alloy with Improved Formability, Solution Hardened, and Bake Hardenable
ASTM B633	(2023) Standard Specification for Electrodeposited Coatings of Zinc on Iron and Steel
ASTM C423	(2023; E 2024) Sound Absorption and Sound Absorption Coefficients by the Reverberation Room Method
ASTM C635/C635M	(2022) Standard Specification for Manufacture, Performance, and Testing of Metal Suspension Systems for Acoustical Tile and Lay-In Panel Ceilings
ASTM C636/C636M	(2013) Standard Practice for Installation of Metal Ceiling Suspension Systems for Acoustical Tile and Lay-In Panels
ASTM C834	(2017; R 2023) Standard Specification for Latex Sealants
ASTM E413	(2022) Classification for Rating Sound Insulation
ASTM E580/E580M	(2024) Standard Practice for Installation of Ceiling Suspension Systems for Acoustical Tile and Lay-in Panels in Areas

	Subject to Earthquake Ground Motions
ASTM E795	(2023) Standard Practices for Mounting Test Specimens During Sound Absorption Tests
ASTM E1111/E1111M	(2014; R 2022) Standard Test Method for Measuring the Interzone Attenuation of Open Office Components
ASTM E1264	(2023) Standard Classification for Acoustical Ceiling Products
ASTM E1414/E1414M	(2021a) Standard Test Method for Airborne Sound Attenuation Between Rooms Sharing a Common Ceiling Plenum
ASTM E1477	(1998; R 2022a) Standard Test Method for Luminous Reflectance Factor of Acoustical Materials by Use of Integrating-Sphere Reflectometers

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

GREEN SEAL (GS)

GS-36	(2013) Adhesives for Commercial Use

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2022) Adhesive and Sealant Applications

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-301-01	(2023; with Change 2, 2024) Structural Engineering

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Drawings;

SD-03 Product Data

Acoustical Performance;

SD-04 Samples

Acoustical Units;

1.3 DELIVERY, STORAGE. AND HANDLING

Deliver materials to the site in the manufacturer's original unopened containers with brand name and type clearly marked. Carefully handle and store materials in dry, watertight enclosures. Immediately before installation, store acoustical units for not less than 24 hours at the same temperature and relative humidity as the space where they will be installed in order to assure proper temperature and moisture acclimation.

1.4 ENVIRONMENTAL REQUIREMENTS

Maintain a uniform temperature of not less than 60 degrees F nor more than 85 degrees F and a relative humidity of not more than 70 percent for 24 hours before, during, and 24 hours after installation of acoustical units.

1.5 SCHEDULING

Complete and dry interior finish work such as plastering, concrete and terrazzo work before ceiling installation. Complete mechanical, electrical, and other work above the ceiling line; install and start operating heating, ventilating, and air conditioning systems in order to maintain temperature and humidity requirements.

1.6 WARRANTY

Provide manufacturer's warranty to repair or replace defective materials and workmanship including but not limited to, sagging and warping of panels and rusting and of grid systems, for a period of ten years_years from date of final acceptance of the work.

1.7 EXTRA MATERIALS

Furnish spare tiles, from the same lot as those installed, of each color at the rate of 5 tiles for each 1000 tiles installed.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide sound controlling units mechanically mounted on a ceiling suspension system for acoustical treatment. Provide the unit size, texture, finish, and color as specified. Coordinate the entire ceiling system with other details, like the location of access panels and ceiling penetrations, for instance, shown on the drawings. Submit drawings showing the the location, extent and details of acoustical treatment including suspension system, method of anchoring and fastening, and reflected ceiling plan. Coordinate with paragraph RECLAMATION PROCEDURES for reclamation of mineral fiber acoustical ceiling panels to be removed from the job site.

2.1.1 Acoustical Performance

2.1.1.1 Ceiling Sound Transmission

Provide ceiling systems with the specified Ceiling Attenuation Class (CAC) ratings as determined in accordance with ASTM E1414/E1414M and ASTM E413. Provide sound attenuators over light fixtures, air terminals and other

ceiling penetrations, provide acoustical blanket insulation on top of the ceiling or adjacent to partitions to provide lightweight acoustical plenum barriers above partitions as required to achieve the specified CAC ratings. Provide test ceiling continuous at the partition and assembled in the suspension system in the same manner that the ceiling will be installed on the project.

2.1.1.2 Ceiling Sound Absorption

Determine the Noise Reduction Coefficient (NRC) in accordance with ASTM C423. Determine Articulation Class (AC) in accordance with ASTM E1111/E1111M.

2.1.2 Light Reflectance

Determine light reflectance factor in accordance with ASTM E1477 test method.

2.2 ACOUSTICAL UNITS

Submit samples of each type of acoustical unit and each type of suspension grid tee section showing texture, finish, and color. Conform acoustical units to ASTM E1264, Class A, and the following requirements:

2.2.1 Units for Exposed-Grid System A

2.2.1.1 Type
III (non-asbestos mineral fiber with painted finish).

2.2.1.2 Flame Spread

Class A, 25 or less

2.2.1.3 Pattern

A

2.2.1.4 Minimum NRC

45 when tested on mounting Type E-400 of ASTM E795.

2.2.1.5 Minimum Light Reflectance Coefficient
LR-1, 0.75 or greater

2.2.1.6 Nominal Size

24 by 48 inch

2.2.1.7 Edge Detail

Square

2.2.1.8 Finish

Factory-applied standard finish. See paragraph COLORS AND STANDARDS.

2.2.2 Unit Acoustical Absorbers

Provide individually mounted sound absorbing plaques composed of glass

fibers or non-asbestos mineral fibers and having a NRC range of not less than 0.60 - 0.70 when tested in accordance with ASTM C423 and reported as a 4 frequency average.

2.3 SUSPENSION SYSTEM

Provide standard standard width flange suspension system conforming to ASTM C635/C635M for intermediate-duty systems. Provide surfaces exposed to view of aluminum or steel with a factory-applied white baked-enamel finish. Provide wall molding having a flange of not less than 15/16 inch. Provide inside and outside corner caps. Provide a suspension system with a maximum deflection of 1/360 of the span length capable of supporting the finished ceiling, light fixtures, air diffusers, and accessories, as shown. Conform seismic details to the guidance in UFC 3-301-01 and ASTM E580/E580M.

2.4 HANGERS

Provide hangers and attachment capable of supporting a minimum 300 pound ultimate vertical load without failure of supporting material or attachment.

2.4.1 Wires

Conform wires to ASTM A641/A641M, Class 1, 0.08 inch (12 gauge).

2.4.2 Straps

Provide straps of 1 by 3/16 inch galvanized steel conforming to ASTM A653/A653M, with a light commercial zinc coating or ASTM A1008/A1008M with an electrodeposited zinc coating conforming to ASTM B633, Type RS.

2.4.3 Rods

Provide 3/16 inch diameter threaded steel rods, zinc or cadmium coated.

2.4.4 Eyebolts

Provide eyebolts of weldless, forged-carbon-steel, with a straight-shank in accordance with ASTM A489. Provide minimum 1/4 inch, zinc coated eyebolts.

2.4.5 Masonry Anchorage Devices

Comply with ASTM C636/C636M for anchorage devices for eyebolts.

2.5 ACCESS PANELS

Provide access panels that match adjacent acoustical units, designed and equipped with suitable framing and fastenings for removal and replacement without damage. Size panel to be not less than 12 by 12 inch or more than 12 by 24 inch.

a. Attach an identification plate of 0.032 inch thick aluminum, 3/4 inch in diameter, stamped with the letters "AP" and finished the same as the unit, near one corner on the face of each access panel.

b. Identify ceiling access panel by a number utilizing white identification plates or plastic buttons with contrasting numerals.

Provide plates or buttons of minimum 1 inch diameter and securely attached to one corner of each access unit. Provide a typewritten card framed under glass listing the code identification numbers and corresponding system descriptions listed above. Mount the framed card where directed and furnish a duplicate card to the Contracting Officer. Code identification system is as follows:

(1) Fire detection/alarm system

(2) Air conditioning controls

(3) Plumbing system

(4) Heating and steam systems

(5) Air conditioning duct system

(6) Sprinkler system

2.6 ADHESIVE

Use adhesive as recommended by tile manufacturer. Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of GS-36. For products located on the interior of the building (inside of the weatherproofing system), provide certification or validation of indoor air quality for adhesives.

2.7 FINISHES

Use manufacturer's standard textures, patterns and finishes as specified for acoustical units and suspension system members. Treat ceiling suspension system components to inhibit corrosion.

2.8 COLORS AND PATTERNS

Use colors and patterns for acoustical units and suspension system components as indicated; colors listed are not intended to limit the selection of equal colors from other manufacturers.

2.9 ACOUSTICAL SEALANT

Conform acoustical sealant to ASTM C834, nonstaining. Provide sealants used on the interior of the building (defined as inside of the weatherproofing system)in accordance with requirements of Section 07 92 00 JOINT SEALANTS.that meet either emissions requirements of CDPH SECTION 01350 (limit the requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. For products located on the interior of the building (inside of the weatherproofing system), provide certification of indoor air quality for Sealants.

PART 3 EXECUTION

3.1 INSTALLATION

Do not install building construction materials that show visual evidence of biological growth.

Examine surfaces to receive directly attached acoustical units for unevenness, irregularities, and dampness that would affect quality and execution of the work. Rid areas, where acoustical units will be cemented, of oils, form residue, or other materials that reduce bonding capabilities of the adhesive. Complete and dry interior finish work such as plastering, concrete, and terrazzo work before installation. Complete and approve mechanical, electrical, and other work above the ceiling line prior to the start of acoustical ceiling installation. Provide acoustical work complete with necessary fastenings, clips, and other accessories required for a complete installation. Do not expose mechanical fastenings in the finished work. Lay out hangers for each individual room or space. Provide hangers to support framing around beams, ducts, columns, grilles, and other penetrations through ceilings. Keep main runners and carrying channels clear of abutting walls and partitions. Provide at least two main runners for each ceiling span. Wherever required to bypass an object with the hanger wires, install a subsuspension system so that all hanger wires will be plumb.

3.1.1 Suspension System

Install suspension system in accordance with ASTM C636/C636M and as specified herein. Do not suspend hanger wires or other loads from underside of steel decking.

3.1.1.1 Plumb Hangers

Install hangers plumb and not pressing against insulation covering ducts and pipes. Where lighting fixtures are supported from the suspended ceiling system, provide hangers at a minimum of four hangers per fixture and located not more than 6 inch from each corner of each fixture.

3.1.1.2 Splayed Hangers

Splay (slope or slant) hangers around obstructions, offsetting the resulting horizontal force by bracing, countersplaying, or other acceptable means.

3.1.2 Wall Molding

Provide wall molding where ceilings abut vertical surfaces. Miter corners where wall moldings intersect or install corner caps. Secure wall molding not more than 3 inch from ends of each length and not more than 16 inch on centers between end fastenings. Provide wall molding springs at each acoustical unit in semi-exposed or concealed systems.

3.1.3 Acoustical Units

Install acoustical units in accordance with the approved installation instructions of the manufacturer. Ensure that edges of acoustical units are in close contact with metal supports, with each other, and in true alignment. Arrange acoustical units so that units less than one-half width are minimized. Hold units in exposed-grid system in place with

manufacturer's standard hold-down clips, if units weigh less than 1 psf or if required for fire resistance rating.

3.1.4 Acoustical Sealant

Seal all joints around pipes, ducts or electrical outlets penetrating the ceiling. Apply a continuous ribbon of acoustical sealant on vertical web of wall or edge moldings.

3.1.5 Adhesive Application

Wipe back of tile to remove accumulated dust. Daub acoustical units on back side with four equal daubs of adhesive. Apply daubs near corners of tiles. Ensure that contact area of each daub is at least 2 inch diameter in final position. Press units into place, aligning joints and abutting units tight and uniform without differences in joint widths.

3.2 CEILING ACCESS PANELS

Locate ceiling access panels directly under the items which require access.

3.3 CLEANING

Following installation, clean dirty or discolored surfaces of acoustical units and leave them free from defects. Remove units that are damaged or improperly installed and provide new units as directed.

-- End of Section --

SECTION 09 65 00

RESILIENT FLOORING
08/10, CHG 3: 08/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D4078	(2002; R 2015) Water Emulsion Floor Polish
ASTM E648	(2023) Standard Test Method for Critical Radiant Flux of Floor-Covering Systems Using a Radiant Heat Energy Source
ASTM F710	(2022) Standard Practice for Preparing Concrete Floors to Receive Resilient Flooring
ASTM F1482	(2021) Standard Practice for Installation and Preparation of Panel Type Underlayments to Receive Resilient Flooring
ASTM F1869	(2023) Standard Test Method for Measuring Moisture Vapor Emission Rate of Concrete Subfloor Using Anhydrous Calcium Chloride
ASTM F2170	(2019a) Standard Test Method for Determining Relative Humidity in Concrete Floor Slabs Using in situ Probes

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

GREEN SEAL (GS)

GS-36	(2013) Adhesives for Commercial Use

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. When used, a code following the "G" classification identifies the office that will review the submittal for

the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Resilient Flooring and Accessories; G

SD-03 Product Data

Resilient Flooring and Accessories; G

Adhesives

SD-04 Samples

Resilient Flooring and Accessories; G

SD-06 Test Reports

Moisture, Alkalinity and Bond Tests; G

SD-08 Manufacturer's Instructions

Surface Preparation; G

Installation; G

SD-10 Operation and Maintenance Data

Resilient Flooring and Accessories; G

1.3 DELIVERY, STORAGE, AND HANDLING

Deliver materials to the building site in original unopened containers bearing the manufacturer's name, style name, pattern color name and number, production run, project identification, and handling instructions. Store materials in a clean, dry, secure, and well-ventilated area with ambient air temperature maintained above 68 degrees F and below 85 degrees F, stacked according to manufacturer's recommendations. Protect materials from the direct flow of heat from hot-air registers, radiators and other heating fixtures and appliances. Observe ventilation and safety procedures specified in the MSDS. Do not store near materials that may offgas or emit harmful fumes, such as kerosene heaters, fresh paint, or adhesives.

1.4 ENVIRONMENTAL REQUIREMENTS

Maintain areas to receive resilient flooring at a temperature above 68 degrees F and below 85 degrees F for 3 days before application, during application and 2 days after application, unless otherwise directed by the flooring manufacturer for the flooring being installed. Maintain a minimum temperature of 55 degrees F thereafter. Provide adequate ventilation to remove moisture from area and to comply with regulations limiting concentrations of hazardous vapors.

1.5 SCHEDULING

Schedule resilient flooring application after the completion of other work which would damage the finished surface of the flooring.

1.6 WARRANTY

Provide manufacturer's standard performance guarantees or warranties that extend beyond a one year period.

1.7 EXTRA MATERIALS

Provide extra flooring material of each color and pattern at the rate of 5 tiles for each 1000 tilesand5 square feet for each 1000 square feet of sheet flooring installed. Provide extra wall base material composed of 20 linear feet of each type, color and pattern. Package all extra materials in original properly marked containers bearing the manufacturer's name, brand name, pattern color name and number, production run, and handling instructions. Provide extra materials from the same lot as those installed. Leave extra stock at the site in location assigned by Contracting Officer.

PART 2 PRODUCTS

2.1 VINYL COMPOSITION TILE TYPE A

Conform to ASTM F1066 Class 1, (solid color tile), Composition 1, asbestos-free, 12 inch square and 3/32 inch thick. Provide color and pattern uniformly distributed throughout the thickness of the tile.

2.2 ADHESIVES

Provide adhesives for flooring, base and accessories as recommended by the manufacturer and comply with local indoor air quality standards. Submit manufacturer's descriptive data, documentation stating physical characteristics, and mildew and germicidal characteristics.

Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36. Provide certification or validation of indoor air quality for adhesives.

2.3 SURFACE PREPARATION MATERIALS

Provide surface preparation materials, such as panel type underlayment, lining felt, and floor crack fillers as recommended by the flooring manufacturer for the subfloor conditions. Comply with ASTM F1482 for panel type underlayment products.

2.4 POLISH/FINISH

Provide polish finish as recommended by the manufacturer and conform to ASTM D4078 for polish.

2.5 CAULKING AND SEALANTS

Provide caulking and sealants in accordance with Section 07 92 00 JOINT SEALANTS.

2.6 MANUFACTURER'S COLOR, PATTERN AND TEXTURE

Provide color, pattern and texture for resilient flooring and accessories selected from manufacturer's standard colors. Color listed is not intended to limit the selection of equal colors from other manufacturers. Provide flooring in any one continuous area or replacement of damaged flooring in continuous area from same production run with same shade and pattern. Submit scaled drawings indicating patterns (including location of patterns and colors) and dimensions. Submit manufacturer's descriptive data and three samples of each indicated color and type of flooring, base, mouldings, and accessories sized a minimum 2-1/2 by 4 inch. Submit Data Package 1.

2.7 FIRE RESISTANCE TESTING REQUIREMENTS

Provide a minimum average critical radiant flux of 0.22 watts per square centimeter for flooring in corridors and exits when tested in accordance with ASTM E648.

PART 3 EXECUTION

3.1 EXAMINATION

Examine and verify that site conditions are in agreement with the design package. Report all conditions that will prevent a proper installation. Do not take any corrective action without written permission from the Government. Work will proceed only when conditions have been corrected and accepted by the installer. Submit manufacturer's printed installation instructions for all flooring materials and accessories, including preparation of substrate, seaming techniques, and recommended adhesives.

3.2 SURFACE PREPARATION

Provide a smooth, true, level plane for surface preparation of the flooring, except where indicated as sloped. Floor to be flat to within 3/16 inch in 10 feet. Prepare subfloor in accordance with flooring manufacturer's recommended instructions. Prepare the surfaces of lightweight concrete slabs (as defined by the flooring manufacturer) as recommended by the flooring manufacturer. Comply with ASTM F710 for concrete subfloor preparation. Floor fills or toppings may be required as recommended by the flooring manufacturer. Install underlayments, when required by the flooring manufacturer, in accordance with manufacturer's recommended printed installation instructions. Comply with ASTM F1482 for panel type underlayments. Before any work under this section is begun, correct all defects such as rough or scaling concrete, chalk and dust, cracks, low spots, high spots, and uneven surfaces. Repair all damaged portions of concrete slabs as recommended by the flooring manufacturer. Remove concrete curing and sealer compounds from the slabs, other than the type that does not adversely affect adhesion. Remove paint, varnish, oils, release agents, sealers, waxes, and adhesives, as required by the flooring product in accordance with manufacturer's printed installation instructions.

3.3 MOISTURE, ALKALINITY AND BOND TESTS

Determine the suitability of the concrete subfloor for receiving the resilient flooring with regard to moisture content and pH level by moisture and alkalinity tests. Conduct moisture testing in accordance with ASTM F1869 or ASTM F2170, unless otherwise recommended by the flooring manufacturer. Conduct alkalinity testing as recommended by the flooring manufacturer. Determine the compatibility of the resilient flooring adhesives to the concrete floors by a bond test in accordance with the flooring manufacturer's recommendations. Submit copy of test reports for moisture and alkalinity content of concrete slab, and bond test stating date of test, person conducting the test, and the area tested.

3.4 GENERAL INSTALLATION

Do not install building construction materials that show visual evidence of biological growth.

3.5 PLACING VINYL COMPOSITION, LINOLEUM AND SOLID VINYL TILES

Install tile flooring and accessories in accordance with manufacturer's printed installation instructions. Prepare and apply adhesives in accordance with manufacturer's directions. Keep tile lines and joints square, symmetrical, tight, and even. Keep each floor in true, level plane, except where slope is indicated. Vary edge width as necessary to maintain full-size tiles in the field, no edge tile to be less than one-half the field tile size, except where irregular shaped rooms make it impossible. Cut flooring to fit around all permanent fixtures, built-in furniture and cabinets, pipes, and outlets. Cut, fit, and scribe edge tile to walls and partitions after field flooring has been applied.3.6

3.7 CLEANING

Immediately upon completion of installation of flooring in a room or an area, dry and clean the flooring and adjacent surfaces to remove all surplus adhesive. Clean flooring as recommended in accordance with manufacturer's printed maintenance instructions and within the recommended time frame. As required by the manufacturer, apply the recommended number of coats and type of polish and finish in accordance with manufacturer's written instructions.

3.8 PROTECTION

From the time of installation until acceptance, protect flooring from damage as recommended by the flooring manufacturer. Remove and replace flooring which becomes damaged, loose, broken, or curled and wall base which is not tight to wall or securely adhered.

-- End of Section --

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SECTION 09 90 00

PAINTS AND COATINGS
02/21

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

1.1.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.

b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.

c. Existing coated surfaces that are damaged during performance of the work.

1.1.1.1 Exterior Painting

Includes new surfaces, existing coated surfaces, and existing uncoated surfaces, of the building and appurtenances. Also included are existing coated surfaces made bare by cleaning operations.

1.1.1.2 Interior Painting

Includes new surfaces, existing uncoated surfaces, and existing coated surfaces of the building and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

a. Exposed columns, girders, beams, joists, and metal deck; and

b. Other contiguous surfaces.

1.1.2 Painting Excluded

Do not paint the following unless indicated otherwise.

a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.

b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.

c. Steel to be embedded in concrete.

d. Copper, stainless steel, aluminum, anodized aluminum, brass, and lead except existing coated surfaces.

e. Hardware, fittings, and other factory finished items.

1.1.3 Mechanical and Electrical Painting

Includes field coating of interior and exterior new and existing surfaces.

a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.

(1) Exposed piping, conduit, and ductwork;

(2) Supports, hangers, air grilles, and registers;

(3) Miscellaneous metalwork and insulation coverings.

b. Do not paint the following, unless indicated otherwise:

(1) New zinc-coated, aluminum, and copper surfaces under insulation

(2) New aluminum jacket on piping

(3) New interior ferrous piping under insulation.

1.1.4 Exterior Painting of Site Work Items

Field coat the following items:

	New Surfaces	Existing Surfaces
a.Roof opening caps as needed	Roof caps	
b.		
c.		

1.1.5 Miscellaneous Painting

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH 0100 (2017; Suppl 2020) Documentation of the Threshold Limit Values and Biological Exposure Indices

ASTM INTERNATIONAL (ASTM)

ASTM C920 (2018) Standard Specification for Elastomeric Joint Sealants

ASTM D235 (2002; R 2012) Mineral Spirits (Petroleum Spirits) (Hydrocarbon Dry Cleaning Solvent)

ASTM D523 (2014; R 2018) Standard Test Method for

	Specular Gloss
ASTM D2824/D2824M	(2018) Standard Specification for Aluminum-Pigmented Asphalt Roof Coatings, Non-Fibered, and Fibered without Asbestos
ASTM D4214	(2007; R 2015) Standard Test Method for Evaluating the Degree of Chalking of Exterior Paint Films
ASTM D4263	(1983; R 2018) Standard Test Method for Indicating Moisture in Concrete by the Plastic Sheet Method
ASTM D4444	(2013; R 2018) Standard Test Method for Laboratory Standardization and Calibration of Hand-Held Moisture Meters
ASTM D6386	(2016a) Standard Practice for Preparation of Zinc (Hot-Dip Galvanized) Coated Iron and Steel Product and Hardware Surfaces for Painting
ASTM F1869	(2023) Standard Test Method for Measuring Moisture Vapor Emission Rate of Concrete Subfloor Using Anhydrous Calcium Chloride

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)

Intelligence Bulletin 65	(2013) Occupational Exposure to Carbon Nanotubes and Nanofibers

MASTER PAINTERS INSTITUTE (MPI)

MPI 1	(2012) Aluminum Paint
MPI 3	(2016) Primer, Alkali Resistant, Water Based
MPI 4	(2016) Interior/Exterior Latex Block Filler
MPI 8	(2016) Alkyd, Exterior Flat (MPI Gloss Level I)
MPI 9	(2016) Alkyd, Exterior Gloss (MPI Gloss Level 6)
MPI 10	(2016) Latex, Exterior Flat (MPI Gloss Level 1)
MPI 11	(2016) Latex, Exterior Semi-Gloss, MPI Gloss Level 5
MPI 17	(2016) Primer, Bonding, Water Based
MPI 23	(2015) Primer, Metal, Surface Tolerant
MPI 38	(2016) Elastomeric Coating, Exterior, Water Based, Non-Flat

MPI 42	(2012) Textured Coating, Latex, Flat
MPI 44	(2016) Latex, Interior, (MPI Gloss Level 2)
MPI 47	(2016) Alkyd, Interior, Semi-Gloss (MPI Gloss Level 5)
MPI 48	(2016) Alkyd, Interior, Gloss (MPI Gloss Level 6-7)
MPI 49	(2015) Alkyd, Interior, Flat (MPI Gloss Level 1)
MPI 50	(2015) Primer Sealer, Latex, Interior
MPI 51	(2016) Alkyd, Interior, (MPI Gloss Level 3)2
MPI 52	(2016) Latex, Interior, (MPI Gloss Level 3)
MPI 54	(2016) Latex, Interior, Semi-Gloss (MPI Gloss Level 5)
MPI 59	(2016) Floor Paint, Alkyd, Low Gloss
MPI 60	(2016) Floor Paint, Latex, Low Gloss
MPI 72	(2016) Polyurethane, Two-Component, Pigmented, Gloss (MPI Gloss Level 6-7)
MPI 76	(2016) Primer, Alkyd, Quick Dry, for Metal
MPI 77	(2015) Epoxy, Gloss
MPI 79	(2016) Primer, Alkyd, Anti-Corrosive for Metal
MPI 94	(2016) Alkyd, Exterior, Semi-Gloss (MPI Gloss Level 5)
MPI 95	(2015) Primer, Quick Dry, for Aluminum
MPI 101	(2016) Primer, Epoxy, Anti-Corrosive, for Metal
MPI 107	(2016) Primer, Rust-Inhibitive, Water Based
MPI 108	(2015) Epoxy, High Build, Low Gloss
MPI 113	(2018) Elastomeric, Pigmented, Exterior, Water Based, Flat
MPI 116	(2012) Block Filler, Epoxy
MPI 119	(2016) Latex, Exterior, Gloss (MPI Gloss Level 6)
MPI 138	(2016) Latex, Interior, High Performance

	Architectural, (MPI Gloss Level 2)
MPI 139	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 3)
MPI 140	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 4)
MPI 141	(2016) Latex, Interior, High Performance Architectural, Semi-Gloss (MPI Gloss Level 5)
MPI 144	(2016) Latex, Interior, Institutional Low Odor/VOC, (MPI Gloss Level 2)
MPI 145	(2016) Latex, Interior, Institutional Low Odor/VOC, (MPI Gloss Level 3)
MPI 146	(2016) Latex, Interior, Institutional Low Odor/VOC, (MPI Gloss Level 4)
MPI 147	(May 2016) Latex, Interior, Institutional Low Odor/VOC, Semi-Gloss (MPI Gloss Level 5)
MPI 149	(2016) Primer Sealer, Interior, Institutional Low Odor/VOC
MPI 151	(2016) Light Industrial Coating, Interior, Water Based (MPI Gloss Level 3)
MPI 153	(2016) Light Industrial Coating, Interior, Water Based, Semi-Gloss (MPI Gloss Level 5)
MPI 154	(2016) Light Industrial Coating, Interior, Water Based, Gloss (MPI Gloss Level 6)
MPI 163	(2016) Light Industrial Coating, Exterior, Water Based, Semi-Gloss (MPI Gloss Level 5)
MPI 164	(2016) Light Industrial Coating, Exterior, Water Based, Gloss (MPI Gloss Level 6)
MPI 214	(2016) Latex, Exterior (MPI Gloss Level 2)
MPI ASM	(2019) Architectural Painting Specification Manual
MPI GPS-1-14	(2014) Green Performance Standard GPS-1-14
MPI GPS-2-14	(2014) Green Performance Standard GPS-2-14
MPI MRM	(2015) Maintenance Repainting Manual

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC 7/NACE No.4	(2007) Brush-Off Blast Cleaning
SSPC Glossary	(2011) SSPC Protective Coatings Glossary

SSPC Guide 6	(2021) Guide for Containing Surface Preparation Debris Generated During Paint Removal Operations
SSPC Guide 7	(2015) Guide to the Disposal of Lead-Contaminated Surface Preparation Debris
SSPC PA 1	(2016) Shop, Field, and Maintenance Coating of Metals
SSPC QP 1	(2019) Standard Procedure for Evaluating the Qualifications of Industrial/Marine Painting Contractors (Field Application to Complex Industrial Steel Structures and Other Metal Components)
SSPC SP 1	(2015) Solvent Cleaning
SSPC SP 2	(2018) Hand Tool Cleaning
SSPC SP 3	(2018) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning
SSPC SP 10/NACE No. 2	(2015) Near-White Blast Cleaning
SSPC VIS 1	(2002; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Dry Abrasive Blast Cleaning
SSPC VIS 3	(2004) Guide and Reference Photographs for Steel Surfaces Prepared by Hand and Power Tool Cleaning
SSPC VIS 4/NACE VIS 7	(1998; E 2000; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Waterjetting
SSPC-SP WJ-1/NACE WJ-1	(2012) Clean to Bare Substrate, Waterjet Cleaning of Metals
SSPC-SP WJ-2/NACE WJ-2	(2012) Very Thorough Cleaning, Waterjet Cleaning of Metals
SSPC-SP WJ-3/NACE WJ-3	(2012) Thorough Cleaning, Waterjet Cleaning of Metals
SSPC-SP WJ-4/NACE WJ-4	(2012) Light Cleaning, Waterjet Cleaning of Metals

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Health Requirements Manual

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-PRF-680	(2010; Rev C; Notice 1 2015) Degreasing Solvent
MIL-STD-101	(2014; Rev C) Color Code for Pipelines and for Compressed Gas Cylinders

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA Method 24	(2000) Determination of Volatile Matter Content, Water Content, Density, Volume Solids, and Weight Solids of Surface Coatings

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FED-STD-313	(2018) Material Safety Data, Transportation Data and Disposal Data for Hazardous Materials Furnished to Government Activities

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1000	Air Contaminants
29 CFR 1910.1001	Asbestos
29 CFR 1910.1025	Lead
29 CFR 1926.62	Lead

1.3 DEFINITIONS

1.3.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third-party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.3.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing must be accomplished by an MPI testing lab.

1.3.3 Coating

SSPC Glossary; (1) A liquid, liquefiable, or mastic composition that is converted to a solid protective, decorative, or functional adherent film after application as a thin layer; (2) Generic term for paint, lacquer, enamel.

1.3.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.3.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five levels are generically defined under the Assessment sections in the MPI MRM, MPI Maintenance Repainting Manual.

1.3.6 EXT

MPI short term designation for an exterior coating system.

1.3.7 INT

MPI short term designation for an interior coating system.

1.3.8 Loose Paint

Paint or coating that can be removed with a dull putty knife.

1.3.9 mil / mils

The English measurement for 0.001 in or one one-thousandth of an inch.

1.3.10 MPI Gloss Levels

MPI system of defining gloss. Seven gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units at 60 degree angle	Units at 80 degree angle
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.3.11 MPI System Number

The MPI coating system number in each MPI Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN).

1.3.12 Paint

SSPC Glossary; (1) Any pigmented liquid, liquefiable, or mastic composition designed for application to a substrate in a thin layer that is converted to an opaque solid film after application. Used for protection, decoration, identification, or to serve some other functional purposes; (2) Application of a coating material.

1.3.13 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.3.14 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Samples of specified materials may be taken and tested for compliance with specification requirements.

SD-02 Shop Drawings

Piping Identification

SD-03 Product Data

Coating; G

Product Data Sheets

Sealant

SD-04 Samples

Color; G

SD-07 Certificates

Qualification Testing laboratory for coatings; G

SD-08 Manufacturer's Instructions

Application Instructions

Mixing

Manufacturer's Safety Data Sheets

SD-10 Operation and Maintenance Data

Coatings, Data Package 1; G

1.5 QUALITY ASSURANCE

1.5.1 Regulatory Requirements

1.5.1.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.5.1.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.5.1.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.5.1.4 Asbestos Content

Provide asbestos-free materials.

1.5.1.5 Mercury Content

Provide materials free of mercury or mercury compounds.

1.5.1.6 Silica

Provide abrasive blast media containing no free crystalline silica.

1.5.1.7 Human Carcinogens

Provide materials that do not contain ACGIH 0100 confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.5.1.8 Carbon Based Fibers / Tubes

Materials must not contain carbon based fibers such as carbon nanotubes or carbon nanofibers. Intelligence Bulletin 65 ranks toxicity of carbon nanotubes on a par with asbestos.

1.5.2 Coating Contractor's Qualification

Submit the name, address, telephone number, and e-mail address of the Contractor that will be performing all surface preparation and coating application. Submit evidence that key personnel have successfully performed surface preparation and application of coatings on a minimum of three similar projects within the past three years. List information by individual and include the following:

a. Name of individual and proposed position for this work.

b. Information about each previous assignment including:

Position or responsibility

Employer (if other than the Contractor)

Name of facility owner

Mailing address and telephone number of facility owner

Name of individual in facility owner's organization who can be contacted as a reference

Location, size and description of structure

Dates work was carried out

Description of work carried out on structure

1.5.3 SSPC QP 1 Certification

Contractors that perform surface preparation or coating application on steel substrates must be certified by the Society for Protective Coatings (formerly Steel Structures Painting Council) (SSPC) to the requirements of SSPC QP 1 prior to Contract award, and must remain certified while accomplishing any surface preparation or coating application. If a Contractor's certification expires, the firm will not be allowed to perform any work until the certification is reissued. Requests for extension of time for any delay to the completion of the project due to an inactive certification will not be considered. Notify the Contracting Officer of any change in Contractor certification status. Notify the Contracting Officer of all scheduled and unannounced on-site audits from SSPC and furnish a copy of all audit reports.

1.5.4 Approved Products List

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of Contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire Contract and each coating system is to be from a single manufacturer. Provide all coats on a particular substrate from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

1.5.5 Paints and Coatings Indoor Air Quality Certifications

Provide paint and coating products certified to meet indoor air quality requirements by MPI GPS-1-14, MPI GPS-2-14 or provide certification by other third-party programs. Provide current product certification documentation from certification body.

Provide certification of Indoor Air Quality for Paints and Primers. Submit required indoor air quality certifications in one submittal package.

1.5.6 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been

delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph SAMPLING PROCEDURE. Test each chosen product as specified in the paragraph TESTING PROCEDURE. Remove products from the job site which do not conform, and replace with new products that conform to the referenced specification. Test replacement products that failed initial testing as specified in the paragraph TESTING PROCEDURE at no cost to the Government.

1.5.6.1 Sampling Procedure

Select paint at random from the products that have been delivered to the job site for sample testing. The Contractor must provide one quart samples of the selected paint materials. Take samples in the presence of the Contracting Officer, and label, and identify each sample. Provide labels in accordance with the paragraph PACKAGING, LABELING, AND STORAGE.

1.5.6.2 Testing Procedure

Provide Batch Quality Conformance Testing for specified products, as defined by and performed by MPI. As an alternative to Batch Quality Conformance Testing, the Contractor may provide Qualification Testing for specified products above to the appropriate MPI product specification, using the third-party laboratory approved under the paragraph QUALIFICATION TESTING laboratory for coatings. Include the backup data and summary of the test results within the qualification testing lab report. Provide a summary listing of all the reference specification requirements and the result of each test. Clearly indicate in the summary whether the tested paint meets each test requirement. Note that Qualification Testing may take 4 to 6 weeks to perform, due to the extent of testing required.

Submit name, address, telephone number, FAX number, and e-mail address of the independent third party laboratory selected to perform testing of coating samples for compliance with specification requirements. Submit documentation that laboratory is regularly engaged in testing of paint samples for conformance with specifications, and that employees performing testing are qualified. If MPI is chosen to perform the Batch Quality Conformance testing, the above submittal information is not required, only a letter is required from the Contractor stating that MPI will perform the testing.

1.5.7 Textured Wall Coating System

Three complete samples of each indicated type, pattern, and color of textured wall coating system applied to a panel of the same material as that on which the coating system will be applied in the work. Provide samples of wall coating systems minimum 5 by 7 inches and of sufficient size to show pattern repeat and texture.

1.5.8 Sample Textured Wall Coating System Mock-Up

After coating samples are approved and prior to starting installation, provide a minimum 8 foot by 8 foot mock-up for each substrate and for each color and type of textured wall coating using the actual substrate materials. Use the approved mock-up samples as a standard of workmanship for installation within the facility. Submit at least 48 hour advance written notice to the Contracting Officer's Representative prior to mock-up installation.

1.6 PACKAGING, LABELING, AND STORAGE

Provide paints in sealed containers that legibly show the Contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Furnish pigmented paints in containers not larger than 5 gallons. Store paints and thinners in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F.

1.7 SAFETY AND HEALTH

Comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS and in Appendix A of EM 385-1-1. Include in the Activity Hazard Analysis the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.7.1 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

a. The applicable manufacturer's Safety Data Sheets (SDS) or local regulation.

b. 29 CFR 1910.1000.

c. ACGIH 0100, threshold limit values.

d. The appropriate OSHA standard in 29 CFR 1910.1025 and 29 CFR 1926.62 for surface preparation on painted surfaces containing lead. Removal and disposal of coatings which contain lead is specified. Additional guidance is given in SSPC Guide 6 and SSPC Guide 7. Refer to drawings for list of hazardous materials located on this project. Coordinate paint preparation activities with this specification section.

e. The appropriate OSHA standards in 29 CFR 1910.1001 for surface preparation of painted surfaces containing asbestos. Removal and disposal of coatings which contain asbestos materials is specified. Refer to drawings for list of hazardous materials located on this project. Coordinate paint preparation activities with this specification section.

Submit manufacturer's Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in FED-STD-313.

1.8 ENVIRONMENTAL REQUIREMENTS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation.

1.8.1 Coatings

Do not apply coating when air or substrate conditions are:

a. Less than 5 degrees F above dew point;

b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Do not, under any circumstances, violate the manufacturer's application recommendations.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit Product Data Sheets for specified coatings and solvents. Provide preprinted cleaning and maintenance instructions for all coating systems. Submit Manufacturer's Instructions on Mixing: Detailed mixing instructions, minimum and maximum application temperature and humidity, pot life, and curing and drying times between coats.

2.2 COLOR SELECTION OF FINISH COATS

Provide colors of finish coats as indicated or specified. Allow Contracting Officer to select colors not indicated or specified. Manufacturers' names and color identification are used for the purpose of color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors are approximately the colors indicated and the product conforms to specified requirements.

Provide color, texture, and pattern of wall coating systems to match existing colors . Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated. Submit color stencil codes. Tint each coat progressively darker to enable confirmation of the number of coats.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, reinstall removed items by workmen skilled in the trades. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

3.2 REPUTTYING AND REGLAZING

Remove cracked, loose, and defective putty or glazing compound on glazed sash and provide new putty or glazing compound. Where defective putty or glazing compound constitutes 30 percent or more of the putty at any one light, remove the glass and putty or glazing compound and reset the glass. Remove putty or glazing compound without damaging sash or glass. Clean rabbets to bare wood or metal and prime prior to reglazing. Provide linseed oil putty for wood sash. Patch surfaces to provide smooth transition between existing and new surfaces. Finish putty or glazing compound to a neat and true bead. Allow glazing compound time to cure, in accordance with manufacturer's recommendation, prior to coating

application. Allow putty to set one week prior to coating application.

3.3 RESEALING OF EXISTING EXTERIOR JOINTS

3.3.1 Surface Condition

Begin with surfaces that are clean, dry to the touch, and free from frost and moisture; remove grease, oil, wax, lacquer, paint, defective backstop, or other foreign matter that would prevent or impair adhesion. Where adequate grooves have not been provided, clean out to a depth of 1/2 inch and grind to a minimum width of 1/4 inch without damage to adjoining work. Grinding is not required on metal surfaces.

3.3.2 Backstops

In joints more than 1/2 inch deep, install glass fiber roving or neoprene, butyl, polyurethane, or polyethylene foams free of oil or other staining elements as recommended by sealant manufacturer. Provide backstop material compatible with sealant. Do not use oakum and other types of absorptive materials as backstops.

3.3.3 Primer and Bond Breaker

Install the type recommended by the sealant manufacturer.

3.3.4 Ambient Temperature

Between 38 degrees F and 95 degrees F when applying sealant.

3.3.5 Exterior Sealant

For joints in vertical surfaces, provide ASTM C920, Type S or M, Grade NS, Class 25, Use NT. For joints in horizontal surfaces, provide ASTM C920, Type S or M, Grade P, Class 25, Use T. Color(s) will be selected by the Contracting Officer. Apply the sealant in accordance with the manufacturer's printed instructions. Force sealant into joints with sufficient pressure to fill the joints solidly. Apply sealant uniformly smooth and free of wrinkles.

3.3.6 Cleaning

Immediately remove fresh sealant from adjacent areas using a solvent recommended by the sealant manufacturer. Upon completion of sealant application, remove remaining smears and stains and leave the work in a clean condition. Allow sealant time to cure, in accordance with manufacturer's recommendations, prior to coating.

3.4 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, disintegrated coatings, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Remove oil and grease prior to mechanical cleaning. Schedule cleaning so that dust and other contaminants will not fall on wet, newly painted surfaces. Spot-prime exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas. Refer to MPI ASM and MPI MRM for additional more specific

substrate preparation requirements.

3.4.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

a. Test existing finishes for lead before sanding, scraping, or removing. If lead is present, refer to paragraph Toxic Materials.

b. Wipe previously painted surfaces to receive solvent-based coatings, except stucco and similarly rough surfaces clean with a clean, dry cloth saturated with mineral spirits, ASTM D235 or as specified in MPI MRM. Wipe the surfaces dry with a clean, dry, lint free cloth. Wipe immediately preceding the application of the first coat of any coating, unless specified otherwise.

c. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.

d. The requirements specified are minimum. Comply also with the application instructions of the paint manufacturer and specific surface preparation requirements as outlined in MPI MRM Exterior Surface Preparation and Interior Surface Preparation.

e. Thoroughly clean previously painted surfaces specified to be repainted damaged during construction of all grease, dirt, dust or other foreign matter.

f. Remove blistering, cracking, flaking and peeling or otherwise deteriorated coatings.

g. Remove chalk so that when tested in accordance with ASTM D4214, the chalk resistance rating is no less than 8.

h. Roughen slick surfaces. Repair damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls with suitable material to match adjacent undamaged areas.

i. Feather and sand smooth edges of chipped paint.

j. Clean rusty metal surfaces in accordance with SSPC requirements. Use solvent, mechanical, or chemical cleaning methods to provide surfaces suitable for painting.

k. Provide new, proposed coatings that are compatible with existing coatings.

3.4.2 Existing Coated Surfaces with Minor Defects

Sand, spackle, and treat minor defects to render them smooth. Minor defects are defined as scratches, nicks, cracks, gouges, spalls, alligatoring, chalking, and irregularities due to partial peeling of previous coatings. Remove chalking by sanding so that when tested in accordance with ASTM D4214, the chalk rating is not less than 8.

3.4.3 Removal of Existing Coatings

Remove existing coatings from the following surfaces:

a. Surfaces containing large areas of minor defects;

b. Surfaces containing more than 20 percent peeling area; and

c. Surfaces designated by the Contracting Officer, such as surfaces where rust shows through existing coatings.

3.4.4 Substrate Repair

a. Repair substrate surface damaged during coating removal;

b. Sand edges of adjacent soundly-adhered existing coatings so they are tapered as smooth as practical to areas involved with coating removal; and

c. Clean and prime the substrate as specified.

3.5 PREPARATION OF METAL SURFACES

3.5.1 Existing and New Ferrous Surfaces

a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean or detergent wash in accordance with SSPC SP 1 to remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 2, . Brush-off blast remaining surface in accordance with SSPC 7/NACE No.4; Protect shop-coated ferrous surfaces from corrosion by treating and touching up corroded areas immediately upon detection.

b. Surfaces With More Than 20 Percent Rust, Mill Scale, and Other Foreign Substances: Clean entire surface in accordance with SSPC SP 6/NACE No.3 / SSPC-SP WJ-3/NACE WJ-3.

3.5.2 Final Ferrous Surface Condition:

3.5.2.1 Tool Cleaned Surfaces

Comply with SSPC SP 2 and SSPC SP 3. Use as a visual reference, photographs in SSPC VIS 3 for the appearance of cleaned surfaces.

3.5.2.2 Abrasive Blast Cleaned Surfaces

Comply with SSPC 7/NACE No.4, SSPC SP 6/NACE No.3, and SSPC SP 10/NACE No. 2. Use as a visual reference, photographs in SSPC VIS 1 for the appearance of cleaned surfaces.

3.5.2.3 Waterjet Cleaned Surfaces

Comply with SSPC-SP WJ-1/NACE WJ-1, SSPC-SP WJ-2/NACE WJ-2, SSPC-SP WJ-3/NACE WJ-3 or SSPC-SP WJ-4/NACE WJ-4. Use as a visual reference, photographs in SSPC VIS 4/NACE VIS 7 for the appearance of cleaned surfaces.

3.5.3 Galvanized Surfaces

a. New or Existing Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, in accordance with SSPC SP 1. Completely remove coating by brush-off abrasive blast if the galvanized metal has been passivated or stabilized. Do not "passivate" or "stabilize" new galvanized steel to be coated. If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D6386, Appendix X2, and remove by one of the methods described therein.

b. Galvanized with Slight Coating Deterioration or with Little or No Rusting: Water jetting to SSPC-SP WJ-3/NACE WJ-3 to remove loose coating from surfaces with less than 20 percent coating deterioration and no blistering, peeling, or cracking. Use inhibitor as recommended by the coating manufacturer to prevent rusting.

c. Galvanized With Severe Deteriorated Coating or Severe Rusting: Water jet to SSPC-SP WJ-3/NACE WJ-3 degree of cleanliness.

3.5.4 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

3.5.5 Terne-Coated Metal Surfaces

Solvent clean surfaces with mineral spirits, ASTM D235. Wipe dry with clean, dry cloths.

3.5.6 Existing Surfaces with a Bituminous or Mastic-Type Coating

Remove chalk, mildew, and other loose material by washing with a solution of 1/2 cup trisodium phosphate, 1/4 cup household detergent, one quart 5 percent sodium hypochlorite solution and 3 quarts of warm water.

3.6 PREPARATION OF CONCRETE AND CEMENTITIOUS SURFACE

3.6.1 Concrete and Masonry

a. Curing: Allow concrete, stucco and masonry surfaces to cure at least 30 days before painting, and concrete slab on grade to cure at least 90 days before painting.

b. Surface Cleaning: Remove the following deleterious substances.

(1) Dirt, Chalking, Grease, and Oil: Wash new surfaces with a solution composed of 1/2 cup trisodium phosphate, 1/4 cup household detergent, and 4 quarts of warm water. Then rinse thoroughly with fresh water. Wash existing coated surfaces with a suitable detergent and rinse thoroughly. For large areas, water blasting may be used.

(2) Fungus and Mold: Wash new, existing coated,and existing uncoated surfaces with a solution composed of 1/2 cup trisodium phosphate,

1/4 cup household detergent, one quart 5 percent sodium hypochlorite solution and 3 quarts of warm water. Rinse thoroughly with fresh water.

(3) Paint and Loose Particles: Remove by wire brushing.

(4) Efflorescence: Remove by scraping or wire brushing followed by washing with a 5 to 10 percent by weight aqueous solution of hydrochloric (muriatic) acid. Do not allow acid to remain on the surface for more than five minutes before rinsing with fresh water. Do not acid clean more than 4 square feet of surface, per workman, at one time.

(5) Removal of Existing Coatings: For surfaces to receive textured coating MPI 42, remove existing coatings including soundly adhered coatings if recommended by textured coating manufacturer.

c. Cosmetic Repair of Minor Defects: Repair or fill mortar joints and minor defects, including but not limited to spalls, in accordance with manufacturer's recommendations and prior to coating application.

d. Allowable Moisture Content: Latex coatings may be applied to damp surfaces, but not to surfaces with droplets of water. Do not apply epoxies to damp vertical surfaces as determined by ASTM D4263 or horizontal surfaces that exceed 3 lbs of moisture per 1000 square feet in 24 hours as determined by ASTM F1869. In all cases follow manufacturer's recommendations. Allow surfaces to cure a minimum of 30 days before painting.

3.6.2 Gypsum Board, Plaster, and Stucco

3.6.2.1 Surface Cleaning

Verify that plaster and stucco surfaces are free from loose matter and that gypsum board is dry. Remove loose dirt and dust by brushing with a soft brush, rubbing with a dry cloth, or vacuum-cleaning prior to application of the first coat material. A damp cloth or sponge may be used if paint is water-based.

3.6.2.2 Repair of Minor Defects

Prior to painting, repair joints, cracks, holes, surface irregularities, and other minor defects with patching plaster or spackling compound and sand smooth.

3.6.2.3 Allowable Moisture Content

Latex coatings may be applied to damp surfaces, but not surfaces with droplets of water. Do not apply epoxies to damp surfaces as determined by ASTM D4263. Verify that new plaster to be coated has a maximum moisture content of 8 percent, when measured in accordance with ASTM D4444, Method A, unless otherwise authorized. In addition to moisture content requirements, allow new plaster to age a minimum of 30 days before preparation for painting.

3.6.3 Existing Asbestos Cement Surfaces

Remove oily stains by solvent cleaning with mineral spirits in accordance with MIL-PRF-680 or ASTM D235. Remove loose dirt, dust, and other

deleterious substances by brushing with a soft brush or rubbing with a dry cloth prior to application of the first coat material. Do not wire brush or clean using other abrasive methods. Verify surfaces are dry and clean prior to application of the coating.

3.7 APPLICATION

3.7.1 Coating Application

a. Comply with applicable federal, state and local laws enacted to ensure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

b. At the time of application, paint must show no signs of deterioration. Maintain uniform suspension of pigments during application.

c. Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated spray nozzles for water hoses. Use rollers for applying paints and enamels of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.

d. Only apply paints, except water-thinned types, to surfaces that are completely free of moisture as determined by sight or touch.

e. Thoroughly work coating materials into joints, crevices, and open spaces. Pay special attention to ensure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

f. Apply each coat of paint so that dry film is of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Completely hide all blemishes.

g. Touch up damaged coatings before applying subsequent coats. Broom clean and clear dust from interior areas before and during the application of coating material.

h. Apply paint to new fire extinguishing sprinkler systems including valves, piping, conduit, hangers, supports, miscellaneous metal work, and accessories. Shield sprinkler heads with protective coverings while painting is in progress. Remove sprinkler heads which have been painted and replace with new sprinkler heads. Unfinished spaces include attic spaces, spaces above suspended ceilings, crawl spaces, pipe chases, mechanical equipment room, and space where walls or ceiling are not painted or not constructed of a prefinished material. Upon completion of painting, remove protective covering from sprinkler heads.

i. Piping in Unfinished Areas: Provide primed surfaces with one coat of red alkyd gloss enamel (MPI 9) applied to a minimum dry film thickness of 1.0 mil in attic spaces, spaces above suspended ceilings, crawl spaces, pipe chases, mechanical equipment room, and spaces where walls or ceiling are not painted or not constructed of a prefinished material.

j. Piping in Finished Areas: Provide primed surfaces with two coats of paint to match adjacent surfaces, except provide valves and operating accessories with one coat of red alkyd gloss enamel (MPI 9) applied to a minimum dry film thickness of 1.0 mil or two component gloss polyurethane (MPI 72) in exterior applications.

k. Provide labeling on the surfaces of all feed and cross mains to show the pipe function such as "Sprinkler System", "Fire Department Connection", "Standpipe". For pipe sizes 4-inch and larger provide white painted stenciled letters and arrows, a minimum of 2 in in height and visible from at least two sides when viewed from the floor. For pipe sizes less than 4-inch, provide white painted stenciled letters and arrows, a minimum of 0.75 in in height and visible from the floor.

l. All fire suppression system valves must be marked with permanent tags indicating normally open or normally closed.

m. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.

n. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Cover each preceding coat or surface completely by ensuring visually perceptible difference in shades of successive coats.

o. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.

p. Thermosetting Paints: Apply topcoats over thermosetting paints (epoxies and urethanes) within the overcoat window recommended by the manufacturer.

q. Floors: For nonslip surfacing on level floors, as the intermediate coat is applied, cover wet surface completely with almandite garnet, Grit No. 36, with maximum passing U.S. Standard Sieve No. 40 less than 0.5 percent. When the coating is dry, use a soft bristle broom to sweep up excess grit, which may be reused, and vacuum up remaining residue before application of the topcoat.

3.7.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. Verify that the written permission includes quantities and types of thinners to use.

When thinning is allowed, thin paints immediately prior to application with not more than one pint of suitable thinner per gallon. The use of thinner does not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning cannot cause the paint

to exceed limits on volatile organic compounds. Do not mix paints of different manufacturers.

3.7.3 Two-Component Systems

Mix two-component systems in accordance with manufacturer's instructions. Follow recommendation by the manufacturer for any thinning of the first coat to ensure proper penetration and sealing for each type of substrate.

3.7.4 Coating Systems

a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table for Exterior Applications	
MPI Division	Substrate Application
MPI Division 3	Exterior Concrete Paint Table
MPI Division 4	Exterior Concrete Masonry Units Paint Table
MPI Division 5	Exterior Metal, Ferrous and Non-Ferrous Paint Table
MPI Division 6	Exterior Wood; Dressed Lumber, Paneling, Decking, Shingles Paint Table
MPI Division 9	Exterior Stucco Paint Table
MPI Division 10	Exterior Cloth Coverings and Bituminous Coated Surfaces Paint Table

Table for Interior Applications	
MPI Division	Substrate Application
MPI Division 3	Interior Concrete Paint Table
MPI Division 4	Interior Concrete Masonry Units Paint Table
MPI Division 5	Interior Metal, Ferrous and Non-Ferrous Paint Table
MPI Division 6	Interior Wood Paint Table
MPI Division 9	Interior Plaster, Gypsum Board, Textured Surfaces Paint Table

b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness, where specified, refers to the minimum dry film thickness.

c. Coatings for Surfaces Not Specified Otherwise: Coat unspecified surfaces the same as surfaces having similar conditions of exposure.

d. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:

(1) One coat of primer.

(2) One coat of undercoat or intermediate coat.

(3) One topcoat to match adjacent surfaces.

e. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.8 COATING SYSTEMS FOR METAL

Apply coatings of Tables in MPI Division 5 for Exterior and Interior.

a. Apply specified ferrous metal primer to steel surfaces on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.

b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.

c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.

d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.

e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat. Overcoat these items with the specified ferrous-metal primer prior to application of finish coats.

f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.

3.9 COATING SYSTEMS FOR CONCRETE AND CEMENTITIOUS SUBSTRATES

Apply coatings of Tables in MPI Division 3, 4 and 9 for Exterior and Interior.

3.10 PIPING IDENTIFICATION

Piping Identification, Including Surfaces In Concealed Spaces: Provide in accordance with MIL-STD-101. Place stenciling in clearly visible locations. On piping not covered by MIL-STD-101, stencil approved names or code letters, in letters a minimum of 1/2 inch high for piping and a minimum of 2 inches high elsewhere. Stencil arrow-shaped markings on piping to indicate direction of flow using black stencil paint.

3.11 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate mobility of moving components, including swinging and sliding doors, cabinets, and windows with operable sash, for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

3.12 WASTE MANAGEMENT

As specified in the Waste Management Plan and as follows. Do not use kerosene or any such organic solvents to clean up water based paints. Properly dispose of paints or solvents in designated containers. Close and seal partially used containers of paint to maintain quality as necessary for reuse. Store in protected, well-ventilated, fire-safe area at moderate temperature. Place materials defined as hazardous or toxic waste in designated containers. Set aside extra paint for future color matches or reuse by the Government.

3.13 PAINT TABLES

All DFT's are minimum values. Use only materials with a MPI GPS-1-14 green check mark having a minimum MPI "Environmentally Friendly" E1 rating based on VOC (EPA Method 24) content levels. Acceptable products are listed in the MPI Green Approved Products List, available at http://www.specifygreen.com/APL/ProductIdxByMPInum.asp.

3.13.1 Exterior Paint Tables

3.13.1.1 MPI Division 3: Exterior Concrete Paint Table

A. Concrete; Vertical Surfaces

(1) New and uncoated existing and Existing, previously painted concrete; vertical surfaces excluding tops of slabs

Latex					
New and uncoated existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI EXT 3.1A-G1 (Flat)	MPI REX 3.1A-G1 (Flat)	MPI 3	MPI 10	MPI 10	3.5 mils
MPI EXT 3.1A-G2 (Velvet)	MPI REX 3.1A-G2 (Velvet)	MPI 3	MPI 214	MPI 214	3.5 mils
MPI EXT 3.1A-G5 (Semigloss)	MPI REX 3.1A-G5 (Semigloss)	MPI 3	MPI 11	MPI 11	3.5 mils
MPI EXT 3.1A-G6 (Gloss)	MPI REX 3.1A-G6 (Gloss)	MPI 3	MPI 119	MPI 119	3.5 mils

Primer as recommended by manufacturer. Topcoat: Coating to match adjacent surfaces.

(2) New and uncoated existing and Existing, previously painted concrete, textured system; vertical surfaces, but excluding tops of slabs

Latex Aggregate					
New and uncoated existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI EXT 3.1B-G2 (Flat)	MPI REX 3.1A-G1 (Flat)	MPI 42	MPI 10	MPI 10	N/A
MPI EXT 3.1B-G5 (Semigloss)	MPI REX 3.1A-G5 (Semigloss)	MPI 42	MPI 11	MPI 11	N/A
MPI EXT 3.1B-G6 (Gloss)	MPI REX 3.1A-G6 (Gloss)	MPI 42	MPI 119	MPI 119	N/A
Texture - Medium. Surface preparation and number of coats in accordance with manufacturer's instructions. Topcoat: Coating to match adjacent surfaces.					

(3) New and uncoated existing and Existing, previously painted concrete, elastomeric system; vertical surfaces, but excluding tops of slabs

Elastomeric Coating					
New and uncoated existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI EXT 3.1F-G1 (Flat)	MPI REX 3.1F-G1 (Flat)	Per Manufacturer	MPI 113	MPI 113	16 mils
MPI EXT 3.1F-G2/3 (Velvet)	MPI REX 3.1F-G2/3 (Velvet)	Per Manufacturer	MPI 38	MPI 38	16 mils
Primer as recommended by manufacturer. Topcoat: Coating to match adjacent surfaces. Surface preparation and number of coats in accordance with manufacturer's instructions. NOTE: Apply sufficient coats to achieve a minimum dry film thickness of 16 mils.					

3.13.1.2 MPI Division 4: Exterior Concrete Masonry Units Paint Table

A. Existing concrete masonry on uncoated surface

Latex

New	Existing	Block Filler	Primer	Intermediate	Topcoat	System DFT
MPI EXT 4.2A-G1 (Flat)	MPI REX 4.2A-G1 (Flat)	MPI 4	N/A	MPI 10	MPI 10	11 mils
MPI EXT 4.2A-G5 (Semigloss)	MPI REX 4.2A-G5 (Semigloss)	MPI 4	N/A	MPI 11	MPI 11	11 mils
MPI EXT 4.2A-G6 (Gloss)	MPI REX 4.2A-G6 (Gloss)	MPI 4	N/A	MPI 119	MPI 119	11 mils
Topcoat: Coating to match adjacent surfaces.						

B. Existing concrete masonry, elastomeric system; on uncoated surfaces

Elastomeric Coating					
New and uncoated existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI EXT 3.1F-G1 (Flat)	MPI REX 3.1F-G1 (Flat)	Per Manufacturer	MPI 113	MPI 113	16 mils
Primer as recommended by manufacturer. Topcoat: Coating to match adjacent surfaces. Surface preparation and number of coats in accordance with manufacturer's instructions. NOTE: Apply sufficient coats of MPI 113 to achieve a minimum dry film thickness of 16 mils.					

3.13.1.3 MPI Division 5: Exterior Metal, Ferrous and Non-Ferrous Paint Table

A. Steel / Ferrous Surfaces

(1) New Steel that has been hand or power tool cleaned to SSPC SP 2 or SSPC SP 3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1Q-G5 (Semigloss	MPI REX 5.1D-G5 (Semigloss)	MPI 23	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1Q-G6 (Gloss)	MPI REX 5.1D-G6 (Gloss)	MPI 23	MPI 9	MPI 9	5.25 mils

Topcoat: Coating to match adjacent surfaces.

(2) New Steel that has been blast-cleaned to SSPC SP 6/NACE No.3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1D-G5 (Semigloss)	MPI REX 5.1D-G5 (Semigloss)	MPI 79	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1D-G6 (Gloss)	MPI REX 5.1D-G6 (Gloss)	MPI 79	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.					

(3) Existing steel that has been spot-blasted to SSPC SP 6/NACE No.3

(a) Surface previously coated with alkyd or latex

Waterborne Light Industrial Coating				
Existing, previously coated with alkyd or latex	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1C-G5 (Semigloss)	MPI 79	MPI 163	MPI 163	5 mils
MPI REX 5.1C-G6 (Gloss)	MPI 79	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

(b) Surfaces previously coated with epoxy

Waterborne Light Industrial Coating				
Existing, previously coated with epoxy	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1L-G5 (Semigloss)	MPI 101	MPI 163	MPI 163	5 mils
MPI REX 5.1L-G6 (Gloss)	MPI 101	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
Existing, previously coated with epoxy	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1H-G6 (Gloss)	MPI 101	MPI 108	MPI 72	8.5 mils
Topcoat: Coating to match adjacent surfaces.				

(4) New and existing steel blast cleaned to SSPC SP 10/NACE No. 2

Waterborne Light Industrial					
New	Existing	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1R-G5 (Semigloss)	MPI EXT 5.1R-G5 (Semigloss)	MPI 101	MPI 108	MPI 163	8.5 mils
MPI EXT 5.1R-G6 (Gloss)	MPI EXT 5.1R-G6 (Gloss)	MPI 101	MPI 108	MPI 164	8.5 mils
Topcoat: Coating to match adjacent surfaces.					

C. Exterior Surfaces, Other Metals (Non-Ferrous)

(1) Aluminum, aluminum alloy and other miscellaneous non-ferrous metal items not otherwise specified except hot metal surfaces, roof surfaces, and new prefinished equipment

Alkyd				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.4F-G1 (Flat	MPI 95	MPI 8	MPI 8	5 mils
MPI EXT 5.4F-G5 (Semigloss)	MPI 95	MPI 94	MPI 94	5 mils
MPI EXT 5.4F-G6 (Gloss)	MPI 95	MPI 9	MPI 9	5 mils
Topcoat: Coating to match adjacent surfaces.				

(2) Existing roof surfaces previously coated

Aluminum Pigmented Asphalt Roof Coating				
Existing roof surfaces previously coated	N/A	Intermediate	Topcoat	System DFT
Non-MPI System	ASTM D2824/D2824	N/A	N/A	8 mils
Sufficient coats to provide not less than 8 mils of finished coating system (without asbestos fibers).				

Aluminum Paint				
Existing roof surfaces previously coated	Primer	Intermediate	Topcoat	System DFT
MPI REX 10.2D	MPI 107	MPI 1	MPI 1	3.5 mils
Topcoat: Coating to match adjacent surfaces.				

(3) Surfaces adjacent to painted surfaces; Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports, and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

Alkyd				
New	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1D-G1 (Flat)	MPI 79	MPI 8	MPI 8	5.25 mils
MPI EXT 5.1D-G5 (Semigloss)	MPI 79	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1D-G6 (Gloss)	MPI 79	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

3.13.2 Interior Paint Tables

3.13.2.1 MPI Division 3: Interior Concrete Paint Table

A. New and uncoated existing and Existing, previously painted Concrete, vertical surfaces, not specified otherwise

Latex					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1A-G2 (Flat)	MPI RIN 3.1A-G2 (Flat)	MPI 3	MPI 44	MPI 44	4 mils
MPI INT 3.1A-G3 (Eggshell)	MPI RIN 3.1A-G3 (Eggshell)	MPI 3	MPI 52	MPI 52	4 mils
MPI INT 3.1A-G5	MPI RIN 3.1A-G5 (Semigloss)	MPI 3	MPI 54	MPI 54	4 mils
Topcoat: Coating to match adjacent surfaces.					

High Performance Architectural Latex					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1C-G2 (Flat)	MPI RIN 3.1J-G2 (Flat)	MPI 3	MPI 138	MPI 138	4 mils
MPI INT 3.1C-G3 (Eggshell)	MPI RIN 3.1J-G3 (Eggshell)	MPI 3	MPI 139	MPI 139	4 mils
MPI INT 3.1C-G4 (satin)	MPI RIN 3.1J-G4	MPI 3	MPI 140	MPI 140	4 mils
MPI INT 3.1C-G5 (Semigloss)	MPI RIN 3.1J-G5 (Semigloss)	MPI 3	MPI 141	MPI 141	4 mils
Topcoat: Coating to match adjacent surfaces.					

Institutional Low Odor / Low VOC Latex					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1M-G2 (Flat)	MPI RIN 3.1L-G2 (Flat)	MPI 149	MPI 144	MPI 144	4 mils
MPI INT 3.1M-G3 (Eggshell)	MPI RIN 3.1L-G3 (Eggshell)	MPI 149	MPI 145	MPI 145	4 mils

MPI INT 3.1M-G4 (satin)	MPI RIN 3.1L-G4	MPI 149	MPI 146	MPI 146	4 mils
MPI INT 3.1M-G5 (Semigloss)	MPI RIN 3.1L-G5 (Semigloss)	MPI 149	MPI 147	MPI 147	4 mils
Topcoat: Coating to match adjacent surfaces.					

B. Concrete Ceilings, Uncoated

Latex Aggregate				
New, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1N-G1 (Flat)	N/A	N/A	MPI 42	Per Manufacturer
Texture - Medium. Surface preparation, number of coats, and primer in accordance with manufacturer's instructions. Topcoat: Coating to match adjacent surfaces.				

C. New and uncoated existing and Existing, previously painted Concrete in toilets,restrooms, and other high-humidity areas not otherwise specified except floors

Waterborne Light Industrial Coating					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1L-G3(Eggshell)	MPI RIN 3.1C-G3(Eggshell)	MPI 3	MPI 151	MPI 151	4.8 mils
MPI INT 3.1L-G5(Semigloss)	MPI RIN 3.1C-G5(Semigloss)	MPI 3	MPI 153	MPI 153	4.8 mils
MPI INT 3.1L-G6(Gloss)	MPI RIN 3.1C-G6(Gloss)	MPI 3	MPI 154	MPI 154	4.8 mils
Topcoat: Coating to match adjacent surfaces.					

Alkyd					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1D-G3 (Eggshell)	MPI RIN 3.1D-G3 (Eggshell)	MPI 3	MPI 51	MPI 51	4.5 mils

MPI INT 3.1D-G5 (Semigloss)	MPI RIN 3.1D-G5 (Semigloss)	MPI 3	MPI 47	MPI 47	4.5 mils
MPI INT 3.1D-G6 (Gloss)	MPI RIN 3.1D-G6 (Gloss)	MPI 3	MPI 48	MPI 48	4.5 mils
Topcoat: Coating to match adjacent surfaces.					

Epoxy					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1F-G6 (Gloss)	MPI RIN 3.1E-G6 (Gloss)	MPI 77	MPI 77	MPI 77	4 mils
Note: Primer may be reduced for penetration per manufacturer's instructions.					

D. New and uncoated existing and Existing, previously painted concrete floors in following areas corridor and exterior loading dock.

Latex Floor Paint					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.2A-G2 (Flat)	MPI RIN 3.2A-G2 (Flat)	MPI 60	MPI 60	MPI 60	5 mils

Alkyd Floor Paint					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.2B-G2 (Flat)	MPI RIN 3.2B-G2 (Flat)	MPI 59	MPI 59	MPI 59	5 mils
Note: Primer may be reduced for penetration per manufacturer's instructions.					

Epoxy					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.2C-G6 (Gloss)	MPI RIN 3.2C-G6 (Gloss)	MPI 77	MPI 77	MPI 77	5 mils
Note: Primer may be reduced for penetration per manufacturer's instructions.					

3.13.2.2 MPI Division 4: Interior Concrete Masonry Units Paint Table

A. New and uncoated Existing Concrete Masonry

High Performance Architectural Latex					
New, uncoated Existing	Filler	Primer	Intermediate	Topcoat	System DFT
MPI INT 4.2D-G2 (Flat)	MPI 4	N/A	MPI 139	MPI 138	11 mils
MPI INT 4.2D-G3 (Eggshell)	MPI 4	N/A	MPI 139	MPI 139	11 mils
MPI INT 4.2D-G4 (Satin)	MPI 4	N/A	MPI 140	MPI 140	11 mils
MPI INT 4.2D-G5 (Semigloss)	MPI 4	N/A	MPI 141	MPI 141	11 mils
Fill all holes in masonry surface					

Institutional Low Odor / Low VOC Latex					
New, uncoated Existing	Filler	Primer	Intermediate	Topcoat	System DFT
MPI INT 4.2E-G2 (Flat)	MPI 4	N/A	MPI 144	MPI 144	4 mils
MPI INT 4.2E-G3 (Eggshell)	MPI 4	N/A	MPI 145	MPI 145	4 mils
MPI INT 4.2E-G4 (Satin)	MPI 4	N/A	MPI 146	MPI 146	4 mils
MPI INT 4.2E-G5 (Semigloss)	MPI 4	N/A	MPI 147	MPI 147	4 mils
Fill all holes in masonry surface					

B. Existing, Previously Painted Concrete Masonry

High Performance Architectural Latex					
Existing, previously painted	Filler	Primer	Intermediate	Topcoat	System DFT
MPI RIN 4.2K-G2 (Flat)	N/A	MPI 138	MPI 138	MPI 138	4.5 mils

MPI RIN 4.2K-G3 (Eggshell)	N/A	MPI 139	MPI 139	MPI 139	4.5 mils
MPI RIN 4.2K-G4	N/A	MPI 140	MPI 140	MPI 140	4.5 mils
MPI RIN 4.2K-G5 (Semigloss)	N/A	MPI 141	MPI 141	MPI 141	4.5 mils

Institutional Low Odor / Low VOC Latex					
Existing, previously painted	Filler	Primer	Intermediate	Topcoat	System DFT
MPI RIN 4.2L-G2 (Flat)	N/A	MPI 144	MPI 144	MPI 144	4 mils
MPI RIN 4.2L-G3 (Eggshell)	N/A	MPI 145	MPI 145	MPI 145	4 mils
MPI RIN 4.2L-G4 (Satin)	N/A	MPI 146	MPI 146	MPI 146	4 mils
MPI RIN 4.2L-G5 (Semigloss)	N/A	MPI 147	MPI 147	MPI 147	4 mils

C. New and uncoated Existing Concrete masonry units in toilets, restrooms, and other high humidity areas unless otherwise specified

Waterborne Light Industrial Coating					
New, uncoated Existing	Filler	Primer	Intermediate	Topcoat	System DFT
MPI INT 4.2K-G3(Eggshell)	MPI 4	N/A	MPI 151	MPI 151	11 mils
MPI INT 4.2K-G5(Semigloss)	MPI 4	N/A	MPI 153	MPI 153	11 mils
MPI INT 4.2K-G6(Gloss)	MPI 4	N/A	MPI 154	MPI 154	11 mils
Fill all holes in masonry surface					

Alkyd					
New, uncoated Existing	Filler	Primer	Intermediate	Topcoat	System DFT

MPI INT 4.2K-G3(Eggshell)	MPI 4	MPI 50	MPI 51	MPI 51	12 mils
MPI INT 4.2K-G5(Semigloss)	MPI 4	MPI 50	MPI 47	MPI 47	12 mils
MPI INT 4.2K-G6(Gloss)	MPI 4	MPI 50	MPI 48	MPI 48	12 mils
Fill all holes in masonry surface					

Epoxy					
New, uncoated Existing	Filler	Primer	Intermediate	Topcoat	System DFT
MPI INT 4.2G-G6 (Gloss)	MPI 116	N/A	MPI 77	MPI 77	10 mils
Fill all holes in masonry surface					

D. Existing, previously painted, concrete masonry units in toilets, restrooms,and other high humidity areas unless otherwise specified

Waterborne Light Industrial Coating					
Existing, previously painted	Filler	Primer	Intermediate	Topcoat	System DFT
MPI RIN 4.2G-G3(Eggshell)	N/A	MPI 151	MPI 151	MPI 151	4.5 mils
MPI RIN 4.2G-G5(Semigloss)	N/A	MPI 153	MPI 153	MPI 153	4.5 mils
MPI RIN 4.2G-G6(Gloss)	N/A	MPI 154	MPI 154	MPI 154	4.5 mils

Alkyd					
Existing, previously painted	Filler	Primer	Intermediate	Topcoat	System DFT
MPI RIN 4.2C-G3 (Eggshell)	N/A	MPI 17	MPI 51	MPI 51	4.5 mils
MPI RIN 4.2C-G5 (Semigloss)	N/A	MPI 17	MPI 47	MPI 47	4.5 mils
MPI RIN 4.2C-G6 (Gloss)	N/A	MPI 17	MPI 48	MPI 48	4.5 mils

Epoxy					
Existing, previously painted	Filler	Primer	Intermediate	Topcoat	System DFT
MPI RIN 4.2D-G6 (Gloss)	N/A	MPI 77	MPI 77	MPI 77	5 mils

3.13.2.3 MPI Division 5: Interior Metal, Ferrous and Non-Ferrous Paint Table

A. Interior Steel / Ferrous Surfaces

(1) Metal, Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports, Surfaces adjacent to painted surfaces (Match surrounding finish), and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

High Performance Architectural Latex				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1R-G2 (Flat)	MPI 76	MPI 138	MPI 138	5 mils
MPI INT 5.1R-G3 (Eggshell)	MPI 76	MPI 139	MPI 139	5 mils
MPI INT 5.1R-G5 (Semigloss)	MPI 76	MPI 141	MPI 141	5 mils
Topcoat: Coating to match adjacent surfaces.				

Alkyd				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1E-G2 (Flat)	MPI 76	MPI 49	MPI 49	5.25 mils
MPI INT 5.1E-G3 (Eggshell)	MPI 76	MPI 51	MPI 51	5.25 mils
MPI INT 5.1E-G5 (Semigloss)	MPI 76	MPI 47	MPI 47	5.25 mils
MPI INT 5.1E-G6 (Gloss)	MPI 76	MPI 48	MPI 48	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

(2) Metal floors (non-shop-primed surfaces or non-slip deck surfaces) with non-skid additive (NSA), load at manufacturer's recommendations

Alkyd (over q.d. Alkyd Primer)				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1E-G5 (Semi-Gloss)	MPI 76	MPI 47	MPI 47	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

Epoxy				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1L-G6 (Gloss)	MPI 101	MPI 101	MPI 101	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

(3) Metal in toilets, restrooms, and other high-humidity areas not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

Alkyd				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1E-G3 (Eggshell)	MPI 76	MPI 51	MPI 51	5.25 mils
MPI INT 5.1E-G5 (Semigloss)	MPI 76	MPI 47	MPI 47	5.25 mils
MPI INT 5.1E-G6 (Gloss)	MPI 76	MPI 48	MPI 48	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

Alkyd; For Hand Tool Cleaning				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1T-G3 (Eggshell)	MPI 23	MPI 51	MPI 51	5.25 mils
MPI INT 5.1T-G5 (Semigloss)	MPI 23	MPI 47	MPI 47	5.25 mils
MPI INT 5.1T-G6 (Gloss)	MPI 23	MPI 48	MPI 48	5.25 mils

Topcoat: Coating to match adjacent surfaces.

3.13.2.4 MPI Division 9: Interior Plaster, Gypsum Board, Paint Table

A. Interior New and Existing, previously painted Wallboard not otherwise specified

Latex					
New	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 9.2A-G2 (Flat)	RIN 9.2A-G2 (Flat)	MPI 50	MPI 44	MPI 44	4 mils
MPI INT 9.2A-G3 (Eggshell)	RIN 9.2A-G3 (Eggshell)	MPI 50	MPI 52	MPI 52	4 mils
MPI INT 9.2A-G5 (Semigloss)	RIN 9.2A-G5 (Semigloss)	MPI 50	MPI 54	MPI 54	4 mils
Topcoat: Coating to match adjacent surfaces.					

High Performance Architectural Latex - High Traffic Areas					
New	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 9.2B-G2 (Flat)	MPI RIN 9.2B-G2 (Flat)	MPI 50	MPI 138	MPI 138	4 mils
MPI INT 9.2B-G3 (Eggshell)	MPI RIN 9.2B-G3 (Eggshell)	MPI 50	MPI 139	MPI 139	4 mils
MPI INT 9.2B-G5 (Semigloss)	MPI RIN 9.2B-G5 (Semigloss)	MPI 50	MPI 141	MPI 141	4 mils
Topcoat: Coating to match adjacent surfaces.					

-- End of Section --

SECTION 22 00 00

PLUMBING, GENERAL PURPOSE
05/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.22/CSA 4.4	(2015; R 2020) Relief Valves for Hot Water Supply Systems

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A112.14.1	(2003; R 2017; R 2022) Backwater Valves
ASME B1.20.1	(2013; R 2018) Pipe Threads, General Purpose (Inch)
ASME B16.3	(2021) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.4	(2021) Gray Iron Threaded Fittings; Classes 125 and 250
ASME B16.5	(2020) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.12	(2019) Cast Iron Threaded Drainage Fittings
ASME B16.15	(2018) Cast Copper Alloy Threaded Fittings Classes 125 and 250
ASME B16.18	(2021) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.21	(2021) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.22	(2021) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.23	(2021) Cast Copper Alloy Solder Joint Drainage Fittings - DWV
ASME B16.24	(2022) Cast Copper Alloy Pipe Flanges, Flanged Fittings, and Valves Classes 150, 300, 600, 900, 1500, and 2500
ASME B16.29	(2022) Wrought Copper and Wrought Copper Alloy Solder-Joint Drainage Fittings - DWV

ASME B16.34	(2021) Valves - Flanged, Threaded and Welding End
ASME B16.50	(2021) Wrought Copper and Copper Alloy Braze-Joint Pressure Fittings
ASME B31.1	(2022) Power Piping
ASME B31.5	(2022) Refrigeration Piping and Heat Transfer Components
ASME B40.100	(2022) Pressure Gauges and Gauge Attachments

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)

ASSE 1018	(2023) Performance Requirements for Trap Seal Primer Valves - Potable Water Supplied (ANSI Approved 2002

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA B300	(2018) Hypochlorites
AWWA B301	(2018) Liquid Chlorine
AWWA C203	(2020) Coal-Tar Protective Coatings and Linings for Steel Water Pipelines - Enamel and Tape - Hot-Applied
AWWA C606	(2022) Grooved and Shouldered Joints

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8/A5.8M	(2019) Specification for Filler Metals for Brazing and Braze Welding
AWS B2.2/B2.2M	(2016) Specification for Brazing Procedure and Performance Qualification

ASTM INTERNATIONAL (ASTM)

ASTM A47/A47M	(1999; R 2022; E 2022) Standard Specification for Ferritic Malleable Iron Castings
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A74	(2021) Standard Specification for Cast Iron Soil Pipe and Fittings
ASTM A105/A105M	(2021) Standard Specification for Carbon Steel Forgings for Piping Applications
ASTM A183	(2014; R 2020) Standard Specification for Carbon Steel Track Bolts and Nuts

ASTM A193/A193M	(2023) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A515/A515M	(2017; R2022) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Intermediate- and Higher-Temperature Service
ASTM A516/A516M	(2017) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Moderate- and Lower-Temperature Service
ASTM A518/A518M	(1999; R 2022) Standard Specification for Corrosion-Resistant High-Silicon Iron Castings
ASTM A536	(1984; R 2019; E 2019) Standard Specification for Ductile Iron Castings
ASTM A888	(2023) Standard Specification for Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications
ASTM B32	(2020) Standard Specification for Solder Metal
ASTM B42	(2020) Standard Specification for Seamless Copper Pipe, Standard Sizes
ASTM B43	(2020) Standard Specification for Seamless Red Brass Pipe, Standard Sizes
ASTM B75/B75M	(2020) Standard Specification for Seamless Copper Tube
ASTM B88	(2022) Standard Specification for Seamless Copper Water Tube
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B152/B152M	(2019) Standard Specification for Copper Sheet, Strip, Plate, and Rolled Bar
ASTM B306	(2020) Standard Specification for Copper Drainage Tube (DWV)
ASTM B370	(2022) Standard Specification for Copper Sheet and Strip for Building Construction
ASTM B584	(2022) Standard Specification for Copper Alloy Sand Castings for General Applications
ASTM B813	(2016) Standard Specification for Liquid and Paste Fluxes for Soldering of Copper

	and Copper Alloy Tube
ASTM C564	(2020a) Standard Specification for Rubber Gaskets for Cast Iron Soil Pipe and Fittings
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C1053	(2000; R 2010) Standard Specification for Borosilicate Glass Pipe and Fittings for Drain, Waste, and Vent (DWV) Applications
ASTM D2000	(2018) Standard Classification System for Rubber Products in Automotive Applications
ASTM D2235	(2004; R 2016) Standard Specification for Solvent Cement for Acrylonitrile-Butadiene-Styrene (ABS) Plastic Pipe and Fittings
ASTM D2564	(2020) Standard Specification for Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems
ASTM D2657	(2007; R 2015) Heat Fusion Joining Polyolefin Pipe and Fittings
ASTM D2661	(2014; E 2018) Standard Specification for Acrylonitrile-Butadiene-Styrene (ABS) Schedule 40, Plastic Drain, Waste, and Vent Pipe and Fittings
ASTM D2665	(2014) Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Drain, Waste, and Vent Pipe and Fittings
ASTM D2855	(2015) Standard Practice for Making Solvent-Cemented Joints with Poly(Vinyl Chloride) (PVC) Pipe and Fittings
ASTM D2996	(2017) Standard Specification for Filament-Wound "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe
ASTM D3122	(1995; R 2009) Solvent Cements for Styrene-Rubber (SR) Plastic Pipe and Fittings
ASTM D3138	(2004; R 2016) Standard Specification for Solvent Cements for Transition Joints Between Acrylonitrile-Butadiene-Styrene (ABS) and Poly(Vinyl Chloride) (PVC) Non-Pressure Piping Components
ASTM D3139	(2019) Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals

ASTM D3212	(2020) Standard Specification for Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals
ASTM D3311	(2017) Standard Specification for Drain, Waste, and Vent (DWV) Plastic Fittings Patterns
ASTM D4101	(2017) Standard Classification System and Basis for Specification for Polypropylene Injection and Extrusion Materials
ASTM D4586/D4586M	(2007; R 2018) Asphalt Roof Cement, Asbestos-Free
ASTM E1	(2014) Standard Specification for ASTM Liquid-in-Glass Thermometers
ASTM F409	(2022) Standard Specification for Thermoplastic Accessible and Replaceable Plastic Tube and Tubular Fittings
ASTM F477	(2014; R 2021) Standard Specification for Elastomeric Seals (Gaskets) for Joining Plastic Pipe
ASTM F493	(2022) Standard Specification for Solvent Cements for Chlorinated Poly (Vinyl Chloride) (CPVC) Plastic Pipe and Fittings
ASTM F628	(2023) Standard Specification for Acrylonitrile-Butadiene-Styrene (ABS) Schedule 40 Plastic Drain, Waste, and Vent Pipe with a Cellular Core
ASTM F891	(2023) Standard Specification for Coextruded Poly (Vinyl Chloride) (PVC) Plastic Pipe with a Cellular Core
ASTM F1290	(2019) Standard Practice for Electrofusion Joining Polyolefin Pipe and Fittings
ASTM F1760	(2016; R 2020) Standard Specification for Coextruded Poly(Vinyl Chloride) (PVC) Non-Pressure Plastic Pipe Having Reprocessed-Recycled Content
ASTM F2389	(2023) Standard Specification for Pressure-rated Polypropylene (PP) Piping Systems

CAST IRON SOIL PIPE INSTITUTE (CISPI)

CISPI 301	(2018) Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain, Waste, and Vent Piping Applications
CISPI 310	(2012) Coupling for Use in Connection with Hubless Cast Iron Soil Pipe and Fittings

for Sanitary and Storm Drain, Waste, and Vent Piping Applications

COPPER DEVELOPMENT ASSOCIATION (CDA)

CDA A4015 (2016; 14/17) Copper Tube Handbook

INTERNATIONAL CODE COUNCIL (ICC)

ICC IPC (2024) International Plumbing Code

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25 (2018) Standard Marking System for Valves, Fittings, Flanges and Unions

MSS SP-58 (2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation

MSS SP-67 (2022) Butterfly Valves

MSS SP-70 (2011) Gray Iron Gate Valves, Flanged and Threaded Ends

MSS SP-71 (2018) Gray Iron Swing Check Valves, Flanged and Threaded Ends

MSS SP-72 (2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service

MSS SP-78 (2011) Cast Iron Plug Valves, Flanged and Threaded Ends

MSS SP-80 (2019) Bronze Gate, Globe, Angle and Check Valves

MSS SP-85 (2011) Gray Iron Globe & Angle Valves Flanged and Threaded Ends

MSS SP-110 (2010) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 90A (2024) Standard for the Installation of Air Conditioning and Ventilating Systems

NSF INTERNATIONAL (NSF)

NSF 372 (2022) Drinking Water System Components - Lead Content

NSF/ANSI 14 (2023) Plastics Piping System Components and Related Materials

NSF/ANSI 61 (2022) Drinking Water System Components -

Health Effects

PLASTIC PIPE AND FITTINGS ASSOCIATION (PPFA)

PPFA Fire Man (2016) Firestopping: Plastic Pipe in Fire Resistive Construction

PLUMBING AND DRAINAGE INSTITUTE (PDI)

PDI WH 201 (2010) Water Hammer Arresters Standard

SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)

SAE J1508 (2023) Hose Clamp Specifications

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

PL 93-523 (1974; A 1999) Safe Drinking Water Act

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Plumbing System; G

SD-03 Product Data

Vibration-Absorbing Features; G

Plumbing System

SD-06 Test Reports

Tests, Flushing and Disinfection

SD-07 Certificates

Materials and Equipment

Bolts

SD-10 Operation and Maintenance Data

Plumbing System; G

1.3 SHOP DRAWINGS

Submit Plumbing System Detail drawings consisting of schedules, performance charts, instructions, diagrams, and other information to illustrate the requirements and operations of systems that are not covered by the Plumbing Code. Submit Plumbing System Detail drawings for the complete plumbing system including piping layouts and locations of connections; dimensions for roughing-in, foundation, and support points; schematic diagrams and wiring diagrams or connection and interconnection

diagrams. Detail drawings must indicate clearances required for maintenance and operation. Where piping and equipment are to be supported other than as indicated, details must include loadings and proposed support methods. Mechanical drawing plans, elevations, views, and details, must be drawn to scale.

1.4 STANDARD PRODUCTS

Specified materials and equipment must be standard products of a manufacturer regularly engaged in the manufacture of such products. Specified equipment must essentially duplicate equipment that has performed satisfactorily at least 2 years prior to bid opening. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

Where equipment is specified to conform to requirements of the ASME Boiler and Pressure Vessel Code, the design, fabrication, and installation must conform to the code and a certificate must be submitted for the equipment.

1.4.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.4.2 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.4.2.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" must be interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

1.4.2.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.5 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.6 PERFORMANCE REQUIREMENTS

1.7 REGULATORY REQUIREMENTS

Unless otherwise required herein, plumbing work must be in accordance with ICC IPC.

1.8 PROJECT/SITE CONDITIONS

The Contractor must become familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.9 INSTRUCTION TO GOVERNMENT PERSONNEL

Furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work. Submit Plumbing System Operation and Maintenance Data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished must be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system. When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.10 ACCESSIBILITY OF EQUIPMENT

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

PART 2 PRODUCTS

2.1 MATERIALS

Materials for various services must be in accordance with TABLES I and II. Cement pipe must contain recycled content. Steel pipe must contain a minimum of 25 percent recycled content, with a minimum of 16 percent post-consumer recycled content. Pipe schedules must be selected based on service requirements. Pipe fittings must be compatible with the

applicable pipe materials. Plastic pipe, fittings, and solvent cement must meet NSF/ANSI 14 and must be NSF listed for the service intended. Plastic pipe, fittings, and solvent cement used for potable hot and cold water service must bear the NSF seal "NSF-PW." Polypropylene pipe and fittings must conform to dimensional requirements of Schedule 40, Iron Pipe size and must comply with NSF/ANSI 14, NSF/ANSI 61 and ASTM F2389. Polypropylene piping that will be exposed to UV light must be provided with a Factory applied UV resistant coating. Pipe threads (except dry seal) must conform to ASME B1.20.1. Grooved pipe couplings and fittings must be from the same manufacturer. Material or used in any potable water system intended for human consumption be certified in accordance with NSF 372 with the exception of brazing materials. In line devices such as water meters, building valves, check valves, meter stops, valves, fittings and back flow preventers must comply with PL 93-523 and NSF/ANSI 61, Section 8. End point devices such as drinking water fountains, lavatory faucets, kitchen and bar faucets, residential ice makers, supply stops and end point control valves used to dispense water for drinking must meet the requirements of NSF/ANSI 61, Section 9. Hubless cast-iron soil pipe must not be installed underground, under concrete floor slabs, or in crawl spaces below kitchen floors (for Air Force/Army/Navy projects). Cast-iron pipe must contain a minimum of 95 percent recycled content. Plastic pipe must not be installed in air plenums. Plastic pipe must not be installed in a pressure piping system in buildings greater than three stories including any basement levels.

2.1.1 Pipe Joint Materials

Grooved pipe and hubless cast-iron soil pipe must not be used underground. Solder containing lead must not be used with copper pipe. Cast iron soil pipe and fittings must be marked with the collective trademark of the Cast Iron Soil Institute. Joints and gasket materials must conform to the following:

a. Coupling for Cast-Iron Pipe: for hub and spigot type ASTM A74, AWWA C606. For hubless type: CISPI 310.

b. Coupling for Steel Pipe: AWWA C606.

c. Couplings for Grooved Pipe: Ductile Iron ASTM A536 (Grade 65-45-12).

d. Flange Gaskets: Gaskets must be made of non-asbestos material in accordance with ASME B16.21. Gaskets must be flat, 1/16 inch thick, and contain Aramid fibers bonded with Styrene Butadiene Rubber (SBR) or Nitro Butadiene Rubber (NBR). Gaskets must be the full face or self centering flat ring type. Gaskets used for hydrocarbon service must be bonded with NBR.

e. Brazing Material: Brazing material must conform to AWS A5.8/A5.8M, BCuP-5.

f. Brazing Flux: Flux must be in paste or liquid form appropriate for use with brazing material. Flux must be as follows: lead-free; have a 100 percent flushable residue; contain slightly acidic reagents; contain potassium borides; and contain fluorides.

g. Solder Material: Solder metal must conform to ASTM B32.

h. Solder Flux: Flux must be liquid form, non-corrosive, and conform to ASTM B813, Standard Test 1.

i. PTFE Tape: PTFE Tape, for use with Threaded Metal or Plastic Pipe.

j. Rubber Gaskets for Cast-Iron Soil-Pipe and Fittings (hub and spigot type and hubless type): ASTM C564.

k. Rubber Gaskets for Grooved Pipe: ASTM D2000, maximum temperature 230 degrees F.

l. Flexible Elastomeric Seals: ASTM D3139, ASTM D3212 or ASTM F477.

m. Bolts and Nuts for Grooved Pipe Couplings: Heat-treated carbon steel, ASTM A183.

n. Solvent Cement for Transition Joints between ABS and PVC Nonpressure Piping Components: ASTM D3138.

o. Plastic Solvent Cement for ABS Plastic Pipe: ASTM D2235.

p. Plastic Solvent Cement for PVC Plastic Pipe: ASTM D2564 and ASTM D2855.

q. Plastic Solvent Cement for CPVC Plastic Pipe: ASTM F493.

r. Flanged fittings including, but not limited to, flanges, bolts, nuts and bolt patterns must be in accordance with ASME B16.5 class 150 and must have the manufacturer's trademark affixed in accordance with MSS SP-25. Flange material must conform to ASTM A105/A105M. Blind flange material must conform to ASTM A516/A516M cold service and ASTM A515/A515M for hot service. Bolts must be high strength or intermediate strength with material conforming to ASTM A193/A193M. Submit written certification by the bolt manufacturer that the bolts furnished comply with the specified requirements.

s. Plastic Solvent Cement for Styrene Rubber Plastic Pipe: ASTM D3122.

t. Copper tubing must conform to ASTM B88, Type K, L or M.

u. Heat-fusion joints for polypropylene piping: ASTM F2389.

2.1.2 Miscellaneous Materials

Miscellaneous materials must conform to the following:

a. Water Hammer Arrester: PDI WH 201. Water hammer arrester must be diaphragm or piston type.

b. Copper, Sheet and Strip for Building Construction: ASTM B370.

c. Asphalt Roof Cement: ASTM D4586/D4586M.

d. Hose Clamps: SAE J1508.

h. Coal-Tar Protective Coatings and Linings for Steel Water Pipelines: AWWA C203.

i. Hypochlorites: AWWA B300.

j. Liquid Chlorine: AWWA B301.

k. Gauges - Pressure and Vacuum Indicating Dial Type - Elastic Element: ASME B40.100.

l. Thermometers: ASTM E1. Mercury must not be used in thermometers.

2.1.3 Pipe Insulation Material

Insulation must be as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.2 PIPE HANGERS, INSERTS, AND SUPPORTS

Pipe hangers, inserts, and supports must conform to MSS SP-58.

2.3 VALVES

Valves must be provided on supplies to equipment and fixtures. Valves 2-1/2 inches and smaller must be bronze with threaded bodies for pipe and solder-type connections for tubing. Valves 3 inches and larger must have flanged iron bodies and bronze trim. Pressure ratings must be based upon the application. Grooved end valves may be provided if the manufacturer certifies that the valves meet the performance requirements of applicable MSS standard. Valves must conform to the following standards:

Description	Standard
Butterfly Valves	MSS SP-67
Cast-Iron Gate Valves, Flanged and Threaded Ends	MSS SP-70
Cast-Iron Swing Check Valves, Flanged and Threaded Ends	MSS SP-71
Ball Valves with Flanged Butt-Welding Ends for General Service	MSS SP-72
Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends	MSS SP-110
Cast-Iron Plug Valves, Flanged and Threaded Ends	MSS SP-78
Bronze Gate, Globe, Angle, and Check Valves	MSS SP-80
Steel Valves, Socket Welding and Threaded Ends	ASME B16.34
Cast-Iron Globe and Angle Valves, Flanged and Threaded Ends	MSS SP-85
Backwater Valves	ASME A112.14.1

Vacuum Relief Valves	ANSI Z21.22/CSA 4.4
Trap Seal Primer Valves	ASSE 1018

2.3.1 Backwater Valves

Backwater valves must be either separate from the floor drain or a combination floor drain, P-trap, and backwater valve, as indicated on drawings. Valves must have cast-iron bodies with cleanouts large enough to permit removal of interior parts. Valves must be of the flap type, hinged or pivoted, with revolving disks. Hinge pivots, disks, and seats must be nonferrous metal. Disks must be slightly open in a no-flow no-backwater condition. Cleanouts must extend to finished floor and be fitted with threaded countersunk plugs.

2.4 TRAPS

Unless otherwise specified, traps must be plastic per ASTM F409. Traps must be without a cleanout. Tubes must be copper alloy with walls not less than 0.032 inch thick within commercial tolerances, except on the outside of bends where the thickness may be reduced slightly in manufacture by usual commercial methods. Inlets must have rubber washer and copper alloy nuts for slip joints above the discharge level. Swivel joints must be below the discharge level and must be of metal-to-metal or metal-to-plastic type as required for the application. Nuts must have flats for wrench grip. Outlets must have internal pipe thread, except that when required for the application, the outlets must have sockets for solder-joint connections. The depth of the water seal must be not less than 2 inches. The interior diameter must be not more than 1/8 inch over or under the nominal size, and interior surfaces must be reasonably smooth throughout. A copper alloy "P" trap assembly consisting of an adjustable "P" trap and threaded trap wall nipple with cast brass wall flange must be provided for lavatories. The assembly must be a standard manufactured unit and may have a rubber-gasketed swivel joint.

2.5 MISCELLANEOUS PIPING ITEMS

2.5.1 Escutcheon Plates

Provide one piece or split hinge metal plates for piping entering floors, walls, and ceilings in exposed spaces. Provide chromium-plated on copper alloy plates or polished stainless steel finish in finished spaces. Provide paint finish on plates in unfinished spaces.

2.5.2 Pipe Sleeves

Provide where piping passes entirely through walls, ceilings, roofs, and floors. Sleeves are not required where drain, waste, and vent (DWV) piping passes through concrete floor slabs located on grade, except where penetrating a membrane waterproof floor. For multiple pipe penetrations through load bearing masonry or concrete walls, minimum spacing must be 6 inches center of pipe to center of pipe.

2.5.2.1 Sleeves in Masonry and Concrete

Provide steel pipe sleeves or schedule 40 PVC plastic pipe sleeves.

Sleeves are not required where drain, waste, and vent (DWV) piping passes through concrete floor slabs located on grade. Core drilling of masonry and concrete may be provided in lieu of pipe sleeves when cavities in the core-drilled hole are completely grouted smooth.

2.5.2.2 Sleeves Not in Masonry and Concrete

Provide 26 gage galvanized steel sheet or PVC plastic pipe sleeves.

2.5.3 Pipe Hangers (Supports)

Provide MSS SP-58 Type 1 with adjustable type steel support rods, except as specified or indicated otherwise. Attach to steel joists with Type 19 or 23 clamps and retaining straps. Attach to Steel W or S beams with Type 21, 28, 29, or 30 clamps. Attach to steel angles and vertical web steel channels with Type 20 clamp with beam clamp channel adapter. Attach to horizontal web steel channel and wood with drilled hole on centerline and double nut and washer. Attach to concrete with Type 18 insert or drilled expansion anchor. Provide Type 40 insulation protection shield for insulated piping.

2.5.4 Nameplates

Provide 0.125 inch thick melamine laminated plastic nameplates, black matte finish with white center core, for equipment, gages, thermometers, and valves; valves in supplies to faucets will not require nameplates. Accurately align lettering and engrave minimum of 0.25 inch high normal block lettering into the white core. Minimum size of nameplates must be 1.0 by 2.5 inches. Key nameplates to a chart and schedule for each system. Frame charts and schedules under glass and place where directed near each system. Furnish two copies of each chart and schedule.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Piping located in air plenums must conform to NFPA 90A requirements. Piping located in shafts that constitute air ducts or that enclose air ducts must be noncombustible in accordance with NFPA 90A. Installation of plastic pipe where in compliance with NFPA may be installed in accordance with PPFA Fire Man. The plumbing system must be installed complete with necessary fixtures, fittings, traps, valves, and accessories. Submit diagrams, instructions, and other sheets proposed for posting for Plumbing System. Submit for Plumbing System manufacturer's recommendations for the installation of bell and spigot and hubless joints for cast iron soil pipe. Water and drainage piping must be extended 5 feet outside the building, unless otherwise indicated. A gate valve and drain must be installed on the water service line inside the building approximately 6 inches above the floor from point of entry. Piping must be connected to the exterior service lines or capped or plugged if the exterior service is not in place. Sewer and water pipes must be laid in separate trenches, except when otherwise shown. Exterior underground utilities must be at least 12 inches below the average local frost depth or as indicated on the drawings. If trenches are closed or the pipes are otherwise covered before being connected to the service lines, the location of the end of each plumbing utility must be marked with a stake or other acceptable means. Valves must be installed with control no lower than the valve body. Avoid the use of combination double wye and one-eighth bend fittings in the horizontal orientation below grade or below slab.

3.1.1 Water Pipe, Fittings, and Connections

3.1.1.1 Utilities

The piping must be extended to fixtures, outlets, and equipment. The hot-water and cold-water piping system must be arranged and installed to permit draining. The supply line to each item of equipment or fixture, except faucets, flush valves, or other control valves which are supplied with integral stops, must be equipped with a shutoff valve to enable isolation of the item for repair and maintenance without interfering with operation of other equipment or fixtures. Supply piping to fixtures, faucets, hydrants, shower heads, and flushing devices must be anchored to prevent movement.

3.1.1.2 Cutting and Repairing

The work must be carefully laid out in advance, and unnecessary cutting of construction must be avoided. Damage to building, piping, wiring, or equipment as a result of cutting must be repaired by mechanics skilled in the trade involved.

3.1.1.3 Protection of Fixtures, Materials, and Equipment

Pipe openings must be closed with caps or plugs during installation. Fixtures and equipment must be tightly covered and protected against dirt, water, chemicals, and mechanical injury. Upon completion of the work, the fixtures, materials, and equipment must be thoroughly cleaned, adjusted, and operated. Safety guards must be provided for exposed rotating equipment.

3.1.1.4 Mains, Branches, and Runouts

Piping must be installed as indicated. Pipe must be accurately cut and worked into place without springing or forcing. Structural portions of the building must not be weakened. Aboveground piping must run parallel with the lines of the building, unless otherwise indicated. Branch pipes from service lines may be taken from top, bottom, or side of main, using crossover fittings required by structural or installation conditions. Supply pipes, valves, and fittings must be kept a sufficient distance from other work and other services to permit not less than 1/2 inch between finished covering on the different services. Bare and insulated water lines must not bear directly against building structural elements so as to transmit sound to the structure or to prevent flexible movement of the lines. Water pipe must not be buried in or under floors unless specifically indicated or approved. Changes in pipe sizes must be made with reducing fittings. Use of bushings will not be permitted except for use in situations in which standard factory fabricated components are furnished to accommodate specific accepted installation practice. Change in direction must be made with fittings, except that bending of pipe 4 inches and smaller will be permitted, provided a pipe bender is used and wide sweep bends are formed. The center-line radius of bends must be not less than six diameters of the pipe. Bent pipe showing kinks, wrinkles, flattening, or other malformations will not be acceptable.

3.1.2 Joints

Installation of pipe and fittings must be made in accordance with the manufacturer's recommendations. Mitering of joints for elbows and

notching of straight runs of pipe for tees will not be permitted. Joints must be made up with fittings of compatible material and made for the specific purpose intended.

3.1.2.1 Threaded

Threaded joints must have American Standard taper pipe threads conforming to ASME B1.20.1. Only male pipe threads must be coated with graphite or with an approved graphite compound, or with an inert filler and oil, or must have a polytetrafluoroethylene tape applied.

3.1.2.2 Unions and Flanges

Unions, flanges and mechanical couplings must not be concealed in walls, ceilings, or partitions. Unions must be used on pipe sizes 2-1/2 inches and smaller; flanges must be used on pipe sizes 3 inches and larger.

3.1.2.3 Cast Iron Soil, Waste and Vent Pipe

Bell and spigot compression and hubless gasketed clamp joints for soil, waste and vent piping must be installed per the manufacturer's recommendations.

3.1.2.4 Copper Tube and Pipe

a. Brazed. Brazed joints must be made in conformance with AWS B2.2/B2.2M, ASME B16.50, and CDA A4015 with flux and are acceptable for all pipe sizes. Copper to copper joints must include the use of copper-phosphorus or copper-phosphorus-silver brazing metal without flux. Brazing of dissimilar metals (copper to bronze or brass) must include the use of flux with either a copper-phosphorus, copper-phosphorus-silver or a silver brazing filler metal.

b. Soldered. Soldered joints must be made with flux and are only acceptable for piping 2 inches and smaller. Soldered joints must conform to ASME B31.5 and CDA A4015. Soldered joints must not be used in compressed air piping between the air compressor and the receiver.

c. Copper Tube Extracted Joint. Mechanically extracted joints must be made in accordance with ICC IPC.

3.1.2.5 Plastic Pipe

Acrylonitrile-Butadiene-Styrene (ABS) pipe must have joints made with solvent cement. PVC and CPVC pipe must have joints made with solvent cement elastomeric, threading, (threading of Schedule 80 Pipe is allowed only where required for disconnection and inspection; threading of Schedule 40 Pipe is not allowed), or mated flanged.

3.1.2.6 Glass Pipe

Joints for corrosive waste glass pipe and fittings must be made with corrosion-resisting steel compression-type couplings with acrylonitrile rubber gaskets lined with polytetrafluoroethylene.

3.1.2.7 Corrosive Waste Plastic Pipe

Joints for polyolefin pipe and fittings must be made by mechanical joint or electrical fusion coil method in accordance with ASTM D2657 and

ASTM F1290. Joints for filament-wound reinforced thermosetting resin pipe must be made in accordance with manufacturer's instructions. Unions or flanges must be used where required for disconnection and inspection.

3.1.2.8 Polypropylene Pipe

Joints for polypropylene pipe and fittings must be made by heat fusion welding socket-type or butt-fusion type fittings and must comply with ASTM F2389.

3.1.3 Dissimilar Pipe Materials

Connections between ferrous and non-ferrous copper water pipe must be made with dielectric unions or flange waterways. Dielectric waterways must have temperature and pressure rating equal to or greater than that specified for the connecting piping. Waterways must have metal connections on both ends suited to match connecting piping. Dielectric waterways must be internally lined with an insulator specifically designed to prevent current flow between dissimilar metals. Dielectric flanges must meet the performance requirements described herein for dielectric waterways. Connecting joints between plastic and metallic pipe must be made with transition fitting for the specific purpose.

3.1.4 Pipe Sleeves and Flashing

Pipe sleeves must be furnished and set in their proper and permanent location.

3.1.4.1 Sleeve Requirements

Unless indicated otherwise, provide pipe sleeves meeting the following requirements:

a. Secure sleeves in position and location during construction. Provide sleeves of sufficient length to pass through entire thickness of walls, ceilings, roofs, and floors.

b. A modular mechanical type sealing assembly may be installed in lieu of a waterproofing clamping flange and caulking and sealing of annular space between pipe and sleeve. The seals must consist of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe and sleeve using galvanized steel bolts, nuts, and pressure plates. The links must be loosely assembled with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tightening of the bolt must cause the rubber sealing elements to expand and provide a watertight seal between the pipe and the sleeve. Each seal assembly must be sized as recommended by the manufacturer to fit the pipe and sleeve involved.

c. Sleeves must not be installed in structural members, except where indicated or approved. Rectangular and square openings must be as detailed. Each sleeve must extend through its respective floor, or roof, and must be cut flush with each surface, except for special circumstances. Pipe sleeves passing through floors in wet areas such as mechanical equipment rooms, lavatories, kitchens, and other plumbing fixture areas must extend a minimum of 4 inches above the finished floor.

d. Unless otherwise indicated, sleeves must be of a size to provide a minimum of 1/4 inch clearance between bare pipe or insulation and inside of sleeve or between insulation and inside of sleeve. Sleeves in bearing walls and concrete slab on grade floors must be steel pipe or cast-iron pipe. Sleeves in nonbearing walls or ceilings may be steel pipe, cast-iron pipe, galvanized sheet metal with lock-type longitudinal seam, or plastic.

e. Except as otherwise specified, the annular space between pipe and sleeve, or between jacket over insulation and sleeve, must be sealed as indicated with sealants conforming to ASTM C920 and with a primer, backstop material and surface preparation as specified in Section 07 92 00 JOINT SEALANTS. The annular space between pipe and sleeve, between bare insulation and sleeve or between jacket over insulation and sleeve must not be sealed for interior walls which are not designated as fire rated.

f. Sleeves through below-grade walls in contact with earth must be recessed 1/2 inch from wall surfaces on both sides. Annular space between pipe and sleeve must be filled with backing material and sealants in the joint between the pipe and concrete wall as specified above. Sealant selected for the earth side of the wall must be compatible with dampproofing/waterproofing materials that are to be applied over the joint sealant. Pipe sleeves in fire-rated walls must conform to the requirements in Section 07 84 00 FIRESTOPPING.

3.1.4.2 Flashing Requirements

Pipes passing through roof must be installed through a 16 ounce copper flashing, each within an integral skirt or flange. Flashing must be suitably formed, and the skirt or flange must extend not less than 8 inches from the pipe and must be set over the roof or floor membrane in a solid coating of bituminous cement. The flashing must extend up the pipe a minimum of 10 inches. For cleanouts, the flashing must be turned down into the hub and caulked after placing the ferrule. Pipes passing through pitched roofs must be flashed, using lead or copper flashing, with an adjustable integral flange of adequate size to extend not less than 8 inches from the pipe in all directions and lapped into the roofing to provide a watertight seal. The annular space between the flashing and the bare pipe or between the flashing and the metal-jacket-covered insulation must be sealed as indicated. Flashing for dry vents must be turned down into the pipe to form a waterproof joint. Pipes, up to and including 10 inches in diameter, passing through roof or floor waterproofing membrane may be installed through a cast-iron sleeve with caulking recess, anchor lugs, flashing-clamp device, and pressure ring with brass bolts. Flashing shield must be fitted into the sleeve clamping device. Pipes passing through wall waterproofing membrane must be sleeved as described above. A waterproofing clamping flange must be installed.

3.1.4.3 Waterproofing

Waterproofing at floor-mounted water closets must be accomplished by forming a flashing guard from soft-tempered sheet copper. The center of the sheet must be perforated and turned down approximately 1-1/2 inches to fit between the outside diameter of the drainpipe and the inside diameter of the cast-iron or steel pipe sleeve. The turned-down portion of the flashing guard must be embedded in sealant to a depth of approximately 1-1/2 inches; then the sealant must be finished off flush to floor level between the flashing guard and drainpipe. The flashing guard of sheet

copper must extend not less than 8 inches from the drainpipe and must be lapped between the floor membrane in a solid coating of bituminous cement. If cast-iron water closet floor flanges are used, the space between the pipe sleeve and drainpipe must be sealed with sealant and the flashing guard must be upturned approximately 1-1/2 inches to fit the outside diameter of the drainpipe and the inside diameter of the water closet floor flange. The upturned portion of the sheet fitted into the floor flange must be sealed.

3.1.4.4 Optional Counterflashing

Instead of turning the flashing down into a dry vent pipe, or caulking and sealing the annular space between the pipe and flashing or metal-jacket-covered insulation and flashing, counterflashing may be accomplished by utilizing the following:

a. A standard roof coupling for threaded pipe up to 6 inches in diameter.

b. A tack-welded or banded-metal rain shield around the pipe.

3.1.4.5 Pipe Penetrations of Slab on Grade Floors

Where pipes, fixture drains, floor drains, cleanouts or similar items penetrate slab on grade floors, except at penetrations of floors with waterproofing membrane as specified in paragraphs FLASHING REQUIREMENTS and WATERPROOFING, a groove 1/4 to 1/2 inch wide by 1/4 to 3/8 inch deep must be formed around the pipe, fitting or drain. The groove must be filled with a sealant as specified in Section 07 92 00 JOINT SEALANTS.

3.1.4.6 Pipe Penetrations

Provide sealants for all pipe penetrations. All pipe penetrations must be sealed to prevent infiltration of air, insects, and vermin.

3.1.5 Fire Seal

Where pipes pass through fire walls, fire-partitions, fire-rated pipe chase walls or floors above grade, a fire seal must be provided as specified in Section 07 84 00 FIRESTOPPING.

3.1.6 Supports

3.1.6.1 General

Hangers used to support piping 2 inches and larger must be fabricated to permit adequate adjustment after erection while still supporting the load. Pipe guides and anchors must be installed to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. Piping subjected to vertical movement when operating temperatures exceed ambient temperatures must be supported by variable spring hangers and supports or by constant support hangers. In the support of multiple pipe runs on a common base member, a clip or clamp must be used where each pipe crosses the base support member. Spacing of the base support members must not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run. Threaded sections of rods must not be formed or bent.

3.1.6.2 Pipe Hangers, Inserts, and Supports

Installation of pipe hangers, inserts and supports must conform to MSS SP-58 except as modified herein.

a. Types 5, 12, and 26 must not be used.

b. Type 3 must not be used on insulated pipe.

c. Type 18 inserts must be secured to concrete forms before concrete is placed. Continuous inserts which allow more adjustment may be used if they otherwise meet the requirements for type 18 inserts.

d. Type 19 and 23 C-clamps must be torqued per MSS SP-58 and must have both locknuts and retaining devices furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.

e. Type 20 attachments used on angles and channels must be furnished with an added malleable-iron heel plate or adapter.

f. Type 24 may be used only on trapeze hanger systems or on fabricated frames.

g. Type 39 saddles must be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher. Type 39 saddles must be welded to the pipe.

h. Type 40 shields must:

(1) Be used on insulated pipe less than 4 inches.

(2) Be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or less.

(3) Have a high density insert for all pipe sizes. High density inserts must have a density of 8 pcf or greater.

i. Horizontal pipe supports must be spaced as specified in MSS SP-58 and a support must be installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Pipe supports must be spaced not over 5 feet apart at valves. Operating temperatures in determining hanger spacing for PVC or CPVC pipe must be 120 degrees F for PVC and 180 degrees F for CPVC. Horizontal pipe runs must include allowances for expansion and contraction.

j. Vertical pipe must be supported at each floor, except at slab-on-grade, at intervals of not more than 15 feet nor more than 8 feet from end of risers, and at vent terminations. Vertical pipe risers must include allowances for expansion and contraction.

k. Type 35 guides using steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides must be provided to allow longitudinal pipe movement. Slide materials must be suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered. Lateral restraints must be provided as needed. Where steel slides do not require provisions for lateral restraint the following may be used:

(1) On pipe 4 inches and larger when the temperature of the medium is

60 degrees F or higher, a Type 39 saddle, welded to the pipe, may freely rest on a steel plate.

(2) On pipe less than 4 inches a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.

(3) On pipe 4 inches and larger carrying medium less that 60 degrees F a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.

l. Pipe hangers on horizontal insulated pipe must be the size of the outside diameter of the insulation. The insulation must be continuous through the hanger on all pipe sizes and applications.

m. Where there are high system temperatures and welding to piping is not desirable, the type 35 guide must include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe must be separated from the slide material by at least 4 inches or by an amount adequate for the insulation, whichever is greater.

n. Hangers and supports for plastic pipe must not compress, distort, cut or abrade the piping, and must allow free movement of pipe except where otherwise required in the control of expansion/contraction.

3.1.6.3 Structural Attachments

Attachment to building structure concrete and masonry must be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors must be applied with a safety factor not less than 5. Supports must not be attached to metal decking. Supports must not be attached to the underside of concrete filled floor or concrete roof decks unless approved by the Contracting Officer. Masonry anchors for overhead applications must be constructed of ferrous materials only.

3.1.7 Welded Installation

Plumbing pipe weldments must be as indicated. Changes in direction of piping must be made with welding fittings only; mitering or notching pipe to form elbows and tees or other similar type construction will not be permitted. Branch connection may be made with either welding tees or forged branch outlet fittings. Branch outlet fittings must be forged, flared for improvement of flow where attached to the run, and reinforced against external strains. Beveling, alignment, heat treatment, and inspection of weld must conform to ASME B31.1. Weld defects must be removed and repairs made to the weld, or the weld joints must be entirely removed and rewelded. After filler metal has been removed from its original package, it must be protected or stored so that its characteristics or welding properties are not affected. Electrodes that have been wetted or that have lost any of their coating must not be used.

3.1.8 Pipe Cleanouts

Pipe cleanouts must be the same size as the pipe except that cleanout plugs larger than 4 inches will not be required. A cleanout installed in connection with cast-iron soil pipe must consist of a long-sweep 1/4 bend or one or two 1/8 bends extended to the place shown. An extra-heavy cast-brass or cast-iron ferrule with countersunk cast-brass head screw plug must be caulked into the hub of the fitting and must be flush with the floor. Cleanouts in connection with other pipe, where indicated, must

be T-pattern, 90-degree branch drainage fittings with cast-brass screw plugs, except plastic plugs must be installed in plastic pipe. Plugs must be the same size as the pipe up to and including 4 inches. Cleanout tee branches with screw plug must be installed at the foot of soil and waste stacks, at the foot of interior downspouts, on each connection to building storm drain where interior downspouts are indicated, and on each building drain outside the building. Cleanout tee branches may be omitted on stacks in single story buildings with slab-on-grade construction or where less than 18 inches of crawl space is provided under the floor. Cleanouts on pipe concealed in partitions must be provided with chromium plated bronze, nickel bronze, nickel brass or stainless steel flush type access cover plates. Round access covers must be provided and secured to plugs with securing screw. Square access covers may be provided with matching frames, anchoring lugs and cover screws. Cleanouts in finished walls must have access covers and frames installed flush with the finished wall. Cleanouts installed in finished floors subject to foot traffic must be provided with a chrome-plated cast brass, nickel brass, or nickel bronze cover secured to the plug or cover frame and set flush with the finished floor. Heads of fastening screws must not project above the cover surface. Where cleanouts are provided with adjustable heads, the heads must be cast iron.

3.1.9 Condensate Drain Piping for Tropical Environments

Condensate drain piping materials must be rated for Drain, Waste and Vent service. Above grade use Polyvinyl Chloride (PVC) drain, waste and vent pipe and fittings, ASTM D2665, (Sch 40) ASTM F1760. Condensate piping must maintain a horizontal slope in the direction of discharge of not less than one-eighth unit vertical in 12 units horizontal (1 percent). Do not use PVC above grade in mechanical rooms, fire riser rooms, generator rooms or other high-abuse areas. The following condensate pipe diameters must be sized in accordance with equipment capacity refrigeration shown below:

EQUIPMENT CAPACITY	MINIMUM CONDENSATE PIPE DIAMETER
Up to 5 tons	1 inch
Over 5 tons to 40 tons	1-1/4 inch
Over 40 tons to 90 tons	1-1/2 inch
Over 90 tons to 125 tons	2 inch
Over 125 tons to 250 tons of refrigeration	2-1/2 inch

3.2 FIXTURES AND FIXTURE TRIMMINGS

3.2.1 Backflow Prevention Devices

Plumbing fixtures, equipment, and pipe connections must not cross connect or interconnect between a potable water supply and any source of nonpotable water. Backflow preventers must be installed where indicated and in accordance with ICC IPC at all other locations necessary to preclude a cross-connect or interconnect between a potable water supply and any nonpotable substance. In addition backflow preventers must be installed at all locations where the potable water outlet is below the flood level of the equipment, or where the potable water outlet will be

located below the level of the nonpotable substance. Backflow preventers must be located so that no part of the device will be submerged. Backflow preventers must be of sufficient size to allow unrestricted flow of water to the equipment, and preclude the backflow of any nonpotable substance into the potable water system. Bypass piping must not be provided around backflow preventers. Access must be provided for maintenance and testing. Each device must be a standard commercial unit.

3.2.2 Traps

Each trap must be placed as near the fixture as possible, and no fixture must be double-trapped. Traps installed on cast-iron soil pipe must be cast iron. Traps installed on steel pipe or copper tubing must be recess-drainage pattern, or brass-tube type. Traps installed on plastic pipe may be plastic conforming to ASTM D3311. Traps for acid-resisting waste must be of the same material as the pipe.

3.3 VIBRATION-ABSORBING FEATURES

Mechanical equipment must be isolated from the building structure by approved vibration-absorbing features, unless otherwise shown. Submit details of vibration-absorbing features, including arrangement, foundation plan, dimensions and specifications. Each foundation must include an adequate number of standard isolation units. Each unit must consist of machine and floor or foundation fastening, together with intermediate isolation material, and must be a standard product with printed load rating. Piping connected to mechanical equipment must be provided with flexible connectors. Isolation unit installation must limit vibration to 30 percent of the lowest equipment rpm.

3.4 IDENTIFICATION SYSTEMS

3.4.1 Identification Tags

Identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and valve number must be installed on valves, except those valves installed on supplies at plumbing fixtures. Tags must be 1-3/8 inch minimum diameter, and marking must be stamped or engraved. Indentations must be black, for reading clarity. Tags must be attached to valves with No. 12 AWG, copper wire, chrome-plated beaded chain, or plastic straps designed for that purpose.

3.4.2 Pipe Color Code Marking

Color code marking of piping must be as specified in Section 09 90 00 PAINTS AND COATINGS.

3.4.3 Color Coding Scheme for Locating Hidden Utility Components

Scheme must be provided in buildings having suspended grid ceilings. The color coding scheme must identify points of access for maintenance and operation of operable components which are not visible from the finished space and installed in the space directly above the suspended grid ceiling. The operable components must include valves, dampers, switches, linkages and thermostats. The color coding scheme must consist of a color code board and colored metal disks. Each colored metal disk must be approximately 3/8 inch in diameter and secured to removable ceiling panels with fasteners. The fasteners must be inserted into the ceiling panels so that the fasteners will be concealed from view. The fasteners must be

manually removable without tools and must not separate from the ceiling panels when panels are dropped from ceiling height. Installation of colored metal disks must follow completion of the finished surface on which the disks are to be fastened. The color code board must have the approximate dimensions of 3 foot width, 30 inches height, and 1/2 inch thickness. The board must be made of wood fiberboard and framed under glass or 1/16 inch transparent plastic cover. Unless otherwise directed, the color code symbols must be approximately 3/4 inch in diameter and the related lettering in 1/2 inch high capital letters. The color code board must be mounted and located in the mechanical or equipment room. The color code system must be as indicated below:

Color	System	Item	Location

3.5 ESCUTCHEONS

Escutcheons must be provided at finished surfaces where bare or insulated piping, exposed to view, passes through floors, walls, or ceilings, except in boiler, utility, or equipment rooms. Escutcheons must be fastened securely to pipe or pipe covering and must be satin-finish, corrosion-resisting steel, polished chromium-plated zinc alloy, or polished chromium-plated copper alloy. Escutcheons must be either one-piece or split-pattern, held in place by internal spring tension or setscrew.

3.6 PAINTING

Painting of pipes, hangers, supports, and other iron work, either in concealed spaces or exposed spaces, is specified in Section 09 90 00 PAINTS AND COATINGS.

3.6.1 Painting of New Equipment

New equipment painting must be factory applied or shop applied, and must be as specified herein, and provided under each individual section.

3.6.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen must show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

3.6.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces

need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F must be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat must be aluminum or light gray.

a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F must receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat.

b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F must receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.

c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F must receive two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.

3.7 TESTS, FLUSHING AND DISINFECTION

Submit test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, completion and testing of the installed system. Each test report must indicate the final position of controls.

3.7.1 Plumbing System

The following tests must be performed on the plumbing system in accordance with ICC IPC, except that the drainage and vent system final test must include the smoke test. The Contractor has the option to perform a peppermint test in lieu of the smoke test. If a peppermint test is chosen, the Contractor must submit a testing procedure and reasons for choosing this option in lieu of the smoke test to the Contracting Officer for approval.

a. Drainage and Vent Systems Test. The final test must include a smoke test.

b. Building Sewers Tests.

3.7.2 Defective Work

If inspection or test shows defects, such defective work or material must be replaced or repaired as necessary and inspection and tests must be repeated. Repairs to piping must be made with new materials. Caulking of screwed joints or holes will not be acceptable.

3.8 POSTED INSTRUCTIONS

Framed instructions under glass or in laminated plastic, including wiring and control diagrams showing the complete layout of the entire system, must be posted where directed. Condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system must be prepared in typed form, framed as specified above for the wiring and control diagrams and posted beside the diagrams. The framed instructions must be posted before acceptance testing of the systems.

3.9 TABLES

TABLE I

PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS

Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
1	Cast iron soil pipe and fittings, hub and spigot, ASTM A74 with compression gaskets. Pipe and fittings must be marked with the CISPI trademark.	X	X	X	X	X		
2	Cast iron soil pipe and fittings hubless, CISPI 301 and ASTM A888. Pipe and fittings must be marked with the CISPI trademark.		X		X	X		

TABLE I								
PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS								
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
3	Cast iron drainage fittings, threaded, ASME B16.12 for use with Item 10	X		X	X			
4	Cast iron screwed fittings (threaded) ASME B16.4 for use with Item 10				X	X		
5	Grooved pipe couplings, ferrous and non-ferrous pipe ASTM A536 And ASTM A47/A47M	X	X		X	X		
6	Ductile iron grooved joint fittings for ferrous pipe ASTM A536 and ASTM A47/A47M for use with Item 5	X	X		X	X		
7	Bronze sand casting grooved joint pressure fittings for non-ferrous pipe ASTM B584, for use with Item 5	X	X		X	X		

TABLE I

PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS

Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
8	Wrought copper grooved joint pressure fittings for non-ferrous pipe ASTM B75/B75M C12200, ASTM B152/B152M, C11000, ASME B16.22 ASME B16.22 for use with Item 5	X	X					
9	Malleable-iron threaded fittings, galvanized ASME B16.3 for use with Item 10				X	X		
10	Steel pipe, seamless galvanized, ASTM A53/A53M, Type S, Grade B				X	X		
11	Seamless red brass pipe, ASTM B43				X	X		X
12	Bronzed flanged fittings, ASME B16.24 for use with Items 11 and 14				X	X		X

TABLE I

PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS

Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
13	Cast copper alloy solder joint pressure fittings, ASME B16.18for use with Item 14				X	X		X
14	Seamless copper pipe, ASTM B42						X	X
15	Cast bronze threaded fittings, ASME B16.15				X	X		
16	Copper drainage tube, (DWV), ASTM B306	X*	X	X*	X	X		X
17	Wrought copper and wrought alloy solder-joint drainage fittings. ASME B16.29	X	X	X	X	X		X
18	Cast copper alloy solder joint drainage fittings, DWV, ASME B16.23	X	X	X	X	X		X
19	Acrylonitrile-Butadiene -Styrene (ABS) plastic drain, waste, and vent pipe and fittings ASTM D2661, ASTM F628	X	X	X	X	X	X	

TABLE I								
PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS								
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
20	Polyvinyl Chloride plastic drain, waste and vent pipe and fittings, ASTM D2665, ASTM F891, (Sch 40) ASTM F1760	X	X	X	X	X	X	X
21	Process glass pipe and fittings, ASTM C1053						X	
22	High-silicon content cast iron pipe and fittings (hub and spigot, and mechanical joint), ASTM A518/A518M		X			X	X	
23	Polypropylene (PP) waste pipe and fittings, ASTM D4101						X	
24	Filament-wound reinforced thermosetting resin (RTRP) pipe, ASTM D2996						X	
25	Cast Iron pipe and fittings, CEN EN 877 piping	X	X	X	X	X	X	

TABLE I

PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS

Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G

SERVICE:

A - Underground Building Soil, Waste and Storm Drain
B - Aboveground Soil, Waste, Drain In Buildings
C - Underground Vent
D - Aboveground Vent
E - Interior Rainwater Conductors Aboveground
F - Corrosive Waste And Vent Above And Belowground
G - Condensate Drain Aboveground

* - Hard Temper

-- End of Section --

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SECTION 23 05 48.19

SEISMIC BRACING FOR HVAC
05/18, CHG 2: 08/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 355.2	(2007) Qualification of Post-Installed Mechanical Anchors in Concrete and Commentary
ACI 355.4	(2011) Qualification of Post-Installed Adhesive Anchors in Concrete (ACI 355.4) and Commentary

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325	(2017) Steel Construction Manual

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7-16	(2017; Errata 2018; Supp 1 2018) Minimum Design Loads and Associated Criteria for Buildings and Other Structures

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C105/A21.5	(2018) Polyethylene Encasement for Ductile-Iron Pipe Systems
AWWA C116/A21.16	(2015) Protective Fusion-Bonded Coatings for the Interior and Exterior Surfaces of Ductile-Iron and Gray Iron Fittings
AWWA C153/A21.53	(2019) Ductile-Iron Compact Fittings for Water Service
AWWA C213	(2022) Fusion-Bonded Epoxy Coatings and Linings for Steel Water Pipe and Fittings

ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M	(2019) Standard Specification for Carbon Structural Steel
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A153/A153M	(2023) Standard Specification for Zinc

	Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A325	(2014) Standard Specification for Structural Bolts, Steel, Heat Treated, 120/105 ksi Minimum Tensile Strength
ASTM A490	(2014a) Standard Specification for Structural Bolts, Alloy Steel, Heat Treated, 150 ksi Minimum Tensile Strength
ASTM A500/A500M	(2023) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A536	(1984; R 2019; E 2019) Standard Specification for Ductile Iron Castings
ASTM A563	(2021; E 2022a) Standard Specification for Carbon and Alloy Steel Nuts
ASTM A603	(2019) Standard Specification for Zinc-Coated Steel Structural Wire Rope
ASTM D1785	(2015; E 2018) Standard Specification for Poly(Vinyl Chloride) (PVC), Plastic Pipe, Schedules 40, 80, and 120
ASTM D2665	(2014) Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Drain, Waste, and Vent Pipe and Fittings
ASTM E488/E488M	(2022) Standard Test Methods for Strength of Anchors in Concrete Elements
ASTM F891	(2023) Standard Specification for Coextruded Poly (Vinyl Chloride) (PVC) Plastic Pipe with a Cellular Core
ASTM F1554	(2020) Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength

FEDERAL EMERGENCY MANAGEMENT AGENCY (FEMA)

FEMA P-414	(January 2004) Installing Seismic Restraints for Duct and Pipe

ICC EVALUATION SERVICE, INC. (ICC-ES)

ICC ES AC193	(2012) Acceptance Criteria for Mechanical Anchors in Concrete Elements

METAL FRAMING MANUFACTURERS ASSOCIATION (MFMA)

MFMA-4	(2004) Metal Framing Standards Publication

NSF INTERNATIONAL (NSF)

NSF/ANSI 61 (2022) Drinking Water System Components - Health Effects

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1981 (2008) Seismic Restraint Manual Guidelines for Mechanical Systems, 3rd Edition

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-301-01 (2023; with Change 1, 2023) Structural Engineering

UFC 4-010-01 (2018; with Change 2, 2022) DoD Minimum Antiterrorism Standards for Buildings

VIBRATION ISOLATION AND SEISMIC CONTROL MANUFACTURERS ASSOCIATION (VISCMA)

VISCMA 412 (2014) Installing Seismic Restraints for Mechanical Equipment

1.2 SYSTEM DESCRIPTION

1.2.1 General Requirements

Apply the requirements for seismic protection measures described in this section and on the drawings to the mechanical equipment and mechanical systems both inside and outside of the building along with exterior utilities and systems listed below. Where there is a conflict between the specifications and the drawings, the specifications will take precedence. Accomplish resistance to lateral forces induced by earthquakes without consideration of friction resulting from gravity loads.

1.2.2 Mechanical Equipment

Mechanical equipment to be seismically protected must include the following items to the extent required on the drawings or in other sections of these specifications:

Equipment/Components with Ip = 1.0

Boilers and furnaces	Storage Tanks for Oil and Water
Water Heaters	
Expansion Air Separator Tanks	Valves and Fittings for Piping
Heat Exchangers	Steam-fed Kitchen Appliances
Water Chiller Units	Thermal Storage Units

Cooling Towers, Evaporative Coolers, and Fluid Coolers	Air and Refrigerant Compressors
Computer Room Air Conditioners	Air Handling Units
Pumps with Motors	Lab Scrubbers
Large Commercial Dryers	Pollution Control Equipment
Gas Dryers	Split System DX Units
Flash Tanks	Unit Heaters
Accumulator Tank	Exhaust, Return and Misc. Fans
Gas Cylinders	Solar Heating and Hot Water Units
Bridge Cranes and Monorails	Pumps
Air Terminal Units	Unitary HVAC Systems
Humidifiers	Fan Coil Units
Stacks	Instrumentation and Control for HVAC
Duct Mounted Coils	Duct Silencers

1.2.3 Mechanical Systems

Mechanical systems to be seismically protected must include the following items to the extent required on the drawings or in this or other sections of these specifications:

Mechanical systems with Ip = 1.0

a. All Piping and Ducts Inside the Building Except as Specifically Stated Below Under "Items Not Covered By This Section".

b. Chilled Water Distribution Systems Outside of Buildings.

c. Steam, Water, Oil, Gas and Fuel Piping Outside of Buildings.

d. All Water Supply Systems Outside of Buildings.

e. Storm and Sanitary Sewer Systems Outside of Buildings.

f. All Process Piping Outside of Buildings.

g. Heat Distribution Systems (Supply, Return, and Condensate Return) Outside of Buildings.

h. Condenser Water and Refrigerant Piping Outside the Building.

i. Pneumatic Tube Distribution System Outside of Buildings.

j. Cold Storage Refrigeration Systems Outside of Buildings.

k. Fuel Storage Tanks Outside of Buildings.

l. Water Storage Tanks Outside of Buildings.

m. Ductwork Outside of Buildings.

n. Stacks.

1.2.4 Contractor Designed Bracing

Submit copies of the design calculations with the drawings. Calculations must be approved, certified, stamped and signed by a registered Professional Structural Engineer. Calculations must verify the capability of structural members to which bracing is attached for carrying the load from the brace. Design the bracing in accordance with UFC 3-301-01, , UFC 4-010-01 and additional data furnished by the Contracting Officer. Resistance to lateral forces induced by earthquakes must be accomplished without consideration of friction resulting from gravity loads. UFC 3-301-01 uses parameters for the building, not for the equipment in the building; therefore, corresponding adjustments to the formulas must be required. Loadings determined using UFC 3-301-01 are based on strength design; therefore, AISC 325 Specifications must be used for the design. The bracing for the mechanical equipment designated in paragraph 1.2.2 and systems designated in paragraph 1.2.3 must be developed by the Contractor.

1.2.5 Items Not Covered By This Section

1.2.5.1 Items Requiring No Seismic Restraints

Seismic restraints are not required for the following items:

a. Gas piping less than 1 inch nominal pipe size.

b. Piping in boiler and mechanical equipment rooms less than 1-1/4 inches nominal pipe size.

c. All other piping equal to or less than 3 inches nominal pipe size.

d. Rectangular air handling ducts less than 6 square feet in cross sectional area.

e. Round air handling ducts less than 28 inches in diameter.

f. Piping suspended by individual hangers 12 inches or less in length from the top of pipe to the bottom of the supporting structural member where the hanger is attached, except as noted below.

g. Ducts suspended by hangers 12 inches or less in length from the top of the duct to the bottom of the supporting structural member, except as

noted below.

In exemptions f. and g. all hangers must meet the length requirements. If the length requirement is exceeded by one hanger in the run, brace the entire run. Seismically protect interior piping and ducts not listed above in accordance with the provisions of this specification.

Non-critical items may require seismic restraints if adjacent to critical equipment or systems that must remain operational after an earthquake and could be compromised by impact with non-critical adjacent components.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Coupling and Bracing

Equipment Restraint

Contractor Designed Bracing; G

SD-03 Product Data

Coupling and Bracing; G

Equipment Restraint; G

Contractor Designed Bracing; G

Snubbers

Anchor Bolts

Vibration Isolators

SD-05 Design Data

Design Calculations

SD-06 Test Reports

Anchor Bolts; G

PART 2 PRODUCTS

2.1 GENERAL DESIGN REQUIREMENTS

Submit detailed seismic restraint drawings for mechanical equipment, duct systems, piping systems and any other mechanical systems along with calculations, catalog cuts, templates, and erection and installation details, as appropriate, for the items listed below. Indicate thickness, type, grade, class of metal, and dimensions; and show construction details, reinforcement, anchorage, and installation with relation to the

building construction. Calculations must be stamped, by a registered structural engineer, and verify the capability of structural members to which bracing is attached for carrying the load from the brace. Include drawing for Mission Critical Equipment indicating the equipment location in the facility sufficient to be used for the installation. Design must be based on actual equipment and system layout. Design must include calculated dead loads, static seismic loads and capacity of materials utilized for the connection of the equipment or system to the structure. Analysis must detail anchoring methods.

2.2 EQUIPMENT RESTRAINT

Equipment must be rigidly or flexibly mounted as indicated in the specifications and/or drawings depending on vibration isolation requirements as follows below.

Roof mounted equipment such as cooling towers and condensers, both vibration isolated and nonisolated, must have support members designed and anchored to building structural steel or concrete as required for seismic restraint and wind loads.

2.2.1 Rigidly (Base and Suspended) Mounted Equipment

HVAC equipment furnished under this contract must be rigidly mounted that are qualified for earthquake loading in accordance with ACI 355.2 and ACI 355.4. Anchor bolts must conform to ASTM F1554. For any rigid equipment which is rigidly anchored, provide flexible joints for piping, ductwork, electrical conduit, etc., that are capable of accommodating displacements equal to the full width of the joint in both orthogonal directions. Suspended equipment bracing attachments should be located just above the center of gravity to minimize swinging. Use the ratio of the overturning moment from seismic forces to the resisting moment due to gravity loads to determine if overturning forces need to be considered in the sizing of anchor bolts. Provide calculations to verify the adequacy of the anchor bolts for combined shear and overturning.

Roof mounted HVAC equipment roof curbs, framing and attachment to equipment and structure must be designed and braced to withstand seismic loads. Designated Seismic Systems (DSS) assigned to Seismic Design Category (SDC) C, D, E, or F and Risk Category IV components needed for continued operation after an earthquake must have two nuts provided on each anchor bolt.

2.2.2 Nonrigid or Flexibly-Mounted Equipment

Select vibration isolation devices so that the maximum movement of equipment from the static deflection point is 1/4 inch. Equipment flexibly mounted on vibration isolators must have a bumper restraint or snubber in each horizontal direction and vertical restraints must be provided where required to resist overturning. Isolator housing and restraints must be constructed of ductile materials. A viscoelastic pad or similar material of appropriate thickness must be used between the bumper and components to limit the impact load. Restraints must be designed to resist the calculated horizontal lateral and vertical forces.

Spring vibration isolators must be seismically rated, restrained isolators for equipment subject to load variations and large external forces. The seismically rated housing must be sized to meet or exceed the force

requirements applicable to the project and meet the required isolation criteria. Spring vibration isolator manufacturer's will be a member of VISCMA. Design force, Fp, must be doubled for vibration isolators with an air gap greater than 0.25 inches as specified in ASCE 7-16, Chapter 13. Housed springs must not be used for seismic restraint applications because they cannot resist uplift.

2.3 BOLTS AND NUTS

Hex head bolts, and heavy hexagon nuts must be ASTM A325 or ASTM A490 bolts and ASTM A563 nuts. Provide bolts and nuts galvanized in accordance with ASTM A153/A153M when used underground or exposed to weather.

2.4 FLEXIBLE JOINTS

Flexible joints must have same pressure and temperature ratings as adjoining pipe. Braided hoses must not be used where there is torsional or axial movement unless manufacturer allows it.

2.4.1 Braided Hose Expansion Joint

Braided hose expansion joint(s) must be installed in the locations indicated on the drawings and as required to accommodate any thermal expansion, contraction or seismic movement of the piping system. Joints must consist of two parallel sections of corrugated metal hose, compatible braid, and 180 degree return bend with inlet and outlet connections. Field fabricated loops are not acceptable. Braided hose expansion joint(s) must be installed in the locations indicated on the drawings and as required to accommodate any thermal expansion, contraction or seismic movement of the piping system. Joints must consist of two parallel sections of corrugated metal hose, compatible braid, and 180 degree return bend with inlet and outlet connections. Field fabricated loops must not be acceptable. Braided hose in a 60 degree flexible V loop arrangement must be used for small diameter pipe connections to coils in variable-air-volume (VAV)terminal units and fan coil units installed in suspended ductwork whether braced or unbraced.

All braided hose expansion joints must be manufactured in accordance with the documented manufacturers weld procedure specifications. The procedure qualification record must be used to document the execution of this procedure and must follow the general "guidelines" of ASME Section IX. Each individual welder must conform to the in-house procedure qualification record and be qualified prior to each production lot. The testing of each individual welder must be documented in a welding procedure qualification record.

2.4.1.1 Corrugated Hose

Corrugated hose must be Type 304 stainless steel . Braid must be Type 304 stainless steel for any series 300 stainless steel hose . Fittings materials of construction and end fitting type must be consistent with pipe material and equipment/ pipe connection fittings. Copper fittings must not be attached to stainless steel hose.

2.4.1.2 Flexible Hose Expansion Loops

Flexible hose expansion loops must have a factory supplied, hanger / support lug located at the bottom of the 180deg return. Flexible hose expansion loop(s) must be rated with an operating pressure which is the

same as the adjoining pipe. The operating pressure must be based on burst pressure with a 4 to 1 safety factor.

2.4.2 Double Ball Flexible Expansion Joint

Install flexible expansion joints manufactured of ductile iron conforming to the material requirements of ASTM A536 and AWWA C153/A21.53 in the locations indicated on the drawings. Provide foundry certification of material upon request. Each flexible expansion joint must be pressure tested prior to shipment against its own restraint to a minimum of 350 psi (250 psi for flexible expansion joints 2 inch and 30 inches diameter and larger.) A minimum 2:1 safety factor, determined from the published pressure rating, must apply. Factory Mutual Approval for the 3 inch through 12 inch sizes is required. Each flexible expansion joint must consist of an expansion joint designed and cast as an integral part of a ball and socket type flexible joint, having a minimum per ball deflection of: 20°, 2" - 12"; 15°, 14" - 36"; 12°, 42"-48" and 4-inches minimum expansion. Additional expansion sleeves must be available and easily added or removed at the factory or in the field. Both standardized mechanical joint and flange end connections must be available.

2.4.2.1 Internal Surfaces

Line all internal surfaces (wetted parts) with a minimum of 15 mils of fusion bonded epoxy conforming to the applicable requirements of AWWA C213. Sealing gaskets must be constructed of EPDM. The coating must meet NSF/ANSI 61.

2.4.2.2 Exterior Surfaces

Coat exterior surfaces with a minimum of 6 mils of fusion bonded epoxy conforming to the applicable requirements of AWWA C116/A21.16. Include appropriately sized polyethylene sleeves, meeting AWWA C105/A21.5, for direct buried applications.

2.4.3 Double Ball Flexible Expansion Joint Gravity Drain (Non-Pressurized)

Flexible expansion joints gravity drain must be installed in the locations indicated on the drawings and must be manufactured of pvc. All connections whether solvent weld or mechanical must be restrained to allow movement to be transferred to expansion joint. Each ball must allow up to 15 degrees deflection.

End connection outside diameters must be compatible with ASTM D1785, ASTM D2665 and ASTM F891 PVC pipe and are to be solvent welded.

2.5 SWAY BRACING MATERIALS

Material used for members listed in this section , must be structural steel conforming with the following:

a. Plates, rods, and rolled shapes, ASTM A36/A36M.

b. Wire rope, ASTM A603 pre-stretched. Class C galv coating Ferrule clamps must be qualified by testing for use in seismic applications per VISCMA 412. A minimum of two clamps are required on each end of wire rope.

c. Tubes, ASTM A500/A500M, Grade B.

d. Pipes, ASTM A53/A53M, Grade B.

e. Angles, ASTM A36/A36M.

f. Channels (Struts) with in-turned lips and associated hardware for fastening to channels at random points conforming to MFMA-4

2.6 MULTIDIRECTIONAL SEISMIC SNUBBERS

Install multidirectional seismic snubbers employing elastomeric pads on floor- or slab-mounted equipment as detailed on drawings. These snubbers must provide 1/4 inch free vertical and horizontal movement from the static deflection point. Snubber medium must consist of multiple pads of cotton duct and neoprene or other suitable materials arranged around a flanged steel trunnion so both horizontal and vertical forces are resisted by the snubber medium.

PART 3 EXECUTION

3.1 COUPLING AND BRACING

a. Submit detail drawings, as specified here and throughout this specification, along with catalog cuts, templates, and erection and installation details, as appropriate, for the items listed. Submittals must be complete in detail; must indicate thickness, type, grade, class of metal, and dimensions; and must show construction details, reinforcement, anchorage, and installation with relation to the building construction.

b. Provide coupling installation conforming to the details shown on the drawings. Provisions of this paragraph apply to all piping within a 5 foot line around outside of building unless buried in the ground. Piping grouped for support on trapeze-type hangers must be braced at the most frequent interval as determined by applying the requirements of this specification to each piping run on the common support.

c. Size bracing components as required for the total load carried by the common supports. Bracing rigidly attached to pipe flanges, or similar, must not be used where it would interfere with thermal expansion of piping.

d. Adjust isolators and restraints after piping systems has been filled and equipment is at its operating weight, following the manufacturer's written instructions.

e. Install cables at a 45-degree slope. Where interference is present, the slope may be minimum of 30 degrees or a maximum of 60 degrees per VISCMA 412.

3.2 BUILDING DRIFT

Provide joints capable of accommodating seismic displacements for vertical piping between floors of the building, where pipes pass through a building seismic or expansion joint, or where rigidly supported pipes connect to equipment with vibration isolators. Provide horizontal piping across expansion joints to accommodate the resultant of the drifts of each building unit in each orthogonal direction. For threaded piping, provide swing joints made of the same piping material. For piping with

manufactured ball joints the seismic drift must be 0.015 feet per foot of height above the base where the seismic separation occurs; this drift value must be used in place of the expansion given in the manufacturer's selection table.

3.3 SWAY BRACES FOR DUCTS

3.3.1 Braced Ducts

Provide bracing details and spacing for rectangular and round ducts in accordance with SMACNA 1981. However, the design seismic loadings for these items must not be less than loadings obtained using the procedures in UFC 3-301-01. Bracing must not attach to duct joints. Use shortest screws possible when penetrating ductwork to minimize airflow noise inside duct.

3.3.2 Unbraced Ducts

Attach hangers for unbraced ducts to the duct within 2 inches of the top of the duct with a minimum of two #10 sheet metal screws in accordance with FEMA P-414. Use shortest screws possible when penetrating ductwork to minimize airflow noise inside duct. Install unbraced ducts with a 6 inch minimum clearance to vertical ceiling hanger wires.

3.4 EQUIPMENT

3.4.1 General

Ensure housekeeping pads have adequate space to mount equipment and seismic restraint devices allowing adequate edge distance and embedment depth for restraint anchor bolts. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength. Install neoprene grommet washers or till the gap with epoxy on equipment anchor bolts where clearance between anchor and equipment support hole exceeds 0.125 inches.

3.4.2 Controls

Ensure that controls for critical equipment that must remain operational after an earthquake are certified per paragraph 3.11 SPECIAL TESTING FOR SEISMIC-RESISTING EQUIPMENT and are served by emergency power as required.

3.5 ANCHOR BOLTS

3.5.1 Cast-in-Place Anchor Bolts

Use templates to locate cast-in-place bolts accurately and securely in formwork. Anchor bolts must have an embedded straight length equal to at least 12 times nominal diameter of the bolt. Anchor bolts that exceed the normal depth of equipment foundation piers or pads must either extend into concrete floor or the foundation or be increased in depth to accommodate bolt lengths.

3.5.2 Drilled-In Anchor Bolts

Drill holes with rotary impact hammer drills Drill bits must be of diameters as specified by the anchor manufacturer. Unless otherwise shown on the Drawings, all holes must be drilled perpendicular to the concrete

surface. Where anchors are permitted to be installed in cored holes, use core bits with matched tolerances as specified by the manufacturer. Properly clean cored hole per manufacturer's instructions. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Exercise care in coring or drilling to avoid damaging existing reinforcing or embedded items. Notify the COR if reinforcing steel or other embedded items are encountered during drilling. Take precautions as necessary to avoid damaging prestressing tendons, electrical and telecommunications conduit, and gas lines. Unless otherwise specified, do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength. Perform anchor installation in accordance with manufacturer instructions.

3.5.2.1 Wedge Anchors, Heavy-Duty Sleeve Anchors, and Undercut Anchors

Protect threads from damage during anchor installation. Heavy-duty sleeve anchors must be installed with sleeve fully engaged in part to be fastened. Set anchors to manufacturer's recommended torque, using a torque wrench. Following attainment of 10% of the specified torque, 100% of the specified torque must be reached within 7 or fewer complete turns of the nut. If the specified torque is not achieved within the required number of turns, the anchor must be removed and replaced unless otherwise directed by the Engineer.

3.5.2.2 Cartridge Injection Adhesive Anchors

Where approved for seismic application, clean all holes per manufacturer instructions to remove loose material and drilling dust prior to installation of adhesive. Inject adhesive into holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive. Follow manufacturer recommendations to ensure proper mixing of adhesive components. Sufficient adhesive must be injected in the hole to ensure that the annular gap is filled to the surface. Remove excess adhesive from the surface. Shim anchors with suitable device to center the anchor in the hole. Do not disturb or load anchors before manufacturer specified cure time has elapsed.

3.5.2.3 Capsule Anchors

Where approved for seismic application, perform drilling and setting operations in accordance with manufacturer instructions. Clean all holes to remove loose material and drilling dust prior to installation of adhesive. Remove water from drilled holes in such a manner as to achieve a surface dry condition. Capsule anchors must be installed with equipment conforming to manufacturer recommendations. Do not disturb or load anchors before manufacturer specified cure time has elapsed.

Observe manufacturer recommendations with respect to installation temperatures for cartridge injection adhesive anchors and capsule anchors.

3.6 ANCHOR BOLT TESTING

Test in place expansion and chemically bonded anchors not more than 24 hours after installation of the anchor, conducted by an independent testing agency; testing must be performed on random anchor bolts as described below.

3.6.1 Torque Wrench Testing

Perform torque wrench testing on not less than 50 percent of the total installed applied torque expansion anchors and at least one anchor for every piece of equipment containing more than two anchors. The test torque must equal the minimum required installation torque as required by the bolt manufacturer. Calibrate torque wrenches at the beginning of each day the torque tests are performed. Recalibrate torque wrenches for each bolt diameter whenever tests are run on bolts of various diameters. Apply torque between 20 and 100 percent of wrench capacity. Reach the test torque within one half turn of the nut, except for 3/8 inch sleeve anchors which must reach their torque by one quarter turn of the nut. If any anchor fails the test, test similar anchors not previously tested until 20 consecutive anchors pass. Failed anchors must be retightened and retested to the specified torque; if the anchor still fails the test it must be replaced.

3.6.2 Pullout Testing

Test expansion and chemically bonded anchors by applying a pullout load using a hydraulic ram attached to the anchor bolt. Testing must be in accordance with ASTM E488/E488M or ICC ES AC193. At least 10 percent of each type and size of anchors, but not less than 3 per day must be tested. Apply the load to the anchor without removing the nut; when that is not possible, the nut must be removed and a threaded coupler must be installed of the same tightness as the original nut. Check the test setup to verify that the anchor is not restrained from withdrawing by the baseplate, the test fixture, or any other fixtures. The support for the testing apparatus must be at least 1.5 times the embedment length away from the bolt being tested. Load each tested anchor to 1 times the design tension value for the anchor. The anchor must have no observable movement at the test load. If any anchor fails the test, similar type and size anchors not previously tested must be tested until 10 percent of those type consecutive anchors pass. Remove and replace failed anchors. Fill empty anchor holes and patch failed anchor locations with high-strength non-shrink, nonmetallic grout.

-- End of Section --

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SECTION 23 05 93

TESTING, ADJUSTING, AND BALANCING FOR HVAC
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 62.1	(2022) Ventilation for Acceptable Indoor Air Quality

ASSOCIATED AIR BALANCE COUNCIL (AABC)

AABC MN-1	(2002; 6th ed) National Standards for Total System Balance
AABC MN-4	(1996) Test and Balance Procedures

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB MASV	(2006) Procedural Standards for Measurements and Assessment of Sound and Vibration
NEBB PROCEDURAL STANDARDS	(2015) Procedural Standards for TAB (Testing, Adjusting and Balancing) Environmental Systems

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1780	(2002) HVAC Systems - Testing, Adjusting and Balancing, 3rd Edition
SMACNA 1858	(2004) HVAC Sound And Vibration Manual - First Edition
SMACNA 1972 CD	(2012) HVAC Air Duct Leakage Test Manual - 2nd Edition

1.2 DEFINITIONS

a. AABC: Associated Air Balance Council

b. COTR: Contracting Officer's Technical Representative

c. DALT: Duct air leakage test

d. DALT'd: Duct air leakage tested

e. HVAC: Heating, ventilating, and air conditioning; or heating, ventilating, and cooling

f. NEBB: National Environmental Balancing Bureau

g. Out-of-tolerance data: Pertains only to field acceptance testing of Final DALT or TAB report. When applied to DALT work, this phase means "a leakage rate measured during DALT field acceptance testing which exceeds the leakage rate allowed by Appendix D REQUIREMENTS FOR DUCT AIR LEAK TESTING." When applied to TAB work this phase means "a measurement taken during TAB field acceptance testing which does not fall within the range of plus 5 to minus 5 percent of the original measurement reported on the TAB Report for a specific parameter."

h. Season of maximum heating load: The time of year when the outdoor temperature at the project site remains within plus or minus 30 degrees Fahrenheit of the project site's winter outdoor design temperature, throughout the period of TAB data recording.

i. Season of maximum cooling load: The time of year when the outdoor temperature at the project site remains within plus or minus 5 degrees Fahrenheit of the project site's summer outdoor design temperature, throughout the period of TAB data recording.

j. Season 1, Season 2: Depending upon when the project HVAC is completed and ready for TAB, Season 1 is defined, thereby defining Season 2. Season 1 could be the season of maximum heating load, or the season of maximum cooling load.

k. Sound measurements terminology: Defined in AABC MN-1, NEBB MASV, or SMACNA 1858 (TABB).

l. TAB: Testing, adjusting, and balancing (of HVAC systems)

m. TAB'd: HVAC Testing/Adjusting/Balancing procedures performed

n. TAB Agency: TAB Firm

o. TAB team field leader: TAB team field leader

p. TAB team supervisor: TAB team engineer

q. TAB team technicians: TAB team assistants

r. TABB: Testing Adjusting and Balancing Bureau

1.2.1 Similar Terms

In some instances, terminology differs between the Contract and the TAB Standard primarily because the intent of this Section is to use the industry standards specified, along with additional requirements listed herein to produce optimal results.

The following table of similar terms is provided for clarification only. Contract requirements take precedent over the corresponding AABC, NEBB, or TABB requirements where differences exist.

SIMILAR TERMS			
Contract Term	AABC Term	NEBB Term	TABB Term
TAB Standard	National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems	Procedural Standards for Testing, Adjusting and Balancing of Environmental Systems	International Standards for Environmental Systems Balance
TAB Specialist	TAB Engineer	TAB Supervisor	TAB Supervisor
Systems Readiness Check	Construction Phase Inspection	Field Readiness Check & Preliminary Field Procedures	Field Readiness Check & Prelim. Field Procedures

1.3 WORK DESCRIPTION

The work includes duct air leakage testing (DALT) and testing, adjusting, and balancing (TAB) of new heating, ventilating, and cooling (HVAC) air distribution systems including equipment and performance data, ducts, and piping which are located within, on, under, between, and adjacent to buildings.

Perform TAB in accordance with the requirements of the TAB procedural standard recommended by the TAB trade association that approved the TAB Firm's qualifications. Comply with requirements of AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 (TABB) as supplemented and modified by this specification section. All recommendations and suggested practices contained in the TAB procedural standards are considered mandatory.

1.3.1 Air Distribution Systems

Test, adjust, and balance systems (TAB) in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to exterior of air distribution systems as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

1.3.2 TAB SCHEMATIC DRAWINGS

Show the following information on TAB Schematic Drawings:

1. A unique number or mark for each piece of equipment or terminal.

2. Air quantities at air terminals.

3. Air quantities and temperatures in air handling unit schedules.

4. Water quantities and temperatures in thermal energy transfer equipment schedules.

5. Water quantities and heads in pump schedules.

6. Water flow measurement fittings and balancing fittings.

7. Ductwork Construction and Leakage Testing Table that defines the DALT test requirements, including each applicable HVAC duct system ID or mark, duct pressure class, duct seal class, and duct leakage test pressure. This table is included in the file for Graphics for Unified Facilities Guide Specifications: http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-gra

The Testing, Adjusting, and Balancing (TAB) Specialist must review the Contract Plans and Specifications and advise the Contracting Officer of any deficiencies that would prevent the effective and accurate TAB of the system and systems readiness check. The TAB Specialist must provide a Design Review Report individually listing each deficiency and the corresponding proposed corrective action necessary for proper system operation.

Submit three copies of the TAB Schematic Drawings and Report Forms to the Contracting Officer, no later than 21 days prior to the start of TAB field measurements.

1.3.3 Related Requirements

Requirements for price breakdown of HVAC TAB work are specified in Section 01 20 00 PRICE AND PAYMENT PROCEDURES.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Independent TAB Agency and Personnel Qualifications; G

TAB Design Review Report; G

SD-02 Shop Drawings

TAB Schematic Drawings and Report Forms; G

SD-03 Product Data

Equipment and Performance Data; G

TAB Related HVAC Submittals; G

A list of the TAB Related HVAC Submittals, no later than 7 days after the approval of the TAB team engineer and assistant.

SD-06 Test Reports

Completed Pre-Final DALT Report; G

Certified Final DALT Report; G

Prerequisite HVAC Work Checkout List For Proportional Balancing; G

Certified Final TAB Report for Proportional Balancing; G

Prerequisite HVAC Work Checkout List For Season 1; G

Certified Final TAB Report for Season 1; G

Prerequisite HVAC Work Checkout List For Season 2; G

Certified Final TAB Report for Season 2; G

SD-07 Certificates

Independent TAB Agency and Personnel Qualifications; G

DALT and TAB Submittal and Work Schedule; G

TAB Pre-Field Engineering Report; G

Instrument Calibration Certificates; G

DALT and TAB Procedures Summary; G

Completed Pre-Final DALT Work Checklist; G

Advance Notice of Pre-Final DALT Field Work; G

Advance Notice of TAB Field Work for Proportional Balancing; G

Advance Notice of TAB Field Work for Season 1; G

Advance Notice of TAB Field Work for Season 2 G

1.5 QUALITY ASSURANCE

1.5.1 Independent TAB Agency and Personnel Qualifications

To secure approval for the proposed agency, submit information certifying that the TAB agency is a first tier subcontractor who is not affiliated with any other company participating in work on this contract, including design, furnishing equipment, or construction. Further, submit the following, for the agency, to Contracting Officer for approval:

a. Independent AABC or NEBB or TABB TAB agency:

TAB agency: AABC registration number and expiration date of current certification; or NEBB certification number and expiration date of current certification; or TABB certification number and expiration date of current certification.

TAB team supervisor: Name and copy of AABC or NEBB or TABB TAB supervisor certificate and expiration date of current certification.

TAB team field leader: Name and documented evidence that the team field leader has satisfactorily performed full-time supervision of TAB work in the field for not less than 3 years immediately preceding this contract's bid opening date.

TAB team field technicians: Names and documented evidence that each field technician has satisfactorily assisted a TAB team field leader in performance of TAB work in the field for not less than one year immediately preceding this contract's bid opening date.

Current certificates: Registrations and certifications are current, and valid for the duration of this contract. Renew Certifications which expire prior to completion of the TAB work, in a timely manner so that there is no lapse in registration or certification. TAB agency or TAB team personnel without a current registration or current certification are not to perform TAB work on this contract.

b. TAB Team Members: TAB team approved to accomplish work on this contract are full-time employees of the TAB agency. No other personnel is allowed to do TAB work on this contract.

c. Replacement of TAB team members: Replacement of members may occur if each new member complies with the applicable personnel qualifications and each is approved by the Contracting Officer.

1.5.1.1 TAB Standard

Perform TAB in accordance with the requirements of the standard under which the TAB Firm's qualifications are approved, i.e., AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 unless otherwise specified herein. All recommendations and suggested practices contained in the TAB Standard are considered mandatory. Use the provisions of the TAB Standard, including checklists, report forms, etc., as nearly as practical, to satisfy the Contract requirements. Use the TAB Standard for all aspects of TAB, including qualifications for the TAB Firm and Specialist and calibration of TAB instruments. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the TAB Standard, adhere to the manufacturer's recommendations.

All quality assurance provisions of the TAB Standard such as performance guarantees are part of this contract. For systems or system components not covered in the TAB Standard, TAB procedures must be developed by the TAB Specialist. Where new procedures, requirements, etc., applicable to the Contract requirements have been published or adopted by the body responsible for the TAB Standard used (AABC, NEBB, or TABB), the requirements and recommendations contained in these procedures and requirements are considered mandatory, including the latest requirements of ASHRAE 62.1.

1.5.1.2 Qualifications

a. TAB Firm

The TAB Firm must be either a member of AABC or certified by the NEBB or the TABB and certified in all categories and functions where measurements or performance are specified on the plans and specifications, including building systems commissioning .

Certification must be maintained for the entire duration of duties specified herein. If, for any reason, the firm loses subject certification during this period, the Contractor must immediately notify the Contracting Officer and submit another TAB Firm for

approval. Any firm that has been the subject of disciplinary action by either the AABC, the NEBB, or the TABB within the five years preceding Contract Award is not be eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections to be performed by the TAB Firm will be considered invalid if the TAB Firm loses its certification prior to Contract completion and must be performed by an approved successor.

These TAB services are to assist the prime Contractor in performing the quality oversight for which it is responsible. The TAB Firm must be a prime subcontractor of the Contractor and be financially and corporately independent of the mechanical subcontractor, reporting directly to and paid by the Contractor.

b. TAB Specialist

The TAB Specialist must be either a member of AABC,an experienced technician of the Firm certified by the NEBB, or a Supervisor certified by the TABB. The certification must be maintained for the entire duration of duties specified herein. If, for any reason, the Specialist loses subject certification during this period, immediately notify the Contracting Officer and submit another TAB Specialist for approval. Any individual that has been the subject of disciplinary action by either the AABC, the NEBB, or the TABB within the five years preceding Contract Award is not eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections performed by the TAB Specialist will be considered invalid if the TAB Specialist loses its certification prior to Contract completion and must be performed by the approved successor.

c. TAB Specialist Responsiblities

TAB Specialist responsibilities include all TAB work specified herein and in related sections under his direct guidance. The TAB specialist is required to be onsite on a daily basis to direct TAB efforts. The TAB Specialist must participate in the commissioning process.

1.5.1.3 TAB Related HVAC Submittals

The TAB Specialist must prepare a list of the submittals from the Contract Submittal Register that relate to the successful accomplishment of all HVAC TAB. Accompany the submittals identified on this list with a letter of approval signed and dated by the TAB Specialist when submitted to the Government. Ensure that the location and details of ports, terminals, connections, etc., necessary to perform TAB are identified on the submittals.

1.5.2 Responsibilities

The Contractor is responsible for ensuring compliance with the requirements of this section. The following delineation of specific work responsibilities is specified to facilitate TAB execution of the various work efforts by personnel from separate organizations. This breakdown of specific duties is specified to facilitate adherence to the schedule listed in the paragraph TAB SUBMITTAL AND WORK SCHEDULE.

1.5.2.1 Contractor

a. TAB personnel: Ensure that the DALT work and the TAB work is accomplished by a group meeting the requirements specified in the paragraph TAB PERSONNEL QUALIFICATION REQUIREMENTS.

b. Pre-DALT/TAB meeting: Attend the meeting with the TAB Supervisor, and ensure that a representative is present for the sheetmetal contractor, mechanical contractor, electrical contractor, and automatic temperature controls contractor.

c. HVAC documentation: Furnish one complete set of the following HVAC-related documentation to the TAB agency:

(1) Contract drawings and specifications

(2) Approved submittal data for equipment

(3) Construction work schedule

(4) Up-to-date revisions and change orders for the previously listed items

d. Submittal and work schedules: Ensure that the schedule for submittals and work required by this section and specified in the paragraph TAB SUBMITTAL AND WORK SCHEDULE is met.

e. Coordination of supporting personnel:

Provide the technical personnel, such as factory representatives or HVAC controls installer required by the TAB field team to support the DALT and the TAB field measurement work.

Provide equipment mechanics to operate HVAC equipment and ductwork mechanics to provide the field designated test ports to enable TAB field team to accomplish the DALT and the TAB field measurement work. Ensure these support personnel are present at the times required by the TAB team, and cause no delay in the DALT and the TAB field work.

Conversely, ensure that the HVAC controls installer has required support from the TAB team field leader to complete the controls check out.

f. Deficiencies: Ensure that the TAB Agency supervisor submits all Design/Construction deficiency notifications directly to the Contracting officer within 3 days after the deficiency is encountered. Further, ensure that all such notification submittals are complete with explanation, including documentation, detailing deficiencies.

g. Prerequisite HVAC work: Complete check out and debugging of HVAC equipment, ducts, and controls prior to the TAB engineer arriving at the project site to begin the TAB work. Debugging includes searching for and eliminating malfunctioning elements in the HVAC system installations, and verifying all adjustable devices are functioning as designed. Include as prerequisite work items, the deficiencies pointed out by the TAB team supervisor in the design review report.

h. Prior to the TAB field team's arrival, ensure completion of the

applicable inspections and work items listed in the TAB team supervisor's pre-field engineering report. Do not allow the TAB team to commence TAB field work until all of the following are completed.

(1) HVAC system installations are fully complete.

(2) HVAC prerequisite checkout work lists specified in the paragraph PRE-FIELD TAB ENGINEERING REPORT are completed, submitted, and approved. Ensure that the TAB Agency gets a copy of the approved prerequisite HVAC work checklist.

(3) DALT field checks for all systems are completed.

(4) HVAC system filters are clean for both Season 1 and Season 2 TAB field work.

i. Advance notice: Furnish to the Contracting Officer with advance written notice for the commencement of the DALT field work and for the commencement of the TAB field work.

j. Insulation work: For required DALT work , ensure that insulation is not installed on ducts to be DALT'd until DALT work on the subject ducts is complete. Later, ensure that openings in duct and machinery insulation coverings for TAB test ports are marked, closed and sealed.

1.5.2.2 TAB Agency

Provide the services of a TAB team which complies with the requirements of the paragraph INDEPENDENT TAB AGENCY PERSONNEL QUALIFICATIONS. The work to be performed by the TAB agency is limited to testing, adjusting, and balancing of HVAC air and water systems to satisfy the requirements of this specification section.

1.5.2.3 TAB Team Supervisor

a. Overall management: Supervise and manage the overall TAB team work effort, including preliminary and technical DALT and TAB procedures and TAB team field work.

b. Pre-DALT/TAB meeting: Attend meeting with Contractor.

c. Design review report: Review project specifications and accompanying drawings to verify that the air systems are designed in such a way that the TAB engineer can accomplish the work in compliance with the requirements of this section. Verify the presence and location of permanently installed test ports and other devices needed, including gauge cocks, thermometer wells, flow control devices, circuit setters, balancing valves, and manual volume dampers.

d. Support required: Specify the technical support personnel required from the Contractor other than the TAB agency; such as factory representatives for temperature controls or for complex equipment. Inform the Contractor in writing of the support personnel needed and when they are needed. Furnish the notice as soon as the need is anticipated, either with the design review report, or the pre-field engineering report, the during the DALT or TAB field work.

e. Pre-field DALT preliminary notification: Monitor the completion of the duct installation of each system and provide the necessary written

notification to the Contracting Officer.

f. Pre-field engineering report: Utilizing the following HVAC-related documentation; contract drawings and specifications, approved submittal data for equipment, up-to-date revisions and change orders; prepare this report.

g. Prerequisite HVAC work checklist: Ensure the Contractor gets a copy of this checklist at the same time as the pre-field engineering report is submitted.

h. Technical assistance for DALT work.

(1) Technical assistance: Provide immediate technical assistance to TAB field team.

(2) DALT field visit: Near the end of the DALT field work effort, visit the contract site to inspect the HVAC installation and the progress of the DALT field work. Conduct a site visit to the extent necessary to verify correct procedures are being implemented and to confirm the accuracy of the Pre-final DALT Report data which has been reported. Also, perform sufficient evaluation to allow the TAB supervisor to issue certification of the final report. Conduct the site visit full-time for a minimum of one 8 hour workday duration.

i. Final DALT report: Certify the DALT report. This certification includes the following work:

(1) Review: Review the Pre-final DALT report data. From these field reports, prepare the Certified Final DALT report.

(2) TAB Verification: Verify adherence, by the TAB field team, to the procedures specified in this section.

j. Technical Assistance for TAB Work: Provide immediate technical assistance to the TAB field team for the TAB work.

(1) TAB field visit: Near the end of the TAB field work effort, visit the contract site to inspect the HVAC installation and the progress of the TAB field work. Conduct site visit full-time for a minimum of one 8 hour workday duration. Review the TAB final report data and certify the TAB final report.

k. Certified TAB report: Certify the TAB report. This certification includes the following work:

(1) Review: Review the TAB field data report. From this field report, prepare the certified TAB report.

(2) Verification: Verify adherence, by the TAB field team, to the TAB plan prescribed by the pre-field engineering report and verify adherence to the procedures specified in this section.

l. Design/Construction deficiencies: Within 3 working days after the TAB Agency has encountered any design or construction deficiencies, the TAB Supervisor must submit written notification directly to the Contracting Officer, with a separate copy to the Contractor, of all

such deficiencies. Provide in this submittal a complete explanation, including supporting documentation, detailing deficiencies. Where deficiencies are encountered that are believed to adversely impact successful completion of TAB, the TAB Agency must issue notice and request direction in the notification submittal.

m. TAB Field Check: The TAB team supervisor must attend and supervise Season 1 and Season 2 TAB field check.

1.5.2.4 TAB Team Field Leader

a. Field manager: Manage, in the field, the accomplishment of the work specified in Part 3, EXECUTION.

b. Full time: Be present at the contract site when DALT field work or TAB field work is being performed by the TAB team; ensure day-to-day TAB team work accomplishments are in compliance with this section.

c. Prerequisite HVAC work: Do not bring the TAB team to the contract site until a copy of the prerequisite HVAC Checklist, with all work items certified by the Contractor to be working as designed, reaches the office of the TAB Agency.

1.5.3 Sequencing and Scheduling

1.5.3.1 DALT and TAB Submittal and Work Schedule

Comply with additional requirements specified in Appendix C: DALT AND TAB SUBMITTAL AND WORK SCHEDULE included at the end of this section.

Submit this schedule,and TAB Schematic Drawings, adapted for this particular contract, to the Contracting Officer (CO) for review and approval. Include with the submittal the planned calendar dates for each submittal or work item. Resubmit an updated version for CO approval every 90 calendar days. Compliance with the following schedule is the Contractor's responsibility.

Qualify TAB Personnel: Within 45 calendar days after date of contract award, submit TAB agency and personnel qualifications.

Pre-DALT/TAB Meeting: Within 30 calendar days after the date of approval of the TAB agency and personnel, meet with the COTR.

Design Review Report: Within 60 calendar days after the date of the TAB agency personnel qualifications approval, submit design review report.

Pre-Field DALT Preliminary Notification: On completion of the duct installation for each system, notify the Contracting Officer in writing within 5 days after completion.

Ductwork Selected for DALT: Within 7 calendar days of Pre-Field DALT Preliminary Notification, the COTR will select which of the project ductwork must be DALT'd.

DALT Field Work: Within 48 hours of COTR's selection, complete DALT field work on selected.

Submit Pre-final DALT Report: Within one working day after completion

of DALT field work, submit Pre-final DALT Report. Separate Pre-final DALT reports may be submitted to allow phased testing from system to system.

DALT Work Field Check: Upon approval of the Pre-final DALT Report, schedule the COTR's DALT field check work with the Contracting Officer.

Submit Final DALT Report: Within 15 calendar days after completion of successful DALT Work Field Check, submit Season 1 TAB report.

Pre-Field TAB Engineering Report: Within 15 calendar days after approval of the TAB agency Personnel Qualifications, submit the Pre-Field TAB Engineering Report.

Prerequisite HVAC Work Check Out List For Season 1 and Advanced Notice For Season 1 TAB Field Work: At a minimum of 115 calendar days prior to CCD, submit Season 1 prerequisite HVAC work check out list certified as complete, and submit advance notice of commencement of Season 1 TAB field work.

Season 1 TAB Field Work: At a minimum of 90 calendar days prior to CCD, and when the ambient temperature is within Season 1 limits, accomplish Season 1 TAB field work; submit Season 1 TAB report; and conduct Season 1 field check.

Complete Season 1 TAB Work: Prior to CCD, complete all TAB work except Season 2 TAB work.

Prerequisite HVAC Work Check Out List For Season 2 and Advanced Notice For Season 2 TAB Field Work: Within 150 calendar days after date of the commencement of the Season 1 TAB field work, submit the Season 2 prerequisite HVAC work check out list certified as complete and submit advance notice of commencement of Season 2 TAB field work.

Season 2 TAB Field Work: Within 180 calendar days after date of commencement of the Season 1 TAB field work and when the ambient temperature is within Season 2 limits, accomplish Season 2 TAB field work; submit Season 2 TAB report; and conduct Season 2 field check.

Complete Season 2 TAB Work: Within 15 calendar days after the completion of Season 2 field data check, complete TAB work.

a. TAB Design Review Report

Submit typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the duct leakage testing work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. State that no deficiencies are evident if that is the case.

b. Pre-Field DALT Preliminary Notification

Notification: On completion of the installation of each duct system indicated to be DALT'd, notify the Contracting Officer in writing within 7 calendar days after completion.

1.5.3.2 TAB Pre-Field Engineering Report

Submit report containing the following information:

a. Step-by-step TAB procedure:

(1) Strategy: Describe the method of approach to the TAB field work from start to finish. Include in this description a complete methodology for accomplishing each seasonal TAB field work session.

(2) Air System Diagrams: Use the contract drawings and duct fabrication drawings if available to provide air system diagrams in the report showing the location of all terminal outlet supply, return, exhaust and transfer registers, grilles and diffusers. Use a key numbering system on the diagrams which identifies each outlet contained in the outlet airflow report sheets. Show intended locations of all traverses and static pressure readings.

(3) Procedural steps: Delineate fully the intended procedural steps to be taken by the TAB field team to accomplish the required TAB work of each air distribution system and each water distribution system. Include intended procedural steps for TAB work for subsystems and system components.

b. Pre-field data: Submit AABC or NEBB or SMACNA 1780 data report forms with the following pre-field information filled in:

(1) Design data obtained from system drawings, specifications, and approved submittals.

(2) Notations detailing additional data to be obtained from the contract site by the TAB field team.

(3) Designate the actual data to be measured in the TAB field work.

(4) Provide a list of the types of instruments, and the measuring range of each, which are anticipated to be used for measuring in the TAB field work. By means of a keying scheme, specify on each TAB data report form submitted, which instruments will be used for measuring each item of TAB data. If the selection of which instrument to use, is to be made in the field, specify from which instruments the choice will be made. Place the instrument key number in the blank space where the measured data would be entered.

c. Prerequisite HVAC work checkout list: Provide a list of inspections and work items which are to be completed by the Contractor. This list must be acted upon and completed by the Contractor and then submitted and approved by the Contracting Officer prior to the TAB team coming to the contract site.

At a minimum, a list of the applicable inspections and work items listed in the NEBB PROCEDURAL STANDARDS, Section III, "Preliminary TAB Procedures" under paragraphs titled, "Air Distribution System Inspection" and "Hydronic Distribution System Inspection" must be provided for each separate system to be TAB'd.

1.5.4 Subcontractor Special Requirements

Perform all work in this section in accordance with the paragraph

SUBCONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS, stating that all contract requirements of this section must be accomplished directly by a first tier subcontractor. No work may be performed by a second tier subcontractor.

1.5.5 Instrument Calibration Certificates

It is the responsibility of the TAB firm to provide instrumentation that meets the minimum requirements of the standard under which the TAB Firm's qualifications are approved for use on a project. Instrumentation must be in proper operating condition and must be applied in accordance with the instrumentation's manufacturer recommendations.

All instrumentation must bear a valid NIST traceable calibration certificate during field work and during government acceptance testing. All instrumentation must be calibrated within no later than one year of the date of TAB work or government acceptance testing field work.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 WORK DESCRIPTIONS OF PARTICIPANTS

Comply with requirements of this section as specified in Appendix A WORK DESCRIPTIONS OF PARTICIPANTS.

3.2 PRE-DALT/TAB MEETING

Meet with the Contracting Officer's technical representative (COTR) to develop a mutual understanding relative to the details of the DALT work and TAB work requirements. Ensure that the TAB supervisor is present at this meeting. Requirements to be discussed include required submittals, work schedule, and field quality control.

3.3 DALT PROCEDURES

3.3.1 Instruments, Consumables and Personnel

Provide instruments, consumables and personnel required to accomplish the DALT field work. Follow the same basic procedure specified below for TAB Field Work, including maintenance and calibration of instruments, accuracy of measurements, preliminary procedures, field work, workmanship and treatment of deficiencies. Calibrate and maintain instruments in accordance with manufacturer's written procedures.

3.3.2 Advance Notice of Pre-Final DALT Field Work

On completion of the installation of each duct system indicated to be DALT'd, notify the Contracting Officer in writing prior to the COTR's duct selection field visit.

3.3.3 Ductwork To Be DALT'd

From each duct system indicated as subject to DALT, the COTR will randomly select sections of each completed duct system for testing by the Contractor's TAB Firm. The sections selected will not exceed 20 percent

of the total measured linear footage of duct systems indicated as subject to DALT. Sections of duct systems subject to DALT will include 20 percent of main ducts, branch main ducts, branch ducts and plenums for supply, return, exhaust, and plenum ductwork.

It is acceptable for an entire duct system to be DALT'd instead of disassembling that system in order to DALT only the 20 percent portion specified above.

3.3.4 DALT Testing

Perform DALT on the HVAC duct sections of each system as selected by the COTR. Use the duct class, seal class, leakage class and the leak test pressure data indicated on the drawings, to comply with the procedures specified in SMACNA 1972 CD.

In spite of specifications of SMACNA 1972 CD to the contrary, DALT ductwork of construction class of 3-inch water gauge static pressure and below if indicated to be DALT'd. Complete DALT work on the COTR selected ductwork within 48 hours after the particular ductwork was selected for DALT. Separately conduct DALT work for large duct systems to enable the DALT work to be completed in 48 hours.

3.3.5 Completed Pre-Final DALT Report

After completion of the DALT work, prepare a Pre-final DALT Report meeting the additional requirements specified in Appendix B REPORTS - DALT and TAB. Data required by those data report forms shall be furnished by the TAB team. Prepare the report neatly and legibly; the Pre-final DALT report shall provide the basis for the Final DALT Report.

TAB supervisor shall review, approve and sign the Pre-Final DALT Report and submit this report within one day of completion of DALT field work. Verbally notify the COTR that the field check of the Pre-Final DALT Report data can commence.

3.3.6 Quality Assurance - COTR DALT Field Acceptance Testing

In the presence of the COTR and TAB team field leader, verify for accuracy Pre-final DALT Report data selected by the COTR. For each duct system, this acceptance testing shall be conducted on a maximum of 50 percent of the duct sections DALT'd.

Further, if any data on the Pre-final DALT report form for a given duct section is out-of-tolerance, then field acceptance testing shall be conducted on data for one additional duct section, preferably in the same duct system, in the presence of the COTR.

3.3.7 Additional COTR Field Acceptance Testing

If any of the duct sections checked for a given system are determined to have a leakage rate measured that exceeds the leakage rate allowed by SMACNA Leak Test Manual for an indicated duct construction class and sealant class, terminate data checking for that section. The associated Pre-final DALT Report data for the given duct system will be disapproved. Make the necessary corrections and prepare a revised Pre-final DALT Report. Reschedule a field check of the revised report data with the COTR.

3.3.8 Certified Final DALT Report

On successful completion of all field checks of the Pre-Final DALT Report data for all systems, the TAB Supervisor shall assemble, review, approve, sign and submit the Final DALT Report in compliance with Appendix B REPORTS - DALT and TAB to the Contracting Officer for approval.

3.3.9 Prerequisite for TAB Field Work

Do not commence TAB field work prior to the completion and approval, for all systems, of the Final DALT Report.

3.4 TAB PROCEDURES

3.4.1 TAB Field Work

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents.

That is, comply with the the requirements of AABC MN-1 or SMACNA 1780 (TABB) and SMACNA 1858 (TABB),except as supplemented and modified by this section.

Test, adjust, and balance the HVAC systems until measured flow rates are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. Conduct TAB work, including measurement accuracy, and sound measurement work in conformance with the AABC MN-1 and AABC MN-4, or NEBB TABES and NEBB MASV, or SMACNA 1780 (used by TABB) and SMACNA 1858 sound measurement procedures, except as supplemented and modified by this section. The only air flow reporting which can be deferred until the Season 2 is that data which would be affected in terms of accuracy due to outside ambient conditions.

3.4.2 Preliminary Procedures

Use the approved pre-field engineering report as instructions and procedures for accomplishing TAB field work. TAB engineer is to locate, in the field, test ports required for testing. It is the responsibility of the sheet metal contractor to provide and install test ports as required by the TAB engineer.

3.4.3 TAB Air Distribution Systems

3.4.3.1 Units With Coils

Report heating and cooling performance capacity tests for hot water, chilled water, DX and steam coils for the purpose of verifying that the coils meet the indicated design capacity. Submit the following data and calculations with the coil test reports:

a. For air handlers with capacities greater than 7.5 tons (90,000 Btu) cooling, such as factory manufactured units, central built-up units and rooftop units, conduct capacity tests in accordance with AABC MN-4, procedure 3.5, "Coil Capacity Testing."

   Do not determine entering and leaving wet and dry bulb temperatures by single point measurement, but by the average of multiple readings in

compliance with paragraph 3.5-5, "Procedures", (in subparagraph d.) of AABC MN-4, Procedure 3.5, "Coil Capacity Testing."

Submit part-load coil performance data from the coil manufacturer converting test conditions to design conditions; use the data for the purpose of verifying that the coils meet the indicated design capacity in compliance with AABC MN-4, Procedure 3.5, "Coil Capacity Testing," paragraph 3.5.7, "Actual Capacity Vs. Design Capacity" (in subparagraph c.).

b. For units with capacities of 7.5 tons (90,000 Btu) or less, such as fan coil units, duct mounted reheat coils associated with VAV terminal units, and unitary units, such as through-the-wall heat pumps:

Determine the apparent coil capacity by calculations using single point measurement of entering and leaving wet and dry bulb temperatures; submit the calculations with the coil reports.

3.4.3.2 Air Handling Units

Air handling unit systems including fans (air handling unit fans, exhaust fans and winter ventilation fans), coils, ducts, plenums, mixing boxes, terminal units, variable air volume boxes, and air distribution devices for supply air, return air, outside air, mixed air relief air, and makeup air.

3.4.3.3 Rooftop Air Conditioning

Rooftop air conditioning systems including fans, coils, ducts, plenums, and air distribution devices for supply air, return air, and outside air.

For refrigeration compressors/condensers/condensing units/evaporators, report data as required by NEBB, AABC, and TABB standard procedures, including refrigeration operational data.

3.4.3.4 Exhaust Fans

Exhaust fan systems including fans, ducts, plenums, grilles, and hoods for exhaust air.

3.4.4 TAB Work on Performance Tests With Seasonal Limitations

3.4.4.1 Performance Tests

Accomplish proportional balancing TAB work on the air distribution systems, in other words, accomplish adjusting and balancing of the air flows, any time during the duration of this contract, subject to the limitations specified elsewhere in this section. However, accomplish, within the following seasonal limitations, TAB work on HVAC systems which directly transfer thermal energy.

3.4.4.2 Season Of Maximum Load

Visit the contract site for at least two TAB work sessions for Season 1 and Season 2 field measures. Visit the contract site during the season of maximum heating load and visit the contract site during the season of maximum cooling load, the goal being to TAB the operational performance of the heating systems and cooling systems under their respective maximum outdoor environment-caused loading. During the seasonal limitations, TAB

the operational performance of the heating systems and cooling systems.

3.4.4.3 Ambient Temperatures

On each tab report form used for recording data, record the outdoor and indoor ambient dry bulb temperature range and the outdoor and indoor ambient wet bulb temperature range within which the report form's data was recorded. Record these temperatures at beginning and at the end of data taking.

3.4.4.4 Sound Measurements

Comply with the paragraph SOUND MEASUREMENT WORK, specifically, the requirement that a room must be operating in its noisiest mode at the time of sound measurements in the room. The maximum noise level measurements could depend on seasonally related heat or cooling transfer equipment.

3.4.5 Workmanship

Conduct TAB work on the HVAC systems until measured flow rates are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. This TAB work includes adjustment of balancing valves, balancing dampers, and sheaves. Further, this TAB work includes changing out fan sheaves and pump impellers if required to obtain air flow rates specified or indicated. If, with these adjustments and equipment changes, the specified or indicated design flow rates cannot be attained, contact the Contracting Officer for direction.

3.4.6 Deficiencies

Strive to meet the intent of this section to maximize the performance of the equipment as designed and installed. However, if deficiencies in equipment design or installation prevent TAB work from being accomplished within the range of design values specified in the paragraph WORKMANSHIP, provide written notice as soon as possible to the Contractor and the Contracting Officer describing the deficiency and recommended correction.

Responsibility for correction of installation deficiencies is the Contractor's. If a deficiency is in equipment design, call the TAB team supervisor for technical assistance. Responsibility for reporting design deficiencies to Contractor is the TAB team supervisor's.

3.4.7 TAB Reports

Additional requirements for TAB Reports are specified in Appendix B REPORTS - DALT and TAB

3.4.8 Quality Assurance - COTR TAB Field Acceptance Testing

3.4.8.1 TAB Field Acceptance Testing

During the field acceptance testing, verify, in the presence of the COTR, random selections of data (water, air quantities, air motion, sound level readings) recorded in the TAB Report. Points and areas for field acceptance testing are to be selected by the COTR. Measurement and test procedures are the same as approved for TAB work for the TAB Report.

Field acceptance testing includes verification of TAB Report data recorded for the following equipment groups:

Group 1: All chillers, boilers, return fans, computer room units, and air handling units (rooftop and central stations).

Group 2: 25 percent of the VAV terminal boxes and associated diffusers and registers.

Group 3: 25 percent of the supply diffusers, registers, grilles associated with constant volume air handling units.

Group 4: 25 percent of the return grilles, return registers, exhaust grilles and exhaust registers.

Group 5: 25 percent of the supply fans, exhaust fans, and pumps.

Further, if any data on the TAB Report for Groups 2 through 5 is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, additional group data verification is required in the presence of the COTR. Verify TAB Report data for one additional piece of equipment in that group. Continue this additional group data verification until out-of-tolerance data ceases to be found.

3.4.8.2 Additional COTR TAB Field Acceptance Testing

If any of the acceptance testing measurements for a given equipment group is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, terminate data verification for all affected data for that group. The affected data for the given group will be disapproved. Make the necessary corrections and prepare a revised TAB Report. Reschedule acceptance testing of the revised report data with the COTR.

3.4.8.3 Prerequisite for Approval

Compliance with the field acceptance testing requirements of this section is a prerequisite for the final Contracting Officer approval of the TAB Report submitted.

3.5 MARKING OF SETTINGS

Upon the final TAB work approval, permanently mark the settings of HVAC adjustment devices including valves, gauges, splitters, and dampers so that adjustment can be restored if disturbed at any time. Provide permanent markings clearly indicating the settings on the adjustment devices which result in the data reported on the submitted TAB report.

3.6 MARKING OF TEST PORTS

The TAB team is to permanently and legibly mark and identify the location points of the duct test ports. If the ducts have exterior insulation, make these markings on the exterior side of the duct insulation. Show the location of test ports on the as-built mechanical drawings with dimensions given where the test port is covered by exterior insulation.

3.7 APPENDICES

Appendix A WORK DESCRIPTIONS OF PARTICIPANTS
Appendix B REPORTS - DALT and TAB
Appendix C DALT AND TAB SUBMITTAL AND WORK SCHEDULE
Appendix D REQUIREMENTS FOR DUCT AIR LEAK TESTING

Appendix A

WORK DESCRIPTIONS OF PARTICIPANTS

The Contractor is responsible for ensuring compliance with all requirements of this specification section. However, the following delineation of specific work items is provided to facilitate and co-ordinate execution of the various work efforts by personnel from separate organizations.

1. Contractor

a. HVAC documentation: Provide pertinent contract documentation to the TAB Firm, to include the following: the contract drawings and specifications; copies of the approved submittal data for all HVAC equipment, air distribution devices, and air/water measuring/balancing devices; the construction work schedule; and other applicable documents requested by the TAB Firm. Provide the TAB Firm copies of contract revisions and modifications as they occur.

b. Schedules: Ensure the requirements specified under the paragraph "DALT and TAB Schedule" are met.

c. Pre-DALT and TAB meeting: Arrange and conduct the Pre-DALT and TAB meeting. Ensure that a representative is present for the sheet metal contractor, the mechanical contractor, the electrical contractor, and the automatic temperature controls contractor.

d. Coordinate Support: Provide and coordinate support personnel required by the TAB Firm in order to accomplish the DALT and TAB field work. Support personnel may include factory representatives, HVAC controls installers, HVAC equipment mechanics, sheet metal workers, pipe fitters, and insulators. Ensure support personnel are present at the work site at the times required.

e. Correct Deficiencies: Ensure the notifications of Construction Deficiencies are provided as specified herein. Refer to the paragraph CONSTRUCTION DEFICIENCIES. Correct each deficiency as soon as practical with the Contracting Officer, and submit revised schedules and other required documentation.

f. Pre-TAB Work Checklists: Complete check out and debugging of HVAC equipment, ducts, and controls prior to the TAB engineer arriving at the project site to begin the TAB work. Debugging includes searching for and eliminating malfunctioning elements in the HVAC system installations, and verifying all adjustable devices are functioning as designed. Include as pre-TAB work checklist items, the deficiencies pointed out by the TAB team supervisor in the design review report.

   Prior to the TAB field team's arrival, ensure completion of the applicable inspections and work items listed in the TAB team supervisor's DALT and TAB Work Procedures Summary. Do not allow the TAB team to commence TAB field work until all of the following are completed.

g. Give Notice of Testing: Submit advance notice of proportional balancing, Season 1, and Season 2 TAB field work accompanied by completed prerequisite HVAC Work List

h. Insulation work: Ensure that no insulation is shall not be installed on ducts to be DALT'd until DALT work on the subject ducts is complete.

Ensure the duct and piping systems are properly insulated and vapor sealed upon the successful completion and acceptance of the DALT and TAB work.

2. TAB Team Supervisor

a. Overall management: Supervise and manage the overall TAB team work effort, including preliminary and technical DALT and TAB procedures and TAB team field work.

b. Schedule: Ensure the requirements specified under the paragraph "DALT and TAB Schedule" are met.

c. Submittals: Provide the submittals specified herein.

d. Pre-DALT/TAB meeting: Attend meeting with Contractor. Ensure TAB personnel that will be involved in the TAB work under this contract attend the meeting.

e. Design Review Report: Submit typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the duct leakage testing work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. State that no deficiencies are evident if that is the case.

f. Support required: Specify the technical support personnel required from the Contractor other than the TAB agency; such as factory representatives for temperature controls or for complex equipment. Inform the Contractor in writing of the support personnel needed and when they are needed. Furnish the notice as soon as the need is anticipated, either with the design review report, or the DALT and TAB Procedures Summary, the during the DALT or TAB field work.

Ensure the Contractor is properly notified and aware of all support personnel needed to perform the TAB work. Maintain communication with the Contractor regarding support personnel throughout the duration of the TAB field work, including the TAB field acceptance testing checking.

Ensure all inspections and verifications for the Pre-Final DALT and Pre-TAB Checklists are completely and successfully conducted before DALT and TAB field work is performed.

g. Advance Notice: Monitor the completion of the duct system installations and provide the Advance Notice for Pre-Final DALT field work as specified herein.

h. Technical Assistance: Provide technical assistance to the DALT and TAB field work.

i. Deficiencies Notification: Ensure the notifications of Construction Deficiencies are provided as specified herein. Comply with requirements of the paragraph CONSTRUCTION DEFICIENCIES. Resolve each deficiency as soon as practical and submit revised schedules and other

required documentation.

j. Procedures: Develop the required TAB procedures for systems or system components not covered in the TAB Standard.

3. TAB Team Field Leader

a. Field manager: Manage, in the field, the accomplishment of the work specified in Part 3, EXECUTION.

b. Full time: Be present at the contract site when DALT field work or TAB field work is being performed by the TAB team; ensure day-to-day TAB team work accomplishments are in compliance with this section.

c. Prerequisite HVAC work: Do not bring the TAB team to the contract site until a copy of the prerequisite HVAC work list, with all work items certified by the Contractor to be working as designed, reaches the office of the TAB Agency.

Appendix B

REPORTS - DALT and TAB

All submitted documentation must be typed, neat, and organized. All reports must have a waterproof front and back cover, a title page, a certification page, sequentially numbered pages throughout, and a table of contents. Tables, lists, and diagrams must be titled. Generate and submit for approval the following documentation:

1. DALT and TAB Work Execution Schedule

Submit a detailed schedule indicating the anticipated calendar date for each submittal and each portion of work required under this section. For each work entry, indicate the support personnel (such as controls provider, HVAC mechanic, etc.) that are needed to accomplish the work. Arrange schedule entries chronologically.

2. DALT and TAB Procedures Summary

Submit a detailed narrative describing all aspects of the DALT and TAB field work to be performed. Clearly distinguish between DALT information and TAB information. Include the following:

a. A list of the intended procedural steps for the DALT and TAB field work from start to finish. Indicate how each type of data measurement will be obtained. Include what Contractor support personnel are required for each step, and the tasks they need to perform.

b. A list of the project's submittals that are needed by the TAB Firm in order to meet this Contract's requirements.

c. The schematic drawings to be used in the required reports, which may include building floor plans, mechanical room plans, duct system plans, and equipment elevations. Indicate intended TAB measurement locations, including where test ports need to be provided by the Contractor.

d. The data presentation forms to be used in the report, with the preliminary information and initial design values filled in.

e. A list of DALT and TAB instruments to be used, edited for this project, to include the instrument name and description, manufacturer, model number, scale range, published accuracy, most recent calibration date, and what the instrument will be used for on this project.

f. A thorough checklist of the work items and inspections that need to be accomplished before DALT field work can be performed. The Contractor must complete, submit, and receive approval of the Completed Pre-Final DALT Work Checklist before DALT field work can be accomplished.

g. A thorough checklist of the work items and inspections that need to be accomplished before the Season 1 TAB field work can be performed. The Contractor must complete, submit, and receive approval of the Completed Season 1 Pre-TAB Work Checklist before the Season 1 TAB field work can be accomplished.

h. A thorough checklist of the work items and inspections that need to be

accomplished before the Season 2 TAB field work can be performed. The Contractor must complete, submit, and receive approval of the Completed Season 2 Pre-TAB Work Checklist before the Season 2 TAB field work can be accomplished.

i. The checklists specified above shall be individually developed and tailored specifically for the work under this contract. Refer to NEBB PROCEDURAL STANDARDS, Section III, "Preliminary TAB Procedures" under the paragraphs titled, "Air Distribution System Inspection" and "Hydronic Distribution System Inspection" for examples of items to include in the checklists.

3. Design Review Report

Submit report containing the following information:

a. Review the contract specifications and drawings to verify that the TAB work can be successfully accomplished in compliance with the requirements of this section. Verify the presence and location of permanently installed test ports and other devices needed, including gauge cocks, thermometer wells, flow control devices, circuit setters, balancing valves, and manual volume dampers.

b. Submit a typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the DALT work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. If no deficiencies are evident, state so in the report.

4. Completed Pre-Final DALT Work Checklist

Report the data for the Pre-Final DALT Report meeting the following requirements:

a. Submit a copy of the approved DALT and TAB Procedures Summary: Provide notations describing how actual field procedures differed from the procedures listed.

b. Report format: Submit a comprehensive report for the DALT field work data using data presentation forms equivalent to the "Air Duct Leakage Test Summary Report Forms" located in the SMACNA 1972 CD. In addition, submit in the report, a marked duct shop drawing which identifies each section of duct tested with assigned node numbers for each section. Node numbers shall be included in the completed report forms to identify each duct section.

c. Calculations: Include a copy of all calculations prepared in determining the duct surface area of each duct test section. Include in the DALT reports copy(s) of the calibration curve for each of the DALT test orifices used for testing.

d. Instruments: List the types of instruments actually used to measure the data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date. Instruments are to be calibrated within one year of the date of use in the field; instrument calibration is to be traceable to the measuring standards of the National Institute of Standards and Technology.

e. TAB Supervisor Approval: Include on the submitted report the typed name of the TAB supervisor and the dated signature of the TAB supervisor.

5. Final DALT Report

On successful completion of all COTR field checks of the Pre-final DALT Report data for all systems, the TABS Supervisor shall assemble, review, sign and submit the Final DALT Report to the Contracting Officer for approval.

6. TAB Reports: Submit TAB Report for Proportional Balancing, Season 1, and Season 2 in the following manner:

a. Procedure Summary: Submit a copy of the approved DALT and TAB Procedures Summary. When applicable, provide notations describing how actual field procedures differed from the procedures listed.

b. Report format: Submit the completed data forms approved in the pre-field TAB Engineering Report completed by TAB field team, reviewed, approved and signed by the TAB supervisor. Bind the report with a waterproof front and back cover. Include a table of contents identifying by page number the location of each report. Report forms and report data shall be typewritten. Handwritten report forms or report data are not acceptable.

c. Temperatures: On each TAB report form reporting TAB work accomplished on HVAC thermal energy transfer equipment, include the indoor and outdoor dry bulb temperature range and indoor and outdoor wet bulb temperature range within which the TAB data was recorded. Include in the TAB report continuous time versus temperature recording data of wet and dry bulb temperatures for the rooms, or zones.

(1) Data shall be measured and compiled on a continuous basis for the period in which TAB work affecting those rooms is being done.

(2) Data shall be measured/recorded only after the HVAC systems installations are complete, the systems fully balanced and the HVAC systems controls operating in fully automatic mode. Provide a detailed explanation wherever a final measurement did not achieve the required value.

(3) Data may be compiled using direct digital controls trend logging where available. Otherwise, the Contractor shall temporarily install calibrated time versus temperature/humidity recorders for this purpose. The HVAC systems and controls shall have been fully operational a minimum of 24 hours in advance of commencing data compilation. The specified data shall be included in the Season I and Season 2 TAB Report.

d. Air System Diagrams: Provided updated diagrams with final installed locations of all terminals and devices, any numbering changes, and actual test locations.

e. Air Static Pressure Profiles: Report static pressure profiles for air duct systems. Report static pressure data for all supply, return, relief, exhaust and outside air ducts for the systems listed. The static pressure report data shall include, in addition to AABC or NEBB

or TABB required data, the following:

(1) Report supply fan, return fan, relief fan, and exhaust fan inlet and discharge static pressures.

(2) Report static pressure drop across chilled water coils, DX coils, hot water coils, steam coils, electric resistance heating coils and heat reclaim devices installed in unit cabinetry or the system ductwork.

(3) Report static pressure drop across outside air, return air, and supply air automatic control dampers, both proportional and two-position, installed in unit cabinetry.

(4) Report static pressure drop across air filters, acoustic silencers, moisture eliminators, air flow straighteners, air flow measuring stations or other pressure drop producing specialty items installed in unit cabinetry, or in the system ductwork. Examples of these specialty items are smoke detectors, white sound generators, RF shielding, wave guides, security bars, blast valves, small pipes passing through ductwork, and duct mounted humidifiers.

Do not report static pressure drop across duct fittings provided for the sole purpose of conveying air, such as elbows, transitions, offsets, plenums, manual dampers, and branch takes-offs.

(5) Report static pressure drop across outside air and relief/exhaust air louvers.

(6) Report static pressure readings of supply air, return air, exhaust/relief air, and outside air in duct at the point where these ducts connect to each air moving unit.

g. Instruments: List the types of instruments actually used to measure the tab data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date.

Instrumentation, used for taking wet bulb temperature readings shall provide accuracy of plus or minus 5 percent at the measured face velocities. Submit instrument manufacturer's literature to document instrument accuracy performance is in compliance with that specified.

h. Performance Curves: The TAB Supervisor shall include, in the TAB Reports, factory pump curves and fan curves for pumps and fans TAB'd on the job.

i. Calibration Curves: The TAB Supervisor shall include, in the TAB Reports, a factory calibration curve for installed flow control balancing valves, flow venturis and flow orifices TAB'd on the job.

j. Data From TAB Field Work: After completion of the TAB field work, prepare the TAB field data for TAB supervisor's review and approval signature, using the reporting forms approved in the pre-field engineering report. Data required by those approved data report forms shall be furnished by the TAB team. Except as approved otherwise in writing by the Contracting Officer, the TAB work and thereby the TAB

report shall be considered incomplete until the TAB work is accomplished to within the accuracy range specified in the paragraph WORKMANSHIP.

Appendix C

DALT AND TAB SUBMITTAL AND WORK SCHEDULE

Perform the following items of work in the order listed adhering to the dates schedule specified below. Include the major items listed in this schedule in the project network analysis schedule.

Submit TAB Agency and TAB Personnel Qualifications: Within 42 calendar days after date of contract award.

Submit the DALT and TAB Work Execution Schedule: within 14 days after receipt of the TAB agency and TAB personnel qualifications approval. Revise and re-submit this schedule 28 days prior to commencement of DALT work and 28 days prior to the commencement of TAB Season 1 work and TAB Season 2 work.

Submit the DALT and TAB Work Procedures Summary: within 14 days after receipt of the initial approved DALT and TAB Work Execution Schedule.

Meet with the COTR at the Pre-DALT/TAB Meeting: Within 28 calendar days after receipt of the approved initial DALT/TAB Execution Schedule.

Submit Design Review Report: Within 56 calendar days after the receipt of the approved initial DALT and TAB Work Execution Schedule.

Advance Notice of Pre-Final DALT Field Work: After the completed installation of the HVAC duct system to be DALT'd, submit to the Contracting Officer an Advance Notice of Pre-Final DALT Field Work accompanied by the completed Pre-Final DALT Work Checklist for the subject duct system.

Ductwork Selected for DALT: Within 14 calendar days after receiving an acceptable completed Pre-Final DALT Work Checklist, the Contracting Officer's technical representative (COTR) will select the project ductwork sections to be DALT'd.

DALT Field Work: Within 48 hours of COTR's selection, complete DALT field work on selected project ductwork.

Submit Pre-Final DALT Report: Within two working days after completion of DALT field work, submit Pre-final DALT Report. Separate Pre-final DALT reports may be submitted to allow phased testing from system to system.

Quality Assurance - COTR DALT Field Checks: Upon approval of the Pre-final DALT Report, the COTR's DALT field check work shall be scheduled with the Contracting Officer.

Submit Final DALT Report: Within 14 calendar days after completion of successful DALT Work Field Check, submit Season 1 TAB report.

Advance Notice of Season 1 TAB Field Work: At a minimum of 14 calendar days prior to Season 1 TAB Field Work, submit advance notice of TAB field work accompanied by completed Season 1 Pre-TAB Work Checklist.

Season 1 TAB Field Work: At a minimum of 84 calendar days prior to

CCD, and when the ambient temperature is within Season 1 limits, accomplish Season 1 TAB field work.

Submit Season 1 TAB Report: Within 14 calendar days after completion of Season 1 TAB field work, submit initial Season 1 TAB report.

Season 1 Quality Assurance - COTR TAB Field Check: 30 calendar days after initial Season 1 TAB report is approved by the Contracting Officer, conduct Season 1 field check.

Complete Season 1 TAB Work: Prior to CCD, complete all TAB work except Season 2 TAB work and submit final.

Receive the approved TAB report: Within 21 calendar days, receive the report from Contracting Officer approved TAB report.

Advance Notice of Season 2 TAB Field Work: At a minimum of 126 calendar days after CCD, submit advance notice of Season 2 TAB field work accompanied by completed Season 2 Pre-TAB Work Checklist.

Season 2 TAB Field Work: Within 14 calendar days after date of advance notice of Season 2 TAB field work and when the ambient temperature is within Season 2 limits, accomplish Season 2 TAB field work.

Submit Season 2 TAB Report: Within 14 calendar days after completion of Season 2 TAB field work, submit Season 2 TAB report.

Season 2 Quality Assurance - COTR TAB Field Checks: 28 calendar days after the Season 2 TAB report is approved by the Contracting Officer, conduct Season 2 field check.

Complete Season 2 TAB Work: Within 14 calendar days after the completion of Season 2 TAB field data check, complete all TAB work.

Receive the approved TAB report: Within calendar 21 days, receive the report from Contracting Officer.

-- End of Section --

SECTION 23 07 00

THERMAL INSULATION FOR MECHANICAL SYSTEMS
02/13, CHG 7: 05/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

ASTM INTERNATIONAL (ASTM)

ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A240/A240M	(2023a) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications
ASTM A580/A580M	(2023) Standard Specification for Stainless Steel Wire
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM C195	(2007; R 2013) Standard Specification for Mineral Fiber Thermal Insulating Cement
ASTM C450	(2008) Standard Practice for Fabrication of Thermal Insulating Fitting Covers for NPS Piping, and Vessel Lagging
ASTM C534/C534M	(2023) Standard Specification for Preformed Flexible Elastomeric Cellular Thermal Insulation in Sheet and Tubular Form
ASTM C647	(2008; R 2013) Properties and Tests of Mastics and Coating Finishes for Thermal Insulation
ASTM C755	(2019b) Standard Practice for Selection of Water Vapor Retarders for Thermal Insulation
ASTM C795	(2008; R 2023) Standard Specification for Thermal Insulation for Use in Contact with Austenitic Stainless Steel

ASTM C916	(2020) Standard Specification for Adhesives for Duct Thermal Insulation
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C921	(2010; R 2015) Standard Practice for Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM C1136	(2023) Standard Specification for Flexible, Low Permeance Vapor Retarders for Thermal Insulation
ASTM C1710	(2011) Standard Guide for Installation of Flexible Closed Cell Preformed Insulation in Tube and Sheet Form
ASTM D882	(2012) Tensile Properties of Thin Plastic Sheeting
ASTM D2863	(2019) Standard Test Method for Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics (Oxygen Index)
ASTM D5590	(2017; R 2021) Standard Test Method for Determining the Resistance of Paint Films and Related Coatings to Fungal Defacement by Accelerated Four-Week Agar Plate Assay
ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2024) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials
ASTM E2231	(2021) Standard Practice for Specimen Preparation and Mounting of Pipe and Duct Insulation Materials to Assess Surface Burning Characteristics

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

FM GLOBAL (FM)

FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

GREEN SEAL (GS)

GS-36	(2013) Adhesives for Commercial Use

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)

MICA Insulation Stds	(8th Ed) National Commercial & Industrial Insulation Standards

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems
NFPA 90B	(2024) Standard for the Installation of Warm Air Heating and Air Conditioning Systems

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications

TECHNICAL ASSOCIATION OF THE PULP AND PAPER INDUSTRY (TAPPI)

TAPPI T403 OM	(2022) Bursting Strength of Paper

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-A-3316	(1987; Rev C; Am 2 1990) Adhesives, Fire-Resistant, Thermal Insulation
MIL-A-24179	(1969; Rev A; Am 2 1980; Notice 1 1987; Notice 2 2020) Adhesive, Flexible Unicellular-Plastic Thermal Insulation
MIL-PRF-19565	(1988; Rev C) Coating Compounds, Thermal Insulation, Fire- and Water-Resistant, Vapor-Barrier

UNDERWRITERS LABORATORIES (UL)

UL 94	(2023; Reprint Jan 2024) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances
UL 723	(2020) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials
UL 2818	(2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SYSTEM DESCRIPTION

1.2.1 General

Provide field-applied insulation and accessories on mechanical systems as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated. Furnish and install field applied insulation materials required for use on Government-furnished items as listed in the SPECIAL CONTRACT REQUIREMENTS.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submit the three SD types, SD-02 Shop Drawings, SD-03 Product Data, and SD-08 Manufacturer's Instructions at the same time for each system.

SD-02 Shop Drawings

Duct Insulation Systems and Associated Accessories

Equipment Insulation Systems and Associated Accessories

SD-03 Product Data

Duct Insulation Systems; G

Equipment Insulation Systems; G

SD-04 Samples

Thermal Insulation; G

Display Samples; G

SD-07 Certificates

Indoor air quality for adhesives; S

SD-08 Manufacturer's Instructions

Duct Insulation Systems; G

Equipment Insulation Systems; G

1.4 CERTIFICATIONS

1.4.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air

quality product requirements cited herein.

1.5 QUALITY ASSURANCE

1.5.1 Installer Qualification

Qualified installers will have successfully completed three or more similar type jobs within the last 5 years.

1.6 DELIVERY, STORAGE, AND HANDLING

Deliver materials in the manufacturer's unopened containers. Protect materials delivered and placed in storage from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means. Attach manufacturer's stamp or label giving the name of the manufacturer and brand, and a description of the material, date codes, and approximate shelf life (if applicable) to packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval. Insulation packages and containers must be asbestos free.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Provide materials which are the standard products of manufacturers regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Submit a complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. Include the product number, k-value, thickness and furnished accessories including adhesives, sealants and jackets for each mechanical system requiring insulation. The product data must be copyrighted, have an identifying or publication number, and have been published prior to the issuance date of this solicitation. Submit materials furnished under this section together in a booklet.

2.1.1 Insulation System

Provide insulation systems in accordance with the approved MICA National Insulation Standards plates as supplemented by this specification. Provide field-applied insulation for heating, ventilating, and cooling (HVAC) air distribution systems and piping systems that are located within, on, under, and adjacent to buildings; and for plumbing systems. Provide CFC and HCFC free insulation.

2.1.2 Surface Burning Characteristics

Unless otherwise specified, insulation must have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Determine flame spread, and smoke developed indexes, by ASTM E84 or UL 723. Test insulation in the same density and installed thickness as the material to be used in the actual construction. Prepare and mount test specimens according to ASTM E2231.

2.2 MATERIALS

Provide insulation that meets or exceed the requirements of ASHRAE 90.1.

Ensure insulation exterior is cleanable, grease resistant, non-flaking and non-peeling. Provide compatible materials that do not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either wet or dry state. Use materials on stainless steel surfaces meeting ASTM C795 requirements. Do not use calcium silicate on chilled or cold water systems. Use asbestos free materials. Provide product recognized under UL 94 (if containing plastic) and listed in FM APP GUIDE.

2.2.1 Adhesives

Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants must meet limit requirements of "Other" category within SCAQMD Rule 1168 sealants table). Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36. Provide certification or validation of indoor air quality for adhesives.

2.2.1.1 Acoustical Lining Insulation Adhesive

Provide a nonflammable, fire-resistant adhesive conforming to ASTM C916, Type I.

2.2.1.2 Mineral Fiber Insulation Cement

Provide cement in accordance with ASTM C195.

2.2.1.3 Lagging Adhesive

Lagging is the material used for thermal insulation, especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. To resist mold/mildew, use lagging adhesive meeting ASTM D5590 with 0 growth rating. Provide nonflammable and fire-resistant lagging adhesives that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Ensure adhesive is MIL-A-3316, Class 1, pigmented white and suitable for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass insulation board; for sealing edges of and bonding glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or Class 2 for attaching fibrous glass insulation to metal surfaces. Apply lagging adhesives in strict accordance with the manufacturer's recommendations for pipe and duct insulation.

2.2.1.4 Contact Adhesive

Adhesives may be any of, but not limited to, the neoprene based, rubber based, or elastomeric type that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Ensure adhesive does not adversely affect, initially or in service, the insulation to which it is applied, nor cause any corrosive effect on metal to which it is applied. Ensure that any solvent dispersing medium or volatile component of the adhesive has no objectionable odor and does not contain any benzene or carbon

tetrachloride. Ensure dried adhesive does not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The dried adhesive must be nonflammable and fire resistant. Flexible Elastomeric Adhesive: Comply with MIL-A-24179, Type II, Class I. Provide product listed in FM APP GUIDE.

2.2.2 Caulking

ASTM C920, Type S, Grade NS, Class 25, Use A.

2.2.3 Corner Angles

Nominal 0.016 inch aluminum 1 by 1 inch with factory applied kraft backing. Aluminum must be ASTM B209, Alloy 3003, 3105, or 5005.

2.2.4 Fittings

Fabricated Fittings are the prefabricated fittings for flexible elastomeric pipe insulation systems in accordance with ASTM C1710. Together with the flexible elastomeric tubes, they provide complete system integrity for retarding heat gain and controlling condensation drip from chilled-water and refrigeration systems. Flexible elastomeric, fabricated fittings provide thermal protection (0.25 k) and condensation resistance (0.05 Water Vapor Transmission factor). For satisfactory performance, use properly installed protective vapor retarder/barriers and vapor stops on high relative humidity and below ambient temperature applications to reduce movement of moisture through or around the insulation to the colder interior surface.

2.2.5 Finishing Cement

ASTM C450: Mineral fiber hydraulic-setting thermal insulating and finishing cement. All cements that may come in contact with Austenitic stainless steel must comply with ASTM C795.

2.2.6 Fibrous Glass Cloth and Glass Tape

Provide fibrous glass cloth, with 20X20 maximum mesh size, and glass tape with maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Provide tape consisting of 4 inch wide rolls. Provide Class 3 tape that is 4.5 ounces/square yard. Elastomeric Foam Tape: Black vapor-retarder foam tape with acrylic adhesive containing an anti-microbial additive.

2.2.7 Staples

Outward clinching type ASTM A167, Type 304 or 316 stainless steel.

2.2.8 Jackets

2.2.8.1 Aluminum Jackets

Provide aluminum jackets consisting of corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; ASTM B209, Temper H14, Temper H16, Alloy 3003, 5005, or 3105. Do not use corrugated aluminum jacket outdoors. . Aluminum jacket circumferential seam bands must be 2 by 0.016 inch aluminum matching jacket material. Ensure bands for insulation below ground are 3/4 by 0.020 inch thick stainless steel, or fiberglass reinforced tape. The jacket may, at the option of the Contractor, be

provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, use bands at the circumferential joints that are designed by the manufacturer to seal the joints and hold the jacket in place.

2.2.8.2 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers must have high impact strength, ultraviolet (UV) resistant rating or treatment and moderate chemical resistance with minimum thickness 0.030 inch.

2.2.8.3 Vapor Barrier/Weatherproofing Jacket

Provide laminated self-adhesive vapor barrier/weatherproofing jacket, greater than 3 plies standard grade, silver, white, black and embossed or greater than 8 ply (minimum 2.9 mils adhesive); with 0.0000 permeability when tested in accordance with ASTM E96/E96M, using the water transmission rate test method; heavy duty, white or natural; and UV resistant. Flexible Elastomeric exterior foam with factory applied, UV Jacket made with a cold weather acrylic adhesive. Construction of laminate designed to provide UV resistance, high puncture, tear resistance and excellent Water Vapor Transmission (WVT) rate.

2.2.8.4 Vapor Barrier/Vapor Retarder

Apply the following criteria to determine which system is required.

a. On ducts, equip piping and equipment operating below 90 degrees F or located outside with a vapor barrier.

b. Install ducts, pipes and equipment that are located inside and that always operate above 90 degrees F with a vapor retarder where required as stated in paragraph VAPOR RETARDER REQUIRED.

2.2.9 Vapor Retarder Required

ASTM C921, Type I, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork, where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pounds/inch width. ASTM C921, Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pounds/inch width. Use jackets on insulation exposed in finished areas that have white finish suitable for painting without sizing. Based on the application, insulation materials that require manufacturer or fabricator applied pipe insulation jackets are cellular glass, when all joints are sealed with a vapor barrier mastic, and mineral fiber. Ensure all non-metallic jackets have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible elastomerics require (in addition to vapor barrier skin) vapor retarder jacketing for high relative humidity and below ambient temperature applications.

2.2.9.1 White Vapor Retarder All Service Jacket (ASJ)

ASJ is for use on hot/cold pipes, ducts, or equipment indoors or outdoors if covered by a suitable protective jacket. Provide product which meets all physical property and performance requirements of ASTM C1136, Type I, except a minimum burst strength of 85 psi. ASTM D2863 Limited Oxygen Index (LOI) is a minimum of 31.

In addition, do not use paper or other moisture-sensitive material for the outer exposed surface or the inner-most surface contacting the insulation. Ensure the outer exposed surface is white and has an emittance no less than 0.80. Ensure the outer exposed surface is paintable.

2.2.9.2 Vapor Retarder/Vapor Barrier Mastic Coatings

2.2.9.2.1 Vapor Barrier

The vapor barrier must be self adhesive (minimum 2 mils adhesive, 3 mils embossed) greater than 3 plies standard grade, silver, white, black and embossed white jacket for use on hot/cold pipes. Ensure permeability is less than 0.02 when tested in accordance with ASTM E96/E96M. Provide products meeting UL 723 or ASTM E84 flame and smoke requirements and that are UV resistant.

2.2.9.2.2 Vapor Retarder

Provide fire and water resistant vapor retarder coating appropriately selected for either outdoor or indoor service. Color must be white. Ensure the water vapor permeance of the compound is in accordance with ASTM C755, Section 7.2.2, Table 2, for insulation type and service conditions. Provide nonflammable, fire resistant coating. To resist mold/mildew, provide coating meeting ASTM D5590 with 0 growth rating. Ensure coating meets MIL-PRF-19565 Type II (if selected for indoor service) and is Qualified Products Database listed. Determine all other application and service properties pursuant to ASTM C647.

2.2.9.3 Laminated Film Vapor Retarder

ASTM C1136, Type I, maximum moisture vapor transmission 0.02 perms, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork; where Type II, maximum moisture vapor transmission 0.02 perms, a minimum puncture resistance of 25 Beach units is acceptable. Provide vapor retarder with a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible Elastomeric exterior foam with factory applied UV Jacket. Construction of laminate designed to provide UV resistance, high puncture, tear resistance and an excellent WVT rate.

2.2.9.4 Polyvinylidene Chloride (PVDC) Film Vapor Retarder

Provide PVDC film vapor retarder with a maximum moisture vapor transmission of 0.02 perms, minimum puncture resistance of 150 Beach units, a minimum tensile strength in any direction of 30 lb/inch when tested in accordance with ASTM D882, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.2.9.5 Polyvinylidene Chloride Vapor Retarder Adhesive Tape

Requirements must meet the same as specified for Laminated Film Vapor Retarder above.

2.2.9.6 Vapor Barrier/Weather Barrier

Ensure the vapor barrier is greater than 3 ply self adhesive laminate -white vapor barrier jacket- superior performance (less than 0.0000

permeability when tested in accordance with ASTM E96/E96M). Provide vapor barrier meeting UL 723 or ASTM E84 25 flame and 50 smoke requirements; and UV resistant. Minimum burst strength 185 psi in accordance with TAPPI T403 OM . Tensile strength 68 lb/inch width (PSTC-1000). Provide tape as specified for laminated film vapor barrier above.

2.2.10 Vapor Retarder Not Required

ASTM C921, Type II, Class D, minimum puncture resistance 50 Beach units on all surfaces except ductwork, where Type IV, maximum moisture vapor transmission 0.10, a minimum puncture resistance of 25 Beach units is acceptable. Provide jacket with a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.2.11 Wire

Soft annealed ASTM A580/A580M Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

2.2.12 Insulation Bands

Provide 1/2 inch wide; 26 gauge stainless steel insulation bands.

2.2.13 Sealants

Choose sealants from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Provide sealants with a maximum permeance of 0.02 perms based on Procedure B for ASTM E96/E96M, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.3 DUCT INSULATION SYSTEMS

2.3.1 Factory Applied Insulation

Provide factory-applied ASTM C534/C534M Grade 1, Type II, flexible elastomeric closed cell insulation according to manufacturer's recommendations for insulation with insulation manufacturer's standard reinforced fire-retardant vapor barrier, with identification of installed thermal resistance (R) value and out-of-package R value.

2.3.1.1 Rigid Insulation

Calculate the minimum thickness in accordance with ASHRAE 90.1.

2.3.1.2 Blanket Insulation

Calculate minimum thickness in accordance with ASHRAE 90.1.

2.3.2 Acoustical Duct Lining

2.3.2.1 General

For ductwork indicated or specified in Section 23 30 00 HVAC AIR DISTRIBUTION to be acoustically lined, provide external insulation in accordance with this specification section and in addition to the acoustical duct lining. Do not use acoustical lining in place of duct wrap or rigid board insulation (insulation on the exterior of the duct).

2.3.2.2 Duct Liner

Flexible Elastomeric Acoustical and Conformable Duct Liner Materials: Flexible Elastomeric Thermal, Acoustical and Conformable Insulation Compliance with ASTM C534/C534M Grade 1, Type II; and NFPA 90A or NFPA 90B as applicable.

2.3.3 Duct Insulation Jackets

2.3.3.1 All-Purpose Jacket

Provide insulation with insulation manufacturer's standard reinforced fire-retardant jacket with or without integral vapor barrier as required by the service. In exposed locations, provide jacket with a white surface suitable for field painting.

2.3.3.2 Metal Jackets

2.3.3.2.1 Aluminum Jackets

ASTM B209, Temper H14, minimum thickness of 27 gauge (0.016 inch), with factory-applied polyethylene and kraft paper moisture barrier on inside surface. Provide smooth surface jackets for jacket outside dimension 8 inches and larger. Provide corrugated surface jackets for jacket outside dimension 8 inches and larger. Provide stainless steel bands, minimum width of 1/2 inch.

2.3.3.2.2 Stainless Steel Jackets

ASTM A167 or ASTM A240/A240M; Type 304, minimum thickness of 33 gauge (0.010 inch), smooth surface with factory-applied polyethylene and kraft paper moisture barrier on inside surface. Provide stainless steel bands, minimum width of 1/2 inch.

2.3.3.3 Vapor Barrier/Weatherproofing Jacket

Provide vapor barrier/weatherproofing jacket that is laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed or greater than 8 ply (minimum 2.9 mils adhesive), heavy duty white or natural).

2.3.4 Weatherproof Duct Insulation

Provide ASTM C534/C534M Grade 1, Type II, flexible elastomeric cellular insulation, and weatherproofing as specified in manufacturer's instruction. Multi-ply, Polymeric Blend Laminate Jacketing: Construction of laminate designed to provide UV resistance, high puncture, tear resistance and an excellent WVT rate.

2.4 EQUIPMENT INSULATION SYSTEMS

Insulate equipment and accessories as specified in Tables 5 and 6. In outside locations, provide insulation 1/2 inch thicker than specified. Increase the specified insulation thickness for equipment where necessary to equal the thickness of angles or other structural members to make a smooth, exterior surface. Submit a booklet containing manufacturer's published installation instructions for the insulation systems. The instructions must be copyrighted, have an identifying or publication

number, and have been published prior to the issuance date of this solicitation. A booklet is also required by paragraphs titled: Pipe Insulation Systems and Duct Insulation Systems.

PART 3 EXECUTION

3.1 APPLICATION - GENERAL

Apply insulation to unheated and uncooled piping and equipment. Do not compress flexible elastomeric cellular insulation at joists, studs, columns, ducts, and hangers. The insulation must not pull apart after a one hour period; replace any insulation found to pull apart after one hour.

3.1.1 Display Samples

Submit and display, after approval of materials, actual sections of installed systems, properly insulated in accordance with the specification requirements. Such actual sections must remain accessible to inspection throughout the job and will be reviewed from time to time for controlling the quality of the work throughout the construction site. Identify each material used by indicating on an attached sheet the specification requirement for the material and the material by each manufacturer intended to meet the requirement. The Contracting Officer will inspect display sample sections at the jobsite. Keep approved display sample sections on display at the jobsite during the construction period. Upon completion of construction, the display sample sections will be closed and sealed.

3.1.1.1 Duct Insulation Display Sections

Display sample sections for rigid and flexible duct insulation used on the job. Use a temporary covering to enclose and protect display sections for duct insulation exposed to weather

3.1.2 Installation

Except as otherwise specified, install material in accordance with the manufacturer's written instructions. Do not apply insulation materials until tests specified in other sections of this specification are completed. Remove material such as rust, scale, dirt and moisture from surfaces to receive insulation. Keep insulaton clean and dry. Do not remove insulation from its shipping containers until the day it is ready to use and return to like containers or equally protect from dirt and moisture at the end of each workday. Thoroughly clean insulation that becomes dirty prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, reject the insulation, and immediately remove from the jobsite. Stagger joints on multi layer insulation. Mix mineral fiber thermal insulating cement with demineralized water when used on stainless steel surfaces. Install insulation, jacketing and accessories in accordance with MICA Insulation Stds plates except where modified herein or on the drawings.

3.1.3 Firestopping

Where ducts pass through fire walls, fire partitions, above grade floors, and fire rated chase walls, seal the penetration with fire stopping materials as specified in Section 07 84 00 FIRESTOPPING. The protection of ducts at point of passage through firewalls must be in accordance with

NFPA 90A and/or NFPA 90B. All other penetrations, such as piping, conduit, and wiring, through firewalls must be protected with a material or system of the same hourly rating that is listed by UL, FM, or a NRTL.

3.1.4 Painting and Finishing

Paint as specified in Section 09 90 00 PAINTS AND COATINGS.

3.1.5 Installation of Flexible Elastomeric Cellular Insulation

Install flexible elastomeric cellular insulation with seams and joints sealed with rubberized contact adhesive. Do not use flexible elastomeric cellular insulation on surfaces greater than 220 degrees F. Stagger seams when applying multiple layers of insulation. Protect insulation exposed to weather and not shown to have vapor barrier weatherproof jacketing with two coats of UV resistant finish or PVC or metal jacketing as recommended by the manufacturer after the adhesive is dry and cured.

3.1.5.1 Adhesive Application

Apply a brush coating of adhesive to both butt ends to be joined and to both slit surfaces to be sealed. Allow the adhesive to set until dry to touch but tacky under slight pressure before joining the surfaces. Ensure insulation seals at seams and joints are not capable of being pulled apart one hour after application. Replace insulation that can be pulled apart one hour after installation.

3.1.5.2 Adhesive Safety Precautions

Use natural cross-ventilation, local (mechanical) pickup, and/or general area (mechanical) ventilation to prevent an accumulation of solvent vapors, keeping in mind the ventilation pattern must remove any heavier-than-air solvent vapors from lower levels of the workspaces. Gloves and spectacle-type safety glasses are recommended in accordance with safe installation practices.

3.1.6 Welding

Welding is not permitted on duct or equipment without written approval of the Contracting Officer. The capacitor discharge welding process may be used for securing metal fasteners to duct.

3.1.7 Ducts/Equipment That Require Insulation

Insulation is required on all ducts, or equipment, except for omitted items as specified.

3.2 DUCT INSULATION SYSTEMS INSTALLATION

Except for oven hood exhaust duct insulation, install corner angles on external corners of insulation on ductwork in exposed finished spaces before covering with jacket. Air conditioned spaces are defined as those spaces directly supplied with cooled conditioned air (or provided with a cooling device such as a fan-coil unit) and heated conditioned air (or provided with a heating device such as a unit heater, radiator or convector).

3.2.1 Duct Insulation Minimum Thickness

Duct insulation minimum thickness in accordance with Table 4.

Table 4 - Minimum Duct Insulation (inches)	
Cold Air Ducts	2.0
Relief Ducts	1.5
Fresh Air Intake Ducts	1.5
Warm Air Ducts	2.0
Relief Ducts	1.5
Fresh Air Intake Ducts	1.5

3.2.2 Insulation and Vapor Retarder/Vapor Barrier for Cold Air Duct

Provide insulation and vapor retarder/vapor barrier for the following cold air ducts and associated equipment.

a. Supply ducts.

b. Return air ducts.

c. Relief ducts.

d. Flexible run-outs (field-insulated).

e. Plenums.

f. Duct-mounted coil casings.

g. Coil headers and return bends.

h. Coil casings.

i. Fresh air intake ducts.

j. Filter boxes.

k. Mixing boxes (field-insulated).

l. Supply fans (field-insulated).

m. Site-erected air conditioner casings.

n. Ducts exposed to weather.

o. Combustion air intake ducts.

Use insulation for rectangular ducts that is flexible type where concealed, minimum density 3/4 pcf, and rigid type where exposed, minimum density 3 pcf. Provide insulation for both concealed or exposed round/oval ducts that is flexible type, minimum density 3/4 pcf or a semi rigid board, minimum density 3 pcf, formed or fabricated to a tight fit,

edges beveled and joints tightly butted and staggered. Provide insulation for all exposed ducts with either a white, paint-able, factory-applied Type I jacket or a field applied vapor retarder/vapor barrier jacket coating finish as specified. Ensure the total field applied dry film thickness is approximately 1/16 inch. Provide insulation on all concealed duct with a factory-applied Type I or II vapor retarder/vapor barrier jacket. Continue duct insulation through sleeves and prepare openings except firewall penetrations. Duct insulation terminating at fire dampers, must be continuous over the damper collar and retaining angle of fire dampers, which are exposed to unconditioned air and which may be prone to condensate formation. Provide duct insulation and vapor retarder/vapor barrier to cover the collar, neck, and un-insulated surfaces of diffusers, registers and grills. Apply vapor retarder/vapor barrier materials to form a complete unbroken vapor seal over the insulation. Seal sheet metal duct in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

3.2.2.1 Installation on Concealed Duct

a. For rectangular, oval or round ducts, attach flexible insulation by applying adhesive around the entire perimeter of the duct in 6 inch wide strips on 12 inch centers.

b. For rectangular and oval ducts, 24 inches and larger, additionally secure insulation to bottom of ducts using mechanical fasteners. Space fasteners on 16 inch centers and no more than 16 inches from duct corners.

c. For rectangular, oval and round ducts, provide mechanical fasteners on sides of duct risers for all duct sizes. Space fasteners on 16 inch centers and no more than 16 inches from duct corners.

d. Impale insulation on the mechanical fasteners (self stick pins) where used and press thoroughly into the adhesive. Take care to ensure vapor retarder/vapor barrier jacket joints overlap 2 inches. Do not compress insulation to a thickness less than that specified. Carry insulation over standing seams and trapeze-type duct hangers.

e. Where mechanical fasteners are used, install self-locking washers and trim and bend the pin over.

f. Secure jacket overlaps with staples and tape as necessary to ensure a secure seal. Coat staples, tape and seams with a brush coat of vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils embossed) - less than 0.0000 perm adhesive tape.

g. Cover breaks in the jacket material with patches of the same material as the vapor retarder jacket. Do not extend patches less than 2 inches beyond the break or penetration in all directions and secure with tape and staples. Seal staples and tape joints with a brush coat of vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils embossed) - less than 0.0000 perm adhesive tape.

h. At jacket penetrations such as hangers, thermometers, and damper operating rods, fill voids in the insulation and seal the penetration with a brush coat of vapor retarder coating or PVDC adhesive tape greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils

embossed) - less than 0.0000 perm adhesive tape.

i. Seal insulation terminations and pin punctures and flash with a reinforced vapor retarder coating finish or tape with a brush coat of vapor retarder coating.. Ensure the coating overlaps the adjoining insulation and un-insulated surface 2 inches. Extend pin puncture coatings 2 inches from the puncture in all directions.

j. Where insulation standoff brackets occur, extend insulation under the bracket and terminate the jacket at the bracket.

3.2.2.2 Installation on Exposed Duct Work

a. For rectangular ducts, secure rigid insulation to the duct by mechanical fasteners on all four sides of the duct, space no more than 12 inches apart and no more than 3 inches from the edges of the insulation joints. Provide a minimum of two rows of fasteners for each side of duct 12 inches and larger. Provide one row for each side of duct less than 12 inches. Provide mechanical fasteners that are corrosion resistant as G60 coated galvanized steel, and indefinitely sustain a 50 lb tensile dead load test perpendicular to the duct wall.

b. Form duct insulation with minimum jacket seams. Fasten each piece of rigid insulation to the duct using mechanical fasteners. When the height of projections is less than the insulation thickness, bring insulation up to standing seams, reinforcing, and other vertical projections and do not carry over. Continue vapor retarder/barrier jacket across seams, reinforcing, and projections. When height of projections is greater than the insulation thickness, carry over insulation and jacket . Apply insulation with joints tightly butted. Neatly bevel insulation around name plates and access plates and doors.

c. Impale insulation on the fasteners; install self-locking washers and trim and bend the pin over.

d. Seal joints in the insulation jacket with a 4 inch wide strip of tape. Seal taped seams with a brush coat of vapor retarder coating.

e. Cover breaks and ribs or standing seam penetrations in the jacket material with a patch of the same material as the jacket. Do not extend patches less than 2 inches beyond the break or penetration and secure with tape and staple. Seal staples and joints with a brush coat of vapor retarder coating.

f. At jacket penetrations such as hangers, thermometers, and damper operating rods, fill the voids in the insulation and seal the penetrations with a flashing sealant.

g. Seal and flash insulation terminations and pin punctures with a reinforced vapor retarder coating finish. Ensure coating overlaps the adjoining insulation and un-insulated surface 2 inches. Extend pin puncture coatings 2 inches from the puncture in all directions.

h. Insulate oval and round ducts, flexible type, with factory Type I jacket insulation with minimum density of 3/4 pcf, attach in accordance with MICA standards.

3.2.3 Insulation for Warm Air Duct

Provide insulation and vapor barrier for the following warm air ducts and associated equipment:.

a. Supply ducts.

b. Return air ducts.

c. Relief air ducts

d. Flexible run-outs (field insulated).

e. Plenums.

f. Duct-mounted coil casings.

g. Coil-headers and return bends.

h. Coil casings.

i. Fresh air intake ducts.

j. Filter boxes.

k. Mixing boxes.

l. Supply fans.

m. Site-erected air conditioner casings.

n. Ducts exposed to weather.

o. Exhaust ducts passing through concealed spaces exhausting conditioned air.

Provide insulation for rectangular ducts that is flexible type where concealed, and rigid type where exposed. Provide insulation on exposed ducts with a white, paint-able, factory-applied Type II jacket, or finish with adhesive finish. Use flexible type insulation for round ducts, with a factory-applied Type II jacket. Provide insulation on concealed duct with a factory-applied Type II jacket. Accomplish adhesive finish where indicated to be used by applying two coats of adhesive with a layer of glass cloth embedded between the coats. Ensure total dry film thickness is approximately 1/16 inch. Continue duct insulation through sleeves and prepare openings. Terminate duct insulation at fire dampers and flexible connections.

3.2.3.1 Installation on Concealed Duct

a. For rectangular, oval and round ducts, attach insulation by applying adhesive around the entire perimeter of the duct in 6 inch wide strips on 12 inch centers.

b. For rectangular and oval ducts 24 inches and larger, secure insulation to the bottom of ducts using mechanical fasteners. Space fasteners on 18 inch centers and no more than 18 inches from duct corner.

c. For rectangular, oval and round ducts, provide mechanical fasteners on

sides of duct risers for all duct sizes. Space fasteners on 18 inch centers and no more than 18 inches from duct corners.

d. Impale insulation on the mechanical fasteners where used. Do not compress insulation to a thickness less than that specified. Carry insulation over standing seams and trapeze-type hangers.

e. Install self-locking washers where mechanical fasteners are used and trim and bend the pin over.

f. Do not overlap insulatio jacket less than 2 inches at joints and secure the lap and staple on 4 inch centers.

3.2.3.2 Installation on Exposed Duct

a. For rectangular ducts, secure the rigid insulation to the duct using mechanical fasteners on all four sides of the duct, space no more than 16 inches apart and no more than 6 inches from the edges of the insulation joints. Provide a minimum of two rows of fasteners for each side of duct 12 inches and larger and a minimum of one row for each side of duct less than 12 inches.

b. Form duct insulation with factory-applied jacket with minimum jacket seams, and fasten each piece of rigid insulation to the duct using mechanical fasteners. When the height of projection is less than the insulation thickness, bring insulation up to standing seams, reinforcing, and other vertical projections and do not carry over the projection. Continue jacket across seams, reinforcing, and projections. Where the height of projections is greater than the insulation thickness, carry insulation and jacket over the projection.

c. Impale insulation on the fasteners; install self-locking washers and trim and bend the pin over.

d. Seal joints on jacketed insulation with a 4 inch wide strip of tape and brush with vapor retarder coating.

e. Cover breaks and penetrations in the jacket material with a patch of the same material as the jacket. Extend patches no less than 2 inches beyond the break or penetration and secure with adhesive and staple.

f. Seal insulation terminations and pin punctures with tape and brush with vapor retarder coating.

g. Insulate oval and round ducts, flexible type, with factory Type I jacket insulation, minimum density of 3/4 pcf attach by staples spaced no more than 16 inches and no more than 6 inches from the degrees of joints. Seal joints in accordance with item "d." above.

3.2.4 Ducts Handling Air for Dual Purpose

For air handling ducts for dual purpose below and above 60 degrees F, insulate ducts as specified for cold air duct.

3.2.5 Duct Test Holes

After duct systems have been tested, adjusted, and balanced, repair breaks in the insulation and jacket in accordance with the applicable section of this specification for the type of duct insulation to be repaired.

3.2.6 Duct Exposed to Weather

3.2.6.1 Installation

Insulate and finish ducts exposed to weather as specified for the applicable service for exposed duct inside the building. After the above is accomplished, further finish the insulation as detailed in the following subparagraphs.

3.2.6.2 Round Duct

Laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - Less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed or greater than 8 ply, heavy duty, white and natural) membrane must be applied overlapping material by 3 inches no bands or caulking needed - see manufacturer's recommended installation instructions. Aluminum jacket with factory applied moisture retarder must be applied with the joints lapped no less than 3 inches and secured with bands located at circumferential laps and at no more than 12 inch intervals throughout. Lap horizontal joints down to shed water and located at 4 or 8 o'clock position. Seal joints with metal jacketing sealant to prevent moisture penetration. Where jacketing abuts an un-insulated surface, seal joints with metal jacketing sealant.

3.2.6.3 Fittings

Finish fittings and other irregular shapes as specified for rectangular ducts.

3.2.6.4 Rectangular Ducts

Apply two coats of weather barrier mastic reinforced with fabric or mesh for outdoor application to the entire surface. Ensure each coat of weatherproof mastic has a minimum thickness of 1/16 inch. Ensure exterior is a metal jacketing applied for mechanical abuse and weather protection, and secure with screws or vapor barrier/weatherproofing jacket less than 0.0000 permeability greater than 3 ply, standard grade, silver, white, black, and embossed or greater than 8 ply, heavy duty white and natural. Apply membrane overlapping material by 3 inches. No bands or caulking needed-see manufacturing recommend installation instructions.

3.3 EQUIPMENT INSULATION SYSTEMS INSTALLATION

3.3.1 General

Provide removable insulation sections to cover parts of equipment that must be opened periodically for maintenance including vessel covers, fasteners, flanges and accessories. Omit equipment insulation on the following:

a. Hand-holes.

b. Boiler manholes.

c. Cleanouts.

d. ASME stamps.

e. Manufacturer's nameplates.

f. Duct Test/Balance Test Holes.

3.3.2 Insulation for Cold Equipment

Cold equipment below 60 degrees F: Furnish insulation on equipment handling media below 60 degrees F including the following:

a. Pumps.

b. Refrigeration equipment parts that are not factory insulated.

c. Drip pans under chilled equipment.

d. Cold water storage tanks.

e. Water softeners.

f. Duct mounted coils.

g. Cold and chilled water pumps.

h. Pneumatic water tanks.

i. Roof drain bodies.

j. Air handling equipment parts that are not factory insulated.

k. Expansion and air separation tanks.

3.3.2.1 Insulation Type

Provide insulation suitable for the temperature encountered. Provide material and thicknesses as shown in Table 5:

TABLE 5		
Insulation Thickness for Cold Equipment (inches)		
Equipment handling media at indicated temperature		
	Material	Thickness (inches)
35 to 60 degrees F		
	Cellular Glass	1.5
	Flexible Elastomeric Cellular	1
1 to 34 degrees F		
	Cellular Glass	3

TABLE 5		
Insulation Thickness for Cold Equipment (inches)		
Equipment handling media at indicated temperature		
	Material	Thickness (inches)
	Flexible Elastomeric Cellular	1.5
Minus 30 to 0 degrees F		
	Cellular Glass	3.5
	Flexible Elastomeric Cellular	1.75

3.3.2.2 Other Equipment

a. Form or fabricate insulation to fit the equipment. To ensure a tight fit on round equipment, bevel edges and tightly butt and stagger joints.

b. Secure insulation in place with bands or wires at intervals as recommended by the manufacturer but no more than 12 inch centers except adhere flexible elastomeric cellular with contact adhesive. Protect insulation corners under wires and bands with suitable corner angles.

c. Install cellular glass in accordance with manufacturer's instructions. Seal joints and ends with joint sealant, and seal with a vapor retarder coating.

d. Use removable insulation on heads of heat exchangers. Fabricate removable section joints using a male-female shiplap type joint. Finish the entire surface of the removable section by applying two coats of vapor retarder coating with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch.

e. Protect exposed insulation corners with corner angles.

f. Apply insulation on equipment with ribs over 6 by 6 inches by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot weld to the equipment over the ribs. Secure insulation to the fabric with J-hooks and 2 by 2 inches washers or securely band or wire in place on 12 inch centers.

3.3.2.3 Vapor Retarder/Vapor Barrier

Upon completion of installation of insulation, caulk penetrations. Apply two coats of vapor retarder coating or vapor barrier jacket over insulation, including removable sections, with a layer of open mesh synthetic fabric embedded between the coats. Ensure the total dry thickness of the finish is 1/16 inch. Apply flasing sealant or vapor barrier tape to parting line between equipment and removable section insulation.

3.3.3 Insulation for Hot Equipment

Furnish insulation on equipment handling media above 60 degrees F including the following:

a. Converters.

b. Heat exchangers.

c. Hot water generators.

d. Water heaters.

e. Pumps handling media above 130 degrees F.

f. Fuel oil heaters.

g. Hot water storage tanks.

h. Air separation tanks.

i. Surge tanks.

j. Flash tanks.

k. Feed-water heaters.

l. Unjacketed boilers or parts of boilers.

m. Boiler flue gas connection from boiler to stack (if inside).

n. Induced draft fans.

o. Fly ash and soot collectors.

p. Condensate receivers.

3.3.3.1 Insulation

Provide insulation suitable for the temperature encountered. Insulate shell and tube-type heat exchangers for the temperature of the shell medium.

Determine insulation thickness for hot equipment using Table 6:

TABLE 6		
Insulation Thickness for Hot Equipment (inches)		
Equipment handling steam or media at indicated pressure or temperature limit		
	Material	Thickness (inches)
15 psig or 250 degrees F		
	Rigid Mineral Fiber	2

TABLE 6		
Insulation Thickness for Hot Equipment (inches)		
Equipment handling steam or media at indicated pressure or temperature limit		
	Material	Thickness (inches)
	Flexible Mineral Fiber	2
	Calcium Silicate/Perlite	4
	Cellular Glass	3
	Faced Phenolic Foam	1.5
	Flexible Elastomeric Cellular (<200 F)	1
200psig or 400 degrees F		
	Rigid Mineral Fiber	3
	Flexible Mineral Fiber	3
	Calcium Silicate/Perlite	4
	Cellular Glass	4
600 degrees F		
	Rigid Mineral Fiber	5
	Flexible Mineral Fiber	6
	Calcium Silicate/Perlite	6
	Cellular Glass	6
600 degrees F: Thickness necessary to limit the external temperature of the insulation to 120 F. Submit heat transfer calculations to substantiate insulation and thickness selection.		

3.3.3.2 Other Equipment

a. Form or fabricate insulation to fit the equipment. To ensure a tight fit on round equipment, bevel edges and tightly butt and stagger joints.

b. Secure insulation in place with bands or wires at intervals as recommended by the manufacturer but no greater than 12 inch centers except adhere flexible elastomeric cellular. Protect insulation corners under wires and bands with suitable corner angles.

c. On high vibration equipment, set cellular glass insulation in a coating of bedding compound as recommended by the manufacturer, and seal joints with bedding compound. Fill mineral fiber joints with finishing cement.

d. Provide removable insulation on heads of heat exchangers. Fabricate the removable section joint using a male-female shiplap type joint. Finish the entire surface of the removable section as specified.

e. Protect exposed insulation corners with corner angles.

f. On equipment with ribs, such as boiler flue gas connection, draft fans, and fly ash or soot collectors, apply insulation over 6 by 6 inch by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot weld to the equipment over the ribs. Secure insulation to the fabric with J-hooks and 2 by 2 inch washers or securely band or wire in place on 12 inch (maximum) centers.

g. On equipment handling media above 600 degrees F, apply insulation in two or more layers with staggered joints.

h. Upon completion of installation of insulation, caulk penetrations. Apply two coats of adhesive over insulation, including removable sections, with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch. Apply caulking to parting line between equipment and removable section insulation.

3.3.4 Equipment Handling Dual Temperature Media

Below and above 60 degrees F: insulate equipment handling dual temperature media as specified for cold equipment.

3.3.5 Equipment Exposed to Weather

3.3.5.1 Installation

Insulate equipment exposed to weather and finish in accordance with the requirements for ducts exposed to weather in paragraph DUCT INSULATION INSTALLATION.

3.3.5.2 Optional Panels

At the option of the Contractor, prefabricated metal insulation panels may be used in lieu of the insulation and finish previously specified. Thermal performance must be equal to or better than that specified for field applied insulation. Provide panels that are the standard catalog product of a manufacturer of metal insulation panels. Provide fastenings, flashing, and support system conforming to published recommendations of the manufacturer for weatherproof installation and that prevent moisture from entering the insulation. Design panels to accommodate thermal expansion and to support a 250 pound walking load without permanent deformation or permanent damage to the insulation. Exterior metal cover sheet must be aluminum and exposed fastenings must be stainless steel or aluminum.

-- End of Section --

SECTION 23 09 00

INSTRUMENTATION AND CONTROL FOR HVAC
02/19, CHG 3: 05/21

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as indicated and shown and in accordance with Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet or Niagara BACnet systems, and other referenced Sections.

1.1.1 Control System Vendor Requirement

The control system provided under this Section must be Trane. Configure the equipment as indicated in the configuration settings drawings.

1.1.2 Proprietary Systems

1.1.2.1 Proprietary Systems Exempted From Open Protocol Requirements

The following systems are specifically exempted from the open protocol requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS:

a. A simple split (DX) system consisting of a single indoor unit and a single outdoor unit from the same manufacturer.

b. Systems in Table I (previously approved by the designer in accordance with UFC 3-410-02).

TABLE I: Systems Approved to Use Proprietary Communications		
System	Type (Multi-Split/VRF or Chiller/Boiler Plant)	Proprietary Multi-Split Engineering Tool Software Required (for Multi-Split/VRF only)

c. A system (not already shown Table I) of multiple boilers or multiple chillers communicating with a proprietary network for which an approved request has been obtained and for which: all units are from the same manufacturer, they are all co-located in the same room, the network connecting them is fully contained in that room, and the units are operating using a common "plant" sequence of operation which

stages the units in a manner that requires operational parameters be shared between them and which cannot be accomplished with a single lead-lag command from a third-party controller.

1.1.2.2 Implementation of Proprietary Systems

For proprietary systems exempted from open protocol requirements, a proprietary network and DDC hardware communicating via proprietary protocol are permitted. For these systems a building control network meeting the requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS must also be provided, along with a gateway or interface to connect the proprietary system to the open building control network.

The proprietary system gateway or interface must provide the required functionaliality as shown on the points schedule. Scheduling, alarming, trending, overrides, network inputs, network outputs and other protocol related requirements must be met on the open protocol control system as specified in Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

1.1.2.3 Proprietary Multi-Split Engineering Tool Software

For each permitted proprietary systems in Table 1 shown as requiring Proprietary Multi-Split Engineering Tool Software, provide the software needed to replace a unit and configure the replacement. Submit hard copies of the software user manuals with the software submittal.

Submit Proprietary Multi-Split Engineering Tool Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.1.3 System Requirements

Provide systems meeting the requirements this Section and other Sections referenced by this Section, and which have the following characteristics:

a. The system implements the control sequences of operation shown in the Contract Drawings using DDC hardware to control mechanical and electrical equipment

b. The system meet the requirements of this specification as a stand-alone system and does not require connection to any other system.

c. Control sequences reside in DDC hardware in the building. The building control network is not dependent upon connection to a Utility Monitoring and Control System (UMCS) Front End or to any other system for performance of control sequences. To the greatest extent practical, the hardware performs control sequences without reliance on the building network.

d. The hardware is installed such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.

e. All necessary documentation, configuration information, programming tools, programs, drivers, and other software are licensed to and otherwise remain with the Government such that the Government or their agents are able to perform repair, replacement, upgrades, and

expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.

f. Sufficient documentation and data, including rights to documentation and data, are provided such that the Government or their agents can execute work to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.

g. Hardware is installed and configured such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the Contractor, Vendor or Manufacturer.

1.1.4 End to End Accuracy

Select products, install and configure the system such that the maximum error of a measured value as read from the DDC Hardware over the network is less than the maximum allowable error specified for the sensor or instrumentation.

1.1.5 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.6 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere:

a. Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet systems with or without Niagara Framework.

b. Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC

c. Section 01 91 00.15 BUILDING COMMISSIONING

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135 (2020; Interpretation 1-8 2021; Errata 1-2 2021; Addenda CD 2021; Addenda BV-CE 2022;

	Interpretation 9-12 2022; Interpretation 13-24 2023; Addenda BV-CF 2023; Errata 3 2023) BACnet—A Data Communication Protocol for Building Automation and Control Networks
ASHRAE FUN IP	(2021) Fundamentals Handbook, I-P Edition

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41	(1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 7 2023; TIA 23-15) National Electrical Code
NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-410-02	(2018; with Change 2, 2021) Direct Digital Control for HVAC and Other Building Control Systems

UNDERWRITERS LABORATORIES (UL)

UL 5085-3	(2006; Reprint Jan 2022) UL Standard for Safety Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

1.4 DEFINITIONS

The following list of definitions includes terms used in Sections referenced by this Section and are included here for completeness.The definitions contained in this Section may disagree with how terms are defined or used in other documents, including documents referenced by this Section. The definitions included here are the authoritative definitions for this Section and all Sections referenced by this Section.

After each term the protocol related to that term is included in parenthesis.

1.4.1 Alarm Generation (All protocols)

Alarm Generation is the monitoring of a value, comparison of the value to alarm conditions and the creation of an alarm when the conditions set for the alarm are met. Note that this does NOT include delivery of the alarm to the final destination (such as a user interface).

1.4.2 Building Automation and Control Network (BACnet) (BACnet)

The term BACnet is used in two ways. First meaning the BACnet Protocol Standard - the communication requirements as defined by ASHRAE 135 including all annexes and addenda. The second to refer to the overall technology related to the ASHRAE 135 protocol.

1.4.3 BACnet Advanced Application Controller (B-AAC) (BACnet)

A hardware device BTL Listed as a B-AAC, which is required to support BACnet Interoperability Building Blocks (BIBBs) for scheduling and alarming, but is not required to support as many BIBBs as a B-BC.

1.4.4 BACnet Application Specific Controller (B-ASC) (BACnet)

A hardware device BTL Listed as a B-ASC, with fewer BIBB requirements than a B-AAC. It is intended for use in a specific application.

1.4.5 BACnet Building Controller (B-BC) (BACnet)

A hardware device BTL Listed as a B-BC. A general-purpose, field-programmable device capable of carrying out a variety of building automation and control tasks including control and monitoring via direct digital control (DDC) of specific systems and data storage for trend information, time schedules, and alarm data. Like the other BTL Listed controller types (B-AAC, B-ASC etc.) a B-BC device is required to support the server ("B") side of the ReadProperty and WriteProperty services, but unlike the other controller types it is also required to support the client ("A") side of these services. Communication between controllers requires that one of them support the client side and the other support the server side, so a B-BC is often used when communication between controllers is needed.

1.4.6 BACnet Broadcast Management Device (BBMD) (BACnet)

A communications device, typically combined with a BACnet router. A BBMD forwards BACnet broadcast messages to BACnet/IP devices and other BBMDs connected to the same BACnet/IP network. Each IP subnet that is part of a BACnet/IP network must have at least one BBMD. Note there are additional restrictions when multiple BBMDs share an IP subnet.

1.4.7 BACnet/IP (BACnet)

An extension of BACnet, Annex J, defines the use of a reserved UDP socket to transmit BACnet messages over IP networks. A BACnet/IP network is a collection of one or more IP subnets that share the same BACnet network number. See also paragraph BACNET BROADCAST MANAGEMENT DEVICE.

1.4.8 BACnet Internetwork (BACnet)

Two or more BACnet networks, connected with BACnet routers. In a BACnet Internetwork, there exists only one message path between devices.

1.4.9 BACnet Interoperability Building Blocks (BIBBs) (BACnet)

A BIBB is a collection of one or more ASHRAE 135 Services intended to define a higher level of interoperability. BIBBs are combined to build the BACnet functional requirements for a device in a specification. Some BIBBs define additional requirements (beyond requiring support for specific

services) in order to achieve a level of interoperability. For example, the BIBB DS-V-A (Data Sharing-View-A), which would typically be used by a front-end, not only requires the client to support the ReadProperty Service, but also provides a list of data types (Object / Properties) which the client must be able to interpret and display for the user.

In the BIBB shorthand notation, -A is the client side and -B is the server side.

The following is a list of some BIBBs used by this or referenced Sections:	
DS-COV-A	Data Sharing-Change of Value (A side)
DS-COV-B	Data Sharing-Change of Value (B side)
NM-RC-B	Network Management-Router Configuration (B side)
DS-RP-A	Data Sharing-Read Property (A side)
DS-RP-B	Data Sharing-Read Property (B side)
DS-RPM-A	Data Sharing-Read Property Multiple (A Side)
DS-RPM-B	Data Sharing-Read Property Multiple (B Side)
DS-WP-A	Data Sharing-Write Property (A Side)
DM-TS-B	Device Management-Time Synchronization (B Side)
DM-UTC-B	Device Management-UTC Time Synchronization (B Side)
DS-WP-B	Data Sharing-Write Property (B side)
SCHED-E-B	Scheduling-External (B side)
DM-OCD-B	Device Management-Object Creation and Deletion (B side)
AE-N-I-B	Alarm and Event-Notification Internal (B Side)
AE-N-E-B	Alarm and Event-Notification External (B Side)
T-VMT-I-B	Trending-Viewing and Modifying Trends Internal (B Side)
T-VMT-E-B	Trending-Viewing and Modifying Trends External (B Side)

1.4.10 BACnet Network (BACnet)

In BACnet, a portion of the control Internetwork consisting of one or more segments connected by repeaters. Networks are separated by routers.

1.4.11 BACnet Operator Display (B-OD) (BACnet)

A basic operator interface with limited capabilities relative to a B-OWS. It is not intended to perform direct digital control. A B-OD profile could be used for LCD devices, displays affixed to BACnet devices,

handheld terminals or other very simple user interfaces.

1.4.12 BACnet Segment (BACnet)

One or more physical segments interconnected by repeaters (ASHRAE 135).

1.4.13 BACnet Smart Actuator (B-SA) (BACnet)

A simple actuator device with limited resources intended for specific applications.

1.4.14 BACnet Smart Sensor (B-SS) (BACnet)

A simple sensing device with limited resources.

1.4.15 BACnet Testing Laboratories (BTL) (BACnet)

Established by BACnet International to support compliance testing and interoperability testing activities and consists of BTL Manager and the BTL Working Group (BTL-WG). BTL also publishes Implementation Guidelines.

1.4.16 BACnet Testing Laboratories (BTL) Listed (BACnet)

A device that has been listed by BACnet Testing Laboratory. Devices may be certified to a specific device profile, in which case the listing indicates that the device supports the required capabilities for that profile, or may be listed as "other".

1.4.17 Binary (All protocols)

A two-state system where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.4.18 Broadcast (BACnet)

Unlike most messages, which are intended for a specific recipient device, a broadcast message is intended for all devices on the network.

1.4.19 Building Control Network (BCN) (All protocols)

The network connecting all DDC Hardware within a building (or specific group of buildings).

1.4.20 Building Point of Connection (BPOC) (All protocols)

A FPOC for a Building Control System. (This term is being phased out of use in preference for FPOC but is still used in some specifications and criteria. When it was used, it typically referred to a piece of control hardware. The current FPOC definition typically refers instead to IT hardware.)

1.4.21 Commandable (All protocols)

See Overridable.

1.4.22 Commandable Objects (BACnet)

Commandable Objects have a Commandable Property, Priority_Array, and

Relinquish_Default Property as defined in ASHRAE 135, Clause 19.2, Command Prioritization.

1.4.23 Configurable (All protocols)

A property, setting, or value is configurable if it can be changed via hardware settings on the device, via the use of engineering software or over the control network from the front end, and is retained through (after) loss of power.

In a BACnet system, a property, setting, or value is configurable if it can be changed via one or more of:

1) via BACnet services (including proprietary BACnet services)
2) via hardware settings on the device

Note this is more stringent than the ASHRAE 135 definition.

1.4.24 Control Logic Diagram (All protocols)

A graphical representation of control logic for multiple processes that make up a system.

1.4.25 Device (BACnet)

A Digital Controller that contains a BACnet Device Object and uses BACnet to communicate with other devices.

1.4.26 Device Object (BACnet)

Every BACnet device requires one Device Object, whose properties represent the network visible properties of that device. Every Device Object requires a unique Object Identifier number on the BACnet Internetwork. This number is often referred to as the device instance or device ID.

1.4.27 Device Profile (BACnet)

A collection of BIBBs determining minimum BACnet capabilities of a device, defined in ASHRAE 135. Standard device profiles include BACnet Advanced Workstations (B-AWS), BACnet Building Controllers (B-BC), BACnet Advanced Application Controllers (B-AAC), BACnet Application Specific Controllers (B-ASC), BACnet Smart Actuator (B-SA), and BACnet Smart Sensor (B-SS).

1.4.28 Digital Controller (All protocols)

An electronic controller, usually with internal programming logic and digital and analog input/output capability, which performs control functions.

1.4.29 Direct Digital Control (DDC) (All protocols)

Digital controllers performing control logic. Usually the controller directly senses physical values, makes control decisions with internal programs, and outputs control signals to directly operate switches, valves, dampers, and motor controllers.

1.4.30 Field Point of Connection (FPOC) (All protocols)

The FPOC is the point of connection between the UMCS IP Network and the field control network (either an IP network, a non-IP network, or a

combination of both). The hardware at this location which provides the connection is generally an IT device such as a switch, IP router, or firewall.

In general, the term "FPOC Location" means the place where this connection occurs, and "FPOC Hardware" means the device that provides the connection. Sometimes the term "FPOC" is used to mean either and its actual meaning (i.e. location or hardware) is determined by the context in which it is used.

1.4.31 Gateway (All protocols)

A device that translates from one protocol application data format to another. Devices that change only the transport mechanism of the protocol - "translating" from TP/FT-10 to Ethernet/IP or from BACnet MS/TP to BACnet over IP for example - are not gateways as the underlying data format does not change. Gateways are also called Communications Bridges or Protocol Translators.

1.4.32 IEEE 802.3 Ethernet (All protocols)

A family of local-area-network technologies providing high-speed networking features over various media, typically Cat 5, 5e or Cat 6 twisted pair copper or fiber optic cable.

1.4.33 Internet Protocol (IP, TCP/IP, UDP/IP) (All protocols)

A communication method, the most common use is the World Wide Web. At the lowest level, it is based on Internet Protocol (IP), a method for conveying and routing packets of information over various LAN media. Two common protocols using IP are User Datagram Protocol (UDP) and Transmission Control Protocol (TCP). UDP conveys information to well-known "sockets" without confirmation of receipt. TCP establishes connections, also known as "sessions", which have end-to-end confirmation and guaranteed sequence of delivery.

1.4.34 Input/Output (I/O) (All protocols)

Physical inputs and outputs to and from a device, although the term sometimes describes network or "virtual" inputs or outputs. See also "Points".

1.4.35 I/O Expansion Unit (All protocols)

An I/O expansion unit provides additional point capacity to a digital controller

1.4.36 IP subnet (All protocols)

A group of devices which share a defined range IP addresses. Devices on a common IP subnet can share data (including broadcasts) directly without the need for the traffic to traverse an IP router.

1.4.37 Local-Area Network (LAN) (All protocols)

A communication network that spans a limited geographic area and uses the same basic communication technology throughout.

1.4.38 Local Display Panels (LDPs) (All protocols)

A DDC Hardware with a display and navigation buttons, and must provide display and adjustment of points as shown on the Points Schedule and as indicated.

1.4.39 MAC Address (All protocols)

Media Access Control address. The physical device address that identifies a device on a Local Area Network.

1.4.40 Master-Slave/Token-Passing (MS/TP) (BACnet)

Data link protocol as defined by the BACnet standard. Multiple speeds (data rates) are permitted by the BACnet MS/TP standard.

1.4.41 Monitoring and Control (M&C) Software (All protocols)

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface for monitoring the system and configuring these functions.

1.4.42 Network Number (BACnet)

A site-specific number assigned to each network. This network number must be unique throughout the BACnet Internetwork.

1.4.43 Object (BACnet)

An ASHRAE 135 Object. The concept of organizing BACnet information into standard components with various associated Properties. Examples include Analog Input objects and Binary Output objects.

1.4.44 Object Identifier (BACnet)

A grouping of two Object properties: Object Type (e.g. Analog Value, Schedule, etc.) and Object Instance (in this case, a number). Object Identifiers must be unique within a device.

1.4.45 Object Instance (BACnet)

See paragraph OBJECT IDENTIFIER

1.4.46 Object Properties (BACnet)

Attributes of an object. Examples include present value and high limit properties of an analog input object. Properties are defined in ASHRAE 135; some are optional and some are required. Objects are controlled by reading from and writing to object properties.

1.4.47 Operator Configurable (All protocols)

Operator configurable values are values that can be changed from a single common front end user interface across multiple vendor systems.

For non Niagara-based BACnet systems, a property, setting, or value in a device is Operator Configurable when it is Configurable and is either:

a. a Writable Property of a Standard BACnet Object; or

b. a Property of a Standard BACnet Object that is Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable.

1.4.48 Override (All protocols)

Changing the value of a point outside of the normal sequence of operation where the change has priority over the sequence and where there is a mechanism for releasing the change such that the point returns to the normal value. Overrides persist until released or overridden at the same or higher priority but are not required to persist through a loss of power. Overrides are often used by operators to change values, and generally originate at a user interface (workstation or local display panel).

1.4.49 Packaged Equipment (All protocols)

Packaged equipment is a single piece of equipment provided by a manufacturer in a substantially complete and operable condition, where the controls (DDC Hardware) are factory installed, and the equipment is sold and shipped from the manufacturer as a single entity. Disassembly and reassembly of a large piece of equipment for shipping does not prevent it from being packaged equipment. Package units may require field installation of remote sensors. Packaged equipment is also called a "packaged unit".

Note industry may use the term "Packaged System" to mean a collection of equipment that is designed to work together where each piece of equipment is packaged equipment and there is a network that connects the equipment together. A "packaged system" of this type is NOT packaged equipment; it is a collection of packaged equipment, and each piece of equipment must individually meet specification requirements.

1.4.50 Packaged Unit (All protocols)

See packaged equipment.

1.4.51 Performance Verification Test (PVT) (All protocols)

The procedure for determining if the installed BAS meets design criteria prior to final acceptance. The PVT is performed after installation, testing, and balancing of mechanical systems. Typically the PVT is performed by the Contractor in the presence of the Government.

1.4.52 Physical Segment (BACnet)

A single contiguous medium to which BACnet devices are attached (ASHRAE 135).

1.4.53 Polling (All protocols)

A device periodically requesting data from another device.

1.4.54 Points (All protocols)

Physical and virtual inputs and outputs. See also paragraph INPUT/OUTPUT (I/O).

1.4.55 Proportional, Integral, and Derivative (PID) Control Loop (All protocols)

Three parameters used to control modulating equipment to maintain a setpoint. Derivative control is often not required for HVAC systems (leaving "PI" control).

1.4.56 Proprietary (BACnet)

Within the context of BACnet, any extension of or addition to object types, properties, PrivateTransfer services, or enumerations specified in ASHRAE 135. Objects with Object_Type values of 128 and above are Proprietary Objects. Properties with Property_Identifier of 512 and above are proprietary Properties.

1.4.57 Protocol Implementation Conformance Statement (PICS) (BACnet)

A document, created by the manufacturer of a device, which describes which portions of the BACnet standard may be implemented by a given device. ASHRAE 135 requires that all ASHRAE 135 devices have a PICS, and also defines a minimum set of information that must be in it. A device as installed for a specific project may not implement everything in its PICS.

1.4.58 Repeater (All protocols)

A device that connects two control network segments and retransmits all information received on one side onto the other.

1.4.59 Router (All protocols)

A device that connects two ASHRAE 135 networks and controls traffic between the two by retransmitting signals received from one side onto the other based on the signal destination. Routers are used to subdivide a BACnet internetwork and to limit network traffic.

1.4.60 Segment (All protocols)

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type.

1.4.61 Standard BACnet Objects (BACnet)

Objects with Object_Type values below 128 and specifically enumerated in Clause 21 of ASHRAE 135. Objects which are not proprietary. See paragraph PROPRIETARY.

1.4.62 Standard BACnet Properties (BACnet)

Properties with Property_Identifier values below 512 and specifically enumerated in Clause 21 of ASHRAE 135. Properties which are not proprietary. See Proprietary.

1.4.63 Standard BACnet Services (BACnet)

ASHRAE 135 services other than ConfirmedPrivateTransfer or UnconfirmedPrivateTransfer. See paragraph PROPRIETARY.

1.4.64 UMCS (All protocols)

UMCS stands for Utility Monitoring and Control System. The term refers to all components by which a project site monitors, manages, and controls real-time operation of HVAC and other building systems. These components include the UMCS "front-end" and all field building control systems connected to the front-end. The front-end consists of Monitoring and Control Software (user interface software), browser-based user interfaces and network infrastructure.

The network infrastructure (the "UMCS Network"), is an IP network connecting multiple building or facility control networks to the Monitoring and Control Software.

1.4.65 UMCS Network (All protocols)

The UMCS Network connects multiple building or facility control networks to the Monitoring and Control Software.

1.4.66 Writable Property (BACnet)

A Property is Writable when it can be changed through the use of one or more of the WriteProperty services defined in ASHRAE 135, Clause 15 regardless of the value of any other Property. Note that in the ASHRAE 135 standard, some Properties may be writable when the Out of Service Property is TRUE; for purposes of this Section, Properties that are only writable when the Out of Service Property is TRUE are not considered to be Writable.

1.5 PROJECT SEQUENCING

TABLE II: PROJECT SEQUENCING lists the sequencing of submittals as specified in paragraph SUBMITTALS (denoted by an 'S' in the 'TYPE' column) and activities as specified in PART 3 EXECUTION (denoted by an 'E' in the 'TYPE' column). TABLE II does not specify overall project milestone and completion dates; these dates are specified in the contract documents.

a. Sequencing for Submittals: The sequencing specified for submittals is the deadline by which the submittal must be initially submitted to the Government. Following submission there will be a Government review period as specified in Section 01 33 00 SUBMITTAL PROCEDURES. If the submittal is not accepted by the Government, revise the submittal and resubmit it to the Government within 14 days of notification that the submittal has been rejected. Upon resubmittal there will be an additional Government review period. If the submittal is not accepted the process repeats until the submittal is accepted by the Government.

b. Sequencing for Activities: The sequencing specified for activities indicates the earliest the activity may begin.

c. Abbreviations: In TABLE II the abbreviation AAO is used for 'after approval of' and 'ACO' is used for 'after completion of'.

TABLE II. PROJECT SEQUENCING			
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR SUBMITTAL)
1	S	Existing Conditions Report	
2	S	DDC Contractor Design Drawings	
3	S	Manufacturer's Product Data	
4	S	Pre-construction QC Checklist	
5	E	Install Building Control System	AAO #1 thru #4
6	E	Start-Up and Start-Up Testing	ACO #5
7	S	Post-Construction QC Checklist	ACO #6
8	S	Programming Software Configuration Software	ACO #6
9	S	Draft As-Built Drawings	ACO #6
10	S	Start-Up Testing Report	ACO #6
11	S	PVT Procedures	before schedule start of #12 and AAO #10
12	S,E	PVT Testing Activities	As indicated in PART 3 of this Section
13	S	PVT Report	As indicated in PART 3 of this Section

TABLE II. PROJECT SEQUENCING			
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR SUBMITTAL)
14	S	Controller Application Programs Controller Configuration Settings	AAO #13
15	S	Final As-Built Drawings	AAO #13
16	S	O&M Instructions	AAO #15
17	S	Training Documentation	AAO #10 and before scheduled start of #18
18	E	Training	AAO #16 and #17
19	S	Closeout QC Checklist	ACO #18

1.6 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

DDC Contractor Design Drawings; G

Draft As-Built Drawings; G

Final As-Built Drawings; G

SD-03 Product Data

Programming Software; G

Controller Application Programs; G

Configuration Software; G

Controller Configuration Settings; G

Proprietary Multi-Split Engineering Tool Software; G

Manufacturer's Product Data; G

SD-06 Test Reports

Pre-Construction Quality Control (QC) Checklist; G

Post-Construction Quality Control (QC) Checklist; G

Start-Up Testing Report; G

Control Contractor’s Performance Verification Testing Plan; G

Equipment Supplier’s Performance Verification Testing Plan; G

Endurance Testing Results; G

Performance Verification Test Report; G

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions; G

Training Documentation; G

SD-11 Closeout Submittals

Enclosure Keys; G

Password Summary Report; G

Closeout Quality Control (QC) Checklist; G

1.7 DATA PACKAGE AND SUBMITTAL REQUIREMENTS

Technical data packages consisting of technical data and computer software (meaning technical data which relates to computer software) which are specifically identified in this project and which may be defined/required in other specifications must be delivered strictly in accordance with the CONTRACT CLAUSES and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered must be identified by reference to the particular specification paragraph against which it is furnished. All submittals not specified as technical data packages are considered 'shop drawings' under the Federal Acquisition Regulation Supplement (FARS) and must contain no proprietary information and be delivered with unrestricted rights.

1.8 SOFTWARE FOR DDC HARDWARE AND GATEWAYS

Provide all software related to the programming and configuration of DDC Hardware and Gateways as indicated. License all Software to the project site. The term "controller" as used in these requirements means both DDC Hardware and Gateways.

1.8.1 Configuration Software

For each type of controller, provide the configuration tool software in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of the software user manuals for each software with the software submittal.

Submit Configuration Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.8.2 Controller Configuration Settings

For each controller, provide copies of the installed configuration settings as source code compatible with the configuration tool software for that controller in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Controller Configuration Settings on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which files are associated with each device. Submit 2 copies of the Controller Configuration Settings CD-ROM.

1.8.3 Programming Software

For each type of programmable controller, provide the programming software in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of software user manuals for each software with the software submittal.

Submit Programming Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.8.4 Controller Application Programs

For each programmable controller, provide copies of the application program as source code compatible with the programming software for that controller in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Controller Application Programs on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which application program is associated with each device. Submit 2 copies of the Controller Application Programs CD-ROM.

1.9 QUALITY CONTROL CHECKLISTS

The QC Checklist for BACnet Systems in APPENDIX A of this Section must be completed by the Contractor's Chief Quality Control (QC) Representative and submitted as indicated.

The QC Representative must verify each item indicated and initial in the space provided to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

1.9.1 Pre-Construction Quality Control (QC) Checklist

Complete items indicated as Pre-Construction QC Checklist items in the QC Checklist. Submit four copies of the Pre-Construction QC Checklist.

1.9.2 Post-Construction Quality Control (QC) Checklist

Complete items indicated as Post-Construction QC Checklist items in the QC Checklist. Submit four copies of the Post-Construction QC Checklist.

1.9.3 Closeout Quality Control (QC) Checklist

Complete items indicated as Closeout QC Checklist items in the QC Checklist. Submit four copies of the Closeout QC Checklist.

PART 2 PRODUCTS

Provide products meeting the requirements of Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet or Niagara BACnet systems, other referenced Sections, and this Section.

2.1 GENERAL PRODUCT REQUIREMENTS

Units of the same type of equipment must be products of a single manufacturer. Each major component of equipment must have the manufacturer's name and address, and the model and serial number in a conspicuous place. Materials and equipment must be standard products of a manufacturer regularly engaged in the manufacturing of these and similar products. The standard products must have been in a satisfactory commercial or industrial use for two years prior to use on this project. The two year use must include applications of equipment and materials under similar circumstances and of similar size. DDC Hardware not meeting the two-year field service requirement is acceptable provided it has been successfully used by the Contractor in a minimum of two previous projects. The equipment items must be supported by a service organization. Items of the same type and purpose must be identical, including equipment, assemblies, parts and components.

2.2 PRODUCT DATA

Provide manufacturer's product data sheets documenting compliance with product specifications for each product provided under Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, or this Section. Provide product data for all products in a single indexed compendium, organized by product type.

For all BACnet hardware: for each manufacturer, model and version (revision) of DDC Hardware provide the Protocol Implementation Conformance Statement (PICS) in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Manufacturer's Product Data on CD-ROM.

2.3 OPERATION ENVIRONMENT

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

a. Pressure: Pressure conditions normally encountered in the installed location.

b. Vibration: Vibration conditions normally encountered in the installed location.

c. Temperature:

(1) Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.

(2) Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.

d. Humidity: 10 to 95 percent relative humidity, noncondensing and humidity conditions outside this range normally encountered at the installed location.

2.4 WIRELESS CAPABILITY

For products incorporating any wireless capability (including but not limited to radio frequency (RF), infrared and optical), provide products for which wireless capability can be permanently disabled at the device. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate.

2.5 ENCLOSURES

Enclosures supplied as an integral (pre-packaged) part of another product are acceptable. Provide two Enclosure Keys for each lockable enclosure on a single ring per enclosure with a tag identifying the enclosure the keys operate. Provide enclosures meeting the following minimum requirements:

2.5.1 Outdoors

For enclosures located outdoors, provide enclosures meeting NEMA 250 Type 3 requirements.

2.5.2 Mechanical and Electrical Rooms

For enclosures located in mechanical or electrical rooms, provide enclosures meeting NEMA 250 Type 2 requirements.

2.5.3 Other Locations

For enclosures in other locations including but not limited to occupied spaces, above ceilings, and in plenum returns, provide enclosures meeting NEMA 250 Type 1 requirements.

2.6 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.6.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.6.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.6.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

a. minimum 2 inch lay of twist

b. 100 percent shielded pairs

c. at least 300-volt insulation

d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation

e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.6.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.6.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

PART 3 EXECUTION

3.1 INSTALLATION

Fully install and test the control system in accordance Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet or Niagara BACnet systems, and this Section.

3.1.1 Dielectric Isolation

Provide dielectric isolation where dissimilar metals are used for connection and support. Install control system in a matter that provides clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Install control system such that it does not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.2 Penetrations in Building Exterior

Make all penetrations through and mounting holes in the building exterior watertight.

3.1.3 Device Mounting Criteria

Install devices in accordance with the manufacturer's recommendations and as indicated and shown. Provide a weathershield for all devices installed outdoors. Provide clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Provide clearance for mechanical and electrical system maintenance; do not not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.4 Labels and Tags

Key all labels and tags to the unique identifiers shown on the As-Built drawings. For labels exterior to protective enclosures provide engraved plastic labels mechanically attached to the enclosure or DDC Hardware. Labels inside protective enclosures may be attached using adhesive, but must not be hand written. For tags, provide plastic or metal tags mechanically attached directly to each device or attached by a metal chain or wire.

a. Label all Enclosures and DDC Hardware.

b. Tag Airflow measurement arrays (AFMA) with flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient.

c. Tag duct static pressure taps at the location of the pressure tap

3.1.5 Surge Protection

3.1.5.1 Power-Line Surge Protection

Protect equipment connected to AC circuits to withstand power-line surges in accordance with IEEE C62.41. Do not use fuses for surge protection.

3.1.5.2 Surge Protection for Transmitter and Control Wiring

Protect DDC hardware against or provided DDC hardware capable of withstanding surges induced on control and transmitter wiring installed outdoors and as shown. Protect equipment against the following two waveforms:

a. A waveform with a 10-microsecond rise time, a 1000-microsecond decay time and a peak current of 60 amps.

b. A waveform with an 8-microsecond rise time, a 20-microsecond decay time and a peak current of 500 amperes.

3.1.6 Basic Cybersecurity Requirements

3.1.6.1 Passwords

For all devices with a password, change the password from the default password. Do not use the same password for more than one device. Coordinate selection of passwords with the Government. Provide a Password Summary Report documenting the password for each device and describing the procedure to change the password for each device.

Provide two hardcopies of the Password Summary Report, each copy in its own sealed envelope.

3.1.6.2 Wireless Capability

Unless otherwise indicated, disable wireless capability (including but not limited to radio frequency (RF), infrared and optical) for all devices with wireless capability. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate. Password protecting a wireless connections does not meet this requirement; the wireless capability must be disabled.

3.1.6.3 IP Network Physical Security

Install all IP Network media in conduit. Install all IP devices including but not limited to IP-enabled DDC hardware and IP Network Hardware in lockable enclosures.

3.2 DRAWINGS AND CALCULATIONS

Provide drawings in the form and arrangement indicated and shown. Use the same abbreviations, symbols, nomenclature and identifiers shown. Assign a unique identifier as shown to each control system element on a drawing. When packaging drawings, group schedules by system. When space allows, it is permissible to include multiple schedules for the same system on a single sheet. Except for drawings covering all systems, do not put information for different systems on the same sheet.

Submit hardcopy drawings on ISO A1 34 by 22 inches sheets, and electronic drawings in PDF and in AutoCAD format. In addition, submit electronic drawings in editable Excel format for all drawings that are tabular, including but not limited to the Point Schedule and Equipment Schedule.

a. Submit DDC Contractor Design Drawings consisting of each drawing indicated with pre-construction information depicting the intended control system design and plans. Submit DDC Contractor Design Drawings as a single complete package: 3 hard copies and 3 copies on CD-ROM.

b. Submit Draft As-Built Drawings consisting of each drawing indicated updated with as-built data for the system prior to PVT. Submit Draft As-Built Drawings as a single complete package: 3 hard copies and 3 copies on CD-ROM.

c. Submit Final As-Built Drawings consisting of each drawing indicated updated with all final as-built data. Final As-Built Drawings as a single complete package: 3 hard copies and 3 copies on CD-ROM.

3.2.1 Sample Drawings

Sample drawings in electronic format are available at the Whole Building Design Guide page for this section:
http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-23-09-00
These drawings may prove useful in demonstrating expected drawing formatting and example content and are provided for illustrative purposes only. Note that these drawings do not meet the content requirements of this Section and must be completed to meet project requirements.

3.2.2 Drawing Index and Legend

Provide an HVAC Control System Drawing Index showing the name and number

of the building, military site, State or other similar designation, and Country. In the Drawing Index, list all Contractor Design Drawings, including the drawing number, sheet number, drawing title, and computer filename when used. In the Design Drawing Legend, show and describe all symbols, abbreviations and acronyms used on the Design Drawings. Provide a single Index and Legend for the entire drawing package.

3.2.3 Thermostat and Occupancy Sensor Schedule

Provide a thermostat and occupancy sensor schedule containing each thermostat's unique identifier, room identifier and control features and functions as shown. Provide a single thermostat and occupancy sensor schedule for the entire project.

3.2.4 Valve Schedule

Provide a valve schedule containing each valve's unique identifier, size, flow coefficient Kv (Cv), pressure drop at specified flow rate, spring range, positive positioner range, actuator size, close-off pressure to torque data, dimensions, and access and clearance requirements data. In the valve schedule include actuator selection data supported by calculations of the force required to move and seal the valve, access and clearance requirements. Provide a single valve schedule for the entire project.

3.2.5 Damper Schedule

Provide a damper schedule containing each damper's unique identifier, type (opposed or parallel blade), nominal and actual sizes, orientation of axis and frame, direction of blade rotation, actuator size and spring ranges, operation rate, positive positioner range, location of actuators and damper end switches, arrangement of sections in multi-section dampers, and methods of connecting dampers, actuators, and linkages. Include the AMCA 511 maximum leakage rate at the operating static-pressure differential for each damper in the Damper Schedule. Provide a single damper schedule for the entire project.

3.2.6 Project Summary Equipment Schedule

Provide a project summary equipment schedule containing the manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a single project equipment schedule for the entire project.

3.2.7 Equipment Schedule

Provide system equipment schedules containing the unique identifier, manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a separate equipment schedule for each HVAC system.

3.2.8 Occupancy Schedule

Provide an occupancy schedule drawing containing the same fields as the occupancy schedule Contract Drawing with Contractor updated information. Provide a single occupancy schedule for the entire project.

3.2.9 DDC Hardware Schedule

Provide a single DDC Hardware Schedule for the entire project and including following information for each device.

3.2.9.1 DDC Hardware Identifier

The Unique DDC Hardware Identifier for the device.

3.2.9.2 HVAC System

The system "name" used to identify a specific system (the name used on the system schematic drawing for that system).

3.2.9.3 BACnet Device Information

3.2.9.3.1 Device Object Identifier

The Device Object Identifier: The Object_Identifier of the Device Object

3.2.9.3.2 Network Number

The Network Number for the device.

3.2.9.3.3 MAC Address

The MAC Address for the device

3.2.9.3.4 BTL Listing

The BTL Listing of the device. If the device is listed under multiple BTL Profiles, indicate the profile that matches the use and configuration of the device as installed.

3.2.9.3.5 Proprietary Services Information

If the device uses non-standard ASHRAE 135 services as defined and permitted in Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, indicate that the device uses non-standard services and include a description of all non-standard services used. Describe usage and content such that a device from another vendor can interoperate with the device using the non-standard service. Provide descriptions with sufficient detail to allow a device from a different manufacturer to be programmed to both read and write the non-standard service request:

a. read: interpret the data contained in the non-standard service and;

b. write: given similar data, generate the appropriate non-standard service request.

3.2.9.3.6 Alarming Information

Indicate whether the device is used for alarm generation, and which types of alarm generation the device implements: intrinsic, local algorithmic, remote algorithmic.

3.2.9.3.7 Scheduling Information

Indicate whether the device is used for scheduling.

3.2.9.3.8 Trending Information

Indicate whether the device is used for trending, and indicate if the device is used to trend local values, remote values, or both.

3.2.10 Points Schedule

Provide a Points Schedule in tabular form for each HVAC system, with the indicated columns and with each row representing a hardware point, network point or configuration point in the system.

a. When a Points Schedule was included in the Contract Drawing package, use the same fields as the Contract Drawing with updated information in addition to the indicated fields.

b. When Point Schedules are included in the contract package, items requiring contractor verification or input have been shown in angle brackets ("<" and ">"), such as <___> for a required entry or <value> for a value requiring confirmation. Complete all items in brackets as well as any blank cells. Do not modify values which are not in brackets without approval.

Points Schedule Columns must include:

3.2.10.1 Point Name

The abbreviated name for the point using the indicated naming convention.

3.2.10.2 Description

A brief functional description of the point such as "Supply Air Temperature".

3.2.10.3 DDC Hardware Identifier

The Unique DDC Hardware Identifier shown on the DDC Hardware Schedule and used across all drawings for the DDC Hardware containing the point.

3.2.10.4 Settings

The value and units of any setpoints, configured setpoints, configuration parameters, and settings related to each point.

3.2.10.5 Range

The range of values, including units, associated with the point, including but not limited to a zone temperature setpoint adjustment range, a sensor measurement range, occupancy values for an occupancy input, or the status of a safety.

3.2.10.6 Input or Output (I/O) Type

The type of input or output signal associated with the point. Use the following abbreviations for entries in this column:

a. AI: The value comes from a hardware (physical) Analog Input

b. AO: The value is output as a hardware (physical) Analog Output

c. BI: The value comes from a hardware (physical) Binary Input

d. BO: The value is output as a hardware (physical) Binary Output

e. PULSE: The value comes from a hardware (physical) Pulse Accumulator Input

f. NET-IN: The value is provided from the network (generally from another device). Use this entry only when the value is received from another device as part of scheduling or as part of a sequence of operation, not when the value is received on the network for supervisory functions such as trending, alarming, override or display at a user interface.

g. NET-OUT: The value is provided to another controller over the network. Use this entry only when the value is transmitted to another device as part of scheduling or as part of a sequence of operation, not when the value is transmitted on the network for supervisory functions such as trending, alarming, override or display at a user interface.

3.2.10.7 Object and Property Information

The Object Type and Instance Number for the Object associated with the point. If the value of the point is not in the Present_Value Property, then also provide the Property ID for the Property containing the value of the point. Any point that is displayed at the front end or on an LDP, is trended, is used by another device on the network, or has an alarm condition must be documented here.

3.2.10.8 Network Data Exchange Information (Gets Data From, Sends Data To)

Provide the DDC Hardware Identifier of other DDC Hardware the point is shared with.

3.2.10.9 Override Information (Object Type and Instance Number)

For each point requiring an Override, indicate if the Object for the point is Commandable or, if the use of a separate Object was specifically approved by the Contracting Officer, provide the Object Type and Instance Number of the Object to be used in overriding the point.

3.2.10.10 Trend Object Information

For each point requiring a trend, indicate if the trend is Local or Remote, the trend Object type and the trend Object instance number. For remote trends provide the DDC Hardware Identifier for the device containing the trend Object in the Points Schedule notes.

3.2.10.11 Alarm Information

Indicate the Alarm Generation Type, Event Enrollment Object Instance Number, and Notification Class Object Instance Number for each point requiring an alarm. (Note that not all alarms will have Event Enrollment

Objects.)

3.2.10.12 Configuration Information

Indicate the means of configuration associated with each point.

a. For Operator Configurable Points indicate BACnet Object and Property information (Name, Type, Identifiers) containing the configurable value. Indicate whether the property is writable always, or only when Out_Of_Service is TRUE.

b. For Configurable Points indicate the BACnet Object and Property information as for Operator Configurable points, or identification of the configurable settings from within the engineering software for the device or identification of the hardware settings on the device.

3.2.11 Riser Diagram

The Riser Diagram of the Building Control Network may be in tabular form, and must show all DDC Hardware and all Network Hardware, including network terminators. For each item, provide the unique identifier, common descriptive name, physical sequential order (previous and next device on the network), room identifier and location within room. A single riser diagram must be submitted for the entire system.

3.2.12 Control System Schematics

Provide control system schematics in the same form as the control system schematic Contract Drawing with Contractor updated information. Provide a control system schematic for each HVAC system.

3.2.13 Sequences of Operation Including Control Logic Diagrams

Provide HVAC control system sequence of operation and control logic diagrams in the same format as the Contract Drawings. Within these drawings, refer to devices by their unique identifiers. Submit sequences of operation and control logic diagrams for each HVAC system

3.2.14 Controller, Motor Starter and Relay Wiring Diagram

Provide controller wiring diagrams as functional wiring diagrams which show the interconnection of conductors and cables to each controller and to the identified terminals of input and output devices, starters and package equipment. Show necessary jumpers and ground connections and the labels of all conductors. Identify sources of power required for control systems and for packaged equipment control systems back to the panel board circuit breaker number, controller enclosures, magnetic starter, or packaged equipment control circuit. Show each power supply and transformer not integral to a controller, starter, or packaged equipment. Show the connected volt-ampere load and the power supply volt-ampere rating. Provide wiring diagrams for each HVAC system.

3.3 CONTROLLER TUNING

Tune each controller in a manner consistent with that described in the ASHRAE FUN IP and in the manufacturer's instruction manual. Tuning must consist of adjustment of the proportional, integral, and where applicable,

the derivative (PID) settings to provide stable closed-loop control. Each loop must be tuned while the system or plant is operating at a high gain (worst case) condition, where high gain can generally be defined as a low-flow or low-load condition. Upon final adjustment of the PID settings, in response to a change in controller setpoint, the controlled variable must settle out at the new setpoint with no more than two (2) oscillations above and below setpoint. Upon settling out at the new setpoint the controller output must be steady. With the exception of naturally slow processes such as zone temperature control, the controller must settle out at the new setpoint within five (5) minutes. Set the controller to its correct setpoint and record and submit the final PID configuration settings with the O&M Instructions and on the associated Points Schedule.

3.4 START-UP

3.4.1 Start-Up Test

Perform the following startup tests for each control system to ensure that the described control system components are installed and functioning per this specification.

Adjust, calibrate, measure, program, configure, set the time schedules, and otherwise perform all necessary actions to ensure that the systems function as indicated and shown in the sequence of operation and other contract documents.

3.4.1.1 Systems Check

An item-by-item check must be performed for each HVAC system

3.4.1.1.1 Step 1 - System Inspection

With the system in unoccupied mode and with fan hand-off-auto switches in the OFF position, verify that power and main air are available where required and that all output devices are in their failsafe and normal positions. Inspect each local display panel to verify that all displays indicate shutdown conditions.

3.4.1.1.2 Step 2 - Calibration Accuracy Check

Perform a two-point accuracy check of the calibration of each HVAC control system sensing element and transmitter by comparing the value from the test instrument to the network value provided by the DDC Hardware. Use digital indicating test instruments, such as digital thermometers, motor-driven psychrometers, and tachometers. Use test instruments with accuracy at least twice as accurate as the specified sensor accuracy and with calibration traceable to National Institute of Standards and Technology standards. Check one the first check point in the bottom one-third of the sensor range, and the second in the top one-third of the sensor range. Verify that the sensing element-to-DDC readout accuracies at two points are within the specified product accuracy tolerances, and if not recalibrate or replace the device and repeat the calibration check.

3.4.1.1.3 Step 3 - Actuator Range Check

With the system running, apply a signal to each actuator through the DDC Hardware controller. Verify proper operation of the actuators and positioners for all actuated devices and record the signal levels for the

extreme positions of each device. Vary the signal over its full range, and verify that the actuators travel from zero stroke to full stroke within the signal range. Where applicable, verify that all sequenced actuators move from zero stroke to full stroke in the proper direction, and move the connected device in the proper direction from one extreme position to the other. For valve actuators and damper actuators, perform the actuator range check under normal system pressures.

3.4.1.2 Weather Dependent Test

Perform weather dependent test procedures in the appropriate climatic season.

3.4.2 Start-Up Testing Report

Submit 4 copies of the Start-Up Testing Report. The report may be submitted as a Technical Data Package documenting the results of the tests performed and certifying that the system is installed and functioning per this specification, and is ready for the Performance Verification Test (PVT).

3.5 PERFORMANCE VERIFICATION TESTING

3.5.1 General

PVT testing must demonstrate compliance of controls work with contract document requirements and must be performed by the Controls Contractor and Equipment Suppliers. No less than 14 calendar days prior to start of controls system installation, meet with the Contracting Office's technical representative (COTR) , the Contractor's QA representative, the Contractor's Controls Contractor representative, and the control system Owner to develop a mutual understanding relate to the details of the PVT work requirements, including required submittals, work schedule, and field quality control.

3.5.2 Performance Verification Testing and Commissioning

PVT testing is a Government quality assurance function that includes systems trending and field tests. Commissioning is a quality control function that is the Commissioning Team’s responsibility to the extent required by this contract.

3.5.3 Performance Verification Testing of Equipment with Packaged Controls

Controls Contractor and Equipment Supplier(s) must share and coordinate PVT testing responsibilities for equipment provided with on-board factory packaged controls such as boiler controllers, dedicated outside air systems (DOAS’s), and packaged pumping systems.

3.5.3.1 Controls Contractor Responsibilities

The Controls Contractor must provide a PVT Plan separate from Equipment Supplier’s performance verification testing plan, perform endurance testing, and perform PVT testing concurrent with Equipment Suppliers’ testing for equipment provided with on-board factory packaged controls to demonstrate the following:

a. Equipment enabling and disabling.

b. Equipment standard and optional control points necessary to accomplish functionality regardless if specified in contract documents or not.

c. Equipment standard and optional alarms critical to safe operation regardless if specified in contract documents or not.

d. All control points added by Controls Contractor in addition to onboard factory packaged controls regardless if specified in contract documents or not.

Refer to paragraphs titled “Performance Verification Test Plan” and “Endurance Testing” for additional information.

3.5.3.2 Equipment Supplier Responsibilities

Each Equipment Supplier must provide PVT Plans separate from Controls Contractor’s plans and perform PVT testing concurrent with Controls Contractor’s testing for their equipment provided with on-board factory packaged controls to demonstrate the following:

a. Equipment standard and optional control features necessary to accomplish functionality regardless if specified in contract documents or not.

b. Equipment standard and optional operation modes necessary to accomplish functionality regardless if specified in contract documents or not.

c. Equipment standard and optional alarm conditions for safe operation regardless if specified in contract documents or not.

Refer to all paragraphs under paragraph titled “Performance Verification Testing” except for section titled “Endurance Testing” for additional information.

3.5.4 Sequencing of Performance Verification Testing Activities

PVT activities must be sequenced with major activities listed below for Test and Balance (TAB) Contractor, Equipment Suppliers, Commissioning Specialists, and others to demonstrate fully functioning systems. Complete the items in TABLE III: SEQUENCING OF PVT TESTING ACTIVITIES as schedule activities or milestones.

TABLE III: SEQUENCING OF PVT TESTING ACTIVITIES

SEQUENCE	ITEM
1	Submission, review, and approval of Control Contractors PVT Plans.
2	Submission, review, and approval of Equipment Suppliers PVT Plans.
3	Submission, review, and approval of certified final Test and Balance Report.
4	Conduct commissioning functional performance tests.

TABLE III: SEQUENCING OF PVT TESTING ACTIVITIES	
SEQUENCE	ITEM
5	Submission, review, and approval of all of the Commissioning Specialists completed functional performance tests.
6	Request Contracting Officer to allow beginning of Government-witnessed PVT testing.
7	Contracting Officers approval to begin PVT testing.
8	Conduct PVT field work.
9	Governments verbal approval of PVT field work for all systems.
10	Conduct Test and Balance verification field work.
11	Governments written approval of Test and Balance verification field work.
12	Submission, review, and approval of endurance testing.
13	Governments written approval of PVT field work for all systems.
14	Facility acceptance recommendation.
15	Submission, review, and approval of Control Contractors PVT Report.
16	Submission, review, and approval of Equipment Suppliers PVT Report.
17	Conduct applicable re-testing and seasonal testing within 10 months of beneficial occupancy.

3.5.4.1 PVT Testing for Multi-Phase Construction

For air moving systems except outside air systems serving multiple phases, all major activities listed in TABLE III through Government’s verbal approval of Test and Balance verification field work can be completed by phase if all ductwork construction is completed for that phase.

For primary systems such as chilled water systems, HVAC heating hot water systems, and outside air systems serving multiple phases, all major activities listed listed in TABLE III through Government’s verbal approval of Test and Balance verification field work for all air moving systems served by that primary system for that phase must be completed prior to conducting PVT field work for that primary system.

3.5.5 Control Contractor's Performance Verification Testing Plan

Submit a detailed PVT Plan of the proposed control systems testing in this contract for approval prior to its use. Develop and use a single PVT Plan for each system with a unique control sequence. Systems sharing an identical control sequence can be tested using copies of the PVT Plan intended for these systems.

PVT Plans must include system-based, step-by-step test methods demonstrating system performs in accordance with contract document

requirements. The Government may provide sample PVT Plans upon request. PVT Plans must include the following:

a. Control sequences from contract documents segmented such that each control algorithm, operation mode, and alarm condition is immediately followed by numbered test methods required to initiate a response, expected response, space for comments, and “pass" or "fail” indication for each expected response.

b. PVT Plans with control sequences from contract documents that are not segmented into parts will not be accepted.

c. Indication where assisting personnel are required such as Mechanical Contractor.

d. Signature and date lines for the Contractor's PVT administrator, Contractor's quality assurance representative, and Contracting Officer's representative acknowledging completion of testing.

3.5.6 Performance Verification Testing Sample Size

PVT testing sample sizes will be as follows:

a. 100-Percent of the following systems:

(1) primary systems including, but not limited to, chilled water and HVAC heating hot water systems

(2) air handling unit systems including all associated fans except for remote exhaust air fans

(3) DOAS’s including all associated fans except for remote exhaust air fans

b. 20-Percent of each set of systems with a shared identical control sequence for systems such as:

(1) air terminal units

(2) exhaust air fans

(3) terminal equipment such as fan coil units and unit heaters

3.5.6.1 Selection of Systems to Test

For sample sets less than 100-percent, the Government will choose which systems will be tested. The Government may require additional testing if previous testing results are inconsistent or demonstrate improper system control as follows:

a. An additional 25-percent after five-percent failure rate of first sample set.

b. 100-percent after any failures occurring in additional sample set.

3.5.7 Conducting Performance Verification Testing

At least 15 days prior to preferred test date, request the Contracting Officer to allow the beginning of Government-witnessed PVT testing.

Provide an estimated time table required to perform testing of each system. Furnish personnel, equipment, instrumentation, and supplies necessary to perform all aspects of testing. Testing personnel must be regularly employed in the testing and calibration of control systems. After receipt of Contracting Officer’s approval to begin testing, perform PVT testing using project's as-built (shop) control system drawings, project's design drawings, and approved PVT Plans.

During testing, identify deficiencies that do not meet contract document requirements. Deficiencies must be investigated, corrected with corrections documented, and re-tested at a later date following procedures for the initial PVT testing. The Government may require re-testing of any control system components affected by the original failed test.

3.5.8 Endurance Testing

3.5.8.1 General

Conduct endurance testing in conjunction with the PVT to demonstrate control loop stability and accuracy. For all control loops tested, record trend data of the control variables over time, demonstrating that the control loop responds to a sudden change of the control variable set point without excessive overshoot or undershoot. Conduct endurance testing for each system subject to PVT testing. Systems must be operating as normally anticipated during occupancy throughout endurance testing.

Endurance testing results must clearly demonstrate control loop stability and accuracy. Controlled loop outputs must be stable and accurately maintain each setpoint.

3.5.8.2 Hardware

Use Government furnished hardware for testing if available when endurance testing begins. If unavailable, the Contractor must provide suitable hardware for required testing.

If insufficient buffer capacity exists to trend the entire endurance test, upload trend data during the course of endurance testing to ensure all trend data is retained. Lost trend data will require retesting of all control points for affected system(s).

3.5.8.3 Endurance Testing Results Format

Submit endurance testing results for each tested system in a graphical format complete with clear indication of value(s) for y-axis, value for x-axis, and legend identifying each trended control point. The number of control points contained on a single graph must be such that all control points can be clearly visible. Control points must be logically grouped such that related points appear on a single graph. In addition, submit a separate comma separated value (CSV) file of raw trend data for each trended system. Each trended control point in CSV file must be clearly identified.

For control points recorded based on change of value, change of value for recording data must be clearly identified for each control point.

3.5.8.4 Endurance Testing Start, Duration, and Frequency

Trending of all control points for a given system must start at an identical date and time regardless of the basis of data collection. Duration of all endurance tests must be at least one-week.

Unless specified otherwise for control points recorded based on time, frequency of data collection must be 15-minutes . Frequency of data collection for specific types of control points is as follows:

3.5.8.4.1 Points Trended at One Minute Intervals

a. Temperature for supply air, return air, mixed air, supply water, and return water

b. Temperature for outside air, supply air, return air and exhaust air entering and leaving energy recovery device

c. Flow for supply air, return air, outside air, chilled water, and HVAC heating hot water

d. Flow for exhaust air associated with energy recovery

e. Relative humidity for outside air and return air

f. Relative humidity for outside air, supply air, return air and exhaust air entering and leaving energy recovery device

g. Command and status for control dampers and control valves

h. Speed for fans and pumps

i. Pressure for fans and pumps

3.5.8.4.2 Points Trended at 15 Minute Intervals

a. Temperature and relative humidity for zones

b. Temperature and relative humidity for outside air not associated with energy recovery

c. Command and status for equipment

d. Pressure relative to the outside for facility

3.5.8.5 Trended Control Points

Trended control points for each system must demonstrate each system performs in accordance with contract document requirements. Trended control points must include, but not be limited to, control points listed in contract document points list.

Minimum control points that are required to be trended for selected systems are listed below. These control points must be trended as applicable to this contract in addition to control points necessary to demonstrate systems perform in accordance with contract document requirements and those listed in contract document’s points list.

3.5.8.5.1 Air Handling Unit with Relief Air Fan

a. Outside air actual dry-bulb temperature

b. Outside air actual relative humidity

c. Outside air setpoint and actual airflow

d. Minimum outside air control damper command

e. Economizer outside air control damper command

f. Facility setpoint and actual relative pressure

g. Return air actual dry-bulb temperature

h. Return air actual relative humidity

i. Return air control damper command

j. Relief air control damper command

h. Relief air fan actual speed

i. Mixed air setpoint and setpoint and actual temperature

j. Preheat coil leaving air setpoint and actual temperature

k. Preheat coil control actuator command

l. Cooling coil leaving air setpoint and actual temperature

m. Cooling coil control valve command

n. Supply air fan actual speed

o. Discharge air actual temperature

p. Supply air fan setpoint and actual static pressure

3.5.8.6 Endurance Testing Sample Size

Endurance Testing sample sizes ware as follows:

a. 100-Percent of the following systems:

(1) primary systems including, but not limited to, chilled water and HVAC heating hot water systems

(2) air handling unit systems including all associated fans except for remote exhaust air fans

(3) DOAS’s including all associated fans except for remote exhaust air fans

b. 20-Percent of each set of systems with a shared identical control sequence for systems such as:

(1) air terminal units

(2) exhaust air fans

(3) terminal equipment such as fan coil units and unit heaters

3.5.8.6.1 Selection of Systems to Test

For sample sets less than 100-percent, the Government will choose which systems will be tested. The Government may require additional testing if previous testing results are inconsistent or demonstrate improper system control as follows:

a. An additional 25-percent after five-percent failure rate of first sample set.

b. 100-percent after any failures occurring in additional sample set.

3.5.9 Performance Verification Test Report

Submit a PVT Report after receiving Government’s written approval of PVT field work that is intended to document test results and final control system sequences and settings prior to turnover. The PVT Report must contain the following:

a. Executive summary that briefly discusses results of each system’s endurance testing and PVT testing and conclusions for each system.

b. Endurance testing for each system.

c. Completed PVT Plan for each system used during testing that includes hand written field notes and participant signatures.

d. Blank PVT Plan for each system approved prior to testing that is edited to reflect changes occurring during testing. Edits must be typed and must reflect changes to control sequences from contract documents, must reflect changes to numbered test methods required to initiate a response, and must reflect changes to expected response. Only one blank PVT Plan is required for each set of systems sharing an identical control sequence, such as air terminal units, exhaust air fans, fan coil units and unit heaters.

e. Written certification that the installation and testing of all systems are complete and meet all contract document requirements.

3.6 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide HVAC control System Operation and Maintenance Instructions which include:

a. "Data Package 3" as indicated in Section 01 78 23 OPERATION AND MAINTENANCE DATA for each piece of control equipment.

b. "Data Package 4" as described in Section 01 78 23 OPERATION AND MAINTENANCE DATA for all air compressors.

c. HVAC control system sequences of operation formatted as indicated.

d. Procedures for the HVAC system start-up, operation and shut-down including the manufacturer's supplied procedures for each piece of equipment, and procedures for the overall HVAC system.

e. As-built HVAC control system detail drawings formatted as indicated.

f. Routine maintenance checklist. Provide the routine maintenance checklist arranged in a columnar format, where the first column lists all installed devices, the second column states the maintenance activity or that no maintenance required, the third column states the frequency of the maintenance activity, and the fourth column is used for additional comments or reference.

g. Qualified service organization list, including at a minimum company name, contact name and phone number.

h. Start-Up Testing Report.

i. Performance Verification Test (PVT) Procedures and Report.

Submit 2 copies of the Operation and Maintenance Instructions, indexed and in booklet form. The Operation and Maintenance Instructions may be submitted as a Technical Data Package.

3.7 MAINTENANCE AND SERVICE

Provide services, materials and equipment as necessary to maintain the entire system in an operational state as indicated for a period of one year from the date of final acceptance of the project. Minimize impacts on facility operations.

a. The integration of the system specified in this section into a Utility Monitoring and Control System must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period. Integration into a UMCS includes but is not limited to establishing communication between devices in the control system and the front end or devices in another system.

b. The changing of configuration properties must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period.

3.7.1 Description of Work

Provide adjustment and repair of the system including the manufacturer's required sensor and actuator (including transducer) calibration, span and range adjustment.

3.7.2 Personnel

Use only service personnel qualified to accomplish work promptly and satisfactorily. Advise the Government in writing of the name of the designated service representative, and of any changes in personnel.

3.7.3 Scheduled Inspections

Perform two inspections at six-month intervals and provide work required. Perform inspections in June and December. During each inspection perform

the indicated tasks:

a. Perform visual checks and operational tests of equipment.

b. Clean control system equipment including interior and exterior surfaces.

c. Check and calibrate each field device. Check and calibrate 50 percent of the total analog inputs and outputs during the first inspection. Check and calibrate the remaining 50 percent of the analog inputs and outputs during the second major inspection. Certify analog test instrumentation accuracy to be twice the specified accuracy of the device being calibrated. Randomly check at least 25 percent of all binary inputs and outputs for proper operation during the first inspection. Randomly check at least 25 percent of the remaining binary inputs and outputs during the second inspection. If more than 20 percent of checked inputs or outputs failed the calibration check during any inspection, check and recalibrate all inputs and outputs during that inspection.

d. Run system software diagnostics and correct diagnosed problems.

e. Resolve any previous outstanding problems.

3.7.4 Scheduled Work

This work must be performed during regular working hours, Monday through Friday, excluding Federal holidays.

3.7.5 Emergency Service

The Government will initiate service calls when the system is not functioning properly. Qualified personnel must be available to provide service to the system. A telephone number where the service supervisor can be reached at all times must be provided. Service personnel must be at the site within 24 hours after receiving a request for service. The control system must be restored to proper operating condition as required per Section 01 78 00 CLOSEOUT SUBMITTALS.

3.7.6 Operation

After performing scheduled adjustments and repairs, verify control system operation as demonstrated by the applicable tests of the performance verification test.

3.7.7 Records and Logs

Keep dated records and logs of each task, with cumulative records for each major component, and for the complete system chronologically. Maintain a continuous log for all devices, including initial analog span and zero calibration values and digital points. Keep complete logs and provide logs for inspection onsite, demonstrating that planned and systematic adjustments and repairs have been accomplished for the control system.

3.7.8 Work Requests

Record each service call request as received and include its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has

to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion. Submit a record of the work performed within 5 days after work is accomplished.

3.7.9 System Modifications

Submit recommendations for system modification in writing. Do not make system modifications, including operating parameters and control settings, without prior approval of the Government.

3.8 TRAINING

Conduct a training course for 3 operating staff members designated by the Government in the maintenance and operation of the system, including specified hardware and software. Conduct 32 hours of training at the project site within 30 days after successful completion of the performance verification test. The Government reserves the right to make audio and visual recordings (using Government supplied equipment)of the training sessions for later use. Provide audiovisual equipment and other training materials and supplies required to conduct training. A training day is defined as 8 hours of classroom instruction, including two 15 minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility.

3.8.1 Training Documentation

Prepare training documentation consisting of:

a. Course Attendee List: Develop the list of course attendees in coordination with and signed by the Controls shop supervisor.

b. Training Manuals: Provide training manuals which include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson. When presenting portions of the course material by audiovisuals, deliver copies of those audiovisuals as a part of the printed training manuals.

3.8.2 Training Course Content

For guidance in planning the required instruction, assume that attendees will have a high school education, and are familiar with HVAC systems. During the training course, cover all of the material contained in the Operating and Maintenance Instructions, the layout and location of each controller enclosure, the layout of one of each type of equipment and the locations of each, the location of each control device external to the panels, the location of the compressed air station, preventive maintenance, troubleshooting, diagnostics, calibration, adjustment, commissioning, tuning, and repair procedures. Typical systems and similar systems may be treated as a group, with instruction on the physical layout of one such system. Present the results of the performance verification test and the Start-Up Testing Report as benchmarks of HVAC control system performance by which to measure operation and maintenance effectiveness.

3.8.3 Training Documentation Submittal Requirements

Submit hardcopy training manuals and all training materials on CD-ROM. Provide one hardcopy manual for each trainee on the Course Attendee List and 2 additional copies for archive at the project site. Provide 2 copies of the Course Attendee List with the archival copies. Training

Documentation may be submitted as a Technical Data Package.

APPENDIX A

QC CHECKLIST FOR BACNET SYSTEMS

This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such.

Instructions: Initial each item in the space provided (|____|) verifying that the requirement has been met.

This checklist is for (circle one:)

Pre-Construction QC Checklist Submittal

Post-Construction QC Checklist Submittal

Close-out QC Checklist Submittal

Items verified for Pre-Construction, Post-Construction and Closeout QC Checklist Submittals:

1	All DDC Hardware is numbered on Control System Schematic Drawings.	|____|
2	Signal lines on Control System Schematic are labeled with the signal type.	|____|
3	Local Display Panel (LDP) Locations are shown on Control System Schematic drawings.	|____|

Items verified for Post-Construction and Closeout QC Checklist Submittals:

4	All sequences are performed as specified using DDC Hardware.	|____|
5	Training schedule and course attendee list has been developed and coordinated with shops and submitted.	|____|

Items verified for Closeout QC Checklist Submittal:

6	Final As-built Drawings, including all Points Schedule drawings, accurately represent the final installed system.	|____|
7	Programming software has been submitted for all programmable controllers.	|____|
8	All software has been licensed to the Government.	

QC CHECKLIST FOR BACNET SYSTEMS		
9	O&M Instructions have been completed and submitted.	|_____|
10	Training course has been completed.	|_____|
11	All DDC Hardware is installed on a BACnet ASHRAE 135 network using either MS/TP in accordance with Clause 9 or IP in accordance with Annex J.	|_____|
12	All DDC Hardware is BTL listed.	|_____|
13	Communication between DDC Hardware is only via BACnet using standard services, except as specifically permitted by the specification. Non-standard services have been fully documented in the DDC Hardware Schedule.	|_____|
14	Scheduling, Alarming, and Trending have been implemented using the standard BACnet Objects for these functions.	|_____|
15	All Properties indicated as required to be Writable are Writable and Overrides have been provided as indicated	|_____|
	_____ _____	
	(QC Representative Signature) (Date)	

-- End of Section --

SECTION 23 09 13

INSTRUMENTATION AND CONTROL DEVICES FOR HVAC
11/15, CHG 2: 05/21

PART 1 GENERAL

1.1 SUMMARY

This section provides for the instrumentation control system components excluding direct digital controllers, network controllers, gateways etc. that are necessary for a completely functional automatic control system. When combined with a Direct Digital Control (DDC) system, the Instrumentation and Control Devices covered under this section must be a complete system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and indicated.

a. Install hardware to perform the control sequences as specified and indicated and to provide control of the equipment as specified and indicated.

b. Install hardware such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.

c. Install and configure hardware such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the installing Contractor.

1.1.1 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.2 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere.

Section 01 30 00 ADMINISTRATIVE REQUIREMENTS

Section 23 30 00 HVAC AIR DISTRIBUTION

Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

AMCA 500-D	(2018) Laboratory Methods of Testing Dampers for Rating
AMCA 511	(2010; R 2016) Certified Ratings Program for Air Control Devices

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1	(2014; Errata 2016) Electric Meters - Code for Electricity Metering

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B40.100	(2022) Pressure Gauges and Gauge Attachments

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 142	(2007; Errata 2014) Recommended Practice for Grounding of Industrial and Commercial Power Systems - IEEE Green Book

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C12.20	(2015; E 2018) Electricity Meters - 0.1, 0.2, and 0.5 Accuracy Classes
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA/ANSI C12.10	(2011; R 2021) Physical Aspects of Watthour Meters - Safety Standard

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 7 2023; TIA 23-15) National Electrical Code
NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

UL 555	(2006; Reprint Aug 2016) UL Standard for Safety Fire Dampers
UL 555S	(2014; Reprint Oct 2020) UL Standard for Safety Smoke Dampers
UL 5085-3	(2006; Reprint Jan 2022) UL Standard for Safety Low Voltage Transformers - Part 3:

1.4 SUBMITTALS

Submittal requirements are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.5 DELIVERY AND STORAGE

Store and protect products from the weather, humidity, and temperature variations, dirt and dust, and other contaminants, within the storage condition limits published by the equipment manufacturer.

1.6 INPUT MEASUREMENT ACCURACY

Select, install and configure sensors, transmitters and DDC Hardware such that the maximum error of the measured value at the input of the DDC hardware is less than the maximum allowable error specified for the sensor or instrumentation.

1.7 SUBCONTRACTOR SPECIAL REQUIREMENTS

Perform all work in this section in accordance with the paragraph entitled CONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS.

PART 2 PRODUCTS

2.1 EQUIPMENT

2.1.1 General Requirements

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1.2 Operation Environment Requirements

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

2.1.2.1 Pressure

Pressure conditions normally encountered in the installed location.

2.1.2.2 Vibration

Vibration conditions normally encountered in the installed location.

2.1.2.3 Temperature

a. Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.

b. Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature

conditions outside this range normally encountered at the installed location.

2.1.2.4 Humidity

10 to 95 percent relative humidity, non-condensing and also humidity conditions outside this range normally encountered at the installed location.

2.2 WEATHERSHIELDS

Provide weathershields constructed of galvanized steel painted white, unpainted aluminum, aluminum painted white, or white PVC.

2.3 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.3.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.3.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.3.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

a. minimum 2 inch lay of twist

b. 100 percent shielded pairs

c. at least 300-volt insulation

d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation

e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.3.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.3.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

2.4 DAMPERS

2.4.1 Damper Assembly

Provide single damper sections with blades no longer than 48 inches and which are no higher than 72 inches and damper blade width of 8 inches or less. When larger sizes are required, combine damper sections. Provide dampers made of steel, or other materials where indicated and with assembly frames constructed of 0.07 inch minimum thickness galvanized steel channels with mitered and welded corners. Steel channel frames constructed of 0.06 inch minimum thickness are acceptable provided the corners are reinforced.

a. Flat blades must be made rigid by folding the edges. Blade-operating linkages must be within the frame so that blade-connecting devices within the same damper section must not be located directly in the air stream.

b. Damper axles must be 1/2 inch minimum, plated steel rods supported in the damper frame by stainless steel or bronze bearings. Blades mounted vertically must be supported by thrust bearings.

c. Provide dampers which do not exceed a pressure drop through the damper of 0.04 inches water gauge at 1000 ft/min in the wide-open position. Provide dampers with frames not less than 2 inch in width. Provide dampers which have been tested in accordance with AMCA 500-D.

2.4.2 Operating Linkages

For operating links external to dampers, such as crank arms, connecting rods, and line shafting for transmitting motion from damper actuators to dampers, provide links able to withstand a load equal to at least 300 percent of the maximum required damper-operating force without deforming. Rod lengths must be adjustable. Links must be brass, bronze, zinc-coated steel, or stainless steel. Working parts of joints and clevises must be brass, bronze, or stainless steel. Adjustments of crank arms must control the open and closed positions of dampers.

2.4.3 Damper Types

2.4.3.1 Flow Control Dampers

Provide parallel-blade or opposed blade type dampers for outside air, return air, relief air, exhaust, face and bypass dampers as indicated on the Damper Schedule. Blades must have interlocking edges. The channel frames of the dampers must be provided with jamb seals to minimize air leakage. Unless otherwise indicated, dampers must meet AMCA 511 Class 1A requirements. Outside air damper seals must be suitable for an operating temperature range of -40 to +167 degrees F. Dampers must be rated at not less than 2000 ft/min air velocity.

2.4.3.2 Mechanical Rooms and Other Utility Space Ventilation Dampers

Provide utility space ventilation dampers as indicated. Unless otherwise indicated provide AMCA 511 class 3 dampers. Provide dampers rated at not less than 1500 ft/min air velocity.

2.4.3.3 Smoke Dampers

Provide smoke-damper and actuator assemblies which meet the current requirements of NFPA 90A, UL 555, and UL 555S. For combination fire and smoke dampers provide dampers rated for 250 degrees F Class II leakage per UL 555S.

2.5 SENSORS AND INSTRUMENTATION

Unless otherwise specified, provide sensors and instrumentation which incorporate an integral transmitter. Sensors and instrumentation, including their transmitters, must meet the specified accuracy and drift requirements at the input of the connected DDC Hardware's analog-to-digital conversion.

2.5.1 Analog and Binary Transmitters

Provide transmitters which match the characteristics of the sensor. Transmitters providing analog values must produce a linear 4-20 mAdc, 0-10 Vdc signal corresponding to the required operating range and must have zero and span adjustment. Transmitters providing binary values must have dry contacts rated at 1A at 24 Volts AC.

2.5.2 Network Transmitters

Sensors and Instrumentation incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS when used in a BACnet network.

2.5.3 Temperature Sensors

Provide the same sensor type throughout the project. Temperature sensors may be provided without transmitters. Where transmitters are used, the range must be the smallest available from the manufacturer and suitable for the application such that the range encompasses the expected range of temperatures to be measured. The end to end accuracy includes the combined effect of sensitivity, hysteresis, linearity and repeatability between the measured variable and the end user interface (graphic presentation) including transmitters if used.

2.5.3.1 Sensor Accuracy and Stability of Control

2.5.3.1.1 Conditioned Space Temperature

Plus or minus 0.5 degree F over the operating range.

2.5.3.1.2 Unconditioned Space Temperature

a. Plus or minus 1 degree F over the range of 30 to 131 degrees F AND

b. Plus or minus 4 degrees F over the rest of the operating range.

2.5.3.1.3 Duct Temperature

Plus or minus 0.5 degree F

2.5.3.1.4 Outside Air Temperature

a. Plus or minus 2 degrees F over the range of -30 to +130 degrees F AND

b. Plus or minus 1 degree F over the range of 30 to 130 degrees F.

2.5.3.2 Transmitter Drift

The maximum allowable transmitter drift: 0.25 degrees F per year.

2.5.3.3 Point Temperature Sensors

Point Sensors must be encapsulated in epoxy, series 300 stainless steel, anodized aluminum, or copper.

2.5.3.4 Temperature Sensor Details

2.5.3.4.1 Room Type

Provide the sensing element components within a decorative protective cover suitable for surrounding decor.

2.5.3.4.2 Duct Probe Type

Ensure the probe is long enough to properly sense the air stream temperature.

2.5.3.4.3 Duct Averaging Type

Continuous averaging sensors must be one foot in length for each 1 square foot of duct cross-sectional area, and a minimum length of 5 feet.

2.5.3.4.4 Outside Air Type

Provide the sensing element rated for outdoor use

2.5.4 Relative Humidity Sensor

Relative humidity sensors must use bulk polymer resistive or thin film capacitive type non-saturating sensing elements capable of withstanding a saturated condition without permanently affecting calibration or sustaining damage. The sensors must include removable protective membrane filters. Where required for exterior installation, sensors must be capable of surviving below freezing temperatures and direct contact with moisture without affecting sensor calibration. When used indoors, the sensor must be capable of being exposed to a condensing air stream (100 percent relative humidity) with no adverse effect to the sensor's calibration or other harm to the instrument. The sensor must be of the wall-mounted or duct-mounted type, as required by the application, and must be provided with any required accessories. Sensors used in duct high-limit applications must have a bulk polymer resistive sensing element. Duct-mounted sensors must be provided with a duct probe designed to protect the sensing element from dust accumulation and mechanical damage. Relative humidity (RH) sensors must measure relative humidity over a range of 0 percent to 100 percent with an accuracy of plus or minus

3 percent. RH sensors must function over a temperature range of 40 to 135 degrees F and must not drift more than 1 percent per year.

2.5.5 Carbon Dioxide (CO_2) Sensors

Provide photometric type CO_2 sensors with integral transducers and linear output. Carbon dioxide (CO_2) sensors must measure CO_2 concentrations between 0 to 2000 parts per million (ppm) using non-dispersible infrared (NDIR) technology with an accuracy of plus or minus 50 ppm and a maximum response time of 1 minute. The sensor must be rated for operation at ambient air temperatures within the range of 32 to 122 degrees F and relative humidity within the range of 20 to 95 percent (non-condensing). The sensor must have a maximum drift of 2 percent per year. The sensor chamber must be manufactured with a non-corrosive material that does not affect carbon dioxide sample concentration. Duct mounted sensors must be provided with a duct probe designed to protect the sensing element from dust accumulation and mechanical damage. The sensor must have a calibration interval no less than 5 years.

2.5.6 Differential Pressure Instrumentation

2.5.6.1 Differential Pressure Sensors

Provide Differential Pressure Sensors with ranges as indicated or as required for the application. Pressure sensor ranges must not exceed the high end range indicated on the Points Schedule by more than 50 percent. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The accuracy must be plus or minus 1 percent of full scale. The sensor must have a maximum drift of 2 percent per year

2.5.6.2 Differential Pressure Switch

Provide differential pressure switches with a user-adjustable setpoint which are sized for the application such that the setpoint is between 25 percent and 75 percent of the full range. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The switch must have two sets of contacts and each contact must have a rating greater than it's connected load. Contacts must open or close upon rise of pressure above the setpoint or drop of pressure below the setpoint as indicated.

2.5.7 Flow Sensors

2.5.7.1 Airflow Measurement Array (AFMA)

2.5.7.1.1 Airflow Straightener

Provide AFMAs which contain an airflow straightener if required by the AFMA manufacturer's published installation instructions. The straightener must be contained inside a flanged sheet metal casing, with the AFMA located as specified according to the published recommendation of the AFMA manufacturer. In the absence of published documentation, provide airflow straighteners if there is any duct obstruction within 5 duct diameters upstream of the AFMA. Air-flow straighteners, where required, must be constructed of 0.125 inch aluminum honeycomb and the depth of the straightener must not be less than 1.5 inches.

2.5.7.1.2 Resistance to Airflow

The resistance to air flow through the AFMA, including the airflow straightener must not exceed 0.085 inch water gauge at an airflow of 2,000 fpm. AFMA construction must be suitable for operation at airflows of up to 5000 fpm over a temperature range of 40 to 120 degrees F.

2.5.7.1.3 Outside Air Temperature

In outside air measurement or in low-temperature air delivery applications, provide an AFMA certified by the manufacturer to be accurate as specified over a temperature range of -20 to +120 degrees F .

2.5.7.1.4 Pitot Tube AFMA

Each Pitot Tube AFMA must contain an array of velocity sensing elements. The velocity sensing elements must be of the multiple pitot tube type with averaging manifolds. The sensing elements must be distributed across the duct cross section in the quantity and pattern specified or recommended by the published installation instructions of the AFMA manufacturer.

a. Pitot Tube AFMAs for use in airflows over 600 fpm must have an accuracy of plus or minus 5 percent over a range of 500 to 2500 fpm.

b. Pitot Tube AFMAs for use in airflows under 600 fpm must have an accuracy of plus or minus 5 percent over a range of 125 to 2500 fpm.

2.5.7.1.5 Electronic AFMA

Each electronic AFMA must consist of an array of velocity sensing elements of the resistance temperature detector (RTD) or thermistor type. The sensing elements must be distributed across the duct cross section in the quantity and pattern specified or recommended by the published application data of the AFMA manufacturer. Electronic AFMAs must have an accuracy of plus or minus 5 percent over a range of 125 to 5,000 fpm and the output must be temperature compensated over a range of 32 to 212 degrees F.

2.5.7.1.6 Fan Inlet Measurement Devices

Fan inlet measurement devices cannot be used unless indicated on the drawings or schedules.

2.5.7.2 Orifice Plate

Orifice plate must be made of an austenitic stainless steel sheet of 0.125 inch nominal thickness with an accuracy of plus or minus 1 percent of full flow. The orifice plate must be flat within 0.002 inches. The orifice surface roughness must not exceed 20 micro-inches. The thickness of the cylindrical face of the orifice must not exceed 2 percent of the pipe inside diameter or 12.5 percent of the orifice diameter, whichever is smaller. The upstream edge of the orifice must be square and sharp. Where orifice plates are used, concentric orifice plates must be used in all applications except steam flow measurement in horizontal pipelines.

2.5.7.3 Flow Nozzle

Flow nozzle must be made of austenitic stainless steel with an accuracy of plus or minus 1 percent of full flow. The inlet nozzle form must be elliptical and the nozzle throat must be the quadrant of an ellipse. The

thickness of the nozzle wall and flange must be such that distortion of the nozzle throat from strains caused by the pipeline temperature and pressure, flange bolting, or other methods of installing the nozzle in the pipeline must not cause the accuracy to degrade beyond the specified limit. The outside diameter of the nozzle flange or the design of the flange facing must be such that the nozzle throat must be centered accurately in the pipe.

2.5.7.4 Venturi Tube

Venturi tube must be made of cast iron or cast steel and must have an accuracy of plus or minus 1 percent of full flow. The throat section must be lined with austenitic stainless steel. Thermal expansion characteristics of the lining must be the same as that of the throat casting material. The surface of the throat lining must be machined to a plus or minus 50 micro inch finish, including the short curvature leading from the converging entrance section into the throat.

2.5.7.5 Annular Pitot Tube

Annular pitot tube must be made of austenitic stainless steel with an accuracy of plus or minus 2 percent of full flow and a repeatability of plus or minus 0.5 percent of measured value. The unit must have at least one static port and no less than four total head pressure ports with an averaging manifold.

2.5.7.6 Insertion Turbine Flowmeter

Provide dual axial turbine flowmeter with all installation hardware necessary to enable insertion and removal of the meter without system shutdown. All parts must meet or exceed the pressure classification of the pipe system it is installed in. Insertion Turbine Flowmeter accuracy must be plus or minus 0.5 percent of rate at calibrated velocity., within plus or minus of rate over a 10:1 turndown and within plus or minus 2 percent of rate over a 50:1 turndown. Repeatability must be plus or minus 0.25 percent of reading. The meter flow sensing element must operate over a range suitable for the installed location with a pressure loss limited to 1 percent of operating pressure at maximum flow rate. The flowmeter ,must include either dry contact pulse outputs, 4-20mA, 0-10Vdc or 0-5Vdc outputs. The turbine rotor assembly must be constructed of Series 300 stainless steel and use Teflon seals.

2.5.7.7 Vortex Shedding Flowmeter

Vortex Shedding Flowmeter accuracy must be within plus or minus 0.8 percent of the actual reading over the range of the meter. Steam meters must contain density compensation by direct measurement of temperature. Mass flow inferred from specified steam pressure are not acceptable. The flow meter body must be made of austenitic stainless steel and include a weather tight NEMA 4X electronics enclosure. The vortex shedding flowmeter body must not require removal from the piping in order to replace the shedding sensor.

2.5.7.8 Ultrasonic Flow Meter

Provide Ultrasonic Flow Meters complete with matched transducers, self aligning installation hardware and transducer cables. Ultrasonic transducers must be optimized for the specific pipe and process conditions for the application. The flow meter accuracy must plus or minus 1 percent

of rate from 0 to 40 ft/sec. The flowmeter must include either dry contact pulse outputs, 4-20mA, 0-10Vdc or 0-5Vdc output.

2.5.7.9 Insertion Magnetic Flow Meter

Provide insertion type magnetic flowmeters with all installation hardware necessary to enable insertion and removal of the meter without system shutdown. All parts must meet or exceed the pressure classification of the pipe system it is installed in. Flowmeter accuracy must be no greater than plus or minus 1 percent of rate from 2 to 20 feet/sec. Wetted material parts must be 300 series stainless steel. The flowmeter must include either dry contact pulse outputs, 4-20mA, 0-10Vdc or 0-5Vdc outputs.

2.5.7.10 Positive Displacement Flow Meter

The flow meter must be a direct reading, gerotor, nutating disc or vane type displacement device rated for liquid service as indicated. A counter must be mounted on top of the meter, and must consist of a non-resettable mechanical totalizer for local reading, and a pulse transmitter for remote reading. The totalizer must have a six digit register to indicate the volume passed through the meter in gallons, and a sweep-hand dial to indicate down to 0.25 gallons. The pulse transmitter must have a hermetically sealed reed switch which is activated by magnets fixed on gears of the counter. The meter must have a bronze body with threaded or flanged connections as required for the application. Output accuracy must be plus or minus 2 percent of the flow range. The maximum pressure drop at full flow must be 5 psig.

2.5.7.11 Flow Meters, Paddle Type

Sensor must be non-magnetic, with forward curved impeller blades designed for water containing debris. Sensor accuracy must be plus or minus 1 percent of rate of flow, minimum operating flow velocity must be 1 foot per second. Sensor repeatability and linearity must be plus or minus 1 percent. Materials which will be wetted must be made from non-corrosive materials and must not contaminate water. The sensor must be rated for installation in pipes of 3 to 40 inch diameters. The transmitter housing must be a NEMA 250 Type 4 enclosure.

2.5.7.12 Flow Switch

Flow switch must have a repetitive accuracy of plus or minus 10 percent of actual flow setting. Switch actuation must be adjustable over the operating flow range, and must be sized for the application such that the setpoint is between 25 percent and 75 percent of the full range.. The switch must have Form C snap-action contacts, rated for the application. The flow switch must have non flexible paddle with magnetically actuated contacts and be rated for service at a pressure greater than the installed conditions. Flow switch for use in sewage system must be rated for use in corrosive environments encountered.

2.5.8 Electrical Instruments

Provide Electrical Instruments with an input range as indicated or sized for the application. Unless otherwise specified, AC instrumentation must be suitable for 60 Hz operation.

2.5.8.1 Current Transducers

Current transducers must accept an AC current input and must have an accuracy of plus or minus 2 percent of full scale. The device must have a means for calibration. Current transducers for variable frequency applications must be rated for variable frequency operation.

2.5.8.2 Current Sensing Relays (CSRs)

Current sensing relays (CSRs) must provide a normally-open contact with a voltage and amperage rating greater than its connected load. Current sensing relays must be of split-core design. The CSR must be rated for operation at 200 percent of the connected load. Voltage isolation must be a minimum of 600 volts. The CSR must auto-calibrate to the connected load or be adjustable and field calibrated. Current sensors for variable frequency applications must be rated for variable frequency operation.

2.5.8.3 Voltage Transducers

Voltage transducers must accept an AC voltage input and have an accuracy of plus or minus 0.25 percent of full scale. The device must have a means for calibration. Line side fuses for transducer protection must be provided.

2.5.8.4 Energy Metering

2.5.8.4.1 Watt or Watthour Transducers

Watt transducers must measure voltage and current and must output kW or kWh or both kW and kWh as indicated. kW outputs must have an accuracy of plus or minus 0.5 percent over a power factor range of 0.1 to 1. kWh outputs must have an accuracy of plus or minus 0.5 percent over a power factor range of 0.1 to 1.

2.5.8.4.2 Watthour Revenue Meter (with and without Demand Register)

All Watthour revenue meters must measure voltage and current and must be in accordance with ANSI C12.1 with an ANSI C12.20 Accuracy class of 0.5 and must have pulse initiators for remote monitoring of Watthour consumption. Pulse initiators must consist of form C contacts with a current rating not to exceed two amperes and voltage not to exceed 500 V, with combinations of VA not to exceed 100 VA, and a life rating of one billion operations. Meter sockets must be in accordance with NEMA/ANSI C12.10. Watthour revenue meters with demand registers must output instantaneous demand in addition to the pulse initiators.

2.5.9 Occupancy Sensors

Occupancy sensors must have occupancy-sensing sensitivity adjustment and an adjustable off-delay timer with a setpoint of 15 minutes. Adjustments accessible from the face of the unit are preferred. Occupancy sensors must be rated for operation in ambient air temperatures ranging from 40 to 95 degrees F or temperatures normally encountered in the installed location. Sensors integral to wall mount on-off light switches must have an auto-off switch. Wall switch sensors must be decorator style and must fit behind a standard decorator type wall plate. All occupancy sensors, power packs, and slave packs must be UL listed. In addition to any outputs required for lighting control, the occupancy sensor must provide an output for the HVAC control system.

2.5.9.1 Passive Infrared (PIR) Occupancy Sensors

PIR occupancy sensors must have a multi-level, multi-segmented viewing lens and a conical field of view with a viewing angle of 180 degrees and a detection of at least 20 feet unless otherwise indicated or specified. PIR Sensors must provide field-adjustable background light-level adjustment with an adjustment range suitable to the light level in the sensed area, room or space. PIR sensors must be immune to false triggering from RFI and EMI.

2.5.9.2 Ultrasonic Occupancy Sensors

Ultrasonic sensors must operate at a minimum frequency 32 kHz and must be designed to not interfere with hearing aids.

2.5.9.3 Dual-Technology Occupancy Sensor (PIR and Ultrasonic)

Dual-Technology Occupancy Sensors must meet the requirements of both PIR and Ultrasonic Occupancy Sensors.

2.5.10 Vibration Switch

Vibration switch must be solid state, enclosed in a NEMA 250 Type 4 or Type 4X housing with sealed wire entry. Unit must have two independent sets of Form C switch contacts with one set to shutdown equipment upon excessive vibration and a second set for monitoring alarm level vibration. The vibration sensing range must be a true rms reading, suitable for the application. The unit must include either displacement response for low speed or velocity response for high speed application. The frequency range must be at least 3 Hz to 500 Hz. Contact time delay must be 3 seconds. The unit must have independent start-up and running delay on each switch contact. Alarm limits must be adjustable and setpoint accuracy must be plus or minus 10 percent of setting with repeatability of plus or minus 2 percent.

2.5.11 Floor Mounted Leak Detector

Leak detectors must use electrodes mounted at slab level with a minimum built-in-vertical adjustment of 0.125 inches. Detector must have a binary output. The indicator must be manual reset type.

2.5.12 Temperature Switch

2.5.12.1 Duct Mount Temperature Low Limit Safety Switch (Freezestat)

Duct mount temperature low limit switches (Freezestats) must be manual reset, low temperature safety switches at least 1 foot long per square foot of coverage which must respond to the coldest 18 inch segment with an accuracy of plus or minus 3.6 degrees F. The switch must have a field-adjustable setpoint with a range of at least 30 to 50 degrees F. The switch must have two sets of contacts, and each contact must have a rating greater than its connected load. Contacts must open or close upon drop of temperature below setpoint as indicated and must remain in this state until reset.

2.5.13 Damper End Switches

Each end switch must be a hermetically sealed switch with a trip lever and

over-travel mechanism. The switch enclosure must be suitable for mounting on the duct exterior and must permit setting the position of the trip lever that actuates the switch. The trip lever must be aligned with the damper blade.

End switches integral to an electric damper actuator are allowed as long as at least one is adjustable over the travel of the actuator.

2.5.14 Air Quality Sensors

Provide full spectrum air quality sensors using a hot wire element based on the Taguchi principle. The sensor must monitor a wide range of gaseous volatile organic components common in indoor air contaminants like paint fumes, solvents, cigarette smoke, and vehicle exhaust. The sensor must automatically compensate for temperature and humidity, have span and calibration potentiometers, operate on 24 VDC power with output of 0-10 VDC, and have a service rating of 32 to 140 degrees F and 5 to 95 percent relative humidity.

2.6 INDICATING DEVICES

All indicating devices must display readings in English (inch-pound) units.

2.6.1 Thermometers

Provide bi-metal type thermometers at locations indicated. Thermometers must have either 9 inch long scales or 3.5 inch diameter dials, with insertion, immersion, or averaging elements. Provide matching thermowells for pipe-mounted installations. Select scale ranges suitable for the intended service, with the normal operating temperature near the scale's midpoint. The thermometer's accuracy must be plus or minus 2 percent of the scale range.

2.6.1.1 Air-Duct Thermometers

Air-duct thermometers must have perforated stem guards and 45-degree adjustable duct flanges with locking mechanism.

2.6.2 Pressure Gauges

Provide pipe-mounted pressure gauges at the locations indicated. Gauges must conform to ASME B40.100 and have a 4 inch diameter dial and shutoff cock. Select scale ranges suitable for the intended service, with the normal operating pressure near the scale's midpoint. The gauge's accuracy must be plus or minus 2 percent of the scale range.

Gauges must be suitable for field or panel mounting as required, must have black legend on white background, and must have a pointer traveling through a 270-degree arc. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus 3 percent of scale range. Gauges must meet requirements of ASME B40.100.

2.6.3 Low Differential Pressure Gauges

Gauges for low differential pressure measurements must be a minimum of 3.5 inch (nominal) size with two sets of pressure taps, and must have a diaphragm-actuated pointer, white dial with black figures, and pointer zero adjustment. Gauge range must be suitable for the application with an

upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus two percent of scale range.

2.7 OUTPUT DEVICES

2.7.1 Actuators

Actuators must be electric (electronic). All actuators must be normally open (NO), normally closed (NC) or fail-in-last-position (FILP) as indicated. Normally open and normally closed actuators must be of mechanical spring return type. Electric actuators must have an electronic cut off or other means to provide burnout protection if stalled. Actuators must have a visible position indicator. Electric actuators must provide position feedback to the controller as indicated. Actuators must smoothly and fully open or close the devices to which they are applied. Electric actuators must have a full stroke response time in both directions of 90 seconds or less at rated load. Electric actuators must be of the foot-mounted type with an oil-immersed gear train or the direct-coupled type. Where multiple electric actuators operate from a common signal, the actuators must provide an output signal identical to its input signal to the additional devices. All actuators must be rated for their operating environment. Actuators used outdoors must be designed and rated for outdoor use. Actuators under continuous exposure to water, such as those used in sumps, must be submersible.

Actuators incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

2.7.1.1 Valve Actuators

Valve actuators must provide shutoff pressures and torques as indicated on the Valve Schedule.

2.7.1.2 Damper Actuators

Damper actuators must provide the torque necessary per damper manufacturer's instructions to modulate the dampers smoothly over its full range of operation and torque must be at least 6 inch-pounds/1 square foot of damper area for opposed blade dampers and 9 inch-pounds/1 square foot of damper area for parallel blade dampers.

2.7.1.3 Electric Actuators

Each actuator must have distinct markings indicating the full-open and full-closed position Each actuator must deliver the torque required for continuous uniform motion and must have internal end switches to limit the travel, or be capable of withstanding continuous stalling without damage. Actuators must function properly within 85 to 110 percent of rated line voltage. Provide actuators with hardened steel running shafts and gears of steel or copper alloy. Fiber or reinforced nylon gears may be used for torques less than 16 inch-pounds..

a. Two-position actuators must be single direction, spring return, or reversing type. Two position actuator signals may either be the control power voltage or line voltage as needed for torque or appropriate interlock circuits.

b. Modulating actuators must be capable of stopping at any point in the

cycle, and starting in either direction from any point. Actuators must be equipped with a switch for reversing direction, and a button to disengage the clutch to allow manual adjustments. Provide the actuator with a hand crank for manual adjustments, as applicable. Modulating actuator input signals can either be a 4 to 20 mAdc or a 0-10 VDC signal.

c. Floating or pulse width modulation actuators are acceptable for non-fail safe applications unless indicated otherwise provided that the floating point control (timed actuation) must have a scheduled re-calibration of span and position no more than once a day and no less than once a week. The schedule for the re-calibration should not affect occupied conditions and be staggered between equipment to prevent falsely loading or unloading central plant equipment.

2.7.2 Solenoid-Operated Electric to Pneumatic Switch (EPS)

Solenoid-Operated Electric to Pneumatic Switches (EPS) must accept a voltage input to actuate its air valve. Each valve must have three-port operation: common, normally open, and normally closed. Each valve must have an outer cast aluminum body and internal parts of brass, bronze, or stainless steel. The air connection must be a 0.38 inch NPT threaded connection. Valves must be rated for 50 psig.

2.7.3 Relays

Relays must have contacts rated for the intended application, indicator light, and dust proof enclosure. The indicator light must be lit when the coil is energized and off when coil is not energized.

Control relay contacts must have utilization category and ratings selected for the application. Each set of contacts must incorporate a normally open (NO), normally closed (NC) and common contact. Relays must be rated for a minimum life of one million operations.

2.8 USER INPUT DEVICES

User Input Devices, including potentiometers, switches and momentary contact push-buttons. Potentiometers must be of the thumb wheel or sliding bar type. Momentary Contact Push-Buttons may include an adjustable timer for their output. User input devices must be labeled for their function.

2.9 MULTIFUNCTION DEVICES

Multifunction devices are products which combine the functions of multiple sensor, user input or output devices into a single product. Unless otherwise specified, the multifunction device must meet all requirements of each component device. Where the requirements for the component devices conflict, the multifunction device must meet the most stringent of the requirements.

2.9.1 Current Sensing Relay Command Switch

The Current Sensing Relay portion must meet all requirements of the Current Sensing Relay input device. The Command Switch portion must meet all requirements of the Relay output device except that it must have at least one normally-open (NO) contact.

Current Sensing Relays used for Variable Frequency Drives must be rated for Variable Frequency applications unless installed on the source side of the drive. If used in this situation, the threshold for showing status must be set to allow for the VFD's control power when the drive is not enabled and provide indication of operation when the drive is enabled at minimum speed.

2.9.2 Space Sensor Module

Space Sensor Modules must be multifunction devices incorporating a temperature sensor and one or more of the following as specified and indicated on the Space Sensor Module Schedule:

a. A temperature indicating device.

b. A User Input Device which must adjust a temperature setpoint output.

c. A User Input Momentary Contact Button and an output to the control system indicating zone occupancy.

d. A three position User Input Switch labeled to indicate heating, cooling and off positions ('HEAT-COOL-OFF' switch) and providing corresponding outputs to the control system.

e. A two position User Input Switch labeled with 'AUTO' and 'ON' positions and providing corresponding output to the control system..

f. A multi-position User Input Switch with 'OFF' and at least two fan speed positions and providing corresponding outputs to the control system.

Space Sensor Modules cannot contain mercury (Hg).

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 General Installation Requirements

Perform the installation under the supervision of competent technicians regularly employed in the installation of DDC systems.

3.1.1.1 Device Mounting Criteria

All devices must be installed in accordance with manufacturer's recommendations and as specified and indicated. Control devices to be installed in piping and ductwork must be provided with required gaskets, flanges, thermal compounds, insulation, piping, fittings, and manual valves for shutoff, equalization, purging, and calibration. Strap-on temperature sensing elements must not be used except as specified. Spare thermowells must be installed adjacent to each thermowell containing a sensor and as indicated. Devices located outdoors must have a weathershield.

3.1.1.2 Labels and Tags

Match labels and tags to the unique identifiers indicated on the As-Built drawings. Label all enclosures and instrumentation. Tag all sensors and actuators in mechanical rooms. Tag airflow measurement arrays to show

flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient. Tag duct static pressure taps at the location of the pressure tap. Provide plastic or metal tags, mechanically attached directly to each device or attached by a metal chain or wire. Labels exterior to protective enclosures must be engraved plastic and mechanically attached to the enclosure or instrumentation. Labels inside protective enclosures may attached using adhesive, but must not be hand written.

3.1.2 Weathershield

Provide weathershields for sensors located outdoors. Install weathershields such that they prevent the sun from directly striking the sensor and prevent rain from directly striking or dripping onto the sensor. Install weather shields with adequate ventilation so that the sensing element responds to the ambient conditions of the surroundings. When installing weathershields near outside air intake ducts, install them such that normal outside air flow does not cause rainwater to strike the sensor.

3.1.3 Room Instrument Mounting

Mount room instruments, including but not limited to wall mounted non-adjustable space sensor modules and sensors located in occupied spaces, 48 inches above the floor unless otherwise indicated. Install adjustable devices to be ADA compliant unless otherwise indicated on the Room Sensor Schedule:

a. Space Sensor Modules for Fan Coil Units may be either unit or wall mounted but not mounted on an exterior wall.

b. Wall mount all other Space Sensor Modules.

3.1.4 Indication Devices Installed in Piping and Liquid Systems

Provide snubbers for gauges in piping systems subject to pulsation. For gauges for steam service use pigtail fittings with cock. Install thermometers and temperature sensing elements in liquid systems in thermowells. Provide spare Pressure/Temperature Ports (Pete's Plug) for all temperature and pressure sensing elements installed in liquid systems for calibration/testing.

3.1.5 Occupancy Sensors

Provide a sufficient quantity of occupancy sensors to provide complete coverage of the area (room or space). Occupancy sensors are to be ceiling mounted. Install occupancy sensors in accordance with NFPA 70 requirements and the manufacturer's instructions. Do not locate occupancy sensors within 6 feet of HVAC outlets or heating ducts, or where they can "see" beyond any doorway. Installation above doorway(s) is preferred. Do not use ultrasonic sensors in spaces containing ceiling fans. Install sensors to detect motion to within 2 feet of all room entrances and to not trigger due to motion outside the room. Set the off-delay timer to 15 minutes unless otherwise indicated. Adjust sensors prior to beneficial occupancy, but after installation of furniture systems, shelving, partitions, etc. For each controlled area, provide one hundred percent coverage capable of detecting small hand-motion movements, accommodating all occupancy habits of single or multiple occupants at any location within the controlled room.

3.1.6 Switches

3.1.6.1 Temperature Limit Switch

Provide a temperature limit switch (freezestat) to sense the temperature at the location indicated. Provide a sufficient number of temperature limit switches (freezestats) to provide complete coverage of the duct section but no less than 1 foot in length per square foot of cross sectional area. Install manual reset limit switches in approved, accessible locations where they can be reset easily. Install temperature limit switch (freezestat) sensing elements in a side-to-side (not top-to-bottom) serpentine pattern with the relay section at the highest point and in accordance with the manufacturer's installation instructions.

3.1.6.2 Hand-Off Auto Switches

Wire safety controls such as smoke detectors and freeze protection thermostats to protect the equipment during both hand and auto operation.

3.1.7 Temperature Sensors

Install temperature sensors in locations that are accessible and provide a good representation of sensed media. Installations in dead spaces are not acceptable. Calibrate and install sensors according to manufacturer’s instructions. Select sensors only for intended application as designated or recommended by manufacturer.

3.1.7.1 Room Temperature Sensors

Mount the sensors on interior walls to sense the average room temperature at the locations indicated. Avoid locations near heat sources such as copy machines or locations by supply air outlet drafts. Mount the center of all user-adjustable sensors 48 inches above the floor to meet ADA requirements. Non user-adjustable sensors can be mounted as indicated in paragraph ROOM INSTRUMENT MOUNTING.

3.1.7.2 Duct Temperature Sensors

3.1.7.2.1 Probe Type

Place tip of the sensor in the middle of the airstream or in accordance with manufacturer's recommendations or instructions.Provide a gasket between the sensor housing and the duct wall. Seal the duct penetration air tight. When installed in insulated duct, provide enclosure or stand off fitting to accommodate the thickness of duct insulation to allow for maintenance or replacement of the sensor and wiring terminations. Seal the duct insulation penetration vapor tight.

3.1.7.2.2 Averaging Type

Weave the sensing element in a serpentine fashion from side to side perpendicular to the flow, across the duct or air handler cross-section, using durable non-metal supports in accordance with manufacturer's installation instructions. Avoid tight radius bends or kinking of the sensing element. Prevent contact between the sensing element and the duct or air handler internals. Provide a duct access door at the sensor location. The access door must be hinged on the side, factory insulated, have cam type locks, and be as large as the duct will permit, maximum 18

by 18 inches. For sensors inside air handlers, the sensors must be fully accessible through the air handler's access doors without removing any of the air handler's internals.

3.1.7.3 Outside Air Temperature Sensors

Provide outside air temperature sensors on the building's north side with a protective weather shade that does not inhibit free air flow across the sensing element, and protects the sensor from snow, ice, and rain. Location must not be near exhaust hoods and other areas such that it is not influenced by radiation or convection sources which may affect the reading. Provide a shield to shade the sensor from direct sunlight.

3.1.8 Air Flow Measurement Arrays (AFMA)

Locate Outside Air AFMAs downstream from the Outside Air filters.

Install AFMAs with the manufacturer's recommended minimum distances between upstream and downstream disturbances. Airflow straighteners may be used to reduce minimum distances as recommended by the AFMA manufacturer.

3.1.9 Duct Static Pressure Sensors

Locate the duct static pressure sensing tap at 75 percent of the distance between the first and last air terminal units. If the transmitter output is a 0-10Vdc signal, locate the transmitter in the same enclosure as the air handling unit (AHU) controller for the AHU serving the terminal units. If a remote duct static pressure sensor is to be used, run the signal wire back to the controller for the air handling unit.

3.1.10 Relative Humidity Sensors

Install relative humidity sensors in supply air ducts at least 10 feet downstream of humidity injection elements.

3.1.11 Meters

3.1.11.1 Flowmeters

Install flowmeters to ensure minimum straight unobstructed piping for at least 10 pipe diameters upstream and at least 5 pipe diameters downstream of the flowmeter, and in accordance with the manufacturer's installation instructions.

3.1.11.2 Energy Meters

Locate energy meters as indicated. Connect each meter output to the DDC system, to measure both instantaneous demand/energy and other variables as indicated.

3.1.12 Dampers

3.1.12.1 Damper Actuators

Provide spring return actuators which fail to a position that protects the served equipment and space on all control dampers related to freeze protection or force protection. For all outside, makeup and relief dampers provide dampers which fail closed. Terminal fan coil units,

terminal VAV units, convectors, and unit heaters nay be non-spring return unless indicated otherwise. Do not mount actuators in the air stream. Do not connect multiple actuators to a common drive shaft. Install actuators so that their action seal the damper to the extent required to maintain leakage at or below the specified rate and so that they move the blades smoothly throughout the full range of motion.

3.1.12.2 Damper Installation

Install dampers straight and true, level in all planes, and square in all dimensions. Dampers must move freely without undue stress due to twisting, racking (parallelogramming), bowing, or other installation error. External linkages must operate smoothly over the entire range of motion, without deformation or slipping of any connecting rods, joints or brackets that will prevent a return to it's normal position. Blades must close completely and leakage must not exceed that specified at the rated static pressure. Provide structural support for multi-section dampers. Acceptable methods of structural support include but are not limited to U-channel, angle iron, corner angles and bolts, bent galvanized steel stiffeners, sleeve attachments, braces, and building structure. Where multi-section dampers are installed in ducts or sleeves, they must not sag due to lack of support. Do not use jackshafts to link more than three damper sections. Do not use blade to blade linkages. Install outside and return air dampers such that their blades direct their respective air streams towards each other to provide for maximum mixing of air streams.

3.1.13 Thermometers and Gauges

3.1.13.1 Thermometers

Mount devices to allow reading while standing on the floor or ground, as applicable.

3.1.14 Wire and Cable

Provide complete electrical wiring for the Control System, including wiring to transformer primaries. Wire and Cable must be installed without splices between control devices and in accordance with NFPA 70 and NFPA 90A. Instrumentation grounding must be installed per the device manufacturer's instructions and as necessary to prevent ground loops, noise, and surges from adversely affecting operation of the system. Test installed ground rods as specified in IEEE 142. Cables and conductor wires must be tagged at both ends, with the identifier indicated on the shop drawings. Electrical work must be as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and as indicated. Wiring external to enclosures must be run in raceways, except low-voltage control and low-voltage network wiring may be installed as follows:

a. plenum rated cable in suspended ceilings over occupied spaces may be run without raceways

b. nonmetallic-sheathed cables or metallic-armored cables may be installed as permitted by NFPA 70.

Install control circuit wiring not in raceways in a neat and safe manner. Wiring must not use the suspended ceiling system (including tiles, frames or hangers) for support. Where conduit or raceways are required, control circuit wiring must not run in the same conduit/raceway as power wiring over 50 volts. Run all circuits over 50 volts in conduit, metallic

tubing, covered metal raceways, or armored cable.

-- End of Section --

SECTION 23 09 23.02

BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS
02/19, CHG 1: 02/20

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and shown and in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.1.1 System Requirements

Provide a system meeting the requirements of both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section and with the following characteristics:

a. Except for Gateways, the control system must be an open implementation of BACnet technology using ASHRAE 135 as the communications protocol. The system must use standard ASHRAE 135 Objects and Properties. The system must use standard ASHRAE 135 Services exclusively for communication over the network. Gateways to packaged units must communicate with other DDC hardware using ASHRAE 135 exclusively and may communicate with packaged equipment using other protocols. The control system must be installed such that any two devices on the Internetwork can communicate using standard ASHRAE 135 Services.

b. Install and configure control hardware to provide ASHRAE 135 Objects and Properties as indicated and as needed to meet the requirements of this specification.

1.1.2 Verification of Specification Requirements

Review all specifications related to the control system installation and advise the Contracting Officer of any discrepancies before performing any work. If Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC or any other Section referenced in this specification is not included in the project specifications advise the Contracting Officer and either obtain the missing Section or obtain Contracting Officer approval before performing any work.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135	(2020; Interpretation 1-8 2021; Errata 1-2 2021; Addenda CD 2021; Addenda BV-CE 2022; Interpretation 9-12 2022; Interpretation 13-24 2023; Addenda BV-CF 2023; Errata 3

	2023) BACnet—A Data Communication Protocol for Building Automation and Control Networks

BACNET TESTING LABORATORIES (BTL)

BTL Guide	(v.50; 2022) BACnet Testing Laboratory Implementation Guidelines

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.3	(2022) Ethernet

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-485	(1998a; R 2012) Electrical Characteristics of Generators and Receivers for Use in Balanced Digital Multipoint Systems

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC Part 15	Radio Frequency Devices (47 CFR 15)

UNDERWRITERS LABORATORIES (UL)

UL 916	(2015; Reprint Oct 2021) UL Standard for Safety Energy Management Equipment

1.3 DEFINITIONS

For definitions related to this section, see Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.4 SUBMITTALS

Submittal requirements related to this Section are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

PART 2 PRODUCTS

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1 NETWORK HARDWARE

2.1.1 BACnet Router

All BACnet Routers must be BACnet/IP Routers and must perform layer 3 routing of ASHRAE 135 packets over an IP network in accordance with ASHRAE 135 Annex J and Clause 6. The router must provide the appropriate connection to the IP network and connections to one or more ASHRAE 135 MS/TP networks. Devices used as BACnet Routers must meet the requirements for DDC Hardware, and must support the NM-RC-B BIBB.

2.1.2 BACnet Gateways

In addition to the requirements for DDC Hardware, the BACnet Gateway must

meet the following requirements:

a. It must perform bi-directional protocol translation from one non-ASHRAE 135 protocol to ASHRAE 135. BACnet Gateways must incorporate a network connection to an ASHRAE 135 network (either BACnet over IP in accordance with Annex J or MS/TP) and a separate connection appropriate for the non-ASHRAE 135 protocol and media.

b. It must retain its configuration after a power loss of an indefinite time, and must automatically return to their pre-power loss state once power is restored.

c. It must allow bi-directional mapping of data between the non-ASHRAE 135 protocol and Standard Objects as defined in ASHRAE 135. It must support the DS-RP-B BIBB for Objects requiring read access and the DS-WP-B BIBB for Objects requiring write access.

d. It must support the DS-COV-B BIBB.

Although Gateways must meet DDC Hardware requirements they are not DDC Hardware and must not be used when DDC Hardware is required.

2.1.3 Ethernet Switch

Ethernet Switches must autoconfigure between 10,100 and 1000 megabits per second (MBPS).

2.2 CONTROL NETWORK WIRING

a. BACnet MS/TP communications wiring must be in accordance with ASHRAE 135. The wiring must use shielded, three wire (twisted-pair with reference) cable with characteristic impedance between 100 and 120 ohms. Distributed capacitance between conductors must be less than 30 pF per foot.

b. Building Control Network Backbone IP Network must use Ethernet media. Ethernet cables must be CAT-5e at a minimum and meet all requirements of IEEE 802.3.

2.3 DIRECT DIGITAL CONTROL (DDC) HARDWARE

2.3.1 General Requirements

All DDC Hardware must meet the following requirements:

a. It must be locally powered and must incorporate a light to indicate the device is receiving power.

b. It must conform to the BTL Guide

c. It must be BACnet Testing Laboratory (BTL) Listed.

d. The Manufacturer's Product Data submittal for each piece of DDC Hardware must include the Protocol Implementation Conformance Statement (PICS) for that hardware as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

e. It must communicate and be interoperable in accordance with ASHRAE 135 and have connections for BACnet IP or MS/TP control network wiring.

f. Other than devices controlling terminal units or functioning solely as a BACnet Router, it must support DS-COV-B, DS-RPM-A and DS-RPM-B BIBBs.

g. Devices supporting the DS-RP-A BIBB must also support the DS-COV-A BIBB.

h. Application programs, configuration settings and communication information must be stored in a manner such that they persist through loss of power:

(1) Application programs must persist regardless of the length of time power is lost.

(2) Configured settings must persist for any loss of power less than 2,500 hours.

(3) Communication information, including but not limited to COV subscriptions, event reporting destinations, Notification Class Object settings, and internal communication settings, must persist for any loss of power less than 2,500 hours.

i. Internal Clocks:

(1) Clocks in DDC Hardware incorporating a Clock must continue to function for 120 hours upon loss of power to the DDC Hardware.

(2) DDC Hardware incorporating a Clock must support the DM-TS-B or DM-UTC-B BIBB.

j. It must have all functionality indicated and required to support the application (Sequence of Operation or portion thereof) in which it is used, including but not limited to providing Objects as specified and as indicated on the Points Schedule.

k. In addition to these general requirements and the DDC Hardware Input-Output (I/O) Function requirements, all DDC Hardware must also meet any additional requirements for the application in which it is used (e.g. scheduling, alarming, trending, etc.).

l. It must meet FCC Part 15 requirements and have UL 916 or equivalent safety listing.

m. Device must support Commandable Objects to support Override requirements as detailed in PART 3 EXECUTION

n. User interfaces which allow for modification of Properties or settings must be password-protected.

o. Devices communicating BACnet MS/TP must meet the following requirements:

(1) Must have a configurable Max_Master Property.

(2) DDC Hardware other than hardware controlling a single terminal unit must have a configurable Max_Info_Frames Property.

(3) Must respond to any valid request within 50 msec with either the appropriate response or with a response of "Reply Postponed".

(4) Must use twisted pair with reference and shield (3-wire media) wiring, or twisted pair with shield (2-wire media) wiring and use half-wave rectification.

p. Devices communicating BACnet/IP must use UDP Port 0xBAC0. Devices with configurable UDP Ports must default to 0xBAC0.

q. All Device IDs, Network Numbers, and BACnet MAC addresses of devices must be fully configurable without limitation, except MS/TP MAC addresses may be limited by ASHRAE 135 requirements.

r. DDC Hardware controlling a single terminal unit must have:

(1) Objects (including the Device Object) with an Object Name Property of at least 8 characters in length.

(2) A configurable Device Object Name.

(3) A configurable Device Object Description Property at least 16 characters in length.

s. Except for Objects in DDC Hardware controlling a single terminal unit, all Objects (including Device Objects) must:

(1) Have a configurable Object Name Property of at least 12 characters in length.

(2) Have a configurable Object Description Property of at least 24 characters in length.

t. For programmable DDC Hardware, provide and license to the project site all programming software required to program the Hardware in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

u. For programmable DDC Hardware, provide copies of the installed application programs (all software that is not common to every controller of the same manufacturer and model) as source code compatible with the supplied programming software in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The submitted application program must be the complete application necessary for controller to function as installed and be sufficient to allow replacement of the installed controller with another controller of the same type.

2.3.2 Hardware Input-Output (I/O) Functions

DDC Hardware incorporating hardware input-output (I/O) functions must meet the following requirements:

2.3.2.1 Analog Inputs

DC Hardware analog inputs (AIs) must be implemented using ASHRAE 135 Analog Input Objects and perform analog to digital (A-to-D) conversion with a minimum resolution of 8 bits plus sign or better as needed to meet the accuracy requirements specified in Section 23 09 00. Signal conditioning including transient rejection must be provided for each analog input. Analog inputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed

as part of point configuration is acceptable. The AI must incorporate common mode noise rejection of at least 50 dB from 0 to 100 Hz for differential inputs, and normal mode noise rejection of at least 20 dB at 60 Hz from a source impedance of 10,000 ohms.

2.3.2.2 Analog Outputs

DDC Hardware analog outputs (AOs) must be implemented using ASHRAE 135 Analog Output Objects and perform digital to analog (D-to-A) conversion with a minimum resolution of 8 bits plus sign, and output a signal with a range of 4-20 mAdc or 0-10 Vdc. Analog outputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. DDC Hardware with Hand-Off-Auto (H-O-A) switches for analog outputs must provide for overriding the output to 0 percent and to 100 percent

2.3.2.3 Binary Inputs

DDC Hardware binary inputs (BIs) must be implemented using ASHRAE 135 Binary Input Objects and accept contact closures and must ignore transients of less than 5 milli-second duration. Protection against a transient 50VAC must be provided.

2.3.2.4 Binary Outputs

DDC Hardware binary outputs (BOs) must be implemented using ASHRAE 135 Binary Output Objects and provide relay contact closures or triac outputs for momentary and maintained operation of output devices. DDC Hardware with H-O-A switches for binary outputs must provide for overriding the output open or closed.

2.3.2.4.1 Relay Contact Closures

Closures must have a minimum duration of 0.1 second. Relays must provide at least 180V of isolation. Electromagnetic interference suppression must be provided on all output lines to limit transients to 50 Vac. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.4.2 Triac Outputs

Triac outputs must provide at least 180 V of isolation. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.5 Pulse Accumulator

DDC Hardware pulse accumulators must be implemented using either an ASHRAE 135 Accumulator Object or an ASHRAE 135 Analog Value Object where the Present_Value is the totalized pulse count. Pulse accumulators must accept contact closures, ignore transients less than 5 msec duration, protect against
transients of 50 VAC, and accept rates of at least 20 pulses per second.

2.3.2.6 ASHRAE 135 Objects for Hardware Inputs and Outputs

The requirements for use of ASHRAE 135 objects for hardware input and outputs includes devices where the hardware sensor or actuator is integral to the controller (e.g. a VAV box with integral damper actuator, a smart sensor, a VFD, etc.)

2.3.3 Local Display Panel (LDP)

The Local Display Panels (LDPs) must be DDC Hardware with a display and navigation buttons or a touch screen display, and must provide display and adjustment of ASHRAE 135 Properties as indicated on the Points Schedule and as specified. LDPs must be either BTL Listed as a B-OD, B-OWS, B-AWS, or be an integral part of another piece of DDC Hardware listed as a B-BC. For LDPs listed as B-OWS or B-AWS, the hardware must be BTL listed and the product must come factory installed with all applications necessary for the device to function as an LDP.

The adjustment of values using display and navigation buttons must be password protected.

2.3.4 Expansion Modules and Tethered Hardware

A single piece of DDC Hardware may consist of a base unit and also:

a. An unlimited number of hardware expansion modules, where the individual hardware expansion modules are designed to directly connect, both mechanically and electrically, to the base unit hardware. The expansion modules must be commercially available as an optional add-on to the base unit.

b. A single piece of hardware connected (tethered) to a base unit by a single cable where the cable carries a proprietary protocol between the base unit and tethered hardware. The tethered hardware must not contain control logic and be commercially available as an optional add-on to the base unit as a single package.

   Note that this restriction on tethered hardware does not apply to sensors or actuators using standard binary or analog signals (not a communications protocol); sensors or actuators using standard binary or analog signals are not considered part of the DDC Hardware.

Hardware capable of being installed stand-alone, or without a separate base unit, is DDC Hardware and must not be used as expansion modules or tethered hardware.

2.3.5 Supervisory Control Requirements

2.3.5.1 Scheduling Hardware

DDC Hardware used for scheduling must meet the following requirements:

a. It must be BTL Listed as a B-BC and support the SCHED-E-B BIBB.

b. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Calendar and Schedule Objects, such that a front end BTL listed as a B-AWS may create or delete Calendar and Schedule Objects. It is also preferred but not required that devices supporting the DM-OCD-B BIBB accept any valid value for properties of Calendar and Schedule Objects. Note that there are additional requirements in the EXECUTION Part of this Section for Devices which do not support the DM-OCD-B BIBB as specified.

c. The Date_List property of all Calendar Objects must be writable.

d. The Present_Value Property of Schedule must support the following

values: 1, 2, 3, 4.

2.3.5.2 Alarm Generation Hardware

DDC Hardware used for alarm generation must meet the following requirements:

a. Device must support the AE-N-I-B BIBB

b. The Recipient_List Property must be Writable for all Notification Class Objects used for alarm generation.

c. For all Objects implementing Intrinsic Alarming, the following Properties must be Writable:

(1) Time_Delay
(2) High_Limit
(3) Low_Limit
(4) Deadband
(5) Event_Enable
(6) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writable.

d. For Event Enrollment Objects used for alarm generation, the following Properties must be Writable:

(1) Event_Parameters
(2) Event_Enable
(3) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writable.

e. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Notification Class Objects and Event Enrollment Objects, such that a front end BTL listed as a B-AWS may create or delete Notification Class Objects and Event Enrollment Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Notification Class Objects and Event Enrollment Objects. Note that there are additional requirements in the EXECUTION Part of this Section for devices which do not support the DM-OCD-B BIBB as specified.

f. Devices provided to meet the the requirements indicated under "Support for Future Alarm Generation" in the EXECUTION part of this specification must support the AE-N-E-B BIBB.

2.3.5.3 Trending Hardware

DDC Hardware used for collecting trend data must meet the following requirements:

a. Device must support Trend Log or Trend Log Multiple Objects.

b. Device must support the T-VMT-I-B BIBB.

c. Devices provided to meet the EXECUTION requirement for support of Future Trending must support the T-VMT-E-B BIBB.

d. The following properties of all Trend Log or Trend Log Multiple

Objects must be present and Writable:
Start_Time
Stop_Time
Log_DeviceObjectProperty
Log Interval Log interval must support an interval of at least 60 minutes duration.

e. Trend Log Objects must support using Intrinsic Reporting to send a BUFFER_FULL event.

f. The device must have a Notification Class Object for the BUFFER_FULL event. The Recipient_List Property must be Writable.

g. Devices must support values of at least 1,000 for Buffer_Size Properties.

h. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Trend Log Objects, such that a front end BTL listed as a A-AWS may create or delete Trend Log Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Trend Log Objects. Note that there are additional EXECUTION requirements for devices which do not support the DM-OCD-B BIBB as specified.

PART 3 EXECUTION

3.1 CONTROL SYSTEM INSTALLATION

3.1.1 Building Control Network (BCN)

Install the Building Control Network (BCN) as a single BACnet Internetwork consisting of a single IP network as the BCN Backbone and zero or more BACnet MS/TP networks. Note that in some cases there may only be a single device on the BCN Backbone.

Except as permitted for the non-BACnet side of Gateways, use exclusively ASHRAE 135 networks.

3.1.1.1 Building Control Network IP Backbone

Install IP Network Cabling in conduit. Install Ethernet Switches in lockable enclosures. Install the Building Control Network (BCN) IP Backbone such that it is available at the Facility Point of Connection (FPOC) location as indicated. When the FPOC location is a room number, provide sufficient additional media to ensure that the Building Control Network (BCN) IP Backbone can be extended to any location in the room.

Use UDP port 0xBAC0 for all BACnet traffic on the IP network.

3.1.1.2 BACnet MS/TP Networks

When using MS/TP, provide MS/TP networks in accordance with ASHRAE 135 and in accordance with the ASHRAE 135 figure "Mixed Devices on 3-Conductor Cable with Shield" (Figure 9-1.4 in the 2012 version of ASHRAE 135). Ground the shield at the BACnet Router and at no other point. Ground the reference wire at the BACnet Router through a 100 ohm resistor and do not ground it at any other point. In addition:

a. Provide each segment in a doubly terminated bus topology in accordance

with TIA-485.

b. Provide each segment with 2 sets of network bias resistors in accordance with ASHRAE 135, with one set of resistors at each end of the MS/TP network.

c. Use 3 wire (twisted pair and reference) with shield media for all MS/TP media installed inside. Use fiber optic isolation in accordance with ASHRAE 135 for all MS/TP media installed outside buildings, or between multiple buildings.

d. For 18 AWG cable, use segments with a maximum length of 4000 ft. When using greater distances or different wire gauges comply with the electrical specifications of TIA-485.

e. For each controller that does not use the reference wire provide transient suppression at the network connection of the controller if the controller itself does not incorporate transient suppression.

f. Install no more than 32 devices on each MS/TP segment. Do not use MS/TP to MS/TP routers.

g. Connect each MS/TP network to the BCN backbone via a BACnet Router.

h. For BACnet Routers, configure the MS/TP MAC address to 0. Assign MAC Addresses to other devices consecutively beginning at 1, with no gaps.

i. Configure the Max_Master Property of all devices to be 31.

3.1.1.3 Building Control Network (BCN) Installation

Provide a building control network meeting the following requirements:

a. Install all DDC Hardware connected to the Building Control Network.

b. Where multiple pieces of DDC Hardware are used to execute one sequence, install all DDC Hardware executing that sequence on a single MS/TP network dedicated to that sequence.

c. Traffic between BACnet networks must be exclusively via BACnet routers.

3.1.2 DDC Hardware

Install all DDC Hardware that connects to an IP network in lockable enclosure. Install other DDC Hardware that is not in suspended ceilings in enclosures. For all DDC hardware with a user interface, coordinate with site to determine proper passwords and configure passwords into device.

a. Except for zone sensors (thermostats), install all Tethered Hardware within 6 feet of its base unit.

b. Install and configure all BTL-Listed devices in a manner consistent with their BTL Listing such that the device as provided still meets all requirements necessary for its BTL Listing.

c. Install and configure all BTL-Listed devices in a manner consistent with the BTL Device Implementation Guidelines such that the device as provided meets all those Guidelines.

3.1.2.1 Device Identifiers, Network Addresses, and IP addresses

a. Do not use any Device Identifier or Network Number already used by another BACnet system at the project site. Coordinate Device IDs and Network Numbers with the installation. The installation POC is the Government .

b. Coordinate device IP addresses with installation. The installation POC is the Government.

3.1.2.2 Object Name Property and Object Description Property

Configure the Object_Names and Object_Descriptions properties of all Objects (including Device Objects) as indicated on the Points Schedule (Point Name and Point Description) and as specified. At a minimum:

a. Except for DDC Hardware controlling a single terminal unit, configure the Object_Name and Object_Description properties of all Objects (including Device Objects) as indicated on the Points Schedule and as specified.

b. In DDC Hardware controlling a single terminal unit, configure the Device Object_Name and Device Object_Description as indicated on the Points Schedule and as specified.

When Points Schedule entries exceed the length limitations in the device, notify the Government and provide recommended alternatives for approval.

3.1.2.3 Hand-Off-Auto (H-O-A) Switches

Provide Hand-Off-Auto (H-O-A) switches as specified and as indicated on the Points Schedule. Provide H-O-A switches that are integral to the controller hardware, an external device co-located with (in the same enclosure as) the controller, integral to the controlled equipment, or an external device co-located with (in the same enclosure as) the controlled equipment.

a. For H-O-A switches integral to DDC Hardware, meet the requirements specified in paragraph DIRECT DIGITAL CONTROL (DDC) HARDWARE.

b. For external H-O-A switches used for binary outputs, provide for overriding the output open or closed.

c. For eternal H-O-A switches used for analog outputs, provide for overriding to 0 percent or 100 percent.

3.1.2.4 Local Display Panels

Provide LDPs to display and override values of ASHRAE 135 Object Properties as indicated on the Points Schedule. Install LDPs displaying points for anything other than a terminal unit in the same room as the equipment. Install LDPs displaying points for only terminal units in a mechanical room central to the group of terminal units it serves. For LDPs using WriteProperty to commandable objects to implement an override, write values with priority 9.

3.1.2.5 MS/TP Slave Devices

Configure all MS/TP devices as Master devices. Do not configure any devices to act as slave devices.

3.1.2.6 Change of Value (COV) and Read Property

a. To the greatest extent possible, configure all devices to support the SubscribeCOV service (the DS-COV-B BIBB). At a minimum, all devices supporting the DS-RP-B BIBB, other than devices controlling only a single terminal unit, must be configured to support the DS-COV-B BIBB.

b. Whenever supported by the server side, configure client devices to use the DS-COV-A BIBB.

3.1.2.7 Engineering Units

Configure devices to use English (Inch-Pound) engineering units as follows:

a. Temperature in degrees F

b. Air or natural gas flows in cubic feet per minute (CFM)

c. Water in gallons per minute (GPM)

d. Steam flow in pounds per hour (pph)

e. Differential Air pressures in inches of water column (IWC)

f. Water, steam, and natural gas pressures in PSI

g. Enthalpy in BTU/lb

h. Heating and cooling energy in MBTU (1MBTU = 1,000,000 BTU))

i. Cooling load in tons (1 ton = 12,000 BTU/hour)

j. Heating load in MBTU/hour (1MBTU = 1,000,000 BTU)

k. Electrical Power: kilowatts (kW)

l. Electrical Energy: kilowatt-hours (kWh)

3.1.2.8 Occupancy Modes

Use the following correspondence between value and occupancy mode whenever an occupancy state or value is required:

a. OCCUPIED mode: a value of one
b. UNOCCUPIED mode: a value of two
c. WARM-UP/COOL-DOWN (PRE-OCCUPANCY) mode: a value of three

Note that elsewhere in this Section the Schedule Object is required to also support a value of four, which is reserved for future use. Also note that the behavior of a system in each of these occupancy modes is indicated in the sequence of operation for the system.

3.1.2.9 Use of BACnet Objects

Use only standard non-proprietary ASHRAE 135 Objects and services to accomplish the project scope of work as follows:

a. Use Analog Input or Analog Output Objects for all analog hardware I/O. Do not use Analog Value Object for analog hardware I/O) .

b. Use Binary Input or Binary Output Objects for all binary hardware I/O. Do not use Binary Value Objects for binary hardware I/O.

c. Use Analog Value Objects for analog setpoints.

d. Use Accumulator Objects or Analog Value Objects for pulse inputs.

e. For occupancy modes, use Multistate Value Objects and the correspondence between value and occupancy mode specified in paragraph OCCUPANCY MODES.

f. Use Schedule Objects and Calendar Objects for all scheduling. Use Trend Log Objects or Trend Log Multiple Objects for all trending and Notification Class Objects for trend log upload. Use a combination of Event Enrollment Objects,Intrinsic Alarming, and Notification Class Objects for alarm generation.

g. For all other points shown on the Points Schedule as requiring an ASHRAE 135 Object, use the Object type shown on the Points Schedule or, if no Object Type is shown, use a standard Object appropriate to the point.

3.1.2.10 Use of Standard BACnet Services

Except as noted in this paragraph, for all DDC Hardware use Standard BACnet Services as defined in this specification (which excludes some ASHRAE 135 services) exclusively for application control functionality and communication.

DDC Hardware that cannot meet this requirement may use non-standard services provided they can provide identical functionality using Standard BACnet Services when communicating with BACnet devices from a different vendor. When implementing non-standard services, document all non-standard services in the DDC Hardware Schedule as specified and as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

3.1.2.11 Device Application Configuration

a. For every property, setting or value shown on the Points Schedule or otherwise indicated as Configurable, provide a value that is retained through loss of power and can be changed via one or more of:

(1) BACnet services (including proprietary services)

(2) Hardware settings on the device

b. For every property, setting or value shown on the Points Schedule or otherwise indicated as Operator Configurable, provide a value that is retained through loss of power and can be changed via one or more of:

(1) A Writable Property of a standard BACnet Object

(2) A Property of a standard BACnet Object that is Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable.

3.1.3 Scheduling, Alarming, Trending, and Overrides

3.1.3.1 Scheduling

Configure schedules in BACnet Scheduling Objects to schedule systems as indicated on the Points Schedule and as specified using the indicated correspondence between value and occupancy mode. If no devices supports both the SCHED-E-B and DM-OCD-B BIBBS for Schedule Objects, provide 5 blank Schedule Objects in DDC Hardware BTL listed as B-BCs and supporting the SCHED-E-B BIBB for later use by the site.

Provide a separate schedule for each AHU including it's associated Terminal Units and for each stand-alone Terminal Unit (those not dependent upon AHU service.

3.1.3.2 Configuration of Alarm Generation

a. Send alarm events as Alarms (not Events).

b. Use the ConfirmedNotification Service for alarm events.

c. For alarm generation, support two priority levels for alarms: critical and non-critical. Configure the Priority of Notification Class Objects to use Priority 112 for critical and 224 for non-critical alarms.

d. Number of Notification Class Objects for Alarm Generation:

(1) If the device implements non-critical alarms, or if any Object in the device supports Intrinsic Alarms, then provide a single Notification Class Object specifically for (shared by) all non-critical alarms.

(2) If the device implements critical alarms, provide a single Notification Class Object specifically for (shared by) all critical alarms.

(3) If the device implements both critical and non-critical alarms, provide both Notification Class Objects (one for critical, one for non-critical).

(4) If the device controls equipment other than a single terminal unit, provide both Notification Class Objects (one for critical, one for non-critical) even if no alarm generation is required at time of installation.

e. For all intrinsic alarms configure the Limit_Enable Property to set both HighLimitEnable and LowLimitEnable to TRUE. If the specified alarm conditions are for a single-sided alarm (only High_Limit used or only Low_Limit used) assign a value to the unused limit such that the unused alarm condition will not occur.

f. For all objects supporting intrinsic alarming, even if no alarm generation is required during installation, configure the following Properties as follows:

(1) Notification_Class to point to the non-Critical Notification Class Object in that device.

(2) Limit_Enable to enable both the HighLimitEnable and LowLimitEnable

(3) Notify_Type to Alarm

g. Use of alarm generation types:

(1) Only use algorithmic alarm generation when intrinsic alarm generation is not supported by the device or object, or when the specific alarm conditions cannot be implemented using intrinsic alarm generation.

(2) Only use remote alarm generation when the alarm cannot be generated using intrinsic or local algorithmic alarm generation on the device containing the referenced property. If remote alarm generation is used, use the same DDC Hardware for all remote alarm generation within a single sequence.

3.1.3.3 Support for Future Alarm Generation

For every piece of DDC Hardware, support future alarm generation capabilities by supporting either intrinsic or additional algorithmic alarming. Provide one of the following:

a. Support intrinsic alarming for every Object used by the application in that device.

b. Support additional Event_Enrollment Objects. For DDC hardware controlling a single terminal unit, support at least one additional object. Otherwise, support at least 4 additional Objects. Support additional Event_Enrollment Objects via one of the following:

(1) Provide unused Event_Enrollment Objects on that device.

(2) Support the DM-OCD-B BIBB and the creation of sufficient Event_Enrollment Objects on that device.

(3) Provide one or more devices in the IP network that support the AE-N-E-B BIBB and have unused Event_Enrollment Objects.

(4) Provide one or more devices on the IP network that support the AE-N-E-B BIBB, the DM-OCD-B BIBB, and the creation of sufficient Event_Enrollment Objects.

The total number of Event_Enrollment Objects required by the project is the sum of the individual device requirements, and the distribution of Event_Enrollment Objects among devices is not further restricted. (Note this allows a single device to contain many Event_Enrollment Objects satisfying the requirements for multiple devices.)

3.1.3.4 Trend Log Configuration

a. Configure trends in Trend Log or Trend Log Multiple Objects as indicated on the Points Schedule and as specified.

b. Configure all trend logs (including any provided to support future trends) to save data on regular intervals using the BUFFER_FULL event to request trend upload from the front end.

c. Configure Trend Log Objects with a minimum Buffer_Size property value of 1,000 and Trend Log Multiple Objects with a minimum Buffer_Size property value of 1,000 per point trended (for example, a Trend Log Multiple Object used to trend 3 points must have a Buffer_Size Property value of at least 3,000).

d. Configure a Notification Class Object in devices doing trending (including devices supporting future trends) to handle the BUFFER_FULL event.

e. When possible, trend each point using an Object in the device containing the point. When it is necessary to trend using a an Object in another device, all trends not on the same Device as the Object being trended must be on a singe device (i.e. all Trend Log and Trend Log Multiple Objects used for remote trending within a sequence must be on the same device).

f. For each trend log, including any trend logs provided to support future trending, configure the following properties as specified:

(1) Logging_Type: Set to Polling

(2) Stop_When_Full: Set to Wrap Around

(3) Buffer_Size: Set to 400 or greater.

(4) Notification_Threshold: Set to 90 percent of full

(5) Notification_Class: Set to the Notification Class Object in that device

(6) Event_Enable: Set to TRUE

(7) Log_Interval: Set to 15 minutes.

g. Future Trending support. Provide support for future trending:

(1) Provide one or more devices on the Building Control Network Backbone IP network which support both the T-VMT-E-B and DM-OCD-B BIBBs for Trend Log Objects. Provide sufficient devices to support the creation of at least one additional Trend Log Object for every terminal unit plus 4 additional Trend Log Objects for every non-terminal unit.

(2) Provide one additional Trend Log Object for every terminal unit plus 4 additional Trend Log Objects for every non-terminal unit in one or more devices on the Building Control Network Backbone IP network that support the T-VMT-E-B BIBB for later use by the site.

(3) A combination of these two methods is permitted provided the total

required number of Trend Log Objects is met.

3.1.3.5 Overrides

Provide an override for each point shown on the Points Schedule as requiring an override.

Unless otherwise approved, provide Commandable Objects to support all Overrides . With specific approval from the Contracting Officer, Overrides for points which are not hardware outputs and which are in DDC hardware controlling a single terminal unit may support overrides via an additional Object provided for the override. No other means of implementing Overrides may be used.

a. Where Commandable Objects are used, ensure that WriteProperty service requests with a Priority of 10 or less take precedence over the SEQUENCE VALUE and that WriteProperty service request with a priority of 11 or more have a lower precedence than the SEQUENCE VALUE.

b. For devices implementing overrides via additional Objects, provide Objects which are NOT Written to as part of the normal Sequence of Operations and are Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable. Use this point as an Override of the normal value when Out_Of_Service is TRUE and the normal value otherwise. Note these Objects may be modified as part of the sequence via local processes, but must not be modified by local processes when Out_Of_Service is TRUE.

3.1.4 BACnet Gateways

The requirements in this paragraph do not themselves permit the installation of hardware not meeting the other requirements of this section. Except for proprietary systems specifically indicated in Section 23 09 00, all control hardware installed under this project must meet the requirements of this specification, including the control hardware providing the network interface for a package unit or split system specified under another section. Only use gateways to connect to pre-existing control devices, and to proprietary systems specifically permitted by Section 23 09 00.

3.1.4.1 General Gateway Requirements

Provide BACnet Gateways to connect non-BACnet control hardware in accordance with the following:

a. Configure gateways to map writable data points in the controlled equipment to Writable Properties of Standard Objects as indicated in the Points Schedule and as specified.

b. Configure gateway to map readable data points in the controlled equipment to Readable Properties of Standard Objects as indicated in the Points Schedule and as specified.

c. Configure gateway to support the DS-COV-B BIBB for all points mapped to BACnet Objects.

d. Do not use non-BACnet control hardware for controlling built-up units or any other equipment that was not furnished with factory-installed controls.

e. Do not use non-BACnet control hardware for system scheduling functions.

f. Each gateway must communicate with and perform protocol translation for non-BACnet control hardware controlling one and only one package unit or a single non-BACnet system specifically permitted by Section 23 09 00.

g. Connect one network port on the gateway to the Building Control Backbone IP Network or to a BACnet MS/TP network and the other port to the single piece of controlled equipment or the non-BACnet system specifically permitted by Section 23 09 00..

h. For gateways to existing package units or simple split systems, non-BACnet network wiring connecting the gateway to the package unit must not exceed 10 feet in length and must connect to exactly two devices: the controlled equipment (packaged unit) or split system interface and the gateway.

-- End of Section --

SECTION 23 30 00

HVAC AIR DISTRIBUTION
05/20, CHG 1: 02/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

AMCA 201	(2002; R 2011) Fans and Systems
AMCA 210	(2016) Laboratory Methods of Testing Fans for Aerodynamic Performance Rating
AMCA 300	(2014) Reverberant Room Method for Sound Testing of Fans
AMCA 301	(2014) Methods for Calculating Fan Sound Ratings from Laboratory Test Data

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI Guideline D	(1996) Application and Installation of Central Station Air-Handling Units

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)

ABMA 9	(2015) Load Ratings and Fatigue Life for Ball Bearings
ABMA 11	(2014) Load Ratings and Fatigue Life for Roller Bearings

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.2	(2017) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size
ASHRAE 62.1	(2022) Ventilation for Acceptable Indoor Air Quality
ASHRAE 70	(2023) Method of Testing the Performance of Air Outlets and Inlets

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A13.1	(2023) Scheme for the Identification of Piping Systems

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A924/A924M	(2022a) Standard Specification for General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B152/B152M	(2019) Standard Specification for Copper Sheet, Strip, Plate, and Rolled Bar
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B766	(2023) Standard Specification for Electrodeposited Coatings of Cadmium
ASTM C553	(2013; R 2019) Standard Specification for Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications
ASTM C916	(2020) Standard Specification for Adhesives for Duct Thermal Insulation
ASTM D520	(2000; R 2011) Zinc Dust Pigment
ASTM D1654	(2008; R 2016; E 2017) Standard Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments
ASTM D3359	(2017) Standard Test Methods for Rating Adhesion by Tape Test
ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E2016	(2022) Standard Specification for Industrial Woven Wire Cloth

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile

	Organic Chemical Emissions from Indoor Sources using Environmental Chambers

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1	(2021) Motors and Generators
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems
NFPA 701	(2023; ERTA 1 2023) Standard Methods of Fire Tests for Flame Propagation of Textiles and Films

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1819	(2002) Fire, Smoke and Radiation Damper Installation Guide for HVAC Systems, 5th Edition
SMACNA 1966	(2020) HVAC Duct Construction Standards Metal and Flexible, 4th Edition
SMACNA 1981	(2008) Seismic Restraint Manual Guidelines for Mechanical Systems, 3rd Edition

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications

U.S. DEPARTMENT OF ENERGY FEDERAL ENERGY MANAGEMENT PROGRAM (FEMP)

PL-109-58	(1992; R 2005) Energy Efiicient Procument Requirements

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 82	Protection of Stratospheric Ozone

UNDERWRITERS LABORATORIES (UL)

UL 6	(2022) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 181	(2013; Reprint Dec 2021) UL Standard for Safety Factory-Made Air Ducts and Air Connectors

UL 555	(2006; Reprint Aug 2016) UL Standard for Safety Fire Dampers
UL 555S	(2014; Reprint Oct 2020) UL Standard for Safety Smoke Dampers
UL 586	(2009; Reprint Sep 2022) UL Standard for Safety High-Efficiency Particulate, Air Filter Units
UL 705	(2017; Reprint Aug 2022) UL Standard for Safety Power Ventilators
UL 723	(2020) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials
UL 900	(2015; Reprint Aug 2022) UL Standard for SafetyStandard for Air Filter Units
UL Bld Mat Dir	(updated continuously online) Building Materials Directory
UL Electrical Construction	(2012) Electrical Construction Equipment Directory
UL Fire Resistance	(2014) Fire Resistance Directory

1.2 SYSTEM DESCRIPTION

Furnish ductwork, piping offsets, fittings, and accessories as required to provide a complete installation. Coordinate the work of the different trades to avoid interference between piping, equipment, structural, and electrical work. Provide complete, in place, all necessary offsets in piping and ductwork, and all fittings, and other components, required to install the work as indicated and specified.

1.2.1 Mechanical Equipment Identification

The number of charts and diagrams must be equal to or greater than the number of mechanical equipment rooms. Where more than one chart or diagram per space is required, mount these in edge pivoted, swinging leaf, extruded aluminum frame holders which open to 170 degrees.

1.2.1.1 Charts

Provide chart listing of equipment by designation numbers and capacities such as flow rates, pressure and temperature differences, heating and cooling capacities, horsepower, pipe sizes, and voltage and current characteristics.

1.2.1.2 Diagrams

Submit proposed diagrams, at least 2 weeks prior to start of related testing. provide neat mechanical drawings provided with extruded aluminum frame under 1/8-inch glass or laminated plastic, system diagrams that show the layout of equipment, piping, and ductwork, and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the system for normal, safe operation, and procedures for

safely starting and stopping the system. After approval, post these items where directed.

1.2.2 Service Labeling

Label equipment, including fans, air handlers, terminal units, etc. with labels made of self-sticking, plastic film designed for permanent installation. Provide labels in accordance with the typical examples below:

SERVICE	LABEL AND TAG DESIGNATION
Air handling unit Number	AHU -
Control and instrument air	CONTROL AND INSTR.
Exhaust Fan Number	EF -
VAV Box Number	VAV -
Fan Coil Unit Number	FC -
Terminal Box Number	TB -
Unit Ventilator Number	UV -

Identify similar services with different temperatures or pressures. Where pressures could exceed 125 pounds per square inch, gage, include the maximum system pressure in the label. Label and arrow piping in accordance with the following:

a. Each point of entry and exit of pipe passing through walls.

b. Each change in direction, i.e., elbows, tees.

c. In congested or hidden areas and at all access panels at each point required to clarify service or indicated hazard.

d. In long straight runs, locate labels at distances within eyesight of each other not to exceed 75 feet. All labels must be visible and legible from the primary service and operating area.

For Bare or Insulated Pipes	
for Outside Diameters of	Lettering
1/2 thru 1-3/8 inch	1/2 inch
1-1/2 thru 2-3/8 inch	3/4 inch
2-1/2 inch and larger	1-1/4 inch

1.2.3 Color Coding

Color coding of all piping systems must be in accordance with ASME A13.1 .

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Detail Drawings; G

SD-03 Product Data

Metallic Flexible Duct

Insulated Nonmetallic Flexible Duct Runouts

Duct Connectors

Duct Access Doors; G

Fire Dampers

Manual Balancing Dampers; G

Automatic Smoke-Fire Dampers

Automatic Smoke Dampers

Diffusers

Registers and Grilles

Louvers

Centrifugal Fans

Ceiling Exhaust Fans

PL-109-58 label for ceiling exhaust fan product; S

Test Procedures

Diagrams; G

Indoor Air Quality for Duct Sealants; S

SD-06 Test Reports

Performance Tests; G

Damper Acceptance Test; G

SD-07 Certificates

Ozone Depleting Substances Technician Certification

SD-08 Manufacturer's Instructions

Manufacturer's Installation Instructions

Operation and Maintenance Training

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

Fire Dampers; G

Manual Balancing Dampers; G

Automatic Smoke-Fire Dampers; G

Automatic Smoke Dampers; G

Centrifugal Fans; G

Ceiling Exhaust Fans; G

SD-11 Closeout Submittals

Indoor Air Quality During Construction; S

1.4 QUALITY ASSURANCE

Except as otherwise specified, approval of materials and equipment is based on manufacturer's published data.

a. Where materials and equipment are specified to conform to the standards of the Underwriters Laboratories, the label of or listing with reexamination in UL Bld Mat Dir, and UL 6 is acceptable as sufficient evidence that the items conform to Underwriters Laboratories requirements. In lieu of such label or listing, submit a written certificate from any nationally recognized testing agency, adequately equipped and competent to perform such services, stating that the items have been tested and that the units conform to the specified requirements. Outline methods of testing used by the specified agencies.

b. Where materials or equipment are specified to be constructed or tested, or both, in accordance with the standards of the ASTM International (ASTM), the ASME International (ASME), or other standards, a manufacturer's certificate of compliance of each item is acceptable as proof of compliance.

c. Conformance to such agency requirements does not relieve the item from compliance with other requirements of these specifications.

d. Where products are specified to meet or exceed the specified energy efficiency requirement of FEMP-designated or ENERGY STAR covered product categories, equipment selected must have as a minimum the efficiency rating identified under "Energy-Efficient Products" at http://femp.energy.gov/procurement.

1.4.1 Prevention of Corrosion

Protect metallic materials against corrosion. Provide rust-inhibiting treatment and standard finish for the equipment enclosures. Do not use aluminum in contact with earth, and where connected to dissimilar metal. Protect aluminum by approved fittings, barrier material, or treatment. Provide hot-dip galvanized ferrous parts such as anchors, bolts, braces, boxes, bodies, clamps, fittings, guards, nuts, pins, rods, shims, thimbles, washers, and miscellaneous parts not of corrosion-resistant steel or nonferrous materials in accordance with ASTM A123/A123M for exterior locations and cadmium-plated in conformance with ASTM B766 for interior locations.

1.4.2 Asbestos Prohibition

Do not use asbestos and asbestos-containing products.

1.4.3 Ozone Depleting Substances Technician Certification

All technicians working on equipment that contain ozone depleting refrigerants must be certified as a Section 608 Technician to meet requirements in 40 CFR 82, Subpart F. Provide copies of technician certifications to the Contracting Officer at least 14 calendar days prior to work on any equipment containing these refrigerants.

1.4.4 Detail Drawings

Submit detail drawings showing equipment layout, including assembly and installation details and electrical connection diagrams; ductwork layout showing the location of all supports and hangers, typical hanger details, gauge reinforcement, reinforcement spacing rigidity classification, and static pressure and seal classifications. Include any information required to demonstrate that the system has been coordinated and functions properly as a unit on the drawings and show equipment relationship to other parts of the work, including clearances required for operation and maintenance. Submit drawings showing bolt-setting information, and foundation bolts prior to concrete foundation construction for all equipment indicated or required to have concrete foundations. Submit function designation of the equipment and any other requirements specified throughout this Section with the shop drawings.

1.4.5 Test Procedures

Conduct performance tests as required in Section 23 05 93 Testing, Adjusting and Balancing for HVAC and Section 23 09 00 Instrumentation and Control for HVAC.

1.5 DELIVERY, STORAGE, AND HANDLING

Protect stored equipment at the jobsite from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Additionally, cap or plug all pipes until installed.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Except for the fabricated duct, plenums and casings specified in paragraphs "Metal Ductwork" and "Plenums and Casings for Field-Fabricated

Units",
provide components and equipment that are standard products of manufacturers regularly engaged in the manufacturing of products that are of a similar material, design and workmanship. This requirement applies to all equipment, including diffusers, registers, fire dampers, and balancing dampers.

a. Standard products are defined as components and equipment that have been in satisfactory commercial or industrial use in similar applications of similar size for at least two years before bid opening.

b. Prior to this two year period, these standard products must have been sold on the commercial market using advertisements in manufacturers' catalogs or brochures. These manufacturers' catalogs, or brochures must have been copyrighted documents or have been identified with a manufacturer's document number.

c. Provide equipment items that are supported by a service organization. In product categories covered by ENERGY STAR or the Federal Energy Management Program, provide equipment that is listed on the ENERGY STAR Qualified Products List or that meets or exceeds the FEMP-designated Efficiency Requirements.

2.2 IDENTIFICATION PLATES

In addition to standard manufacturer's identification plates, provide engraved laminated phenolic identification plates for each piece of mechanical equipment. Identification plates are to designate the function of the equipment. Submit designation with the shop drawings. Provide identification plates that are layers, black-white-black, engraved to show white letters on black background. Letters must be upper case. Identification plates that are 1-1/2-inches high and smaller must be 1/16-inch thick, with engraved lettering 1/8-inch high; identification plates larger than 1-1/2-inches high must be 1/8-inch thick, with engraved lettering of suitable height. Identification plates 1-1/2-inches high and larger must have beveled edges. Install identification plates using a compatible adhesive.

2.3 EQUIPMENT GUARDS AND ACCESS

Fully enclose or guard belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact according to OSHA requirements. Properly guard or cover with insulation of a type specified, high temperature equipment and piping exposed to contact by personnel or where it creates a potential fire hazard. The requirements for catwalks, operating platforms, ladders, and guardrails are as specified.

2.4 ELECTRICAL WORK

a. Provide motors, controllers, integral disconnects, contactors, and controls with their respective pieces of equipment, except controllers indicated as part of motor control centers. Provide electrical equipment, including motors and wiring, as specified in Section 26 20 00INTERIOR DISTRIBUTION SYSTEM. Provide manual or automatic control and protective or signal devices required for the operation specified and control wiring required for controls and devices specified, but not shown. For packaged equipment, include manufacturer provided controllers with the required monitors and timed

restart.

b. For single-phase motors, provide high-efficiency type, fractional-horsepower alternating-current motors, including motors that are part of a system, in accordance with NEMA MG 11. Provide premium efficiency type integral size motors in accordance with NEMA MG 1.

c. For polyphase motors, provide squirrel-cage medium induction motors, including motors that are part of a system , and that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 1. Select premium efficiency polyphase motors in accordance with NEMA MG 10.

d. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor. Provide motors rated for continuous duty with the enclosure specified. Provide motor duty that allows for maximum frequency start-stop operation and minimum encountered interval between start and stop. Provide motor torque capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Provide motor starters complete with thermal overload protection and other necessary appurtenances. Fit motor bearings with grease supply fittings and grease relief to outside of the enclosure.

e. Where two-speed or variable-speed motors are indicated, solid-state variable-speed controllers are allowed to accomplish the same function. Use solid-state variable-speed controllers for motors rated 10 hp or less and adjustable frequency drives for larger motors.

2.5 ANCHOR BOLTS

Provide anchor bolts for equipment placed on concrete equipment pads or on concrete slabs. Bolts to be of the size and number recommended by the equipment manufacturer and located by means of suitable templates. Installation of anchor bolts must not degrade the surrounding concrete.

2.6 SEISMIC ANCHORAGE

Anchor equipment in accordance with applicable seismic criteria for the area and as defined in SMACNA 1981

2.7 PAINTING

Paint equipment units in accordance with approved equipment manufacturer's standards unless specified otherwise. Field retouch only if approved. Otherwise, return equipment to the factory for refinishing.

2.8 INDOOR AIR QUALITY

Provide equipment and components that comply with the requirements of ASHRAE 62.1 unless more stringent requirements are specified herein.

2.9 DUCT SYSTEMS

2.9.1 Metal Ductwork

Provide metal ductwork construction, including all fittings and

components, that complies with SMACNA 1966, as supplemented and modified by this specification .

a. Construct ductwork meeting the requirements for the duct system static pressure specified in APPENDIX D of Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC.

b. Provide radius type elbows with a centerline radius of 1.5 times the width or diameter of the duct where space permits. Otherwise, elbows having a minimum radius equal to the width or diameter of the duct or square elbows with factory fabricated turning vanes are allowed.

c. Provide ductwork that meets the requirements of Seal Class A. Provide ductwork in VAV systems upstream of the VAV boxes that meets the requirements of Seal Class A.

d. Provide sealants that conform to fire hazard classification specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS and are suitable for the range of air distribution and ambient temperatures to which it is exposed. Do not use pressure sensitive tape as a sealant. Provide duct sealant products that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants are classified as "Other" within the SCAQMD Rule 1168 sealants table). Provide validation of indoor air quality for duct sealants.

e. Make spiral lock seam duct, and flat oval with duct sealant and lock with not less than 3 equally spaced drive screws or other approved methods indicated in SMACNA 1966. Apply the sealant to the exposed male part of the fitting collar so that the sealer is on the inside of the joint and fully protected by the metal of the duct fitting. Apply one brush coat of the sealant over the outside of the joint to at least 2 inch band width covering all screw heads and joint gap. Dents in the male portion of the slip fitting collar are not acceptable.

f. Fabricate outdoor air intake ducts and plenums with watertight soldered or brazed joints and seams.

2.9.1.1 Metallic Flexible Duct

a. Provide duct that conforms to UL 181 and NFPA 90A with factory-applied insulation, vapor barrier, and end connections. Provide duct assembly that does not exceed 25 for flame spread and 50 for smoke developed. Provide ducts designed for working pressures of 2 inches water gauge positive and 1.5 inches water gauge negative. Provide flexible round duct length that does not exceed 5 feet. Secure connections by applying adhesive for 2 inches over rigid duct, apply flexible duct 2 inches over rigid duct, apply metal clamp, and provide minimum of three No. 8 sheet metal screws through clamp and rigid duct.

b. Inner duct core: Provide interlocking spiral or helically corrugated flexible core constructed of zinc-coated steel, aluminum, or stainless steel; or constructed of inner liner of continuous galvanized spring steel wire helix fused to continuous, fire-retardant, flexible vapor barrier film, inner duct core.

c. Insulation: Provide inner duct core that is insulated with mineral fiber blanket type flexible insulation, minimum of 1 inch thick.

Provide insulation covered on exterior with manufacturer's standard fire retardant vapor barrier jacket for flexible round duct.

2.9.1.2 Insulated Nonmetallic Flexible Duct Runouts

Use flexible duct runouts only where indicated. Runout length is indicated on the drawings, and is not to exceed 5 feet. Provide runouts that are preinsulated, factory fabricated, and that comply with NFPA 90A and UL 181. Provide either field or factory applied vapor barrier. Provide not less than 20 ounce glass fabric duct connectors coated on both sides with neoprene. Where coil induction or high velocity units are supplied with vertical air inlets, use a streamlined, vaned and mitered elbow transition piece for connection to the flexible duct or hose. Provide a die-stamped elbow and not a flexible connector as the last elbow to these units other than the vertical air inlet type. Insulated flexible connectors are allowed as runouts. Provide insulated material and vapor barrier that conform to the requirements of Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Do not expose the insulation material surface to the air stream.

2.9.1.3 General Service Duct Connectors

Provide a flexible duct connector approximately 6 inches in width where sheet metal connections are made to fans or where ducts of dissimilar metals are connected. For round/oval ducts, secure the flexible material by stainless steel or zinc-coated, iron clinch-type draw bands. For rectangular ducts, install the flexible material locked to metal collars using normal duct construction methods. Provide a composite connector system that complies with NFPA 701 and is classified as "flame-retardent fabrics" in UL Bld Mat Dir.

2.9.1.4 High Temperature Service Duct Connections

Provide material that is approximately 3/32 inch thick, 35 to 40-ounce per square yard weight, plain weave fibrous glass cloth with, nickel/chrome wire reinforcement for service in excess of 1200 degrees F.

2.9.1.5 Aluminum Ducts

ASTM B209, alloy 3003-H14 for aluminum sheet and alloy 6061-T6 or equivalent strength for aluminum connectors and bar stock.

2.9.1.6 Copper Sheets

ASTM B152/B152M, light cold rolled temper.

2.9.1.7 Corrosion Resisting (Stainless) Steel Sheets

ASTM A167

2.9.2 Duct Access Doors

Provide hinged access doors conforming to SMACNA 1966 in ductwork and plenums where indicated and at all air flow measuring primaries, automatic dampers, fire dampers, coils, thermostats, and other apparatus requiring service and inspection in the duct system. Provide access doors upstream and downstream of air flow measuring primaries and heating and cooling coils. Provide doors that are a minimum 15 by 18 inches, unless otherwise shown. Where duct size does not accommodate this size door, make the

doors as large as practicable. Equip doors 24 by 24 inches or larger with fasteners operable from inside and outside the duct. Use insulated type doors in insulated ducts.

2.9.3 Fire Dampers

Use 1.5 hour rated fire dampers unless otherwise indicated. Provide fire dampers that conform to the requirements of NFPA 90A and UL 555. Perform the fire damper test as outlined in NFPA 90A. Provide a pressure relief door upstream of the fire damper. If the ductwork connected to the fire damper is to be insulated then provide a factory installed pressure relief damper. Provide automatic operating fire dampers with a dynamic rating suitable for the maximum air velocity and pressure differential to which it is subjected. Provide fire dampers approved for the specific application, and install according to their listing. Equip fire dampers with a steel sleeve or adequately sized frame installed in such a manner that disruption of the attached ductwork, if any, does not impair the operation of the damper. Equip sleeves or frames with perimeter mounting angles attached on both sides of the wall or floor opening. Construct ductwork in fire-rated floor-ceiling or roof-ceiling assembly systems with air ducts that pierce the ceiling of the assemblies in conformance with UL Fire Resistance. Provide curtain type with damper blades fire dampers. Install dampers that do not reduce the duct or the air transfer opening cross-sectional area. Install dampers so that the centerline of the damper depth or thickness is located in the centerline of the wall, partition or floor slab depth or thickness. Unless otherwise indicated, comply with the installation details given in SMACNA 1819 and in manufacturer's instructions for fire dampers. Perform acceptance testing of fire dampers according to paragraph DAMPER ACCEPTANCE TEST and NFPA 90A.

2.9.4 Manual Balancing Dampers

a. Furnish manual balancing dampers with accessible operating mechanisms. Use chromium plated operators (with all exposed edges rounded) in finished portions of the building. Provide manual volume control dampers that are operated by locking-type quadrant operators.

b. Unless otherwise indicated, provide opposed blade type multileaf dampers with maximum blade width of 12 inches. Provide access doors or panels for all concealed damper operators and locking setscrews. Provide access doors or panels in hard ceilings, partitions and walls for access to all concealed damper operators and damper locking setscrews. Coordinate location of doors or panels with other affected contractors.

c. Provide stand-off mounting brackets, bases, or adapters not less than the thickness of the insulation when the locking-type quadrant operators for dampers are installed on ducts to be thermally insulated, to provide clearance between the duct surface and the operator. Provide stand-off mounting items that are integral with the operator or standard accessory of the damper manufacturer.

2.9.4.1 Square or Rectangular Dampers

2.9.4.1.1 Duct Height 12 inches and Less

2.9.4.1.1.1 Frames

Width	Height	Galvanized Steel Thickness	Length
Maximum 19 inches	Maximum 12 inches	Minimum 20 gauge	Minimum 3 inches
More than 19 inches	Maximum 12 inches	Minimum 16 gauge	Minimum 3 inches

2.9.4.1.1.2 Single Leaf Blades

Width	Height	Galvanized Steel Thickness	Length
Maximum 19 inches	Maximum 12 inches	Minimum 20 gauge	Minimum 3 inches
More than 19 inches	Maximum 12 inches	Minimum 16 gauge	Minimum 3 inches

2.9.4.1.1.3 Blade Axles

To support the blades of round dampers, provide galvanized steel shafts supporting the blade the entire duct diameter frame-to-frame. Provide axle shafts that extend through standoff bracket and hand quadrant.

Width	Height	Material	Square Shaft
Maximum 19 inches	Maximum 12 inches	Galvanized Steel	Minimum 3/8 inch
More than 19 inches	Maximum 12 inches	Galvanized Steel	Minimum 1/2 inch

2.9.4.1.1.4 Axle Bearings

Support the shaft on each end at the frames with shaft bearings. Press fit shaft bearings configuration to provide a tight joint between blade shaft and damper frame.

Width	Height	Material
Maximum 19 inches	Maximum 12 inches	solid nylon, or equivalent solid plastic, or oil-impregnated bronze

Width	Height	Material
More than 19 inches	Maximum 12 inches	oil-impregnated bronze

2.9.4.1.1.5 Control Shaft/Hand Quadrant

Provide dampers with accessible locking-type control shaft/hand quadrant operators.

Provide stand-off mounting brackets, bases, or adapters for the locking-type quadrant operators on dampers installed on ducts to be thermally insulated. Provide a minimum stand-off distance of 2 inches off the metal duct surface. Provide stand-off mounting items that are integral with the operator or standard accessory of the damper manufacturer.

2.9.4.1.1.6 Finish

Mill Galvanized

2.9.4.1.2 Duct Height Greater than 12 inches

2.9.4.1.2.1 Dampers

Provide dampers with multi-leaf opposed-type blades.

2.9.4.1.2.2 Frames

Maximum 48 inches in height; maximum 48 inches in width; minimum of 16 gauge galvanized steel,
minimum of 5 inches long.

2.9.4.1.2.3 Blades

Minimum of 16 gauge galvanized steel; 6 inch nominal width.

2.9.4.1.2.4 Blade Axles

To support the blades of round dampers, provide galvanized square steel shafts supporting the blade the entire duct diameter frame-to-frame. Provide axle shafts that extend through standoff bracket and hand quadrant.

2.9.4.1.2.5 Axle Bearings

Support the shaft on each end at the frames with shaft bearings constructed of oil-impregnated bronze, or solid nylon, or a solid plastic equivalent to nylon. Press fit shaft bearings configuration to provide a tight joint between blade shaft and damper frame.

2.9.4.1.2.6 Blade Actuator

Minimum 1/2 inch diameter galvanized steel.

2.9.4.1.2.7 Blade Actuator Linkage

Mill Galvanized steel bar and crank plate with stainless steel pivots.

2.9.4.1.2.8 Control Shaft/Hand Quadrant

Provide dampers with accessible locking-type control shaft/hand quadrant operators.

Provide stand-off mounting brackets, bases, or adapters for the locking-type quadrant operators on dampers installed on ducts to be thermally insulated. Provide a minimum stand-off distance of 2 inches off the metal duct surface. Provide stand-off mounting items that are integral with the operator or standard accessory of the damper manufacturer.

2.9.4.1.2.9 Finish

Mill Galvanized

2.9.4.2 Round Dampers

2.9.4.2.1 Frames

Size	Galvanized Steel Thickness	Length
4 to 20 inches	Minimum 20 gauge	Minimum 6 inches
22 to 30 inches	Minimum 20 gauge	Minimum 6 inches
32 to 40 inches	Minimum 16 gauge	Minimum 6 inches

2.9.4.2.2 Blades

Size	Galvanized Steel Thickness
4 to 20 inches	Minimum 20 gauge
22 to 30 inches	Minimum 16 gauge
32 to 40 inches	Minimum 10 gauge

2.9.4.2.3 Blade Axles

To support the blades of round dampers, provide galvanized steel shafts supporting the blade the entire duct diameter frame-to-frame. Provide axle shafts that extend through standoff bracket and hand quadrant.

Size	Shaft Size and Shape
4 to 20 inches	Minimum 3/8 inch square

Size	Shaft Size and Shape
22 to 30 inches	Minimum 1/2 inch square
32 to 40 inches	Minimum 3/4 inch square

2.9.4.2.4 Axle Bearings

Support the shaft on each end at the frames with shaft bearings constructed of oil-impregnated bronze, nylon, or a solid plastic equivalent to nylon. Axle bearings intended for low leakage at the damper frame must be neoprene, nitrile, or equivalent of 60 or greater durometer to reduce damper blade vibration. Press fit shaft bearings configuration to provide a tight joint between blade shaft and damper frame.

Size	Material
4 to 20 inches	solid nylon, or equivalent solid plastic, or oil-impregnated bronze
22 to 30 inches	solid nylon, or equivalent solid plastic, or oil-impregnated bronze
32 to 40 inches	oil-impregnated bronze, or stainless steel sleeve bearing

2.9.4.2.5 Control Shaft/Hand Quadrant

Provide dampers with accessible locking-type control shaft/hand quadrant operators.

Provide stand-off mounting brackets, bases, or adapters for the locking-type quadrant operators on dampers installed on ducts to be thermally insulated. Provide a minimum stand-off distance of 2 inches off the metal duct surface. Provide stand-off mounting items that are integral with the operator or standard accessory of the damper manufacturer.

2.9.4.2.6 Finish

Mill Galvanized

2.9.5 Automatic Balancing Dampers

Provide dampers as specified in paragraph SUPPLEMENTAL COMPONENTS/SERVICES, subparagraph CONTROLS.

2.9.6 Automatic Smoke-Fire Dampers

Multiple blade type, 180 degrees F fusible fire damper link; smoke damper assembly to include electric damper operator. UL 555 as a 1.5 hour rated fire damper; further qualified under UL 555S as a leakage rated damper. Provide a leakage rating under UL 555S that is no higher than Class III at an elevated temperature Category B (250 degrees F for 30 minutes). Ensure that pressure drop in the damper open position does not exceed 0.1 inch water gauge with average duct velocities of 2500 fpm.

2.9.7 Automatic Smoke Dampers

UL listed multiple blade type, supplied by smoke damper manufacturer, with electric damper operator as part of assembly. Qualified under UL 555S with a leakage rating no higher than Class II at an elevated temperature Category B (250 degrees F for 30 minutes). Ensure that pressure drop in the damper open position does not exceed 0.1 inch water gauge with average duct velocities of 2500 fpm.

2.9.8 Plenums and Casings for Field-Fabricated Units

2.9.8.1 Plenum and Casings

Fabricate and erect plenums and casings as shown in SMACNA 1966, as applicable. Construct system casing of not less than 16 gauge galvanized sheet steel. Furnish cooling coil drain pans with 1 inch threaded outlet to collect condensation from the cooling coils. Fabricate drain pans from not lighter than 16 gauge steel, galvanized after fabrication or of 18 gauge corrosion-resisting sheet steel conforming to ASTM A167, Type 304, welded and stiffened. Thermally insulate drain pans exposed to the atmosphere to prevent condensation. Coat insulation with a flame resistant waterproofing material. Provide separate drain pans for each vertical coil section, and a separate drain line for each pan. Size pans to ensure capture of entrained moisture on the downstream-air side of the coil. Seal openings in the casing, such as for piping connections, to prevent air leakage. Size the water seal for the drain to maintain a pressure of at least 2 inch water gauge greater than the maximum negative pressure in the coil space.

2.9.8.2 Casing

Terminate casings at the curb line and bolt each to the curb using galvanized angle, as indicated in SMACNA 1966.

2.9.8.3 Access Doors

Provide access doors in each section of the casing. Weld doorframes in place, gasket each door with neoprene, hinge with minimum of two brass hinges, and fasten with a minimum of two brass tension fasteners operable from inside and outside of the casing. Where possible, make doors 36 by 18 inches and locate them 18 inches above the floor. Where the space available does not accommodate doors of this size, use doors as large as the space accommodates. Swing doors so that fan suction or pressure holds doors in closed position, airtight. Provide a push-button station, located inside the casing, to stop the supply.

2.9.8.4 Factory-Fabricated Insulated Sheet Metal Panels

Factory-fabricated components are allowed for field-assembled units, provided all requirements specified for field-fabricated plenums and casings are met. Provide panels of modular design, pretested for structural strength, thermal control, condensation control, and acoustical control. Seal and insulate panel joints. Provide and gasket access doors to prevent air leakage. Provide panel construction that is not less than 20 gauge galvanized sheet steel, assembled with fasteners treated against corrosion. Provide standard length panels that deflect not more than 1/2 inch under operation. Construct details, including joint sealing, not specifically covered, as indicated in SMACNA 1966. Construct the plenums

and casings to withstand the specified internal pressure of the air systems.

2.9.9 Diffusers, Registers, and Grilles

Provide factory-fabricated units of aluminum that distribute the specified quantity of air evenly over space intended without causing noticeable drafts, air movement faster than 50 fpm in occupied zone, or dead spots anywhere in the conditioned area. Provide outlets for diffusion, spread, throw, and noise level as required for specified performance. Certify performance according to ASHRAE 70. Provide sound rated and certified inlets and outlets according to ASHRAE 70. Provide sound power level as indicated. Provide diffusers and registers with volume damper with accessible operator, unless otherwise indicated; or if standard with the manufacturer, an automatically controlled device is acceptable. Provide opposed blade type volume dampers for all diffusers and registers, except linear slot diffusers. Provide linear slot diffusers with round or elliptical balancing dampers. Where the inlet and outlet openings are located less than 7 feet above the floor, protect them by a grille or screen according to NFPA 90A.

2.9.9.1 Diffusers

Provide diffuser types indicated. Furnish ceiling mounted units with anti-smudge devices, unless the diffuser unit minimizes ceiling smudging through design features. Provide diffusers with air deflectors of the type indicated. Provide air handling troffers or combination light and ceiling diffusers conforming to the requirements of UL Electrical Construction for the interchangeable use as cooled or heated air supply diffusers or return air units. Install ceiling mounted units with rims tight against ceiling. Provide sponge rubber gaskets between ceiling and surface mounted diffusers for air leakage control. Provide suitable trim for flush mounted diffusers. For connecting the duct to diffuser, provide duct collar that is airtight and does not interfere with volume controller. Provide return or exhaust units that are similar to supply diffusers.

2.9.9.2 Perforated Plate Diffusers

Provide adjustable four-way air pattern controls as indicated. Provide diffuser faceplates that do not sag or deflect when operating under design conditions.

2.9.9.3 Linear Diffusers

Make joints between diffuser sections that appear as hairline cracks. Provide alignment slots for insertion of key strips or other concealed means to align exposed butt edges of diffusers. Do not use screws and bolts in exposed face of frames or flanges. Metal-fill and ground smooth frames and flanges exposed below ceiling. Furnish separate pivoted or hinged adjustable air-volume-damper and separate air-deflection blades.

2.9.9.4 Registers and Grilles

Provide units that are four-way directional-control type, except provide return and exhaust registers that are fixed horizontal or vertical louver type similar in appearance to the supply register face. Furnish registers with sponge-rubber gasket between flanges and wall or ceiling. Install wall supply registers at least 6 inches below the ceiling unless otherwise

indicated. Locate return and exhaust registers 6 inches above the floor unless otherwise indicated. Achieve four-way directional control by a grille face which can be rotated in 4 positions or by adjustment of horizontal and vertical vanes. Provide grilles as specified for registers, without volume control damper.

2.9.10 Louvers

Provide louvers for installation in exterior walls that are associated with the air supply and distribution system as specified.

2.9.11 Bird Screens and Frames

Provide bird screens that conform to ASTM E2016, No. 2 mesh, aluminum or stainless steel. Provide "medium-light" rated aluminum screens. Provide "light" rated stainless steel screens. Provide removable type frames fabricated from either stainless steel or extruded aluminum.

2.10 AIR SYSTEMS EQUIPMENT

2.10.1 Fans

Test and rate fans according to AMCA 210. Calculate system effect on air moving devices in accordance with AMCA 201 where installed ductwork differs from that indicated on drawings. Install air moving devices to minimize fan system effect. Where system effect is unavoidable, determine the most effective way to accommodate the inefficiencies caused by system effect on the installed air moving device. The sound power level of the fans must not exceed 85 dBA when tested according to AMCA 300 and rated in accordance with AMCA 301. Provide all fans with an AMCA seal. Connect fans to the motors either directly or indirectly with V-belt drive. Use V-belt drives designed for not less than 140 percent of the connected driving capacity. Provide variable pitch motor sheaves for 15 hp and below, and fixed pitch as defined by AHRI Guideline D (A fixed-pitch sheave is provided on both the fan shaft and the motor shaft. This is a non-adjustable speed drive.). Select variable pitch sheaves to drive the fan at a speed which can produce the specified capacity when set at the approximate midpoint of the sheave adjustment. When fixed pitch sheaves are furnished, provide a replaceable sheave when needed to achieve system air balance. Provide motors for V-belt drives with adjustable rails or bases. Provide removable metal guards for all exposed V-belt drives, and provide speed-test openings at the center of all rotating shafts. Provide fans with personnel screens or guards on both suction and supply ends, except that the screens need not be provided, unless otherwise indicated, where ducts are connected to the fan. Provide fan and motor assemblies with vibration-isolation supports or mountings as indicated. Use vibration-isolation units that are standard products with published loading ratings. Select each fan to produce the capacity required at the fan static pressure indicated. Provide sound power level as indicated. Obtain the sound power level values according to AMCA 300. Provide standard AMCA arrangement, rotation, and discharge as indicated. Provide power ventilators that conform to UL 705 and have a UL label.

2.10.1.1 Centrifugal Fans

Provide fully enclosed, single-width single-inlet, or double-width double-inlet centrifugal fans, with AMCA Pressure Class I, II, or III as required or indicated for the design system pressure. Provide impeller wheels that are rigidly constructed and accurately balanced both

statically and dynamically. Provide forward curved or backward-inclined airfoil design fan blades in wheel sizes up to 30 inches. Provide backward-inclined airfoil design fan blades for wheels over 30 inches in diameter. Provide fan wheels over 36 inches in diameter with overhung pulleys and a bearing on each side of the wheel. Provide fan wheels 36 inches or less in diameter that have one or more extra long bearings between the fan wheel and the drive. Provide sleeve type, self-aligning and self-oiling bearings with oil reservoirs, or precision self-aligning roller or ball-type with accessible grease fittings or permanently lubricated type. Connect grease fittings to tubing for serviceability from a single accessible point. Provide L50 rated bearing life at not less than 200,000 hours as defined by ABMA 9 and ABMA 11. Provide steel, accurately finished fan shafts, with key seats and keys for impeller hubs and fan pulleys. Provide fan outlets of ample proportions, designed for the attachment of angles and bolts for attaching flexible connections. Provide automatically operated inlet vanes on suction inlets. Provide automatically operated outlet dampers. Unless otherwise indicated, provide motors that do not exceed 1800 rpm and have totally enclosed enclosures.

2.10.1.2 Centrifugal Type Power Roof Ventilators

Provide direct driven centrifugal type exhaust fans with backward inclined, non-overloading wheel. Provide hinged or removable and weatherproof motor compartment housing, constructed of heavy gauge aluminum. Provide fans with birdscreen, disconnect switch, motorized dampers, and roof curb. Provide dripproof type motor enclosure.

2.10.1.3 Ceiling Exhaust Fans

Provide centrifugal type, direct driven suspended cabinet-type ceiling exhaust fans. Provide fans with acoustically insulated housing. Provide chatter-proof backdraft damper. Provide egg-crate design or louver design integral face grille. Mount fan motors on vibration isolators. Furnish unit with mounting flange for hanging unit from above. Provide U.L. listed fans. Provide PL-109-58 labeled ceiling exhaust fan product. Provide proof of PL-109-58 label for ceiling exhaust fan product.

2.10.2 Air Filters

List air filters according to requirements of UL 900, except list high efficiency particulate air filters of 99.97 percent efficiency by the DOP Test method under the Label Service to meet the requirements of UL 586.

2.10.2.1 Extended Surface Pleated Panel Filters

Provide 2 inch depth, sectional, disposable type filters of the size indicated with a MERV of 8 when tested according to ASHRAE 52.2. Provide initial resistance at 500 fpm that does not exceed 0.36 inches water gauge. Provide UL Class 2 filters, and nonwoven cotton and synthetic fiber mat media. Attach a wire support grid bonded to the media to a moisture resistant fiberboard frame. Bond all four edges of the filter media to the inside of the frame to prevent air bypass and increase rigidity.

2.10.2.2 Holding Frames

Fabricate frames from not lighter than 16 gauge sheet steel with rust-inhibitor coating. Equip each holding frame with suitable filter holding devices. Provide gasketed holding frame seats. Make all joints

airtight.

2.10.2.3 Filter Gauges

Provide dial type filter gauges, diaphragm actuated draft for all filter stations, including those filters which are furnished as integral parts of factory fabricated air handling units. Provide gauges that are at least 3-7/8 inches in diameter, with white dials with black figures, and graduations with a minimum range of 1 inch of water beyond the specified final resistance for the filter bank on which each gauge is applied. Provide each gauge with a screw operated zero adjustment and two static pressure tips with integral compression fittings, two molded plastic vent valves, two 5 foot minimum lengths of 1/4 inch diameter aluminum tubing, and all hardware and accessories for gauge mounting.

2.11 FACTORY PAINTING

Factory paint new equipment, which are not of galvanized construction. Paint with a corrosion resisting paint finish according to ASTM A123/A123M or ASTM A924/A924M. Clean, phosphatize and coat internal and external ferrous metal surfaces with a paint finish which has been tested according to ASTM B117, ASTM D1654, and ASTM D3359. Submit evidence of satisfactory paint performance for a minimum of 125 hours for units to be installed indoors and 500 hours for units to be installed outdoors. Provide rating of failure at the scribe mark that is not less than 6, average creepage not greater than 1/8 inch. Provide rating of the inscribed area that is not less than 10, no failure. On units constructed of galvanized steel that have been welded, provide a final shop docket of zinc-rich protective paint on exterior surfaces of welds or welds that have burned through from the interior according to ASTM D520 Type I.

Field paint factory painting that has been damaged prior to acceptance by the Contracting Officer in compliance with the requirements of paragraph FIELD PAINTING OF MECHANICAL EQUIPMENT.

2.12 SUPPLEMENTAL COMPONENTS/SERVICES

2.12.1 Condensate Drain Lines

Provide and install condensate drainage for each item of equipment that generates condensate in accordance with Section 22 00 00 PLUMBING, GENERAL PURPOSE except as modified herein.

2.12.2 Insulation

The requirements for shop and field applied insulation are specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.12.3 Controls

The requirements for controls are specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS and Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all

dimensions in the field, and advise the Contracting Officer of any discrepancy before performing the work.

3.2 INSTALLATION

a. Install materials and equipment in accordance with the requirements of the contract drawings and approved manufacturer's installation instructions. Accomplish installation by workers skilled in this type of work. Perform installation so that there is no degradation of the designed fire ratings of walls, partitions, ceilings, and floors.

b. No installation is permitted to block or otherwise impede access to any existing machine or system. Install all hinged doors to swing open a minimum of 120 degrees. Provide an area in front of all access doors that clears a minimum of 3 feet. In front of all access doors to electrical circuits, clear the area the minimum distance to energized circuits as specified in OSHA Standards, part 1910.333 (Electrical-Safety Related work practices)and an additional 3 feet.

c. Except as otherwise indicated, install emergency switches and alarms in conspicuous locations. Mount all indicators, to include gauges, meters, and alarms in order to be easily visible by people in the area.

3.2.1 Condensate Drain Lines

Provide water seals in the condensate drain from all units . Provide a depth of each seal of 2 inches plus the number of inches, measured in water gauge, of the total static pressure rating of the unit to which the drain is connected. Provide water seals that are constructed of 2 tees and an appropriate U-bend with the open end of each tee plugged. Provide pipe cap or plug cleanouts where indicated. Connect drains indicated to connect to the sanitary waste system using an indirect waste fitting. Insulate air conditioner drain lines as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

3.2.2 Equipment and Installation

Provide frames and supports for tanks, compressors, pumps, valves, air handling units, fans, coils, dampers, and other similar items requiring supports. Floor mount or ceiling hang air handling units as indicated. Anchor and fasten as detailed. Set floor-mounted equipment on not less than 6 inch concrete pads or curbs doweled in place unless otherwise indicated. Make concrete foundations heavy enough to minimize the intensity of the vibrations transmitted to the piping, duct work and the surrounding structure, as recommended in writing by the equipment manufacturer. In lieu of a concrete pad foundation, build a concrete pedestal block with isolators placed between the pedestal block and the floor. Make the concrete foundation or concrete pedestal block a mass not less than three times the weight of the components to be supported. Provide the lines connected to the pump mounted on pedestal blocks with flexible connectors. Submit foundation drawings as specified in paragraph DETAIL DRAWINGS. Provide concrete for foundations as specified.

3.2.3 Access Panels

Install access panels for concealed valves, vents, controls, dampers, and items requiring inspection or maintenance of sufficient size, and locate them so that the concealed items are easily serviced and maintained or completely removed and replaced. Provide access panels as specified.

3.2.4 Flexible Duct

Install pre-insulated flexible duct in accordance with the latest printed instructions of the manufacturer to ensure a vapor tight joint. Provide hangers, when required to suspend the duct, of the type recommended by the duct manufacturer and set at the intervals recommended.

3.2.5 Metal Ductwork

Install according to SMACNA 1966 unless otherwise indicated. Install duct supports for sheet metal ductwork according to SMACNA 1966, unless otherwise specified. Do not use friction beam clamps indicated in SMACNA 1966. Anchor risers on high velocity ducts in the center of the vertical run to allow ends of riser to move due to thermal expansion. Erect supports on the risers that allow free vertical movement of the duct. Attach supports only to structural framing members and concrete slabs. Do not anchor supports to metal decking unless a means is provided and approved for preventing the anchor from puncturing the metal decking. Where supports are required between structural framing members, provide suitable intermediate metal framing. Where C-clamps are used, provide retainer clips.

3.2.6 Acoustical Duct Lining

Apply lining in cut-to-size pieces attached to the interior of the duct with nonflammable fire resistant adhesive conforming to ASTM C916, Type I, NFPA 90A, UL 723, and ASTM E84. Provide top and bottom pieces that lap the side pieces and are secured with welded pins, adhered clips of metal, nylon, or high impact plastic, and speed washers or welding cup-head pins installed according to SMACNA 1966. Provide welded pins, cup-head pins, or adhered clips that do not distort the duct, burn through, nor mar the finish or the surface of the duct. Make pins and washers flush with the surfaces of the duct liner and seal all breaks and punctures of the duct liner coating with the nonflammable, fire resistant adhesive. Coat exposed edges of the liner at the duct ends and at other joints where the lining is subject to erosion with a heavy brush coat of the nonflammable, fire resistant adhesive, to prevent delamination of glass fibers. Apply duct liner to flat sheet metal prior to forming duct through the sheet metal brake. Additionally secure lining at the top and bottom surfaces of the duct by welded pins or adhered clips as specified for cut-to-size pieces. Other methods indicated in SMACNA 1966 to obtain proper installation of duct liners in sheet metal ducts, including adhesives and fasteners, are acceptable.

3.2.7 Dust Control

To prevent the accumulation of dust, debris and foreign material during construction, perform temporary dust control protection. Protect the distribution system (supply and return) with temporary seal-offs at all inlets and outlets at the end of each day's work. Keep temporary protection in place until system is ready for startup.

3.2.8 Insulation

Provide thickness and application of insulation materials for ductwork, piping, and equipment according to Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

3.2.9 Duct Test Holes

Provide holes with closures or threaded holes with plugs in ducts and plenums as indicated or where necessary for the use of pitot tube in balancing the air system. Plug insulated duct at the duct surface, patched over with insulation and then marked to indicate location of test hole if needed for future use.

3.3 CUTTING AND PATCHING

Install work in such a manner and at such time that a minimum of cutting and patching of the building structure is required. Make holes in exposed locations, in or through existing floors, by drilling and smooth by sanding. Use of a jackhammer is permitted only where specifically approved. Make holes through masonry walls to accommodate sleeves with an iron pipe masonry core saw.

3.4 CLEANING

Thoroughly clean surfaces of piping and equipment that have become covered with dirt, plaster, or other material during handling and construction before such surfaces are prepared for final finish painting or are enclosed within the building structure. Before final acceptance, clean mechanical equipment, including piping, ducting, and fixtures, and free from dirt, grease, and finger marks. When the work area is in an occupied space such as office, laboratory or warehouse protect all furniture and equipment from dirt and debris. Incorporate housekeeping for field construction work which leaves all furniture and equipment in the affected area free of construction generated dust and debris; and, all floor surfaces vacuum-swept clean.

3.5 PENETRATIONS

Provide sleeves and prepared openings for duct mains, branches, and other penetrating items, and install during the construction of the surface to be penetrated. Cut sleeves flush with each surface. Place sleeves for round duct 15 inches and smaller. Build framed, prepared openings for round duct larger than 15 inches and square, rectangular or oval ducts. Sleeves and framed openings are also required where grilles, registers, and diffusers are installed at the openings. Provide one inch clearance between penetrating and penetrated surfaces except at grilles, registers, and diffusers. Pack spaces between sleeve or opening and duct or duct insulation with mineral fiber conforming with ASTM C553, Type 1, Class B-2.

3.5.1 Sleeves

Fabricate sleeves, except as otherwise specified or indicated, from 20 gauge thick mill galvanized sheet metal. Where sleeves are installed in bearing walls or partitions, provide black steel pipe conforming with ASTM A53/A53M, Schedule 20.

3.5.2 Framed Prepared Openings

Fabricate framed prepared openings from 20 gauge galvanized steel, unless otherwise indicated.

3.5.3 Insulation

Provide duct insulation in accordance with Section 23 07 00 THERMAL

INSULATION FOR MECHANICAL SYSTEMS continuous through sleeves and prepared openings except firewall penetrations. Terminate duct insulation at fire dampers and flexible connections. For duct handling air at or below 60 degrees F, provide insulation continuous over the damper collar and retaining angle of fire dampers, which are exposed to unconditioned air.

3.5.4 Closure Collars

Provide closure collars of a minimum 4 inches wide, unless otherwise indicated, for exposed ducts and items on each side of penetrated surface, except where equipment is installed. Install collar tight against the surface and fit snugly around the duct or insulation. Grind sharp edges smooth to prevent damage to penetrating surface. Fabricate collars for round ducts 15 inches in diameter or less from 20 gauge galvanized steel. Fabricate collars for square and rectangular ducts, or round ducts with minimum dimension over 15 inches from 18 gauge galvanized steel. Fabricate collars for square and rectangular ducts with a maximum side of 15 inches or less from 20 gauge galvanized steel. Install collars with fasteners a maximum of 6 inches on center. Attach to collars a minimum of 4 fasteners where the opening is 12 inches in diameter or less, and a minimum of 8 fasteners where the opening is 20 inches in diameter or less.

3.5.5 Firestopping

Where ducts pass through fire-rated walls, fire partitions, and fire rated chase walls, seal the penetration with fire stopping materials as specified in Section 07 84 00 FIRESTOPPING.

3.6 FIELD PAINTING OF MECHANICAL EQUIPMENT

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except clean to bare metal on metal surfaces subject to temperatures in excess of 120 degrees F. Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Provide aluminum or light gray finish coat.

3.6.1 Temperatures less than 120 degrees F

Immediately after cleaning, apply one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat to metal surfaces subject to temperatures less than 120 degrees F.

3.6.2 Temperatures between 120 and 400 degrees F

Apply two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of two mils to metal surfaces subject to temperatures between 120 and 400 degrees F.

3.6.3 Temperatures greater than 400 degrees F

Apply two coats of 315 degrees C 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of two mils to metal surfaces subject to temperatures greater than 400 degrees F.

3.7 IDENTIFICATION SYSTEMS

Provide identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and item number on all valves and dampers. Provide tags that are 1-3/8 inch minimum diameter with stamped or engraved markings. Make indentations black for reading clarity. Attach tags to valves with No. 12 AWG 0.0808-inch diameter corrosion-resistant steel wire, copper wire, chrome-plated beaded chain or plastic straps designed for that purpose.

3.8 DUCTWORK LEAK TESTS

The requirements for ductwork leak tests are specified in Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC.

3.9 DAMPER ACCEPTANCE TEST

Submit the proposed schedule, at least 2 weeks prior to the start of test. Operate all fire dampers and smoke dampers under normal operating conditions, prior to the occupancy of a building to determine that they function properly. Test each fire damper equipped with fusible link by having the fusible link cut in place. Test dynamic fire dampers with the air handling and distribution system running. Reset all fire dampers with the fusible links replaced after acceptance testing. To ensure optimum operation and performance, install the damper so it is square and free from racking.

3.10 TESTING, ADJUSTING, AND BALANCING

The requirements for testing, adjusting, and balancing are specified in Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC. Begin testing, adjusting, and balancing only when the air supply and distribution, including controls, has been completed, with the exception of performance tests.

3.11 PERFORMANCE TESTS

Conduct performance tests as required in Section 23 05 93 Testing, Adjusting and Balancing for HVAC and Section 23 09 00 Instrumentation and Control for HVAC.

3.12 CLEANING AND ADJUSTING

Provide a temporary bypass for water coils to prevent flushing water from passing through coils. Inside of air handling equipment thoroughly clean ducts, plenums, and casing of debris and blow free of small particles of rubbish and dust and then vacuum clean before installing outlet faces. Wipe equipment clean, with no traces of oil, dust, dirt, or paint spots. Provide temporary filters prior to startup of all fans that are operated during construction, and provide new filters after all construction dirt has been removed from the building, and the ducts, plenums, casings, and other items specified have been vacuum cleaned. Perform and document that proper "Indoor Air Quality During Construction" procedures have been followed; provide documentation showing that after construction ends, and prior to occupancy, new filters were provided and installed. Maintain system in this clean condition until final acceptance. Properly lubricate bearings with oil or grease as recommended by the manufacturer. Tighten belts to proper tension. Adjust control valves and other miscellaneous

equipment requiring adjustment to setting indicated or directed. Adjust fans to the speed indicated by the manufacturer to meet specified conditions. Maintain all equipment installed under the contract until close out documentation is received, the project is completed and the building has been documented as beneficially occupied.

3.13 OPERATION AND MAINTENANCE

3.13.1 Operation and Maintenance Manuals

Submit six manuals at least 2 weeks prior to field training. Submit data complying with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Submit Data Package 3 for the items/units listed under SD-10 Operation and Maintenance Data

3.13.2 Operation And Maintenance Training

Conduct a training course for the members of the operating staff as designated by the Contracting Officer. Make the training period consist of a total of 8 hours of normal working time and start it after all work specified herein is functionally completed and the Performance Tests have been approved. Conduct field instruction that covers all of the items contained in the Operation and Maintenance Manuals as well as demonstrations of routine maintenance operations. Submit the proposed On-site Training schedule concurrently with the Operation and Maintenance Manuals and at least 14 days prior to conducting the training course.

-- End of Section --

SECTION 23 81 00

DECENTRALIZED UNITARY HVAC EQUIPMENT
05/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 700	(2016) Specifications for Fluorocarbon Refrigerants
ANSI/AHRI 210/240	(2008; Add 1 2011; Add 2 2012) Performance Rating of Unitary Air-Conditioning & Air-Source Heat Pump Equipment
ANSI/AHRI 495	(2005) Performance Rating of Refrigerant Liquid Receivers

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ANSI/ASHRAE 15 & 34	(2022) ANSI/ASHRAE Standard 15-Safety Standard for Refrigeration Systems and ANSI/ASHRAE Standard 34-Designation and Safety Classification of Refrigerants
ASHRAE 15 & 34	(2013) ASHRAE Standard 34-2016 Safety Standard for Refrigeration Systems/ASHRAE Standard 34-2016 Designation and Safety Classification of Refrigerants-ASHRAE Standard 34-2016
ASHRAE 52.2	(2017) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size
ASHRAE 55	(2023) Thermal Environmental Conditions for Human Occupancy
ASHRAE 62.1	(2022) Ventilation for Acceptable Indoor Air Quality
ASHRAE 90.1 - IP	(2019) Energy Standard for Buildings Except Low-Rise Residential Buildings

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing

	Qualifications
ASME BPVC SEC VIII D1	(2019) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1

AMERICAN WELDING SOCIETY (AWS)

AWS Z49.1	(2021) Safety in Welding and Cutting and Allied Processes

ASTM INTERNATIONAL (ASTM)

ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM C1071	(2019) Standard Specification for Fibrous Glass Duct Lining Insulation (Thermal and Sound Absorbing Material)
ASTM D520	(2000; R 2011) Zinc Dust Pigment
ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1	(2021) Motors and Generators
NEMA MG 2	(2014) Safety Standard for Construction and Guide for Selection, Installation and Use of Electric Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 7 2023; TIA 23-15) National Electrical Code

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-DTL-5541	(2006; Rev F; Notice 2 2024) Chemical Conversion Coatings on Aluminum and Aluminum Alloys

UNDERWRITERS LABORATORIES (UL)

UL 207	(2022) UL Standard for Safety Refrigerant-Containing Components and Accessories, Nonelectrical
UL 586	(2009; Reprint Sep 2022) UL Standard for Safety High-Efficiency Particulate, Air Filter Units
UL 900	(2015; Reprint Aug 2022) UL Standard for SafetyStandard for Air Filter Units
UL 1995	(2015; Reprint Aug 2022) UL Standard for Safety Heating and Cooling Equipment

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Spare Parts

Posted Instructions

Coil Corrosion Protection

System Performance Tests

Training; G

Inventory

Supplied Products

Manufacturer's Standard Catalog Data

SD-06 Test Reports

Refrigerant Tests, Charging, and Start-Up; G

System Performance Tests; G

SD-07 Certificates

Service Organizations

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

SD-11 Closeout Submittals

Ozone Depleting Substances; S

1.3 QUALITY ASSURANCE

Carefully investigate the plumbing, fire protection, electrical, structural and finish conditions that would affect the work to be performed and arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions. Submit drawings consisting of:

a. Equipment layouts which identify assembly and installation details.

b. Plans and elevations which identify clearances required for maintenance and operation.

c. Wiring diagrams which identify each component individually and interconnected or interlocked relationships between components.

d. Foundation drawings, bolt-setting information, and foundation bolts prior to concrete foundation construction for equipment indicated or required to have concrete foundations.

e. Details, if piping and equipment are to be supported other than as indicated, which include loadings and type of frames, brackets, stanchions, or other supports.

f. Automatic temperature control diagrams and control sequences.

g. Installation details which includes the amount of factory set superheat and corresponding refrigerant pressure/temperature.

h. Equipment schedules

1.4 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Properly protect and care for all material both before and during installation. Submit an inventory of all the stored items. Replace any materials found to be damaged, at no additional cost to the Government. During installation, cap piping and similar openings capped to keep out dirt and other foreign matter.

1.5 ENVIRONMENTAL REQUIREMENTS

For proper Indoor Environmental Quality, maintain pressure within the building as indicated. Ventilation must meet or exceed ASHRAE 62.1 and all published addenda. Meet or exceed filter media efficiency as tested in accordance with ASHRAE 52.2. Thermal comfort must meet or exceed ASHRAE 55.

1.6 WARRANTY

Provide equipment with the Manufacturer's Standard Warranty.

PART 2 PRODUCTS

2.1 ENERGY EFFICIENCY REQUIREMENTS

42 USC 8259b requires the procurement of energy efficient products in product categories covered by the Energy Star program or the Federal Energy Management Program for designated products. A list of covered product categories is available from the Federal Energy Management Web site at: https://www.energy.gov/femp/search-energy-efficient-products.

Submit Material, Equipment, and Fixtures List of all supplied products within a covered product category, including manufacturer's catalog numbers, specification and drawing reference number, warranty information, fabrication site, and energy performance data. For product categories covered by the Federal Energy Management Program, submit documentation that the product meets or exceeds FEMP-designated efficiency requirements.

2.2 MATERIALS

Provide Manufacturer's standard catalog data, at least 5 weeks prior to

the purchase or installation of a particular component, highlighted to show material, size, options, performance charts and curves, etc. in adequate detail to demonstrate compliance with contract requirements. Data includes manufacturer's recommended installation instructions and procedures. If vibration isolation is specified for a unit, include vibration isolator literature containing catalog cuts and certification that the isolation characteristics of the isolators provided meet the manufacturer's recommendations. Submit data for each specified component. Minimum efficiency requirements must be in accordance with ASHRAE 90.1 - IP.

2.2.1 Standard Products

Provide materials and equipment that are standard products of a manufacturer regularly engaged in the manufacturing of such products, which are of a similar material, design and workmanship. The standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening . The 2 year use includes applications of equipment and materials under similar circumstances and of similar size. The 2 years' experience must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures. Products having less than a 2 year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. Products must be supported by a service organization. Ensure system components are environmentally suitable for the indicated geographic locations.

2.2.2 Product Sustainability Criteria

2.2.2.1 Energy Efficient Equipment

Provide equipment meeting the efficiency requirements as stated within this section.

2.2.2.2 Electrical Equipment / Motors

Provide electrical equipment, motors, motor efficiencies, and wiring which are in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Electrical motor driven equipment specified must be provided complete with motors, motor starters, and controls. Electrical characteristics must be as shown, and unless otherwise indicated, all motors of 1 horsepower and above with open, dripproof, totally enclosed, or explosion proof fan cooled enclosures, must be the premium efficiency type in accordance with NEMA MG 1. Field wiring must be in accordance with manufacturer's instructions. Each motor must conform to NEMA MG 1 and NEMA MG 2 and be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Motors must be continuous duty with the enclosure specified. Motor starters must be provided complete with thermal overload protection and other appurtenances necessary for the motor control indicated. Motors must be furnished with a magnetic across-the-line or reduced voltage type starter as required by the manufacturer. Motor duty requirements must allow for maximum frequency start-stop operation and minimum encountered interval between start and stop. Motors must be sized for the applicable loads. Motor torque must be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Motor bearings must be fitted with grease

supply fittings and grease relief to outside of enclosure. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, must be provided.

2.2.2.3 Ozone Depleting Substances

Unitary air conditioning equipment must not use CFC-based refrigerants. Refrigerant may be an approved alternative refrigerant in accordance with EPA's Significant New Alternative Policy (SNAP) listing.

2.2.2.4 Local/Regional Materials

Use materials or products extracted, harvested, or recovered, as well as manufactured, within a 500 mile radius from the project site, if available from a minimum of three sources.

2.2.3 Nameplates

Major equipment including compressors, condensers, receivers, heat exchanges, fans, and motors must have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment. Plates must be durable and legible throughout equipment life and made of stainless steel . Fix plates in prominent locations with nonferrous screws or bolts.

2.2.4 Safety Devices

Exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel must be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Safety devices must be installed so that proper operation of equipment is not impaired. Welding and cutting safety requirements must be in accordance with AWS Z49.1.

2.3 EQUIPMENT

2.3.1 Self-Contained Heat Pumps

2.3.1.1 Small-Capacity Self-Contained Heat Pumps (Not exceeding 65,000 Btu/h)

2.3.1.1.1 General

Unit must be an air-cooled, factory assembled, weatherproof packaged unit as indicated. Unit must be the heat pump type conforming to applicable Underwriters Laboratories (UL) standards including UL 1995. Unit must be rated in accordance with ANSI/AHRI 210/240. Unit must be provided with equipment as specified in paragraph UNITARY EQUIPMENT COMPONENTS. Evaporator or supply fans must be direct drive forward curved centrifugal scroll type. Condenser fans must be manufacturer's standard for the unit specified and may be either propeller or centrifugal scroll type. Unit must be provided with a full factory operating charge of refrigerant. Unit must have an Energy Star label. Air Conditioners must have a minimum seasonal energy efficiency ratio (SEER) of 14, and a minimum COP of 2. Unit must be provided with hot gas reheat.

2.3.1.1.2 Air-to-Refrigerant Coils

Air-to-refrigerant coils must have seamless copper tubes of 5/16 inch minimum diameter with aluminum fins that are mechanically bonded or soldered to the tubes. Casing must be galvanized steel . Contact of dissimilar metals must be avoided. Coils must be tested in accordance with ANSI/ASHRAE 15 & 34 at the factory and be suitable for the working pressure of the installed system. Each coil must be factory pressure and leak tested. Separate expansion devices must be provided for each compressor circuit.

Condenser and Evaporator coil must be coated with a uniformly applied epoxy electrodeposition, phenolic, or vinyl type coating to all coil surface areas without material bridging between fins. Coating must be applied at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation. Coating must be capable of withstanding a minimum 500 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.3.1.1.3 Fan Section

Fan must be the centrifugal type in accordance with paragraph FANS. Do not locate fan and fan motor in the discharge airstream of the unit. Motors must have totally enclosed enclosure and be suitable for the indicated service. The unit design must prevent water from entering into the fan section.

2.3.1.1.4 Compressor

Provide direct drive, scroll type Compressor. Compressor must have internal over current and over temperature protection, internal pressure relief, rotor lock suction and discharge refrigerant connections, centrifugal oil pump, vibration isolation, and discharge refrigerant connections.

2.3.1.1.5 Refrigeration Circuit

Refrigerant containing components must comply with ANSI/ASHRAE 15 & 34 and be factory tested, cleaned, dehydrated, charged, and sealed. Refrigerant lines must have service pressure tap ports and refrigerant line filter.

2.3.1.1.6 Unit Controls

Provide units internally prewired by manufacturer with a 24 volt control circuit powered by an internal transformer. Terminal blocks must be provided for power wiring and external control wiring. Unit must be internally protected by fuses or a circuit breaker in accordance with UL 1995.

a. Unit must be provided with microprocessor controls to provide all 24V control functions. Unit must be controlled by a one stage heating/cooling thermostat with automatic changeover. Unit must be controlled by a programmable electronic thermostat with heating setback and cooling setup with 7-day programming capability.

b. Controls must include a control system interface to a BACnet Control system. The control system interface must meet DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

2.3.1.1.7 Roof Curb

Provide a roof curb that mates with the unit to provide support and be completely weather tight. Provide curb with sealing strips to ensure an airtight seal between supply and return openings of the curb and unit. Design curb to allow ductwork to be directly connected to the curb. The roof curb must be provided by the Manufacturer of the equipment.

2.3.1.1.8 Primary/Supplemental Heat

Provide heating unit with internal thermal insulation having a fire hazard rating not to exceed 25 for flame spread and 50 for smoke developed as determined by ASTM E84.

2.3.1.1.8.1 Electric Heating

Provide electric duct heater in accordance with UL 1995 and NFPA 70. Coil must be completely assembled, unit-mounted, and integral to the unit. Provide coil with nickel chromium elements and a maximum density of 40 watts per square inch. Provide coil with automatic reset high limit control operating through heater backup contactors. Provide coil casing and support brackets of galvanized steel . Mount coil to eliminate noise from expansion and contraction and be completely accessible for service.

2.3.1.1.9 Single Source Power Entry

Provide single source power entry to allow single source power connection to unit and heater combination. Single source power entry kit includes specific matching heater(s), high voltage terminal blocks, fuse blocks and fuses, cut-to-length interconnecting wiring, and junction box (if required) to provide power sources with fuse protection as required for both the unit and accessory heater.

2.3.1.1.10 Fully Modulating Economizer

Provide a fully modulating economizer with 0-100 percent fresh air damper, damper drive motor, and fixed dry bulb enthalpy control solid state enthalpy control . Control economizer operations by the pre-set position of the enthalpy control. Include a barometric relief damper with the down flow economizer to provide a pressure operated damper that is gravity closing and prohibits entrance of outside air on equipment "off" cycle.

2.3.1.1.11 Manual Outside Air Damper

Provide manual outside air damper with rain hood and screen suitable for up to 25 percent outside air. Dampers must have a maximum leakage rate of 3 CFM/ft2 at 1 inch w.g. static pressure

2.3.1.1.12 Low Ambient Control

Provide low ambient control to allow cycling of compressor for cooling operation at low ambient temperatures down to 0 degrees F.

2.3.1.1.13 Filters

Provide a 2 inch MERV 8, throwaway filter.

2.3.1.2 Large-Capacity Self-Contained Heat Pumps (Greater than 65,000 Btu/h)

2.3.1.2.1 General

Provide an air-cooled, factory assembled, weatherproof packaged unit for dedicated downflow airflow. Exterior panels must be zinc coated galvanized steel phosphatized and painted. All access doors and panels must be hinged with neoprene gaskets. Unit must be listed, labeled, and classified in accordance with UL 1995. Unit must be rated in accordance with ANSI/AHRI 210/240. Provide unit with equipment as specified in paragraph UNITARY EQUIPMENT COMPONENTS. Evaporator or supply fans must be direct drive forward curved centrifugal scroll type. Condenser fans must be manufacturer's standard for the unit specified and may be centrifugal scroll type. UProvide unit with a full factory operating charge of refrigerant. Unit must be 100 percent run tested at the factory. No penetrations are allowed within the perimeter of the curb in the down flow unit's base pan other than the raised 1-1/8 inch high supply/return openings to provide added water integrity precaution from condensate drain back up.

Provide a belt driven, forward curved centrifugal indoor fan with adjustable motor sheaves. Thermally protect all motors. Provide unit with a removable, reversible, double-sloped condensate drain pan. Air conditioners must have a minimum energy efficiency ratio (EER) of 11, and a minimum COP of 3. Provide unit with hot gas reheat.

2.3.1.2.2 Casing

Construct exterior casings for the specified unitary equipment of factory phosphatized and painted galvanized steel or aluminum sheet metal and galvanized or aluminum structural members. Fit casing with lifting provisions, access panels or doors, fan vibration isolators, electrical control panel, corrosion-resistant components, structural support members, insulated condensate drip pan and drain, and internal insulation in the cold section of the casing. All access doors and panels must have neoprene gaskets. Incorporate provisions to permit replacement of major unit components. Seal penetrations of cabinet surfaces, including the floor. Unit base must be watertight. Fit unit with a drain pan which extends under all areas where water may accumulate. Fabricate drain pan from Type 30X stainless steel, galvanized steel with protective coating, or an approved plastic material. Pan insulation must be water impervious. Extent and effectiveness of the insulation of unit air containment surfaces must prevent, within limits of the specified insulation, heat transfer between the unit exterior and ambient air, heat transfer between the two conditioned air streams, and condensation on surfaces. Insulation must conform to ASTM C1071.

2.3.1.2.3 Air-to-Refrigerant Coils

Provide air-to-refrigerant coils with seamless copper tubes of 5/16 inch minimum diameter with aluminum fins that are mechanically bonded or soldered to the tubes. Casing must be galvanized steel . Avoid contact of dissimilar metals. Test coils in accordance with ANSI/ASHRAE 15 & 34 at the factory and must be suitable for the working pressure of the installed system. Factory pressure and leak test each coil.

a. Provide separate expansion devices for each compressor circuit. Condensate drain pans must be removable and double-sloped.

b. Dual compressor units must have intermingled evaporator coils.

c. Condensate drain pans must be removable and double-sloped.

d. Coat condenser and evaporator coil with a uniformly applied epoxy electrodeposition, phenolic, or vinyl type coating to all coil surface areas without material bridging between fins. Apply coating at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation. Coating must be capable of withstanding a minimum 500 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.3.1.2.4 Compressor

Provide direct drive, scroll type compressor. Compressor must have internal over current and over temperature protection, internal pressure relief, high pressure cutout, rotor lock suction and discharge refrigerant connections, centrifugal oil pump, vibration isolation, and discharge refrigerant connections. Compressors must have crankcase heaters. Motor must be suction gas-cooled. Cooling partial load capacity must be provided by a variable speed compressor.

2.3.1.2.5 Refrigeration Circuit

Refrigerant containing components must comply with ANSI/ASHRAE 15 & 34 and be factory tested, cleaned, dehydrated, charged, and sealed. Provide refrigerant lines with service pressure tap ports and refrigerant line filter.

2.3.1.2.6 Unit Controls

Provide units internally prewired by manufacturer with a 24 volt electromechanical control circuit powered by an internal transformer. Provide terminal blocks for power wiring and external control wiring. Internally protect unit by fuses or a circuit breaker in accordance with UL 1995. Units with three-phase power must be equipped with phase monitoring protection to protect against problems caused by phase loss, phase imbalance and phase reversal.

a. Provide unit with microprocessor controls to provide all 24V control functions. Control unit by a one stage heating/cooling thermostat with automatic changeover. Control unit by a programmable electronic thermostat with heating setback and cooling setup with 7-day programming capability.

b. Controls must include a control system interface to a BACnet Control system. The control system interface must meet DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

2.3.1.2.7 Supply Air Fan

Units having AHRI cooling capacity equal or greater than 110,000 Btu/h must have supply fans controlled by variable speed motors.

Provide direct drive, forward curved, centrifugal scroll type supply air fan.

2.3.1.2.8 Roof Curb

Provide a roof curb that mates with the unit to provide support and be completely weather tight. Provide curb with sealing strips to ensure an airtight seal between supply and return openings of the curb and unit. Design curb to allow ductwork to be directly connected to the curb. The roof curb must be provided by the Manufacturer of the equipment.

2.3.1.2.9 Primary/Supplemental Heat

Provide heating unit with internal thermal insulation having a fire hazard rating not to exceed 25 for flame spread and 50 for smoke developed as determined by ASTM E84.

2.3.1.2.9.1 Electric Heating

Provide electric duct heater in accordance with UL 1995 and NFPA 70. Coil must be completely assembled, unit-mounted, and integral to the unit. Provide coil with nickel chromium elements and a maximum density of 40 watts per square inch. Provide coil with automatic reset high limit control operating through heater backup contactors. Provide coil casing and support brackets of galvanized steel . Mount coil to eliminate noise from expansion and contraction and be completely accessible for service.

2.3.1.2.10 Single Source Power Entry

Provide single source power entry to allow single source power connection to unit and heater combination. Single source power entry kit includes specific matching heater(s), high voltage terminal blocks, fuse blocks and fuses, cut-to-length interconnecting wiring, and junction box (if required) to provide power sources with fuse protection as required for both the unit and accessory heater.

2.3.1.2.11 Fully Modulating Economizer

Provide fully modulating economizer to include 0-100 percent fresh air damper, damper drive motor, and solid state enthalpy control . Control economizer operations by the pre-set position of the enthalpy control. Include a barometric relief damper with the down flow economizer to provide a pressure operated damper that is gravity closing and prohibits entrance of outside air on equipment "off" cycle.

2.3.1.2.12 Manual Outside Air Damper

Provide manual outside air damper with rain hood and screen suitable for up to 25 percent outside air.

2.3.1.2.13 Low Ambient Control

Provide low ambient control to allow cycling of compressor for cooling operation at low ambient temperatures down to 0 degrees F.

2.3.1.2.14 Filters

Provide 2 inch thick high efficiency throwaway type filters that are MERV 8 . Filters must have an average dust spot efficiency of 25-35 percent and an average arrestance of 90 percent when tested in accordance with ASHRAE 52.2. Filters must be UL Class 1.

2.4 COMPONENTS

2.4.1 Refrigerant and Oil

Refrigerant must be one of the fluorocarbon gases. Refrigerants must have number designations and safety classifications in accordance with ASHRAE 15 & 34. Refrigerants must meet the requirements of AHRI 700 as a minimum. Provide a complete charge of refrigerant for the installed system as recommended by the manufacturer. Lubricating oil must be of a type and grade recommended by the manufacturer for each compressor. Where color leak indicator dye is incorporated, charge must be in accordance with manufacturer's recommendation.

2.4.2 Fans

Fan wheel shafts must be supported by either maintenance-accessible lubricated antifriction block-type bearings, or permanently lubricated ball bearings. Unit fans must be selected to produce the cfm required at the fan total pressure. Motor starters, if applicable, must be magnetic across-the-line type with a totally enclosed enclosure. Thermal overload protection must be of the manual or automatic-reset type. Fan wheels or propellers must be constructed of aluminum or galvanized steel. Centrifugal fan wheel housings must be of galvanized steel, and both centrifugal and propeller fan casings must be constructed of aluminum or galvanized steel. Steel elements of fans, except fan shafts, must be hot-dipped galvanized after fabrication or fabricated of mill galvanized steel. Mill-galvanized steel surfaces and edges damaged or cut during fabrication by forming, punching, drilling, welding, or cutting must be recoated with an approved zinc-rich compound. Fan wheels or propellers must be statically and dynamically balanced. Direct-drive fan motors must be of the multiple-speed variety. The sheave size must be selected so that the fan speed at the approximate midpoint of the sheave adjustment will produce the specified air quantity. Centrifugal scroll-type fans must be provided with streamlined orifice inlet and V-belt drive. Each drive will be independent of any other drive. .

2.4.3 Primary/Supplemental Heating

2.4.3.1 Electric Heating Coil

Coil must be an electric duct heater in accordance with UL 1995 and NFPA 70. Coil must be duct- or unit-mounted. Coil must be of the nickel chromium resistor, single stage, strip type. Coil must be provided with a built-in or surface-mounted high-limit thermostat interlocked electrically so that the coil cannot be energized unless the fan is energized. Coil casing and support brackets must be of galvanized steel or aluminum. Coil must be mounted to eliminate noise from expansion and contraction and be completely accessible for service. Supplemental Electric Resistance Heating controls must be provided to prevent operation when the heating load can be met by the primary source.

2.4.4 Air Filters

Provide filters to filter outside air and return air and locate inside air conditioners . Provide replaceable (throw-away) type. Filters must conform to UL 900, Class 2. Polyurethane filters cannot be used on units with multiframe filters.

Air filters must be listed in accordance with requirements of UL 900,

except high efficiency particulate air filters of 99.97 percent efficiency by the DOP Test Method must be as listed under the label service and must meet the requirements of UL 586.

2.4.4.1 Extended Surface Pleated Panel Filters

Filters must be 2 inch depth sectional type of the size indicated and must have an average efficiency of 25 to 30 percent when tested in accordance with ASHRAE 52.2. Initial resistance at 500 feet/minute must not exceed 0.36 inches water gauge. Filters must be UL Class 2. Media must be nonwoven cotton and synthetic fiber mat. A wire support grid bonded to the media must be attached to a moisture resistant fiberboard frame. Four edges of the filter media must be bonded to the inside of the frame to prevent air bypass and increase rigidity.

2.4.4.2 Manometers

Provide inclined-type manometers for filter stations of 2,000 cfm capacity or larger including filters furnished as integral parts of air-handling units and filters installed separately. Provide sufficient length to read at least one inch of water column with 10 major graduations, and equipped with spirit level. Equip manometers with overpressure safety traps to prevent loss of fluid, and two three-way vent valves for checking zero setting.

2.4.5 Coil Frost Protection

Provide each circuit with a manufacturer's standard coil frost protection system. The coil frost protection system must use a temperature sensor in the suction line of the compressor to shut the compressor off when coil frosting occurs. Use timers to prevent the compressor from rapid cycling.

2.4.6 Pressure Vessels

Pressure vessels must conform to ASME BPVC SEC VIII D1 or UL 207, as applicable for maximum and minimum pressure or temperature encountered. Where referenced publications do not apply, test pressure components at 1-1/2 times design working pressure. Refrigerant wetted carbon steel surfaces must be pickled or abrasive blasted free of mill scale, cleaned, dried, charged, and sealed.

2.4.6.1 Hot Gas Muffler

Unit must be selected by the manufacturer for maximum noise attenuation. Units rated for 30 tons capacity and under may be field tunable type.

2.4.6.2 Liquid Receiver

A liquid receiver must be provided when a system's condenser or compressor does not contain a refrigerant storage capacity of at least 20 percent in excess of a fully charged system. Receiver must be designed, filled, and rated in accordance with the recommendations of ANSI/AHRI 495, except as modified herein. Receiver must be fitted to include an inlet connection; an outlet drop pipe with oil seal and oil drain where necessary; two bull's-eye liquid level sight glass in same vertical plane, 90 degrees apart and perpendicular to axis of receiver or external gauge glass with metal guard and automatic stop valves; and purge, charge, equalizing, pressurizing, plugged drain and service valves on the inlet and outlet connections. Receiver must be provided with a relief valve of capacity

and setting in accordance with ASHRAE 15 & 34.

2.4.6.3 Oil Separator

Separator must be the high efficiency type and be provided with removable flanged head for ease in removing float assembly and removable screen cartridge assembly. Pressure drop through a separator must not exceed 10 psi during the removal of hot gas entrained oil. Connections to compressor must be as recommended by the compressor manufacturer. Separator must be provided with an oil float valve assembly or needle valve and orifice assembly, drain line shutoff valve, sight glass, and strainer.

2.4.6.4 Oil Reservoir

Reservoir capacity must equal one charge of all connected compressors. Reservoir must be provided with an external liquid gauge glass, plugged drain, and isolation valves. Vent piping between the reservoir and the suction header must be provided with a 5 psi pressure differential relief valve. Reservoir must be provided with the manufacturer's standard filter on the oil return line to the oil level regulators.

2.4.7 Internal Dampers

Dampers must be parallel blade type with renewable blade seals and be integral to the unitary unit. Damper provisions must be provided for each outside air intake, exhaust, economizer, and mixing boxes. Dampers must have automatic modulation and operate as specified.

2.4.8 Mixing Boxes

Mixing boxes must match the base unit in physical size and must include equally-sized openings, each capable of full air flow. Arrangement must be as indicated.

2.4.9 Cabinet Construction

Casings for the specified unitary equipment must be constructed of galvanized steel or aluminum sheet metal and galvanized or aluminum structural members. Minimum thickness of single wall exterior surfaces must be 18 gauge galvanized steel or 0.071 inch thick aluminum on units with a capacity above 20 tons and 20 gauge galvanized steel or 0.064 inch thick aluminum on units with a capacity less than 20 tons. Casing must be fitted with lifting provisions, access panels or doors, fan vibration isolators, electrical control panel, corrosion-resistant components, structural support members, insulated condensate drip pan and drain, and internal insulation in the cold section of the casing. Where double-wall insulated construction is proposed, minimum exterior galvanized sheet metal thickness must be 20 gauge. Provisions to permit replacement of major unit components must be incorporated. Penetrations of cabinet surfaces, including the floor, must be sealed. Unit must be fitted with a drain pan which extends under all areas where water may accumulate. Drain pan must be fabricated from Type 300 stainless steel, galvanized steel with protective coating as required, or an approved plastic material. Pan insulation must be water impervious. Extent and effectiveness of the insulation of unit air containment surfaces must prevent, within limits of the specified insulation, heat transfer between the unit exterior and ambient air, heat transfer between the two conditioned air streams, and condensation on surfaces. Insulation must conform to ASTM C1071. Paint

and finishes must comply with the requirements specified in paragraph FACTORY COATING.

2.4.9.1 Outdoor Cabinet

Outdoor cabinets must be suitable for outdoor service with a weathertight, insulated and corrosion-protected structure. Cabinets constructed exclusively for indoor service which have been modified for outdoor service are not acceptable.

2.4.10 Condensate Drain Piping

provide condensate drain piping in accordance with Section 22 00 00 PLUMBING, GENERAL PURPOSE.

2.4.11 Ductwork

Provide ductwork in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

2.4.12 Temperature Controls

Provide temperature controls in accordance with Section 23 09 23.02 BACnet DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDNG CONTROL SYSTEMS.

2.5 UNITARY EQUIPMENT ACCESSORIES AND MISCELLANEOUS EQUIPMENT

2.5.1 Air Economizer

Provide field or factory installed economizer with fully modulating 0-100 percent motor and dampers, barometric relief, minimum position setting and fixed dry bulb. Field install solid state enthalpy and differential enthalpy control.

2.6 FINISHES

2.6.1 Coil Corrosion Protection

Provide coil with a uniformly applied epoxy electrodeposition, phenolic, or vinyl type coating to all coil surface areas without material bridging between fins. Submit product data on the type coating selected, the coating thickness, the application process used, the estimated heat transfer loss of the coil, and verification of conformance with the salt spray test requirement. Coating must be applied at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation. Coating must be capable of withstanding a minimum 1,000 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.6.2 Equipment and Components Factory Coating

Unless otherwise specified, equipment and component items, when fabricated from ferrous metal, must be factory finished with the manufacturer's standard finish, except that items located outside of buildings must have weather resistant finishes that will withstand 500 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution. Immediately after completion of the test, the specimen must show no signs of blistering, wrinkling, cracking, or loss of adhesion and no sign of rust creepage beyond 1/8 inch on either side of the scratch mark. Cut edges of galvanized surfaces where hot-dip galvanized sheet

steel is used must be coated with a zinc-rich coating conforming to ASTM D520, Type I.

Where stipulated in equipment specifications of this section, coat finned tube coils of the affected equipment as specified below. Apply coating at the premises of a company specializing in such work. Degrease and prepare for coating in accordance with the coating applicator's procedures for the type of metals involved. Completed coating must show no evidence of softening, blistering, cracking, crazing, flaking, loss of adhesion, or "bridging" between the fins.

2.6.2.1 Phenolic Coating

Provide a resin base thermosetting phenolic coating. Apply coating by immersion dipping of the entire coil. Provide a minimum of two coats. Bake or heat dry coils following immersions. After final immersion and prior to final baking, spray entire coil with particular emphasis given to building up coating on sheared edges. Total dry film thickness must be 2.5 to 3.0 mils.

2.6.2.2 Chemical Conversion Coating with Polyelastomer Finish Coat

Dip coils in a chemical conversion solution to molecularly deposit a corrosion resistant coating by electrolysis action. Chemical conversion coatings must conform to MIL-DTL-5541, Class 1A. Cure conversion coating at a temperature of 110 to 140 degrees F for a minimum of 3 hours. Coat coil surfaces with a complex polymer primer with a dry film thickness of 1 mil. Cure primer coat for a minimum of 1 hour. Using dip tank method, provide three coats of a complex polyelastomer finish coat. After each of the first two finish coats, cure the coils for 1 hour. Following the third coat, spray a fog coat of an inert sealer on the coil surfaces. Total dry film thickness must be 2.5 to 3.0 mils. Cure finish coat for a minimum of 3 hours. Coating materials must have 300 percent flexibility, operate in temperatures of minus 50 to plus 220 degrees F, and protect against atmospheres of a pH range of 1 to 14.

2.6.2.3 Vinyl Coating

Apply coating using an airless fog nozzle. For each coat, make at least two passes with the nozzle. Materials to be applied are as follows:

a. Total dry film thickness, 6.5 mils maximum

b. Vinyl Primer, 24 percent solids by volume: One coat 2 mils thick

c. Vinyl Copolymer, 30 percent solids by volume: One coat 4.5 mils thick

2.6.3 Factory Applied Insulation

Refrigeration equipment must be provided with factory installed insulation on surfaces subject to sweating including the suction line piping. Where motors are the gas-cooled type, factory installed insulation must be provided on the cold-gas inlet connection to the motor in accordance with manufacturer's standard practice. Factory insulated items installed outdoors are not required to be fire-rated. As a minimum, factory insulated items installed indoors must have a flame spread index no higher than 75 and a smoke developed index no higher than 150. Factory insulated items (no jacket) installed indoors and which are located in air plenums, in ceiling spaces, and in attic spaces must have a flame spread index no

higher than 25 and a smoke developed index no higher than 50. Flame spread and smoke developed indexes must be determined by ASTM E84. Insulation must be tested in the same density and installed thickness as the material to be used in the actual construction. Material supplied by a manufacturer with a jacket must be tested as a composite material. Jackets, facings, and adhesives must have a flame spread index no higher than 25 and a smoke developed index no higher than 50 when tested in accordance with ASTM E84.

2.7 TESTS, INSPECTIONS, AND VERIFICATIONS

All manufactured units must be inspected and tested, and documentation provided to demonstrate that each unit is in compliance with ANSI/AHRI and UL requirements and that the minimum efficiency requirements of ASHRAE 90.1 - IP have been met.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, perform Verification of Dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

3.2 INSTALLATION

Perform work in accordance with the manufacturer's published diagrams, recommendations, and equipment warranty requirements. Where equipment is specified to conform to the requirements of ASME BPVC SEC VIII D1and ASME BPVC SEC IX, the design, fabrication, and installation of the system must conform to ASME BPVC SEC VIII D1 and ASME BPVC SEC IX.

3.2.1 Equipment

Provide refrigeration equipment conforming to ASHRAE 15 & 34. Provide necessary supports for all equipment, appurtenances, and pipe as required, including frames or supports for compressors, pumps, cooling towers, condensers, and similar items. Isolate compressors from the building structure. If mechanical vibration isolators are not provided, provide vibration absorbing foundations. Each foundation must include isolation units consisting of machine and floor or foundation fastenings, together with intermediate isolation material. Other floor-mounted equipment must be set on not less than a 6 inch concrete pad doweled in place. Concrete foundations for floor mounted pumps must have a mass equivalent to three times the weight of the components, pump, base plate, and motor to be supported. In lieu of concrete pad foundation, concrete pedestal block with isolators placed between the pedestal block and the floor may be provided. Concrete pedestal block must be of mass not less than three times the combined pump, motor, and base weights. Isolators must be selected and sized based on load-bearing requirements and the lowest frequency of vibration to be isolated. Isolators must limit vibration to 10-20 percent at lowest equipment rpm. Provide lines connected to pumps mounted on pedestal blocks with flexible connectors. Provide foundation drawings, bolt-setting information, and foundation bolts prior to concrete foundation construction for all equipment indicated or required to have concrete foundations. Concrete for foundations must be as specified.

3.2.2 Field Applied Insulation

Apply field applied insulation as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS, except as defined differently herein.

3.2.3 Field Painting

Painting required for surfaces not otherwise specified, and finish painting of items only primed at the factory are specified in Section 09 90 00 PAINTS AND COATINGS.

3.3 CLEANING AND ADJUSTING

Equipment must be wiped clean, with all traces of oil, dust, dirt, or paint spots removed. Temporary filters must be provided for all fans that are operated during construction, and new filters must be installed after all construction dirt has been removed from the building. System must be maintained in this clean condition until final acceptance. Bearings must be properly lubricated with oil or grease as recommended by the manufacturer. Belts must be tightened to proper tension. Control valves and other miscellaneous equipment requiring adjustment must be adjusted to setting indicated or directed. Fans must be adjusted to the speed indicated by the manufacturer to meet specified conditions. Testing, adjusting, and balancing must be as specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS.

3.4 TRAINING

Conduct a training course for the operating staff as designated by the Contracting Officer. The training period must consist of a total 8 hours of normal working time and start after the system is functionally completed but prior to final acceptance tests.

a. Submit a schedule, at least 2 weeks prior to the date of the proposed training course, which identifies the date, time, and location for the training.

b. Submit the field posted instructions, at least 2 weeks prior to construction completion, including equipment layout, wiring and control diagrams, piping, valves and control sequences, and typed condensed operation instructions. The condensed operation instructions must include preventative maintenance procedures, methods of checking the system for normal and safe operation, and procedures for safely starting and stopping the system. The posted instructions must be framed under glass or laminated plastic and be posted where indicated by the Contracting Officer.

c. The posted instructions must cover all of the items contained in the approved operation and maintenance manuals as well as demonstrations of routine maintenance operations. Submit 6 complete copies of an operation manual in bound 8-1/2 by 11 inch booklets listing step-by-step procedures required for system startup, operation, abnormal shutdown, emergency shutdown, and normal shutdown at least 4 weeks prior to the first training course. The booklets must include the manufacturer's name, model number, and parts list. The manuals must include the manufacturer's name, model number, service manual, and a brief description of all equipment and their basic operating features.

d. Submit 6 complete copies of maintenance manual in bound 8-1/2 by 11 inch booklets listing routine maintenance procedures, possible breakdowns and repairs, and a trouble shooting guide. The manuals must include piping and equipment layouts and simplified wiring and control diagrams of the system as installed.

3.5 REFRIGERANT TESTS, CHARGING, AND START-UP

Packaged refrigerant systems which are factory charged must be checked for refrigerant and oil capacity to verify proper refrigerant levels in accordance with manufacturer's recommendations. Following charging, packaged systems must be tested for leaks with a halide torch or an electronic leak detector. Submit 6 copies of each test containing the information described below in bound 8-1/2 by 11 inch booklets. Individual reports must be submitted for the refrigerant system tests.

a. The date the tests were performed.
b. A list of equipment used, with calibration certifications.
c. Initial test summaries.
d. Repairs/adjustments performed.
e. Final test results.

3.5.1 Refrigerant Leakage

If a refrigerant leak is discovered after the system has been charged, the leaking portion of the system must immediately be isolated from the remainder of the system and the refrigerant pumped into the system receiver or other suitable container. Under no circumstances must the refrigerant be discharged into the atmosphere.

3.5.2 Contractor's Responsibility

Take steps, at all times during the installation and testing of the refrigeration system, to prevent the release of refrigerants into the atmosphere. The steps must include, but not be limited to, procedures which will minimize the release of refrigerants to the atmosphere and the use of refrigerant recovery devices to remove refrigerant from the system and store the refrigerant for reuse or reclaim. At no time must more than 3 ounces of refrigerant be released to the atmosphere in any one occurrence. Any system leaks within the first year must be repaired in accordance with the requirements herein at no cost to the Government including material, labor, and refrigerant if the leak is the result of defective equipment, material, or installation.

3.6 SYSTEM PERFORMANCE TESTS

Before each refrigeration system is accepted, conduct tests to demonstrate the general operating characteristics of all equipment by a registered professional engineer or an approved manufacturer's start-up representative experienced in system start-up and testing, at such times as directed. Six copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system.

For equipment providing heating and cooling the system performance tests must be performed during the heating and cooling seasons.

a. Submit a schedule, at least 2 weeks prior to the start of related testing, for the system performance tests. The schedules must identify the proposed date, time, and location for each test. Tests must cover a period of not less than 48 hours for each system and must demonstrate that the entire system is functioning in accordance with the drawings and specifications.

b. Make corrections and adjustments, as necessary, tests must be re-conducted to demonstrate that the entire system is functioning as specified. Prior to acceptance, install and tighten service valve seal caps and blanks over gauge points. Replace any refrigerant lost during the system startup.

c. If tests do not demonstrate satisfactory system performance, correct deficiencies and retest the system. Conduct tests in the presence of the Contracting Officer. Water and electricity required for the tests will be furnished by the Government. Provide all material, equipment, instruments, and personnel required for the test.

d. Coordinate field tests with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS. Submit 6 copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system. Submit the report including the following information (where values are taken at least three different times at outside dry-bulb temperatures that are at least 5 degrees F apart):

(1) Date and outside weather conditions.

(2) The load on the system based on the following:

(a) The refrigerant used in the system.
(b) Condensing temperature and pressure.
(c) Suction temperature and pressure.
(d) Ambient, condensing and coolant temperatures.
(e) Running current, voltage and proper phase sequence for each phase of all motors.

(3) The actual on-site setting of operating and safety controls.

(4) Thermostatic expansion valve superheat - value as determined by field test.

(5) Subcooling.

(6) High and low refrigerant temperature switch set-points

(7) Low oil pressure switch set-point.

(8) Defrost system timer and thermostat set-points.

(9) Moisture content.

(10) Capacity control set-points.

(11) Field data and adjustments which affect unit performance and energy consumption.

(12) Field adjustments and settings which were not permanently marked as an integral part of a device.

3.7 MAINTENANCE

3.7.1 EXTRA MATERIALS

Submit spare parts data for each different item of equipment specified, after approval of detail drawings and not later than 2 months prior to the date of beneficial occupancy. Include in the data a complete list of parts and supplies, with current unit prices and source of supply, a recommended spare parts list for 1 year of operation, and a list of the parts recommended by the manufacturer to be replaced on a routine basis.

3.7.2 Maintenance Service

Submit a certified list of qualified permanent service organizations, which includes their addresses and qualifications, for support of the equipment. The service organizations must be reasonably convenient to the equipment installation and be able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

-- End of Section --

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SECTION 25 05 11

CYBERSECURITY FOR HVAC/DDC FACILITY-RELATED CONTROL SYSTEM
08/24

PART 1 GENERAL

Many subparts in this Section contain text in curly braces ("{" and "}") indicating which cybersecurity control and control correlation identifier (CCI) the requirements of the subpart relate to. The text inside these curly braces is for Government reference only and enables coordination of the requirements of this Section with the RMF process throughout the design and construction process. Text in curly braces are not contractor requirements.

This Section refers to Security Requirements Guide (SRGs) and Security Technical Implementation Guide (STIGs). STIGs and SRGs are available online at the Information Assurance Support Environment (IASE) website at https://public.cyber.mil/stigs/downloads/ and an SRG/STIG Applicability Guide and Collection Tool is available at https://public.cyber.mil/stigs/SCAP/. Not all control system components have applicable STIGs or SRGs. The "Control Systems SRG" does not apply to work performed under this Section; all requirements within this section to apply applicable SRGs DO NOT include the "Control Systems SRG".

1.1 RELATED REQUIREMENTS

This section does not contain sufficient requirements to procure a control system and must be used in conjunction with other Sections which specify control systems. This Section adds cybersecurity requirements to the control systems specified in other Sections, and as these requirements are conditioned on the control system being provided, there may be requirements in this Section that will not apply to this project. All Sections containing facility-related control systems or control system components are related to the requirements of this Section. Review all specification sections to determine related requirements.

In cases where a requirement is specified in both this Section and in another Section, the more stringent requirement must be met. In cases where a requirement in this Section conflicts with the requirements of another Section such that both requirements cannot be met at the same time, request direction from the Contracting Officer to determine which requirement applies to the project.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.1x	(2010) Local and Metropolitan Area Networks - Port Based Network Access Control

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST FIPS 140-2	(2001) Security Requirements for Cryptographic Modules

U.S. DEPARTMENT OF DEFENSE (DOD)

DODI 8551.01	(2014) Ports, Protocols, and Services Management (PPSM)
DTM 08-060	(2008) Policy on Use of Department of Defense (DoD) Information Systems - Standard Consent Banner and User Agreement

1.3 DEFINITIONS

1.3.1 Administrator Account

An administrator account is an account with full permissions to a device, application, or operating system, including the ability to create and modify other user accounts.

Note that the operating system Administrator Account may be different than Administrator Accounts for applications hosted on that operating system. Also, most controllers will not have any support for accounts and will therefore not have an 'Administrator Account'.

1.3.2 Computer

A computer is one of the following:

a. a device running a non-embedded desktop or server version of Microsoft Windows

b. a device running a non-embedded version of MacOS

c. a device running a non-embedded version of Linux

d. a device running a version or derivative of the Android Operating System, where Android is considered separate from Linux

e. a device running a version of Apple iOS

Unless otherwise indicated or clear from context use of the word "device" in this Section includes computers.

1.3.3 Controller

A device other than a computer or Ethernet switch.

1.3.4 Mission Space

Mission space is shown on the drawings.

1.3.5 Network

A network is a group of two or more devices that can communicate using a network protocol. Network protocols must provide a method for addressing devices on the network; a communication method that does not provide an

addressing scheme is not a networked form of communication. Devices that communicate using a method of communication that does not support device addressing are not using a network. Addresses may be other than IP addresses, and addressing may be at either Open Systems Interconnection (OSI) layer 2 or layer 3.

1.3.6 Network Connected

A component is network connected (or "connected to a network") only when the device has a network transceiver which is directly connected to the network and implements the network protocol. A device lacking a network transceiver (and accompanying protocol implementation) can never be considered network connected. Note that (unlike many IT definitions of "Network Connected") a device connected to a non-IP network is still considered network connected (an IP connection or IP address is not required for a device to be network connected).

1.3.6.1 Wireless Network Connected

Any device that supports wireless network communication is network connected to a wireless network, regardless of whether the device is communicating using wireless. Unless physically disabled, devices with wireless transceivers support wireless, it is not sufficient to disable the wireless in software.

1.3.7 Network Media

The thing that provides the communication channel between the devices on a network. Typically wire, but might include wireless, fiber optic, or even power line (some network protocols allow sending network signals over power wiring).

1.3.8 User Account Support Levels

The support for user accounts is categorized in this Section as one of three levels:

1.3.8.1 FULLY Supported

Device supports configurable individual accounts. Accounts can be created, deleted, modified, etc. Privileges can be assigned to accounts. These devices support user-based (as opposed to role-based) authentication.

1.3.8.2 MINIMALLY Supported

Device supports a small, fixed number of accounts (perhaps only one). Accounts cannot be modified. A device with only a "User" and an "Administrator" account would fit this category. Similarly, a device with two PINs for logon - one for restricted and one for unrestricted rights would fit here (in other words, the accounts do not have to be the traditional "username and password" structure). These devices typically only support role-based authentication.

Examples of devices which MINIMALLY support accounts are a) a variable frequency drive with a single account which requires a PIN for access to configuration; and b) a room lighting control touchpad interface that has a single account.

1.3.8.3 NOT Supported

Device does not support any Access Enforcement therefore the whole concept of "account" is meaningless.

1.3.9 Manual Local Input

Manual Local Inputs are system analog or binary inputs that are adjustable by a person but are, by intrinsic hardware design, very limited in potential capabilities. Manual Local Inputs do not have touch screens or full keyboards, but may have a few buttons or dials to allow input. Manual Local Inputs do not have full graphic screens or dot-matrix displays, but may have simple lights (LEDs) or 7-segment displays. Manual Local Inputs do not have any sort of menu structure, each button has a single well-defined function.

Examples of Manual Local Inputs are H-O-A switches, simple thermostats, and disconnect switches.

1.3.10 Card Reader

A card reader is an input/output device whose primary function is to assist in two-factor authentication. A card reader must have an interface to read data from a card and may be able to write data to a card. A card reader may have a means (such as buttons, keypad, touchscreen, etc.) for a user to input a PIN or password, as well as a limited display.

1.3.11 User Interface

A User Interface (UI) is something other than a Manual Local Input or Card Reader that allows a person to interact with the system or device. Note that while a Card Reader is not by itself a User Interface, a User Interface may contain a Card Reader in order for it to authenticate its user. Within control systems, there are a wide range of User Interfaces.

Two important distinctions are 1) whether the user interface is Local or Remote, and 2) the effective capabilities of the User Interface to alter data, which is the "privilege" of the user interface (where effective privilege available to a specific user at a specific user interface is the combination of the greatest privilege offered by the user interface and the specific account the user is logged into).

1.3.11.1 Local User Interface

A Local User Interface is a user interface where the physical hardware the user interacts with (keyboard, buttons, display, etc.) is physically part of the device being affected. All of the relevant characteristics of the user interface are embodied within a single device.

Note that a Local UI may be able to access data in a different device, Local versus Remote in this context refers to the user interface itself; the capability to access data in a different device is covered under "Full User Interface".

1.3.11.2 Remote User Interface

A Remote User Interface implements a Client/Server model where the physical hardware the user interacts with (Client) is physically distinct from the device being affected (Server). Most or all of the security and

functionality characteristics of the user interface are defined by the Server, not the Client. The Client and Server communicate via a network connection. A common example of a remote user interface is a web-based interface where the browser (client) is generally on different hardware than the web server (server). A Remote UI remains a Remote UI even if the user happens to be at a Client on the same hardware as the Server. What is important is that a) the Client may be on different hardware than the Server and b) the majority of the security and functional characteristics of the interface are defined at the Server.

Note that this definition of "remote" is consistent with that generally used in the control industry but is not aligned with the NIST 800-53 definition of "Remote", which refers to "outside the system". The term "Remote" here better aligns with the NIST 800-53 definition of "Network" (remote from within the system) Access.

1.3.11.3 Types of User Interface (by capability)

User interfaces are also categorized by their capabilities as being Read Only, Limited, or Full.

1.3.11.3.1 Read-Only User Interface

A Read Only User Interface (also referred to as a View-Only User Interface) is a user interface that only allows for reading data, it does not allow (have the capability to) modify data. A Read Only User Interface may be either Local or Remote. A User Interface that is configured to be Read Only (by some other means than the interface itself, such as using configuration software on a laptop) is a Read-Only Interface. Note a Read Only User Interface may have buttons (or touch screen, etc.) allowing the user to navigate through the presentation of data.

Examples of a Read Only User Interfaces are a) a publicly viewable "energy dashboard" showing weather data and energy usage within a building and b) digital wayfinding signage.

1.3.11.3.2 Limited User Interface

A Limited User Interface is a user interface that - by design - can only alter information local to the user interface. Note that the determination of "alter" includes only direct interactions, it explicitly excludes interactions that might occur as secondary effects. For example, an interface changing the flow setpoint in a pump controller is a direct interaction, the subsequent change in flow (as well as any subsequent downstream changes in valve position) are not direct interactions.

Two examples of LIMITED UIs are: a) a variable speed drive has a Limited Local User Interface which allows the user to change properties within the drive, but does not allow affecting things outside the drive; and b) a typical home WiFi Router has a Limited Remote User Interface which allows configuration of the Router, but does not allow direct interaction with other devices.

1.3.11.3.3 Full User Interface

A Full User Interface can alter information in devices outside the device with the user interface. For example, a typical Local Display Panel is a Full Local User Interface while a browser-based front end is a Full Remote

User Interface.

1.3.11.3.4 View-Only User Interface

See Read-Only User Interface

1.3.11.4 Other User Interface Terminology

In addition to defining whether a user interface is a Hardware Limited, Read-Only, Limited or Full, and whether it is Local or Remote, user interfaces are classified by whether they are writable or privileged.

1.3.11.4.1 Writable User Interface

Any User Interface that is not Read-Only is Writable. (Limited User Interfaces and Full User Interfaces are both writable user interfaces (as they are capable of changing a value)).

1.3.11.4.2 Privileged User Interface

A Privileged UI is a UI that has sufficient capabilities or functionality that it requires specific cybersecurity measures to be put in place to limit its unauthorized use. Ultimately, whether a specific user interface is considered a Privileged User Interface must be determined by usage. Unless otherwise specified, user interfaces can be determined to be privileged or not using the following:

a. Read-Only User Interfaces are not privileged user interfaces.

b. Full User Interfaces are privileged user interfaces.

c. User interfaces that allow for configuration of auditing or allows for modification or deletion of audit logs are privileged user interface.

d. User interfaces that allow for reprogramming a network connected device is a privileged user interface.

e. Except as specified above, a Limited User Interface must be determined to be privileged or not based on the specific capabilities and use case of the user interface. In general however, user interfaces that do not offer significant capabilities above and beyond those available at that location via other means (e.g. such as a disconnect switch, breaker, or hand-off-auto switch, or physical attack) are not privileged.

1.3.12 Wireless Network

Any network that communicates without using wires or fiber optics as the communication media. Wireless networks include: WiFi, Bluetooth, ZigBee, cellular, satellite, long and short wave radio, 2.4 GHz, free space optical, point-to-point laser, microwave, and IR.

1.3.13 Wired Broadcast Network

Wired Broadcast Networks are any network, such as powerline carrier networks and modem (wired telephony), that use wire-based technologies where there is not a clearly defined boundary for signal propagation.

1.4 ADMINISTRATIVE REQUIREMENTS

1.4.1 Points of Contact

Coordinate with the following Points of Contact as indicated in this Section and as required. Not all projects will require coordination with all Points of Contact. When coordination is required and no Point of Contact is indicated, coordinate with the Contracting Officer (KO).

a. Government Computer Access Point of Contact: Contracting Office (KO)

b. HTTPS Certificate Point of Contact: Contracting Officer (KO)

c. Password Point of Contact: Contracting Officer (KO)

d. Mobile Code Point of Contact: Contracting Officer (KO)

1.4.2 Coordination

Coordinate the execution of this Section with the execution of all other Sections related to control systems as indicated in the paragraph RELATED REQUIREMENTS. Items that must be considered when coordinating project efforts include but are not limited to:

a. If requesting permission for alternate account lock permissions, the Device Account Lock Exception Request must be approved prior to control system device selection and installation.

b. If requesting permission for the use of a device with multiple physical connections to IP networks, the Multiple IP Connection Device Request must be approved prior to control system device selection and installation.

c. If the Device Audit Record Upload Software is to be installed on a computer not being provided as part of the control system, coordination is required to identify the computer on which to install the software.

d. The Cybersecurity Interconnection Schedule must be coordinated with other work that will be interconnected to, and interconnections must be approved by the Government before relying on them for system functionality.

e. Cybersecurity testing support must be coordinated across control systems and with the Government cybersecurity testing schedule.

f. Passwords must be coordinated with the indicated contact for the project site.

g. If applicable, HTTPS web server certificates must be obtained from the indicated HTTPS Certificate Point of Contact.

h. Contractor Computer Cybersecurity Compliance Statements must be provided for each contractor using contractor owned computers.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for

Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Device Account Lock Exception Request; G

Multiple Ethernet Connection Device Request; G

Contractor Computer Cybersecurity Compliance Statements; G

Contractor Temporary Network Cybersecurity Compliance Statements; G

Cybersecurity Interconnection Schedule ; G

Proposed STIG and SRG Applicability Report; G

Pre-Construction Control System Inventory Report ; G

SD-02 Shop Drawings

Network Communication, Ports, Protocols and Services Report; G

Cybersecurity Network (Riser) Diagram ; G

SD-03 Product Data

Control System Cybersecurity Documentation; G

SD-06 Test Reports

Control System Cybersecurity Testing Procedures; G

Control System Cybersecurity Testing Report; G

SD-07 Certificates

Software Licenses; G

SD-11 Closeout Submittals

Confidential Password Report; G

Enclosure Keys; G

Software and Configuration Backups; G

Auditing Front End Software; G

Device Audit Record Upload Software; G

System Maintenance Tool Software; G

Control System Scanning Tools; G

STIG, SRG and Vendor Guide Compliance Result Report; G

Final Control System Inventory Report; G

1.6 QUALITY CONTROL

1.6.1 Certifications and Qualifications

For the positions listed below resumes should be submitted to the Government within 14 days after notice to proceed. All certifications must be in effect prior to being hired.

1.6.1.1 Cybersecurity Subject Matter Expert

The individual will oversee all work within this specification. This position requires that the individual currently meets Information Assurance Manager Level II Certification in accordance with DoDI 8140 Cybersecurity Workforce Management.

Individuals for this position should have experience securing DoD Systems and with Risk Management Framework. Control System Experience is highly desirable.

1.6.1.2 Computing Environment (System Administrator) and Network Personnel

The individual will complete all work at the computing level environment. This position requires that the individual meet Information Assurance Technical Level II Certification in accordance with DoDI 8140 Cybersecurity Workforce Management.

Individuals for this position should have experience in securing DoD Systems, Security Technical Implementation Guides, associated tools and practices. Control System experience is highly desirable.

1.7 CYBERSECURITY DOCUMENTATION

{For Government Reference Only: This subpart (and its subparts) relates to PL-7; }

1.7.1 Proposed STIG and SRG Applicability Report

For each model of network connected or network infrastructure device, use the DISA SRG/STIG Applicability Guide and Collection Tool (available at https://public.cyber.mil/stigs/SCAP/to identify applicable STIGs or SRGs and provide a report indicating applicable STIGs and SRGs for each model. Provide the Proposed STIG and SRG Applicability Report concurrently with the Pre-Construction Control System Inventory Report.

1.7.2 Cybersecurity Interconnection Schedule

{For Government Reference Only: This subpart relates to CA-3(b), PL-8, SC-7(9), SC-7(11); CCI-000258, CCI-002398, CCI-002399, CCI-002401, CCI-002402, CCI-002403.}

Provide a completed Cybersecurity Interconnection Schedule documenting network connections between the installed system and other systems. Provide the following information for each device directly communicating between systems: Device Identifier, Device Manufacturer, Device Description, Transport layer Protocol, Network Address, Port (if applicable), MAC (Layer 2) address (if applicable), Media, Application Protocol, Service (if applicable), Descriptive Purpose of communication. For communication with other authorized systems also provide the Foreign Destination and POC for Destination. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Cybersecurity Interconnection Schedule as an editable Microsoft Excel file (a template Cybersecurity Interconnection Schedule in Excel format is available athttps://www.wbdg.org/dod/ufgs/ufgs-25-05-11.)

1.7.3 Network Communication, Ports, Protocols and Services Report

{For Government Reference Only: This subpart (and its subparts) relates to CA-9, PL-8; CCI-002102, CCI-002103, CCI-002104, CCI-002105 and also the submittal requirements associated with CM-6, CM-7, including CM-7(3), CCI-000388.}

Provide a Network Communication, Ports, Protocols and Services Report. For each networked device, document device identifier and the communication characteristics of the device including communication protocols, services used, encryption employed, and a general description of what information is communicated over the network. For each device using IP communication, document all TCP and UDP ports used. For each device using non-IP communication, document communication protocol and media used. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Network Communication, Ports, Protocols and Services Report as an editable Microsoft Excel file.

1.7.4 Control System Inventory Reports

{For Government Reference Only: This subpart (and its subparts) relates to CM-8(a), SI-17, IA-3; CCI-000389, CCI-000392, CCI-000398, CCI-000777, CCI-000778, CCI-001958; CCI-000389, CCI-002828}

Provide a Pre-Construction Control System Inventory Report report and a Final Control System Inventory Report, using the Inventory Spreadsheet listed under this Section at https://www.wbdg.org/dod/ufgs/ufgs-25-05-11. In the Control System Inventory Reports, document all networked devices, including network infrastructure devices. For each device provide all applicable information for which there is a field on the spreadsheet in accordance with the instructions on the spreadsheet.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Control System Inventory Reports as editable Microsoft Excel files. Provide the Pre-Construction Control System Inventory Report concurrently with the Proposed STIG and SRG Applicability Report

1.7.5 Software and Configuration Backups

{For Government Reference Only: This subpart (and its subparts) relates to CP-10; ; CCI-000293}

For each computer or control system device on which software is installed or a configuration file is modified under this project, provide a recovery image of the final as-built computer and device. This image, or configuration file, must allow for bare-metal restore such that restoration of the image is sufficient to restore system operation to the imaged state without the need for re-installation or reconfiguration of software. If additional user permissions are required to meet this requirement, coordinate the creation of the image with the identified Government Device Access Point of Contact.

For all Ethernet switches provide a backup of the switch configuration. For all controllers, provide a backup of the controller configuration and the source code for all loaded application programs (all software that is not common to every controller of the same manufacturer and model).

If any or all of these are provided under another Section, provide documentation indicating this and referencing those submittals.

1.7.6 Cybersecurity Network (Riser) Diagram

{For Government Reference Only: This subpart (and its subparts) relates to PL-2(a), PL-8; }

Provide a cybersecurity network (riser) diagram of the complete control system including all network and device hardware. For each device, include the device identifier, device type, and manufacturer. If the control system specifications require a riser diagram submittal, provide a copy of that submittal as the cybersecurity riser diagram. Otherwise, provide a riser diagram in one-line format.

1.7.7 STIG, SRG and Vendor Guide Compliance Result Report

For every component (device or software) with an applicable STIG or SRG in the Proposed STIG and SRG Applicability Report, document compliance with the STIG or SRG requirements.

a. For components which are scannable by the SCAP (security content automation protocol) tool (available online at https://public.cyber.mil/stigs/scap), include the SCAP report and raw scan results in addition to the final, manually reviewed and revised, documentation of compliance with STIG and SRG requirements. Checklist files should not contain any findings with a Not Reviewed (NR) status after manual reviews.

b. For components which do not support automated scanning, a manual review using the General Purpose STIG option should be done. A completed Checklist file should not contain any findings with a Not Reviewed (NR) status after manual reviews

For every component (device or software) with manufacturer provided cybersecurity documentation, procedure, or method for secure configuration or installation, provide a report documenting how the component was

configured and any deviation from the manufacturer instructions.

1.7.8 Control System Cybersecurity Documentation

{For Government Reference Only: This subpart (and its subparts) relates to SA-5(a),SA-5(b),SA-5(c), SA-22(b); CCIs: ; CCI-001772, CCI-001773, CCI-001774}

Provide a Control System Cybersecurity Documentation submittal containing the indicated information for each device and software application.

1.7.8.1 Software Applications

For all software applications running on computers provide:

a. administrator documentation that describes secure configuration of the software {For Government Reference Only: relates to }

b. administrator documentation that describes secure installation of the software and software updates. {For Government Reference Only: relates to }

c. administrator documentation that describes secure operation of the software {For Government Reference Only: relates to }

d. administrator documentation that describes effective use and maintenance of security functions or mechanisms for the software {For Government Reference Only: relates to }

e. administrator documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the software {For Government Reference Only: relates to }

f. user documentation that describes user-accessible security functions or mechanisms in the software and how to effectively use those security functions or mechanisms {For Government Reference Only: relates to }

g. user documentation that describes methods for user interaction which enables individuals to use the software in a more secure manner {For Government Reference Only: relates to }

h. user documentation that describes user responsibilities in maintaining the security of the software {For Government Reference Only: relates to }

1.7.8.2 For HVAC Control System Devices

1.7.8.2.1 HVAC Control System Devices FULLY Supporting User Accounts

For all HVAC Control System Devices which FULLY support user accounts, provide:

a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to }

b. Documentation that describes secure operation of the device {For Government Reference Only: relates to }

c. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to }

d. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to }

e. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms; or a specific indication that there are no user-accessible security functions or mechanisms in the device {For Government Reference Only: relates to }

f. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to }

1.7.8.2.2 All Other HVAC Control System Devices

For all HVAC Control System Devices which do not FULLY support user accounts, provide:

a. Documentation that describes secure configuration of the device; or a specific indication that there are no secure configuration steps that apply {For Government Reference Only: relates to }

b. Documentation that describes effective use and maintenance of security functions or mechanisms for the device; or a specific indication that there are no security functions or mechanisms in the device {For Government Reference Only: relates to }

c. For devices which include a user interface, documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to }

1.8 SOFTWARE LICENSING

{For Government Reference Only: This subpart (and its subparts) relates to SI-2(a), SI-2(c), SI-7(14); }

For all software provided that has not already been licensed to the government or project site, provide a license to the Government for a period of no less than 5 years, and the license must also include the following software updates:

a. Security and bug-fix patches issued by the software manufacturer.

b. Security patches to address any vulnerability identified in the National Vulnerability Database at http://nvd.nist.gov with a Common Vulnerability Scoring System (CVSS) severity rating of MEDIUM or higher.

Provide a single Software Licenses submittal with documentation of the software licenses for all software provided

1.9 CYBERSECURITY DURING CONSTRUCTION

{For Government Reference Only: This subpart (and its subparts) relates to AC-18, CA-3; CCI-000258; CCI-001048, CCI-002338}

In addition to the control system cybersecurity requirements indicated in this section, meet following requirement throughout the construction process.

1.9.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. Contractor computers connected to the control system, control system network, or a control system component at any point during construction must meet the following requirements:

1.9.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.9.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. Computers used on this project must perform a full antivirus scan at least once per day. Computers which have connected to any other network since the last full antivirus scan must perform a full antivirus scan prior to connection to the control system network or to the temporary contractor-installed IP network. Perform an antivirus scan on all removable media (e.g., external hard drives, CDs, DVDs, USB flash drives) prior to connecting to the control system environment.

1.9.1.3 Passwords and Passphrases

The passwords and passphrases for computers, applications, and web-based applications supporting passwords must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.9.1.4 User-Based Authentication

Each user must have a unique account; sharing of a single account between multiple users is prohibited.

1.9.1.5 Demonstration of Compliance

The Government has the right to require demonstration of computer compliance with these requirements at any time during the project.

1.9.1.6 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor

owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/dod/ufgs/ufgs-25-05-11. Each Statement must be signed by a cybersecurity representative for the relevant company.

1.9.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks connected to the control system, control system network, or a control system component at any point during construction must meet the following requirements:

1.9.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than those specifically provided or furnished for this project. Any and all access to the network from outside the project site is prohibited.

1.9.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification.

1.9.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks, when permitted, must not interfere with existing wireless networks, must use WPA2 security and must not broadcast the network name (SSID). Network names (SSID) for wireless networks must be changed from their default values.

1.9.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.9.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/dod/ufgs/ufgs-25-05-11. Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

1.10 CYBERSECURITY DURING WARRANTY PERIOD

All work performed on the control system after acceptance must be performed using Government Furnished Equipment or equipment specifically and individually approved by the Government.

PART 2 PRODUCTS

All products used on this project must meet the indicated requirements, but not all products specified here will be required by every project.

2.1 ETHERNET SWITCH

Provide Open Systems Interconnection (OSI) Layer 2 Ethernet switches with the following capabilities, and with an interface to support switch configuration for these capabilities:

2.1.1 Required Functionality

Switches must:

a. Copper Ethernet ports must auto negotiate for 10, 100 and 1000 megabits-per-second links.

b. Be capable of implementing port level access control by MAC address and limit the number of MAC addresses to one MAC address per port.

2.1.2 Configuration Requirements

Switches must:

a. Support configuration save and restore.

b. Support both manual IP address assignment and acquisition of a dynamic IP address via Dynamic Host Configuration Protocol (DHCP).

c. Be capable of limiting access for configuration to one or more of: a web interface using HTTPS, a command line interface using SSH, or an SNMP connection using SNMP version 3 or later.

2.2 DAISY CHAIN IP CONTROLLERS

Controllers used as Daisy Chain IP Controllers must be IP controllers with exactly two Ethernet network connections and basic built-in switch capabilities to allow implementation of an Ethernet network in a daisy chain architecture. Switches incorporated by Daisy Chain IP Controllers are not required to meet the requirements for Ethernet Switches as defined in this Section.

PART 3 EXECUTION

3.1 CYBERSECURITY HARDENING AND CONFIGURATION GUIDES

Install, configure, and harden all hardware and software furnished on this project in accordance with manufacturer provided documentation, procedures, or methods for secure configuration or installation. Do not implement specific hardening actions if that action would conflict with required functionality or another requirement of this Section.

3.2 NETWORK REQUIREMENTS

3.2.1 Wireless and Wired Broadcast Communication

{For Government Reference Only: This subpart (and its subparts) relates to AC-18, AC-18(3); CCI-001438, CCI-001439, CCI-002323, CCI-001441}

Unless explicitly authorized by the Government, do not use any wireless or wired broadcast communication.

3.2.2 Non-IP Control Networks

When control system specifications require particular communication protocols, use only those communication protocols and only as specified. Do not implement any other communication protocol.

When control system specifications do not indicate requirements for communication protocols, use only those protocols required for operation of the system as specified.

3.2.3 IP Control Networks

{For Government Reference Only: This subpart relates to CM-6(a), CM-7(a), CM-7(b), CM-7(1)(b), SC-41; CCI-001588, CCI-000381, CCI-000380, CCI-000381, CCI-000382, ; CCI-001109.}

IP Networks must be Ethernet networks and must use switches which are Ethernet Switches or Daisy Chain IP Controllers as defined in this Section. Do not use nonsecure functions, ports, protocols and services as defined in DODI 8551.01 unless those ports, protocols and services are specifically required by the control system specifications or otherwise specifically authorized by the Government. Do not use ports, protocols and services that are not specified in the control system specifications or required for operation of the control system.

3.2.3.1 IP Network Routers

Do not install any device that performs IP routing.

3.2.3.2 IP Devices With Multiple Ethernet Connections

Except for Ethernet Switches and Daisy Chain IP Controllers, devices must not have more than one Ethernet connection to IP networks unless doing so is required by the project specifications and the specific application is approved. If a device with Multiple Ethernet Connections to IP networks is required, provide a Multiple Ethernet Connection Device Request using the Multiple Ethernet Connection Device Request Template at https://www.wbdg.org/dod/ufgs/ufgs-25-05-11 to request approval for each device. If a device with Multiple Ethernet Connections to IP networks is not required, instead provide a document stating that no approval is being requested.

3.2.4 Device Identification and Authentication

{For Government Reference Only: This subpart (and its subparts) relates to IA-3; CCI-000777, CCI-000778, CCI-001958.}

All computers must support IEEE 802.1x for device authentication to the

network.

3.2.4.1 For HVAC Control System Devices

Devices using HTTP as a control protocol must use HTTPS instead.

3.2.5 Cryptographic Module Authentication

{For Government Reference Only: This subpart (and its subparts) relates to IA-7; }

For devices (including but not limited to NIST FIPS 140-2 compliant radios) that have STIG/SRGs related to cryptographic module authentication, comply with the requirements of those STIG/SRGs.

3.3 ACCESS CONTROL REQUIREMENTS

3.3.1 User Accounts

{For Government Reference Only: This subpart (and its subparts) relate to AC-2(a), AC-3, AC-6(1), AC-6(10), AC-6(2), AC-6(9), CM-11(2), and IA-2; CCI-002110, CCI-001558, CCI-002221, CCI-002222, CCI-002223, CCI-000039, CCI-001419, CCI-001812, and CCI-000764; CCI-000017, CCI-000217.}

Any user interface supporting user accounts (either FULLY or MINIMALLY) must limit access according to specified limitations for each account. Install and configure any device having a STIG or SRG in accordance with that STIG or SRG.

All user interfaces FULLY supporting accounts must implement user-based authentication where each account is uniquely assigned to a specific user. User interfaces FULLY supporting accounts must implement at least three (3) levels of user account privilege including: 1) an account with read-only permissions 2) an account with full permissions including account creation and modification and 3) an account with greater permissions than read-only but without account creation and modification. Disable any unnecessary or unused accounts. Disable any "guest-level" accounts that are created on the system by default.

3.3.1.1 Computers

All computer operating systems must FULLY support user accounts and implement accounts for access. Each control system software application not supporting accounts and running on a computer must be installed such that use of the software is restricted by the computer operating system to specific users.

Applications running on computers must not require the user be logged in to a computer operating system administrator account for normal operation. It is permissible to require the computer operating system administrator account for initial application installation and configuration.

3.3.1.2 Controllers

For user interfaces provided by controllers, provide access control in accordance with the User Interface Requirements table for the applicable control system and user interface type.

a. For table entries of "NA": NA means Not Applicable, there are no interfaces in this category.

b. For table entries of "None Required": The user interface is not required to support user accounts.

c. For table entries of "MINIMALLY": The user interface must at least MINIMALLY support user accounts.

d. For table entries of "FULLY": The user interface must at FULLY support user accounts.

e. For table entries of "KEY": The user interface must have physical security in the form of either a key lock on the interface itself or be furnished inside a lockable enclosure. Where this is required for a read only interface, the lockable enclosure must prevent viewing of data on the interface; for other interfaces, this lockable enclosure must prevent using the interface to alter data.

f. For table entries of "Physical Security": For Local FULL interfaces, the interface must be located inside mission space. For Local Limited (not FULL) interfaces, the user interface must either a) be located within mission space or b) be protected by physical security at least as good as the control devices (and equipment controlled by the control devices) affected by the interface. For purposes of this requirement, 'affected' includes controllers with data that can be directly altered by the interface, as well as mechanical and/or electrical equipment directly controlled by those controllers, but does not include other interactions.

g. Entries of the form "X and Y" must meet both the requirement indicated for X and the requirement indicated for Y. For example, an entry of "MINIMALLY and Physical Security" indicates the user interface must both MINIMALLY support accounts and have physical security.

h. Entries of the form "X or Y" must meet either the requirement indicated for X or the requirement indicated for Y.

3.3.1.2.1 HVAC Control Systems

User Interface Requirements for LOW Impact HVAC Control Systems	
User Interface Type	**Access Control Requirement**
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY
Local Full	MINIMALLY
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY

User Interface Requirements for LOW Impact HVAC Control Systems	
User Interface Type	**Access Control Requirement**
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	

3.3.2 Unsuccessful Logon Attempts

{For Government Reference Only: This subpart (and its subparts) relate to AC-7 (a), AC-7 (b); CCI-000043, CCI-000044, CCI-001423, CCI-002236, CCI-002237, CCI-002238; CCI-000056, CCI-000058, CCI-000059}

Except for high availability user interfaces indicated as exempt, devices must meet the indicated requirements for handling unsuccessful logon attempts. If a device cannot meet these requirements, document device capabilities to protect from subsequent logon attempts and propose alternate protections in a Device Account Lock Exception Request submittal. Do not implement alternate protection measures in lieu of the indicated requirements without explicit permission from the Government. If no Device Account Lock Exceptions are requested, provide a document stating that no approval is being requested as the Device Account Lock Exception Request.

3.3.2.1 Devices MINIMALLY Supporting Accounts

For LOW Impact Systems: Devices which MINIMALLY (but not FULLY) support accounts are not required to lock based on unsuccessful logon attempts.

3.3.2.2 Devices FULLY Supporting Accounts

Devices which FULLY support accounts must meet the following requirements.

a. It must lock the user account when three unsuccessful logon attempts occur within a 15 minute interval.

b. Once an account is locked, the account must stay locked until unlocked by an administrator. If the account being locked is the sole administrator account on the device, the account must stay locked for 1 hour and then automatically unlock.

c. Once the indicated number of unsuccessful logon attempts occurs, delay further logon prompts by 5 seconds.

3.3.2.3 High Availability Interfaces Exempt from Unsuccessful Logon Attempts Requirements

There are no high availability interfaces which are exempt from unsuccessful logon attempts requirements.

3.3.3 System Use Notification

{For Government Reference Only: This subpart (and its subparts) relates to AC-8; }

3.3.3.1 System Use Notification for Remote User Interfaces

Remote user interfaces must display a warning banner meeting the requirements of DTM 08-060 on screen.

3.3.3.2 System Use Notification for Local User Interfaces

Devices which are connected to a network and have a local user interface must display a warning banner meeting the requirements of DTM 08-060 on the user interface screen if capable of doing so and must have a permanently affixed label with an approved banner from DTM 08-060 if unable to display the warning banner on the screen. Where it is impractical (perhaps due to device size) to affix the label to the device, affix the label to the device enclosure.

Labels must be machine printed or engraved, plastic or metal, designed for permanent installation, must use a font no smaller than 14 point, and must provide a high contrast between font and background colors.

3.3.4 Permitted Actions Without Identification or Authentication

{For Government Reference Only: This subpart (and its subparts) relates to AC-14; CCI-000061, CCI-000232}

The control system must require identification and authentication before allowing any actions except read-only actions by a user acting from a user interface which MINIMALLY or FULLY supports accounts.

3.3.5 Enclosures

Prior to final acceptance of the system, lock all lockable enclosures. Submit an Enclosure Keys submittal with all copies of keys for all enclosures and a key inventory list documenting all keys. Label each key with the matching enclosure identifier.

3.4 USER IDENTIFICATION AND AUTHENTICATION

{For Government Reference Only: This subpart (and its subparts) relates to IA-2, IA-2(1),IA-2(12), IA-5 IA-5(b), IA-5(c), IA-5(e), IA-5(g), IA-5(1), IA-5(11); CCI-000764, CCI-001544, CCI-001989, CCI-000182, CCI-001610, CCI-000192, CCI-000193, CCI-000194, CCI-000205, CCI-001619, CCI-001611, CCI-001612, CCI-001613, CCI-001614, CCI-000195, CCI-001615, CCI-000196, CCI-000197, CCI-000199, CCI-000198, CCI-001616, CCI-001617, CCI-000200, CCI-001618, CCI-002041, CCI-002002, CCI-002003; CCI-000176, CCI-001996, CCI-001997. }

This subpart indicates requirements for specific methods of identification and authentication for users and user accounts. Where these requirements conflict apply the following order of precedence: 1) If present, Device Specific Requirements take precedence over any other requirements; and then 2) multifactor authentication requirements take precedence over password requirements.

3.4.1 User Identification and Authentication for All System Types

Unless otherwise indicated, all user interfaces supporting accounts (either FULLY or MINIMALLY) must implement Identification and Authorization via passwords.

3.4.2 User Identification and Authentication for Specific System Types

System specific requirements are in addition to and supersede those indicated for all system types. When no additional requirements are indicated for a specific system type the requirements for all systems still apply to that system type.

3.4.2.1 HVAC Control Systems Devices

No additional system specific requirements apply.

3.4.3 User Identification and Authentication for Specific Devices

There are no additional device specific user interface requirements

3.4.4 Implementation of Identification and Authorization Requirements

Identification and Authorization must be met by one of the following methods:

a. Direct implementation in the user interface.

b. For user interfaces on a computer: inheriting the Identification and Authorization from the computer operating system, either by the operating system limiting access to specific applications by user, or by the application itself having permissions based on the user logged into the computer.

c. For remote interfaces: an implementation shared between the remote user interface server and the remote user interface client. For example, a requirement for PIV authentication may be met on a remote user interface by a PIV reader on a web browser client which sends the authentication information via HTTPS to the remote server.

3.4.5 Password-Based Authentication Requirements

3.4.5.1 Passwords for Software and Applications Running on Computers

All software and applications running on computers supporting password-based authentication must enforce the following requirements:

a. Minimum password length of 12 characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.

f. Password must have a minimum lifetime of 24 hours.

g. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

h. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters (where location is significant, a character may be reused if it is in a different position).

i. Passwords must be cryptographically protected during storage and transmission.

3.4.5.2 Passwords for Controllers FULLY Supporting Accounts

All controllers FULLY supporting accounts and supporting password-based authentication must enforce the following requirements:

a. Minimum password length of 12 characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.

f. Password must have a maximum lifetime of sixty (60) days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

g. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters.

h. Passwords must be cryptographically protected during storage and transmission.

3.4.5.3 Passwords for Remote Interfaces

Passwords for connecting to a Remote User Interface supporting password-based authentication must enforce the following requirements:

a. Minimum password length of 12 characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of

supported special characters must include at least 4 separate characters.

f. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

g. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters (where location is significant, a character may be reused if it is in a different position).

h. Passwords must be cryptographically protected during storage and transmission.

3.4.5.4 Passwords for Devices Minimally Supporting Accounts

Devices MINIMALLY supporting accounts must support passwords with a minimum length of four characters.

3.4.5.5 Password Configuration and Reporting

For all devices with a password, change the password from the default password. Coordinate selection of passwords with the Password Point of Contact. Do not use the same password for more than one device unless specifically instructed to do so. Provide a Confidential Password Report documenting the password for each device and describing the procedure to change the password for each device.

Do not provide the Password Summary Report in electronic format. Provide two hardcopies of the Password Summary Report, each copy in its own sealed envelope.

3.4.6 Authenticator Feedback

{For Government Reference Only: This subpart relates to IA-6; }

Devices must never show authentication information, including passwords, on a display. Devices that momentarily display a character as it is entered, and then obscure the character, are acceptable. For devices that have STIGs or SRGs related to obscuring of authenticator feedback, comply with the requirements of those STIGS/SRGs.

3.5 CYBERSECURITY AUDITING

3.5.1 Audit Events, Content of Audit Records, and Audit Generation

{For Government Reference Only: This subpart (and its subparts) relates to AU-2(a), AU-2(c), AU-2(d), AU-3, AU-10, AU-12, AU-14(b), AU-14(1), AU-14(2), AU-14(3), CM-5(1), SC-7 (9); CCI-000123, CCI-001571, CCI-000125, CCI-001485, CCI-001919, CCI-001920, CCI-002400; CCI-000158, CCI-001577, CCI-002146. }

For devices that have STIG/SRGs related to audit events, content of audit records or audit generation, comply with the requirements of those

STIG/SRGs.

If auditing requirements can be met using existing control system alarm or event capabilities, those existing capabilities may be used to meet these requirements.

3.5.1.1 Computers

For each computer, provide the capability to select audited events and the content of audit logs. Configure computers to audit the indicated events, and to record the indicated information for each auditable event. Send logs to a syslog server that is only accessible via accounts on the system with privileged level access.

3.5.1.1.1 Audited Events

Configure each computer to audit the following events:

a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)

b. Successful and unsuccessful logon attempts

c. Successful logouts

d. Privileged activities or other system level access

e. Concurrent logons from different workstations

f. Successful and unsuccessful accesses to objects

g. Program initiations

h. Direct access to the information system

i. Account creations, modifications, disabling, and terminations.

j. Kernel module load, unload, and restart

3.5.1.1.2 Audit Event Information To Record

Configure each computer to record, for each auditable event, the following information (where applicable to the event):

a. What type of event occurred

b. When the event occurred

c. Where the event occurred

d. The source of the event

e. The outcome of the event

f. The identity of any individuals or subjects associated with the event

3.5.1.2 For HVAC Control System Controllers

3.5.1.2.1 HVAC Control System Controllers FULLY Supporting User Accounts

For each controller which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.2.1.1 Audited Events

Configure each controller to audit the following events:

a. Successful and unsuccessful logon attempts to the controller

b. Successful logouts

c. All account creations, modifications, disabling, and terminations.

d. All controller shutdown and startup

3.5.1.2.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

a. what type of event occurred

b. when the event occurred

c. the identity of any individuals or subjects associated with the event

3.5.1.2.2 Other HVAC Control System Controllers

There are no requirements to perform auditing at HVAC field controllers that do not FULLY support accounts.

3.5.2 Audit Time Stamps

{For Government Reference Only: This subpart (and its subparts) relates to AU-8; CCI-000159, CCI-001889, CCI-001890; CCI-000173, CCI-000174.}

Any device (computer or controller) generating audit records must have an internal clock capable of providing time with a resolution of one second. Clocks must not drift more than 10 seconds per day. Configure the system so that each device (computer or controller) generating audit records maintains accurate time to within 1 second. Note that if the control system specifications include requirement for clocks, the most stringent requirement applies.

3.5.3 Auditing Front End Software

{For Government Reference Only: This subpart (and its subparts) relates to AU-7; CCI-001875, CCI-001876; CCI-001877, CCI-001878, CCI-001879, CCI-001880, CCI-001881, CCI-001882}

If there is no existing auditing front end software or the software is not compatible with the provided control systems, provide Auditing Front End Software with audit log import and upload, export, notification, and

analysis functionality. The Auditing Front End Software may be provided as a component of the control system front end or as a separate software package, and a single package may serve multiple control systems provided under the same projects if they are sharing a cybersecurity authorization.

When the Auditing Front End Software is neither existing nor installed under the requirements of another Section, furnish the Auditing Front End Software media and license for subsequent Government installation. Submit copies of Auditing Front End Software if this function is not part of the software provided with the control system to meet requirements of other Sections.

3.5.3.1 Import and Upload Requirements

Auditing Front End Software must be capable of importing audit logs from the Device Audit Record Upload Software and of uploading audit logs over the network from all control system devices supporting network upload of audit logs.

3.5.3.2 Export Requirements

Auditing Front End Software must be capable of exporting to a file format supported by Microsoft Excel.

3.5.4 Audit Storage Capacity and Audit Upload

{For Government Reference Only: This subpart (and its subparts) relates to AU-4; CCI-001848, CCI-001849; CCI-000154}

The creation of audit records must never interfere with normal device operation. Devices must cease collection of auditing information if required to maintain normal operation.

a. For devices that have STIG/SRGs related to audit storage capacity (CCI-001848 or CCI-001849) comply with the requirements of those STIG/SRGs.

b. For controllers capable of generating audit records, provide 60 days worth of secure local storage, assuming 10 auditable events per day.

3.5.4.1 Device Audit Record Upload Software

For each device (computer or controller) required to audit events and for which audit logs cannot be uploaded over the network by the Auditing Front End Software, provide and license to the Government software implementing a secure mechanism of uploading audit records from the device and exporting them to the Auditing Front End Software. Where different devices use different software, provide software of each type required to upload audit logs from all devices.

When Device Audit Record Upload Software is capable of uploading audit logs over the network, install Device Audit Record Upload Software on the same computer as the Auditing Front End Software. Submit copies of device audit record upload software if this function is not part of the software provided with the control system to meet requirements of other Sections. If there are no devices requiring this software, provide a document stating this in lieu of this submittal.

3.5.5 Response to Audit Processing Failures

{For Government Reference Only: This subpart (and its subparts) relates to AU-5; .}

In the case of a failure in the auditing system, computers associated with auditing must provide email notification. In case of an audit failure, if possible, continue to collect audit records by overwriting existing audit records.

3.6 REQUIREMENTS FOR LEAST FUNCTIONALITY

{For Government Reference Only: This subpart (and its subparts), along with the Network Communication, Ports, Protocols and Services Report submittal specified elsewhere in this section, relates to CM-6(a), CM-6(c), CM-7, CM-7(1)(b), SC-41; CCI-000363, CCI-000364, CCI-000365, CCI-001588, CCI-001755, CCI-000381, CCI-000380, CCI-000382, ; CCI-001120. }

For devices that have a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port and device I/O access for least functionality), install and configure the device in accordance with that STIG or SRGs.

3.6.1 Device Capabilities

For HVAC Control Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

3.6.2 Software

For software that has a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port access for least functionality), install and configure the software in accordance with that STIG or SRG.

Do not install software that is not specifically required to meet a contract requirement. Remove any previously installed that is not specifically required to meet a contract requirement. Do not implement functionality within software
that is not specifically required to meet contract requirements.

3.7 SYSTEM AND COMMUNICATION PROTECTION

3.7.1 Collaborative Computing

{For Government Reference Only: This subpart relates to SC-15(a), SC-15(b); .}

Without explicit approval from the project site, control systems must not use collaborative computing technologies.

3.7.2 Denial of Service Protection

{For Government Reference Only: This subpart relates to SC-5, SC-12, SC-7(a); CCI-001093, CCI-002385, CCI-002386, CCI-001097; CCI-002388, CCI-002390. }

To the greatest extent practical, implement control logic without reliance on the network. Except when required to meet the requirements of the control system Section (where the requirement can only be met using computer hardware), do not implement control logic in computers.

3.7.3 Mobile Code

{For Government Reference Only: This subpart relates to SC-18(a), SC-18(b),
SC-18(c), SC-18(1), SC-18(3), SC-18(4); CCI-001160, CCI-001161, CCI-001162, CCI-001163, CCI-001164, CCI-001165}

Devices with STIGs/SRGs related to Mobile Code and to Security Control SC-18 must be installed in accordance with the relevant STIGs/SRGs. All remote user interfaces must meet the requirements of the "Web Browsers and Application SRG".

Mobile code may only be downloaded from a specifically authorized mobile code repository. Coordinate with the Mobile Code Point of Contact for the location of a repository.

3.8 SAFE MODE AND FAIL SAFE OPERATION

{For Government Reference Only: This subpart (and its subparts) relates to CP-12, SI-10(3), SI-17; }

For all control system components with an applicable STIG or SRG, configure the component in accordance with all applicable STIGs and SRGs.

3.9 SYSTEM MAINTENANCE TOOL SOFTWARE

{For Government Reference Only: This subpart (and its subparts) relates to MA-3; CCI-000865.}

Submit and license to the Government all software required to operate, maintain and modify the control system such the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer. Submit hard copies of user manuals for each software with the software submittal. Provide any hardware keys or dongles, software keys, license numbers, and other information required to enable the Government to access or change the system.

For software provided and licensed to the Government under the requirements of another Section, submit a statement indicating the Section and Submittal under which the software was provided. For software provided to meet the requirements of this Section and not provided and licensed under another Section, submit software and software user manuals on DVD or CD as a Technical Data Package and submit one hard copy of the software user manual for each piece of software.

3.10 VULNERABILITY SCANNING

{For Government Reference Only: This subpart (and its subparts) relates to RA-5 RA-5(a),RA-5(b),RA-5(c),RA-5(d); CCI-001054, CCI-001055, CCI-001641, CCI-001643, CCI-001057, CCI-001058, CCI-001059; CCI-001056, CCI-001062, CCI001067, CCI-001645, CCI-002906. }

All IP devices must be scannable, such that the device can be scanned by industry standard IP network scanning utilities without harm to the device, application, or functionality.

3.10.1 Computers and Software Running on Computers

Computers and applications running on computers must meet relevant vulnerability scanning STIGs/SRGs and respond to approved DoD vulnerability scanning tools.

3.10.2 Controllers

Provide controllers that are scannable by standard control system discovery tools or control system browsers and return meaningful status information including the network inputs and outputs for the controller. This information must contain sufficient detail to detect vulnerabilities or exploits of the controller.

Provide all software needed to scan the control system as the Control System Scanning Tools submittal. If the software required to scan the system is already installed at the project site or is provided under a separate section instead provide a statement indicating this.

3.11 SYSTEM AND INTEGRATION INTEGRITY

3.11.1 Malicious Code Protection

{For Government Reference Only: This subpart (and its subparts) relates to SI-3(c); CCI-001241, CCI-002623; CCI-002645, CCI-002054, CCI-002645, CCI-002686, CCI-002723, CCI-002724}

For all computers installed under this project, provide malware protection software media, provide licenses, and install and configure malware protection software as indicated. Coordinate with the Government Computer Access Point of Contact as required.

a. Malware protection software licenses will be Government furnished.

b. Malware protection software media will be Government furnished.

c. Malware protection software will be Government installed.

3.12 CONTROL SYSTEM CYBERSECURITY TESTING

3.12.1 Control System Cybersecurity Testing Procedures

Prepare and submit Control System Cybersecurity Testing Procedures explaining step-by-step, the actions and expected results that will demonstrate that the control system meets the requirements of this Section. The Control System Cybersecurity Testing Procedures may be

submitted as a Technical Data Package.

3.12.2 Control System Cybersecurity Testing Execution

Using the Control System Cybersecurity Testing Procedures verify that the control system meets the requirements of this Section. UNLESS GOVERNMENT WITNESSING OF A TEST IS SPECIFICALLY WAIVED BY THE GOVERNMENT, PERFORM ALL TESTS WITH A GOVERNMENT WITNESS. If testing reveals deficiencies in the system, correct the deficiency and retest until successful.

3.12.3 Control System Cybersecurity Testing Report

Prepare and submit a Control System Cybersecurity Testing Report documenting all tests performed and their results. Include all tests in the Control System Cybersecurity Testing Procedures and any additional tests performed during testing. Document test failures and repairs conducted with the test results. The Control System Cybersecurity Testing Report may be submitted as a Technical Data Package

3.13 FIELD QUALITY CONTROL, CYBERSECURITY VALIDATION SUPPORT

In addition to testing and testing support required by other Sections, provide a minimum of 32 hours of technical support for cybersecurity testing of control systems to support the DoD Risk Management Framework process Cybersecurity assessment of the control system. This support is independent of (and in addition to) the Control System Cybersecurity Testing specified in this section.

3.14 CYBERSECURITY TRAINING

Provide eight hours of classroom and hands-on training for six Government personnel on the cybersecurity operation and maintenance of the control system provided. This training is in addition to and must be coordinated with control system training specified in other Sections.

The Government will provide the training location. Training must cover, at a minimum: (a) applying software and firmware updates, (b) user account creation, modification and deletion, (c) audit log upload procedures and (d) identification of privileged user interfaces and system impact of those interfaces. Training session must include a question and answer period during which government staff questions about cybersecurity aspects of the control system are answered.

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SECTION 26 08 00

APPARATUS INSPECTION AND TESTING
11/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

1.2 RELATED REQUIREMENTS

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM applies to this section with additions and modifications specified herein.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Acceptance Tests and Inspections; G

SD-07 Certificates

Qualifications of Organization, and Lead Engineering Technician; G

Acceptance Test and Inspections Procedure; G

1.4 QUALITY ASSURANCE

1.4.1 Qualifications

Engage the services of a qualified testing organization to provide inspection, testing, calibration, and adjustment of the electrical distribution system and generation equipment listed in paragraph ACCEPTANCE TESTS AND INSPECTIONS herein. Organization must be independent of the supplier, manufacturer, and installer of the equipment. The organization must be a first tier subcontractor. No work required by this section of the specification may be performed by a second tier subcontractor.

a. Submit name and qualifications of organization. Organization must have been regularly engaged in the testing of electrical materials, devices, installations, and systems for a minimum of 5 years. The organization must have a calibration program, and test instruments

used must be calibrated in accordance with NETA ATS.

b. Submit name and qualifications of the lead engineering technician performing the required testing services. Include a list of three comparable jobs performed by the technician with specific names and telephone numbers for reference. Testing, inspection, calibration, and adjustments must be performed by an engineering technician, certified by NETA (Level III) or the National Institute for Certification in Engineering Technologies (NICET) with a minimum of 5 years' experience inspecting, testing, and calibrating electrical distribution and generation equipment, systems, and devices.

1.4.2 Acceptance Tests and Inspections Reports

Submit certified copies of inspection reports and test reports. Include certification of compliance with specified requirements, identify deficiencies, and recommend corrective action when appropriate. Type and neatly bind test reports to form a part of the final record. Submit test reports documenting the results of each test not more than 10 days after test is completed.

1.4.3 Acceptance Test and Inspections Procedure

Submit test procedure reports for each item of equipment to be field tested at least 45 days prior to planned testing date. Do not perform testing until after test procedure has been approved.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 ACCEPTANCE TESTS AND INSPECTIONS

Testing organization will perform acceptance tests and inspections. Test methods, procedures, and test values must be performed and evaluated in accordance with NETA ATS, the manufacturer's recommendations, and paragraph FIELD QUALITY CONTROL of each applicable specification section. Tests identified as optional in NETA ATS are not required unless otherwise specified. Place equipment in service only after completion of required tests and evaluation of the test results have been completed. Supply to the testing organization complete sets of shop drawings, settings of adjustable devices, and other information necessary for an accurate test and inspection of the system prior to the performance of any final testing. Notify Contracting Officer at least 14 days in advance of when tests will be conducted by the testing organization. Perform acceptance tests and inspections on applicable equipment and systems specified in the following sections:

a. Section 26 12 19 PAD-MOUNTED, LIQUID-FILLED, MEDIUM-VOLTAGE TRANSFORMERS

b. Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION. Medium voltage cables and grounding systems only.

3.2 SYSTEM ACCEPTANCE

Final acceptance of the system is contingent upon satisfactory completion

of acceptance tests and inspections.

3.3 PLACING EQUIPMENT IN SERVICE

A representative of the approved testing organization must be present when equipment tested by the organization is initially energized and placed in service.

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SECTION 26 12 19

PAD-MOUNTED, LIQUID-FILLED, MEDIUM-VOLTAGE TRANSFORMERS
05/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 318	(2019; R 2022) Building Code Requirements for Structural Concrete (ACI 318-19) and Commentary (ACI 318R-19)
ACI 318M	(2019; Errata 2022) Building Code Requirements for Structural Concrete & Commentary

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1	(2014; Errata 2016) Electric Meters - Code for Electricity Metering

ASTM INTERNATIONAL (ASTM)

ASTM A240/A240M	(2025) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications
ASTM C260/C260M	(2024) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM D92	(2012a) Standard Test Method for Flash and Fire Points by Cleveland Open Cup Tester
ASTM D97	(2017b) Standard Test Method for Pour Point of Petroleum Products
ASTM D117	(2018) Standard Guide for Sampling, Test Methods, and Specifications for Electrical Insulating Liquids
ASTM D877/D877M	(2019) Standard Test Method for Dielectric Breakdown Voltage of Insulating Liquids Using Disk Electrodes
ASTM D1535	(2014; R 2018) Standard Practice for Specifying Color by the Munsell System
ASTM D3487	(2016; E2017) Standard Specification for Mineral Insulating Oil Used in Electrical Apparatus

FM GLOBAL (FM)

FM APP GUIDE	(updated on-line) Approval Guide https://www.approvalguide.com/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 386	(2016) Separable Insulated Connector Systems for Power Distribution Systems Rated 2.5 kV through 35 kV
IEEE C2	(2023) National Electrical Safety Code
IEEE C37.47	(2011) Standard for High Voltage Distribution Class Current-Limiting Type Fuses and Fuse Disconnecting Switches
IEEE C57.12.00	(2021) General Requirements for Liquid-Immersed Distribution, Power, and Regulating Transformers
IEEE C57.12.28	(2023) Standard for Pad-Mounted Equipment - Enclosure Integrity
IEEE C57.12.29	(2023) Standard for Pad-Mounted Equipment - Enclosure Integrity for Coastal Environments
IEEE C57.12.34	(2022) Standard Requirements for Pad-Mounted, Compartmental-Type, Self-Cooled, Three-Phase Distribution Transformers, 10 MVA and Smaller; High Voltage, 34.5 kV Nominal System Voltage and Below; Low Voltage, 15 kV Nominal System Voltage and Below
IEEE C57.12.80	(2010) Standard Terminology for Power and Distribution Transformers
IEEE C57.12.90	(2021) Test Code for Liquid-Immersed Distribution, Power, and Regulating Transformers
IEEE C57.13	(2016) Standard Requirements for Instrument Transformers
IEEE C57.98	(2011) Guide for Transformer Impulse Tests
IEEE C62.11	(2020) Standard for Metal-Oxide Surge Arresters for Alternating Current Power Circuits (>1kV)
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2025) Standard for Acceptance Testing

	Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C12.7	(2022) Requirements for Watthour Meter Sockets
NEMA 260	(1996; R 2004) Safety Labels for Pad-Mounted Switchgear and Transformers Sited in Public Areas
NEMA LI 1	(1998; R 2011) Industrial Laminating Thermosetting Products
NEMA Z535.4	(2023) Product Safety Signs and Labels
NEMA/ANSI C12.10	(2011; R 2021) Physical Aspects of Watthour Meters - Safety Standard

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 1 2024; TIA 24-1) National Electrical Code

ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT (OECD)

OECD Test 203	(1992) Fish Acute Toxicity Test

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA 712-C-98-075	(1998) Fate, Transport and Transformation Test Guidelines - OPPTS 835.3100- "Aerobic Aquatic Biodegradation"
EPA 821-R-02-012	(2002) Methods for Measuring the Acute Toxicity of Effluents and Receiving Waters to Freshwater and Marine Organisms

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 431	Energy Efficiency Program for Certain Commercial and Industrial Equipment

UL SOLUTIONS (UL)

UL 467	(2022) UL Standard for Safety Grounding and Bonding Equipment

1.2 RELATED REQUIREMENTS

Section 26 08 00 APPARATUS INSPECTION AND TESTING applies to this section, with the additions and modifications specified herein.

1.3 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Pad-mounted Transformer Drawings; G

SD-03 Product Data

Pad-mounted Transformers; G

SD-06 Test Reports

Acceptance Checks and Tests; G

SD-09 Manufacturer's Field Reports

Transformer Test Schedule; G

Pad-mounted Transformer Design Tests; G

Pad-mounted Transformer Routine and Other Tests; G

SD-10 Operation and Maintenance Data

Transformer(s), Data Package 5; G

1.4.1 Government Submittal Review

A COR designated official will review and approve all submittals in this section requiring Government approval.

1.4.2 Reduced Submittal Requirements

Transformers designed and manufactured by ABB/Hitachi Energy in Jefferson City, MO; by Eaton's Cooper Power Series Transformers in Waukesha, WI; by ERMCO in Dyersburg, TN; or by Howard Industries in Laurel, MS need not submit the entire submittal package requirements of this contract. Instead, submit the following items:

a. A certification, signed by the manufacturer, stating that the manufacturer will meet the technical requirements of this specification.

b. An outline drawing of the transformer with devices identified (paragraph PAD-MOUNTED TRANSFORMER DRAWINGS, item a).

c. ANSI nameplate data of the transformer (paragraph PAD-MOUNTED TRANSFORMER DRAWINGS, item b).

d. Provide transformer test schedule and routine and other tests required by submittal item "SD-09 Manufacturer's Field Reports".

e. Provide acceptance test reports required by submittal item "SD-06 Test

Reports".

f. Provide operation and maintenance manuals required by submittal item "SD-10 Operation and Maintenance Data".

1.5 QUALITY ASSURANCE

1.5.1 Pad-Mounted Transformer Drawings

Include the following as a minimum:

a. An outline drawing, including front, top, and side views.

b. IEEE nameplate data.

1.5.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, except of NFPA 70 when more stringent requirements are specified or indicated, as though the word "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated.

1.5.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship, and:

a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.

b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.

c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.6 MAINTENANCE

1.6.1 Additions to Operation and Maintenance Data

Submit operation and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA and as specified herein. In addition to requirements of Data Package 5, include the following on the actual transformer(s) provided:

a. An instruction manual with pertinent items and information highlighted

b. An outline drawing, front, top, and side views

c. Prices for spare parts and supply list

d. Routine and field acceptance test reports

e. Fuse curves for primary fuses

f. Actual nameplate diagram

g. Date of purchase

PART 2 PRODUCTS

2.1 PRODUCT COORDINATION

Products and materials not considered to be pad-mounted transformers and related accessories are specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM, and Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

2.2 THREE-PHASE PAD-MOUNTED TRANSFORMERS

IEEE C57.12.34, IEEE C57.12.28 and as specified herein. Submit manufacturer's information for each component, device, insulating fluid, and accessory provided with the transformer.

2.2.1 Compartments

Provide high- and low-voltage compartments separated by steel isolating barriers extending the full height and depth of the compartments. Compartment doors: hinged lift-off type with stop in open position and three-point latching.

2.2.1.1 High Voltage, Dead-Front

High-voltage compartment contains: the incoming line, insulated high-voltage load-break connectors, bushing well inserts, feed-thru inserts, six high-voltage bushing wells configured for loop feed application, load-break switch handle(s), access to oil-immersed bayonet fuses, dead-front surge arresters, tap changer handle, connector parking stands with insulated standoff bushings, protective caps, and ground pad.

Minimum high-voltage compartment dimensions: IEEE C57.12.34, Figures 16 and 17.

a. Insulated high-voltage load-break connectors: IEEE 386, rated 15 kV, 95 kV BIL. Current rating: 200 amperes rms continuous. Short time

rating: 10,000 amperes rms symmetrical for a time duration of 0.17 seconds. Connector must have a steel reinforced hook-stick eye, grounding eye, test point, and arc-quenching contact material.

b. Bushing well inserts: IEEE 386, 200 amperes, 15 kV Class. Provide a bushing well insert for each bushing well unless indicated otherwise.

c. One-piece bushings: IEEE 386, 200 amperes, 15 kV Class.

d. Load-break switch

Loop feed sectionalizer switches: Provide three, two-position, oil-immersed type switches to permit closed transition loop feed and sectionalizing. Each switch must be rated at 15 kV, 95 kV BIL, with a continuous current rating and load-break rating of 200 amperes, and a make-and-latch rating of 12,000 rms amperes symmetrical. Locate the switch handles in the high-voltage compartment. Operation of switches must be as follows:

ARRANGEMENT NO.	DESCRIPTION OF SWITCH ARRANGEMENT	SWITCH POSITION					
		LINE A SW.		LINE B SW		XFMR. SW	
		OPEN	CLOSE	OPEN	CLOSE	OPEN	CLOSE
1	Line A connected to Line B and both lines connected to transformer		X		X		X
2	Transformer connected to Line A only		X	X			X
3	Transformer connected to Line Bonly	X			X		X
4	Transformer open and loop closed		X		X	X	
5	Transformer open and loop open	X		X		X	

e. Provide bayonet oil-immersed, expulsion fuses in series with oil-immersed, partial-range, current-limiting fuses. The bayonet fuse links sense both high currents and high oil temperature in order to provide thermal protection to the transformer. Coordinate transformer protection with expulsion fuse clearing low-current faults and current-limiting fuse clearing high-current faults beyond the interrupting rating of the expulsion fuse. Include an oil retention valve inside the bayonet assembly housing, which closes when the fuse holder is removed, and an external drip shield to minimize oil spills. Display a warning label adjacent to the bayonet fuse(s) cautioning against removing or inserting fuses unless the transformer has been de-energized and the tank pressure has been released.

Bayonet fuse assembly: 150 kV BIL.

Oil-immersed current-limiting fuses: IEEE C37.47; 50,000 rms amperes symmetrical interrupting rating at the system voltage specified.

f. Surge arresters: IEEE C62.11, rated 15 kV, fully shielded, dead-front, metal-oxide-varistor, elbow type with resistance-graded gap. Provide six arresters for loop feed circuits.

g. Parking stands: Provide a parking stand near each bushing. Provide insulated standoff bushings for parking of energized high-voltage connectors on parking stands.

h. Protective caps: IEEE 386, 200 amperes, 15 kV Class. Provide insulated protective caps (not shipping caps) for insulating and sealing out moisture from unused bushings.

2.2.1.2 Low Voltage

Low-voltage compartment contains: low-voltage bushings with NEMA spade terminals, accessories, metering, stainless steel or laser-etched anodized aluminum diagrammatic transformer nameplate, and ground pad.

a. Include the following accessories: As indicated on the drawings.

b. Metering: Reinstall the existing meter with 800A rated Current Transformer and verify scaling matches the installed CTs, coordinate meter configuration changes with DPW.

2.2.2 Transformer

a. Less-flammable liquid-insulated, two winding, 60 hertz, 65 degrees C rise above a 30 degrees C average ambient, self-cooled type.

b. Transformer rated 300 kVA.

c. Transformer voltage ratings: 7970/13800 V Wye - 120/208 V GrdY.

d. Tap changer: externally operated, manual type for changing tap setting when the transformer is de-energized. Provide four 2.5 percent full capacity taps, two above and two below rated primary voltage. Indicate which tap setting is in use, clearly visible when the compartment is opened.

e. Minimum tested percent impedance at 85 degrees C:

(1) .87 for units rated 112.5kVA to 300kVA

f. Comply with the following audible sound level limits:

kVA	DECIBELS (MAX
75	51
112.5	55

150	55
225	55
300	55
500	56
750	57
1000	58
1500	60
2000	61
2500	62

g. Include:

(1) Lifting lugs and provisions for jacking under base, with base construction suitable for using rollers or skidding in any direction.

(2) An insulated low-voltage neutral bushing with NEMA spade terminal, and with removable ground strap.

(3) Provide transformer top with an access handhole.

(4) kVA rating conspicuously displayed on its enclosure.

2.2.2.1 Specified Transformer Efficiencies

Provide transformer efficiency calculations utilizing the actual no-load and load loss values obtained during the routine tests performed on the actual transformer(s) prepared for this project. Reference no-load losses (NLL) at 20 degrees C. Reference load losses (LL) at 55 degrees C and at 50 percent of the nameplate load. The transformer is not acceptable if the calculated transformer efficiency is less than the efficiency indicated in the "KVA / Efficiency" table below. The table is based on requirements contained within 10 CFR 431, Subpart K. Submit certification, including supporting calculations, from the manufacturer indicating conformance.

kVA	EFFICIENCY (percent)
300	99.27

2.2.3 Insulating Liquid

a. Less-flammable transformer liquids: NFPA 70 and FM APP GUIDE for less-flammable liquids having a fire point not less than 300 degrees C tested per ASTM D92 and a dielectric strength not less than 33 kV tested per ASTM D877/D877M. Provide identification of transformer as "non-PCB" and "manufacturer's name and type of fluid" on the nameplate.

Provide a fluid that is a biodegradable, electrical insulating, and cooling liquid classified by UL and approved by FM as "less flammable" with the following properties:

(1) Pour point: ASTM D97, less than -15 degree C

(2) Aquatic biodegradation: EPA 712-C-98-075, ultimately biodegradable as designated by EPA.

(3) Trout toxicity: OECD Test 203, zero mortality of EPA 821-R-02-012, pass

b. Mineral oil: ASTM D3487, Type II, tested in accordance with ASTM D117. Provide identification of transformer as "non-PCB" and "Type II mineral oil" on the nameplate.

2.2.3.1 Liquid-Filled Transformer Nameplates

Provide nameplate information in accordance with IEEE C57.12.00 and as modified or supplemented by this section.

2.2.4 Corrosion Protection

Provide entire transformer assembly, including tank and radiator, base, enclosure, and metering enclosure fabricated of stainless steel conforming to ASTM A240/A240M, Type 304 or 304L. Form enclosure of stainless steel sheets. The optional use of aluminum is permitted for the metering enclosure.

Paint entire transformer assembly Munsell 7GY3.29/1.5 green, with paint coating system complying with IEEE C57.12.28 and IEEE C57.12.29 regardless of base, cabinet, and tank material. The Munsell color notation is specified in ASTM D1535.

2.3 WARNING SIGNS AND LABELS

Provide warning signs for the enclosures of pad-mounted transformers having a nominal rating exceeding 600 volts in accordance with NEMA Z535.4 and NEMA 260.

a. When the enclosure integrity of such equipment is specified to be in accordance with IEEE C57.12.28, such as for pad-mounted transformers, provide self-adhesive warning labels on the outside of the high voltage compartment door(s)with nominal dimensions of 7 by 10 inches with the legend "WARNING HIGH VOLTAGE" printed in two lines of nominal 2 inch high letters. Include the work "WARNING" in white letters on an orange background and the words "HIGH VOLTAGE" in black letters on a white background.

2.4 ARC FLASH WARNING LABEL

Provide arc flash warning label for the enclosure of pad-mounted transformers. Locate this self-adhesive warning label on the outside of the high voltage compartment door warning of potential electrical arc flash hazards and appropriate PPE required. Provide label format as indicated.

2.5 GROUNDING AND BONDING

UL 467. Provide grounding and bonding as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

2.6 CAST-IN-PLACE CONCRETE

Provide concrete associated with electrical work as follows:

a. Composed of fine aggregate, coarse aggregate, portland cement, and water so proportioned and mixed as to produce a plastic, workable mixture.

b. Fine aggregate: hard, dense, durable, clean, and uncoated sand.

c. Coarse aggregate: reasonably well graded from 3/16 inch to 1 inch.

d. Fine and coarse aggregates: free from injurious amounts of dirt, vegetable matter, soft fragments or other deleterious substances.

e. Water: fresh, clean, and free from salts, alkali, organic matter, and other impurities.

f. Concrete associated with electrical work for other than encasement of underground ducts: 4000 psi minimum 28-day compressive strength unless specified otherwise.

g. Slump: Less than 4 inches. Retempering of concrete will not be permitted.

h. Exposed, unformed concrete surfaces: smooth, wood float finish.

i. Concrete must be cured for a period of not less than 7 days, and concrete made with high early strength portland cement must be repaired by patching honeycombed or otherwise defective areas with cement mortar as directed by the Contracting Officer.

j. Air entrain concrete exposed to weather using an air-entraining admixture conforming to ASTM C260/C260M.

k. Air content: between 4 and 6 percent.

2.7 SOURCE QUALITY CONTROL

2.7.1 Transformer Test Schedule

The Government reserves the right to witness tests. Provide transformer test schedule for tests to be performed at the manufacturer's test facility. Submit required test schedule and location, and notify the Contracting Officer 30 calendar days before scheduled test date. Notify Contracting Officer 15 calendar days in advance of changes to scheduled date.

a. Test Instrument Calibration

(1) Provide a calibration program which assures that all applicable test instruments are maintained within rated accuracy.

(2) Accuracy: Traceable to the National Institute of Standards and

Technology.

(3) Instrument calibration frequency schedule: less than or equal to 12 months for both test floor instruments and leased specialty equipment.

(4) Dated calibration labels: visible on all test equipment.

(5) Calibrating standard: higher accuracy than that of the instrument tested.

(6) Keep up-to-date records that indicate dates and test results of instruments calibrated or tested. For instruments calibrated by the manufacturer on a routine basis, in lieu of third party calibration, include the following:

(a) Maintain up-to-date instrument calibration instructions and procedures for each test instrument.

(b) Identify the third party/laboratory calibrated instrument to verify that calibrating standard is met.

2.7.2 Design Tests

IEEE C57.12.00, and IEEE C57.12.90. Section 5.1.2 in IEEE C57.12.80 states that "design tests are made only on representative apparatus of basically the same design." Submit design test reports (complete with test data, explanations, formulas, and results), in the same submittal package as the catalog data and drawings for the specified transformer, with design tests performed prior to the award of this contract.

a. Tests: certified and signed by a registered professional engineer.

b. Temperature rise: "Basically the same design" for the temperature rise test means a pad-mounted transformer with the same coil construction (such as wire wound primary and sheet wound secondary), the same kVA, the same cooling type (KNAN), the same temperature rise rating, and the same insulating liquid as the transformer specified.

c. Lightning impulse: "Basically the same design" for the lightning impulse dielectric test means a pad-mounted transformer with the same BIL, the same coil construction (such as wire wound primary and sheet wound secondary), and a tap changer, if specified. Design lightning impulse tests includes the primary windings only of that transformer.

(1) IEEE C57.12.90, paragraph 10.3 entitled "Lightning Impulse Test Procedures," and IEEE C57.98.

(2) State test voltage levels.

(3) Provide photographs of oscilloscope display waveforms or plots of digitized waveforms with test report.

d. Lifting and moving devices: "Basically the same design" requirement for the lifting and moving devices test means a test report confirming that the lifting device being used is capable of handling the weight of the specified transformer in accordance with IEEE C57.12.34.

e. Pressure: "Basically the same design" for the pressure test means a

pad-mounted transformer with a tank volume within 30 percent of the tank volume of the transformer specified.

f. Short circuit: "Basically the same design" for the short circuit test means a pad-mounted transformer with the same kVA as the transformer specified.

2.7.3 Routine and Other Tests

IEEE C57.12.00. Routine and other tests: performed in accordance with IEEE C57.12.90 by the manufacturer on the actual transformer prepared for this project to ensure that the design performance is maintained in production. Submit test reports, by serial number and receive approval before delivery of equipment to the project site. Required tests and testing sequence as follows:

a. Phase relation

b. Ratio

c. No-load losses (NLL) and excitation current

d. Load losses (LL) and impedance voltage

e. Dielectric

(1) Impulse

(2) Applied voltage

(3) Induced voltage

f. Leak

PART 3 EXECUTION

3.1 INSTALLATION

Conform to IEEE C2, NFPA 70, and to the requirements specified herein. Provide new equipment and materials unless indicated or specified otherwise.

3.2 GROUNDING

NFPA 70 and IEEE C2, except provide grounding systems with a resistance to solid earth ground not exceeding 25 ohms.

3.2.1 Grounding Electrodes

Provide driven ground rods as indicated on the design drawings. Connect ground conductors to the upper end of ground rods by exothermic weld or compression connector. Provide compression connectors at equipment end of ground conductors.

3.2.2 Pad-Mounted Transformer Grounding

Provide a ground ring around the transformer with 4/0 AWG bare copper. Provide two ground rods in the ground ring at opposite corners. Install the ground rods at least 10 feet apart from each other. Provide separate

copper grounding conductors and connect them to the ground loop as indicated. When work in addition to that indicated or specified is required to obtain the specified ground resistance, the provision of the contract covering "Changes" applies.

3.2.3 Connections

Make joints in grounding conductors and loops by exothermic weld or compression connector. Install exothermic welds and compression connectors as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

3.2.4 Grounding and Bonding Equipment

UL 467, except as indicated or specified otherwise.

3.3 INSTALLATION OF EQUIPMENT AND ASSEMBLIES

Install and connect pad-mounted transformers furnished under this section as indicated on project drawings, the approved shop drawings, and as specified herein.

3.3.1 Current Transformers

ANSI C12.1.

3.4 FIELD APPLIED PAINTING

Where field painting of enclosures is required to correct damage to the manufacturer's factory applied coatings, provide manufacturer's recommended coatings and apply in accordance with manufacturer's instructions.

3.5 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side, but space the signs a maximum of 30 feet apart.

3.6 FOUNDATION FOR EQUIPMENT AND ASSEMBLIES

Mount transformer on concrete slab as follows:

a. Unless otherwise indicated, provide the slab with dimensions at least 8 inches thick, reinforced with a 6 by 6 inches - W2.9 by W2.9 mesh placed uniformly 4 inches from the top of the slab.

b. Place slab on a 6 inch thick, well-compacted gravel base.

c. Install slab such that top of concrete slab is approximately 4 inches above the finished grade with gradual slope for drainage.

d. Provide edges above grade with 1/2 inch chamfer.

e. Provide slab of adequate size to project at least 8 inches beyond the equipment.

Stub up conduits, with bushings, 2 inches into cable wells in the concrete pad. Coordinate dimensions of cable wells with transformer cable training areas.

3.6.1 Cast-In-Place Concrete

ACI 318.

3.6.2 Sealing

When the installation is complete, seal all entries into the equipment enclosure with an approved sealing method. Provide seals of sufficient strength and durability to protect all energized live parts of the equipment from rodents, insects, or other foreign matter.

3.7 FIELD QUALITY CONTROL

3.7.1 Performance of Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS. Submit reports, including acceptance criteria and limits for each test in accordance with NETA ATS "Test Values".

3.7.1.1 Pad-Mounted Transformers

a. Visual and mechanical inspection

(1) Compare equipment nameplate data with specifications and approved shop drawings.

(2) Inspect physical and mechanical condition. Check for damaged or cracked insulators and leaks.

(3) Inspect anchorage, alignment, and grounding.

(4) Verify the presence of PCB content labeling.

(5) Verify the bushings and transformer interiors are clean.

(6) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.

(7) Verify correct liquid level in tanks and bushings.

(8) Verify that positive pressure is maintained on gas-blanketed transformers.

(9) Perform specific inspections and mechanical tests as recommended by manufacturer.

(10) Verify de-energized tap changer position is left as specified.

(11) Verify the presence of transformer surge arresters.

b. Electrical tests

(1) Perform resistance measurements through all bolted connections with low-resistance ohmmeter.

(2) Verify proper secondary voltage phase-to-phase and phase-to-neutral after energization and prior to loading.

3.7.1.2 Current Transformers

a. Visual and mechanical inspection

(1) Compare equipment nameplate data with specifications and approved shop drawings.

(2) Inspect physical and mechanical condition.

(3) Verify correct connection.

(4) Verify that adequate clearances exist between primary and secondary circuit wiring.

(5) Verify the unit is clean.

(6) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.

(7) Verify that all required grounding and shorting connections provide good contact.

(8) Verify correct operation of transformer withdrawal mechanism and grounding operation.

(9) Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.

b. Electrical tests

(1) Perform resistance measurements through all bolted connections with low-resistance ohmmeter, if applicable.

(2) Perform insulation-resistance test.

(3) Perform a polarity test.

(4) Perform a ratio-verification test.

3.7.1.3 Grounding System

a. Visual and mechanical inspection

(1) Inspect ground system for compliance with contract plans and specifications.

b. Electrical tests

(1) Perform ground-impedance measurements utilizing the fall-of-potential method. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in

normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. Use an instrument equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test.

(2) Submit the measured ground resistance of each ground rod and grounding system, indicating the location of the rod and grounding system. Include the test method and test setup (i.e., pin location) used to determine ground resistance and soil conditions at the time the measurements were made.

3.7.1.4 Surge Arresters, Medium- and High-Voltage

a. Visual and mechanical inspection

(1) Compare equipment nameplate data with specifications and approved shop drawings.

(2) Inspect physical and mechanical condition.

(3) Inspect anchorage, alignment, grounding, and clearances.

(4) Verify the arresters are clean.

(5) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.

(6) Verify that the ground lead on each device is individually attached to a ground bus or ground electrode.

b. Electrical tests

(1) Perform resistance measurements through all bolted connections with low-resistance ohmmeter, if applicable.

(2) Perform an insulation-resistance test on each arrester, phase terminal-to-ground.

(3) Test grounding connection.

3.7.2 Follow-Up Verification

Upon completion of acceptance checks and tests, show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. As an exception to requirements stated elsewhere in the contract, notify the Contracting Officer 5 working days in advance of the dates and times of checking and testing.

-- End of Section --

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SECTION 26 20 00

INTERIOR DISTRIBUTION SYSTEM
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B1	(2013) Standard Specification for Hard-Drawn Copper Wire
ASTM B8	(2023) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2	(2023) National Electrical Safety Code
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA NEIS 1	(2015) Standard for Good Workmanship in Electrical Construction

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2020) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2020) American National Standard for Electrical Metallic Tubing (EMT)
ANSI C80.5	(2020) American National Standard for Electrical Rigid Aluminum Conduit
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2022) Standard for Industrial Control and

	Systems: General Requirements
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA MG 1	(2021) Motors and Generators
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA TC 2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2021) Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA TC 14	(2002) Standard for Reinforced Thermosetting Resin Conduit (RTRC) and Fittings
NEMA WD 1	(1999; R 2020) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2021) Wiring Devices Dimensions Specifications
NEMA Z535.4	(2023) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.303	Electrical, General

UL SOLUTIONS (UL)

UL 1	(2005; Reprint Jan 2022) UL Standard for Safety Flexible Metal Conduit

UL 6	(2022) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 6A	(2008; Reprint Mar 2021) UL Standard for Safety Electrical Rigid Metal Conduit - Aluminum, Red Brass, and Stainless Steel
UL 50	(2024) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables
UL 360	(2013; Reprint Jan 2024) UL Standard for Safety Liquid-Tight Flexible Metal Conduit
UL 486A-486B	(2018; Reprint Jul 2023) UL Standard for Safety Wire Connectors
UL 486C	(2018; Reprint May 2021) UL Standard for Safety Splicing Wire Connectors
UL 489	(2016; Rev 2019) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 498	(2017; Reprint Jun 2024) UL Standard for Safety Attachment Plugs and Receptacles
UL 510	(2020; Dec 2022) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Jun 2022) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint Mar 2024) UL Standard for Safety Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Apr 2024) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 651	(2011; Reprint May 2022) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 797	(2007; Reprint Apr 2023) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 870	(2016; Reprint Nov 2023) UL Standard for Safety Wireways, Auxiliary Gutters, and Associated Fittings
UL 943	(2016; Reprint Sep 2023) UL Standard for Safety Ground-Fault Circuit-Interrupters

UL 984	(1996; Reprint Sep 2005) Hermetic Refrigerant Motor-Compressors
UL 1004-1	(2012; Reprint Nov 2020) UL Standard for Safety Rotating Electrical Machines - General Requirements
UL 1242	(2006; Reprint Apr 2022) UL Standard for Safety Electrical Intermediate Metal Conduit -- Steel

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Receptacles; G

Circuit Breakers; G

Switches; G

SD-06 Test Reports

600-volt Wiring Test; G

Ground-fault Receptacle Test; G

SD-10 Operation and Maintenance Data

Electrical Systems, Data Package 5; G

1.4 QUALITY ASSURANCE

1.4.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated. NECA NEIS 1 shall be considered the minimum standard for workmanship.

1.4.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal

material, design and workmanship and:

a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.

b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.

c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.4.2.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.4.2.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.5 MAINTENANCE

1.5.1 Electrical Systems

Submit operation and maintenance data in accordance with Section 01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein. Submit operation and maintenance manuals for electrical systems that provide basic data relating to the design, operation, and maintenance of the electrical distribution system for the building. Include the following:

a. Single line diagram of the "as-built" building electrical system.

b. Schematic diagram of electrical control system (other than HVAC, covered elsewhere).

c. Manufacturers' operating and maintenance manuals on active electrical equipment.

1.6 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.7 SEISMIC REQUIREMENTS

Provide seismic details as indicated.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

2.2.1 Rigid Metallic Conduit

2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.1.2 Rigid Aluminum Conduit

ANSI C80.5, UL 6A.

2.2.2 Rigid Nonmetallic Conduit

PVC Type EPC-40, and EPC-80 in accordance with NEMA TC 2,UL 651, or fiberglass conduit, in accordance with NEMA TC 14.

2.2.3 Intermediate Metal Conduit (IMC)

UL 1242, zinc-coated steel only.

2.2.4 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

2.2.5 Plastic-Coated Rigid Steel and IMC Conduit

NEMA RN 1, Type 40(40 mils thick).

2.2.6 Flexible Metal Conduit

UL 1, limited to 6 feet.

2.2.6.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360, limited to 6 feet.

2.2.7 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

2.2.7.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

2.2.7.2 Fittings for EMT

Steel compression type.

2.2.8 Fittings for Rigid Nonmetallic Conduit

NEMA TC 3 for PVC and NEMA TC 14 for fiberglass, and UL 514B.

2.2.9 Liquid-Tight Flexible Nonmetallic Conduit

Not Permitted.

2.3 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C if nonmetallic.

2.4 CABINETS, JUNCTION BOXES, AND PULL BOXES

UL 50; volume greater than 100 cubic inches, NEMA Type 1 enclosure; sheet steel, hot-dip, zinc-coated. Where exposed to wet, damp, or corrosive environments, NEMA Type 4X or as indicated.

2.5 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 24 months prior to date of delivery to site.

2.5.1 Conductors

Provide the following:

a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.

b. Conductors No. 8 AWG and larger diameter: stranded.

c. Conductors No. 10 AWG and smaller diameter: solid.

d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.

e. All conductors: copper.

2.5.1.1 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

a. Branch circuits: No. 12 AWG.

b. Class 1 remote-control and signal circuits: No. 14 AWG.

c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.

d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.

e. Digital low voltage lighting control (DLVLC) system at 24 Volts or less: Category 5 UTP minimum cables in accordance with DLVLC system manufacturer requirements.

2.5.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.5.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

a. Grounding conductors: Green.

b. Neutral conductors: White.

c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.

2.5.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

a. 208/120 volt, three-phase

(1) Phase A - black

(2) Phase B - red

(3) Phase C - blue

2.5.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN-2 conforming to UL 83 or Type XHHW-2 or RHW conforming to UL 44, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where equipment or devices require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.5.4 Bonding Conductors

ASTM B1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.6 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

2.7 DEVICE PLATES

Provide the following:

a. UL listed, one-piece device plates for outlets to suit the devices installed.

b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.

c. For nonmetallic boxes and fittings, other suitable plates may be provided.

d. Plates on finished walls: satin finish stainless steel or brushed-finish aluminum, minimum 0.03 inch thick.

e. Screws: machine-type with countersunk heads in color to match finish of plate.

f. Sectional type device plates are not be permitted.

g. Plates installed in wet locations: gasketed and UL listed for "wet locations."

2.8 SWITCHES

2.8.1 Disconnect Switches

NEMA KS 1. Provide heavy duty-type switches where indicated, where switches are rated higher than 240 volts, and for double-throw switches. Utilize Class R fuseholders and fuses for fused switches, unless indicated otherwise. Provide horsepower rated for switches serving as the motor-disconnect means. Provide switches in NEMA 1 or 4X Type 304 stainless steel (exterior) enclosure or as indicated per NEMA ICS 6.

2.9 RECEPTACLES

Provide the following:

a. UL 498, general purpose specification grade, grounding-type. Residential grade receptacles are not acceptable.

b. Ratings and configurations: as indicated.

c. Bodies: white as per NEMA WD 1.

d. Face and body: thermoplastic supported on a metal mounting strap.

e. Dimensional requirements: per NEMA WD 6.

f. Screw-type, side-wired wiring terminals or of the solderless pressure type having suitable conductor-release arrangement.

g. Grounding pole connected to mounting strap.

h. The receptacle: containing triple-wipe power contacts and double or triple-wipe ground contacts.

2.9.1 Weatherproof Receptacles

Provide receptacles, UL listed for use in "wet locations" with integral GFCI protection. Include cast metal box with gasketed, hinged, lockable and weatherproof while-in-use, polycarbonate, UV resistant/stabilized

extra-duty rated hood.

2.9.2 Ground-Fault Circuit Interrupter Receptacles

UL 943, duplex type for mounting in standard outlet box. Provide device capable of detecting current leak when the current to ground is 6 milliamperes or higher, and tripping per requirements of UL 943 for Class A ground-fault circuit interrupter devices. Provide screw-type, side-wired wiring terminals or pre-wired (pigtail) leads.

2.10 PANELBOARDS

2.10.1 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

2.10.1.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker such that overload in one pole automatically causes all poles to open. Maintain phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

2.10.1.2 Circuit Breaker With Ground-Fault Circuit Interrupter

UL 943 and NFPA 70. Provide with auto-monitoring (self-test) and lockout features, "push-to-test" button, visible indication of tripped condition, and ability to detect and trip when current imbalance is 6 milliamperes or higher per requirements of UL 943 for Class A ground-fault circuit interrupter devices.

2.11 MOTORS

Provide motors in accordance with the following:

a. NEMA MG 1.

b. Hermetic-type sealed motor compressors: Also comply with UL 984.

c. Provide the size in terms of HP, or kVA, or full-load current, or a combination of these characteristics, and other characteristics, of each motor as indicated or specified.

d. Determine specific motor characteristics to ensure provision of correctly sized starters and overload heaters.

e. Rate motors for operation on 208-volt, 3-phase circuits with a terminal voltage rating of 200 volts.

f. Use motors designed to operate at full capacity with voltage variation of plus or minus 10 percent of motor voltage rating.

g. Unless otherwise indicated, use continuous duty type motors if rated 1 HP and above.

h. Where fuse protection is specifically recommended by the equipment manufacturer, provide fused switches in lieu of non-fused switches indicated.

i. Use Inverter-Duty motors designed to operate with adjustable speed drive (ASD).

2.11.1 High Efficiency Single-Phase Motors

Single-phase fractional-horsepower alternating-current motors: high efficiency types are not acceptable. In exception, for special purpose motors and motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated.

2.11.2 Premium Efficiency Polyphase and Single-Phase Motors

Select polyphase and continuous-duty single phase motors based on high efficiency characteristics relative to typical characteristics and applications as listed in NEMA MG 10 and NEMA MG 11. In addition, continuous rated, polyphase squirrel-cage medium induction motors must meet the requirements for premium efficiency electric motors in accordance with NEMA MG 1, including the NEMA full load efficiency ratings. In exception, for motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated. Electronically Commutated Motors (ECM) to have an integrated controller that senses rotor position and electronically commutates the stator windings to provide synchronous rotation. The rotor must be of a permanent magnet type supported by ball bearings to increase motor efficiency and longevity. The motor must maintain 70 percent or greater efficiency for its design application. ECM motors to comply with UL 1004-1.

2.11.3 Motor Sizes

Provide size for duty to be performed, not exceeding the full-load nameplate current rating when driven equipment is operated at specified capacity under most severe conditions likely to be encountered. When motor size provided differs from size indicated or specified, make adjustments to wiring, disconnect devices, and branch circuit protection to accommodate equipment actually provided. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

2.11.4 Wiring and Conduit

Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide power wiring and conduit for field-installed equipment using adjustable speed drive (ASD) manufacturer required wiring type and length as specified herein. Power wiring and conduit: conform to the requirements specified herein. Control wiring: provided under, and conform to, the requirements of the section specifying the associated equipment.

2.12 LOCKOUT REQUIREMENTS

Provide circuit breakers, disconnecting means, and other devices that are

electrical energy-isolating capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147, NFPA 70E and 29 CFR 1910.303. Comply with requirements of Division 23, "Heating, Ventilating, and Air Conditioning (HVAC)" for mechanical isolation of machines and other equipment.

2.13 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.14 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

a. ASTM D709.

b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.

c. Each nameplate inscription: identify the function and, when applicable, the position.

d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.

e. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.

f. Minimum size of nameplates: one by 2.5 inches.

g. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.15 WARNING SIGNS

Provide warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.16 FIRESTOPPING MATERIALS

Provide firestopping around electrical penetrations to match existing construction.

2.17 WIREWAYS

UL 870. As required. Material: steel epoxy painted 16 gauge for heights and depths up to 6 by 6 inches, and 14 gauge for heights and depths up to

12 by 12 inches. Provide in length required for the application with hinged- or screw-cover NEMA 1 enclosure per NEMA ICS 6.

2.18 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.

b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.

c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.

d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.

e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.

f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Light Gray.

g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and IEEE C2 and to requirements specified herein.

3.1.1 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Minimum conduit size: 3/4 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches. Firestop conduit which penetrates fire-rated walls, fire-rated partitions, or fire-rated floors to match existing construction.

3.1.1.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.1.2 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project. Run conduits as if exposed.

3.1.2.1 Restrictions Applicable to Aluminum Conduit

a. Do not install underground or encase in concrete or masonry.

b. Do not use brass or bronze fittings.

c. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.2 Restrictions Applicable to EMT

a. Do not install underground.

b. Do not encase in concrete, mortar, grout, or other cementitious materials.

c. Do not use in areas subject to physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.

d. Do not use in hazardous areas.

e. Do not use outdoors.

f. Do not use in fire pump rooms.

g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.3 Restrictions Applicable to Nonmetallic Conduit

a. PVC Schedule 40.

(1) Do not use where subject to physical damage, including but not limited to, mechanical equipment rooms, electrical equipment rooms, fire pump rooms, and where restrictions are applying to both PVC Schedule 40 and PVC Schedule 80.

(2) Do not use above grade, except where allowed in this section for rising through floor slab or indicated otherwise.

b. PVC Schedule 80.

(1) Do not use where subject to physical damage, including but not limited to, hospitals, power plant, missile magazines, and other such areas.

(2) Do not use in hazardous (classified) areas.

(3) Do not use in penetrating fire-rated walls or partitions, or

fire-rated floors.

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3.1.2.4 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.5 Conduit Through Floor Slabs

Where conduits rise through floor slabs, do not allow curved portion of bends to be visible above finished slab. Where conduit rises through slab-on grade, seal all electrical penetrations to address radon mitigation and prevent infiltration of air, insects, and vermin.

3.1.2.6 Stub-Ups

Provide conduits stubbed up through concrete floor for connection to free-standing equipment with adjustable top or coupling threaded inside for plugs, set flush with finished floor. Extend conductors to equipment in rigid steel conduit, except that flexible metal conduit may be used 6 inches above floor. Where no equipment connections are made, install screwdriver-operated threaded flush plugs in conduit end.

3.1.2.7 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Plastic cable ties are not acceptable. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Identify independent conduit support in both fire and non-fire rated assemblies per NFPA 70. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable watertight expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.2.8 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or

conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.1.2.9 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

3.1.2.10 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for motors. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 3/4 inch diameter. Provide liquid tight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections. Plastic cable ties are not acceptable as a support method.

3.1.3 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet locations, when surface mounted on outside of exterior surfaces, when surface mounted on interior walls exposed up to 7 feet above floors and walkways, and when specifically indicated. Boxes in other locations: sheet steel, except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixtures for access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. Threaded studs driven in by powder charge and provided with lock washers and nuts or nail-type nylon anchors may be used in lieu of wood screws, expansion shields, or machine screws. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

3.1.3.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet.

3.1.3.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge aluminum or galvanized sheet steel, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

3.1.4 Mounting Heights

Mount disconnecting switches so height of center of grip of the operating handle of the switch or circuit breaker at its highest position is maximum 79 inches above floor or working platform or as allowed in Section 404.8 per NFPA 70. Mount receptacles 18 inches above finished floor, unless otherwise indicated.

3.1.5 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves. Identify control circuit terminations in accordance with manufacturer's recommendations.

3.1.5.1 Marking Strips

Provide marking strips for identification of power distribution, control, data, and communications cables in accordance with the following:

a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.

b. Use permanent ink for the wire numbers

c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.

d. Size marking strips to accommodate the two sets of wire numbers.

e. Assign a device designation in accordance with NEMA ICS 1 to each device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.

f. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according

to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations.

3.1.6 Splices

Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

3.1.7 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.1.8 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings to match existing construction.

3.1.9 Grounding and Bonding

Provide in accordance with NFPA 70. Ground exposed, non-current-carrying metallic parts of electrical equipment, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, and neutral conductor of wiring systems. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

3.1.10 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

3.1.11 Repair of Existing Work

Perform repair of existing work, demolition, and modification of existing electrical distribution systems as follows:

3.1.11.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings, piping, and equipment using skilled craftsmen of trades involved.

3.1.11.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source.

Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

3.1.11.3 Removal of Existing Electrical Distribution System

Removal of existing electrical distribution system equipment includes equipment's associated wiring, including conductors, cables, exposed conduit, surface metal raceways, boxes, and fittings, back to equipment's power source as indicated.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets. Provide nameplate on all equipment in access controlled spaces and areas.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.4 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Where field painting of enclosures for panelboards, load centers or the like is specified to match adjacent surfaces, to correct damage to the manufacturer's factory applied coatings, or to meet the indicated or specified safety criteria, provide manufacturer's recommended coatings and apply in accordance to manufacturer's instructions.

3.5 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give Contracting Officer 5 working days notice prior to each test. Where applicable, test electrical equipment in accordance with NETA ATS.

3.5.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

3.5.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of 1,000 volts DC for 600 volt rated wiring and 500 volts DC for 300 volt rated wiring per NETA ATS to provide direct reading of resistance. All existing wiring to be reused must also be tested.

3.5.3 Ground-Fault Receptacle Test

Test ground-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed. Press the TEST button and then the RESET button to verify by LED status that the device is a self-test model as specified in UL 943.

3.5.4 Phase Rotation Test

Perform phase rotation test to ensure proper rotation of service power prior to operation of new or reinstalled equipment using a phase rotation meter. Follow the meter manual directions performing the test.

-- End of Section --

SECTION 33 32 16

UTILITY WASTEWATER PUMPING STATIONS
11/19, CHG 1: 11/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.1	(2020) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.3	(2021) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.11	(2022) Forged Fittings, Socket-Welding and Threaded
ASME B36.19M	(2022; Errata 2023) Welded and Seamless Wrought Stainless Steel Pipe

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C110/A21.10	(2021) Ductile-Iron and Gray-Iron Fittings
AWWA C111/A21.11	(2023) Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
AWWA C115/A21.15	(2020) Flanged Ductile-Iron Pipe With Ductile-Iron or Gray-Iron Threaded Flanges
AWWA C151/A21.51	(2023) Ductile-Iron Pipe, Centrifugally Cast
AWWA C500	(2019) Metal-Seated Gate Valves for Water Supply Service
AWWA C509	(2023) Resilient-Seated Gate Valves for Water Supply Service
AWWA C515	(2020) Reduced-Wall, Resilient-Seated Gate Valves for Water Supply Service
AWWA C600	(2023) Installation of Ductile-Iron Mains and Their Appurtenances

ASTM INTERNATIONAL (ASTM)

ASTM A48/A48M	(2022) Standard Specification for Gray Iron Castings
ASTM A182/A182M	(2024) Standard Specification for Forged

	or Rolled Alloy and Stainless Steel Pipe Flanges, Forged Fittings, and Valves and Parts for High-Temperature Service
ASTM A312/A312M	(2022a) Standard Specification for Seamless, Welded, and Heavily Cold Worked Austenitic Stainless Steel Pipes
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A536	(2024) Standard Specification for Ductile Iron Castings

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)

ISO 1940-1	(2003; R 2008) Mechanical Vibration - Balance Quality Requirements for Rotors in a Constant (Rigid) State - Part 1: Specification and Verification of Balance Tolerances

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-80	(2019) Bronze Gate, Globe, Angle and Check Valves

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1	(2021) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 1 2024; TIA 24-1) National Electrical Code

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Fabrication Drawings

Erection/Installation Drawings

SD-03 Product Data

Submersible Sewage Grindernonclog Pumps; G

Pump Performance Curve; G,

Pump Motor; G,

Wet Well and Valve Vault; G,

Flexible Flanged Coupling; G,

Station Piping and fittings; G,

Valves; G,

Spare Parts Data; G,

SD-06 Test Reports

Pump Test; G

Float Test; G

SD-07 Certificates

Submersible Sewage Grindernonclog Pumps; G,

Gate Valves; G

Check Valves; G

Pump Motor; G

SD-08 Manufacturer's Instructions

Pump Control System; G

Gate Valves; G

Check Valves; G

Pump Motor; G,

Special Tools; G,

Posted Instructions; G,

SD-10 Operation and Maintenance Data

Operation And Maintenance Manuals

SD-11 Closeout Submittals

Warranty; G,

1.3 QUALITY CONTROL

1.3.1 Installer Qualifications

Provide manufacturer's authorized pump representative who is trained and approved for installation of pumps and packaged pump station required for this project.

1.4 DELIVERY, STORAGE, AND HANDLING OF MATERIALS

1.4.1 Delivery and Storage

Inspect materials delivered to site for damage. Unload and store with minimum handling. Store materials in enclosures or under protective covering. Store rubber gaskets which are not to be installed immediately under cover, out of direct sunlight. Do not store materials directly on the ground. Keep interior of pipes, valves and fittings free of dirt and debris.

1.4.2 Handling

Handle pipe, fittings, valves, and other accessories in such manner as to ensure delivery to the trench in sound, undamaged condition. Avoid injury to coatings and linings on pipe and fittings; make repairs if coatings or linings are damaged. Carry pipe to the trench; do not drag it. Do not use any device or fitting inserted into (such as loader forks) or attached to (such as chain hooks) the bell or spigot ends of the pipe to transport pipe. Handle ductile iron pipe, fittings, and accessories in accordance with AWWA C600.

1.5 WARRANTY

Provide manufacturer's standard warranty for a minimum of one year for package pump station including pumps, valves, controls, and accessories.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide a complete sewage pump station with submersible grindernonclog pumps including equipment and materials, installed and ready for operation. The pump supplier pumps and rail system to ensure unit integrity. The existing Pump Control Panel will be used with the new pump. JB CHS Maintenance Staff stated that the existing Control Panel is compatible with the proposed pump components. If the Control Panel is not found to be good working condition, then the Contractor must notify the Contracting Officer.

Submit fabrication drawings before installation. Submit drawings covering necessary or recommended changes to accommodate the equipment offered. Show on the drawings the design of the elevation levels with reference to those elevations indicated.

Submit erection/installation drawings for the existing manhole chamber with the required equipment and accessories. Show the design of the elevation levels with reference to those elevations indicated.

2.2 SUBMERSIBLE SEWAGE GRINDERNONCLOG PUMPS

Provide submersible sewage nonclog pumps with grinder units as indicated. Provide submersible, centrifugal sewage pumps and grinder units capable of grinding the materials found in normal domestic sewage, including plastics, rubber, sanitary napkins, disposable diapers, animal hair and wooden articles into a finely ground slurry with particle dimensions no greater than 1/4 inch of the nonclogging type with passageways designed to pass 3 inch diameter spheres without clogging. Provide pump capacity, number of pumps and motor characteristics as indicated on the drawings.

Select pumps to continuously operate in a submerged or partially submerged condition.

2.2.1 Pump Construction

2.2.1.1 Casing

Provide hard, close-grained cast iron casing or steel that is free from blow holes, porosity, hard spots, shrinkage defects, cracks, and other injurious defects. Provide casings permitting replacement of wearing parts. Ensure all joints are gasketed to prevent leakage. Ensure passageways permit smooth flow of sewage and are free of sharp turns and projections. Use free standing pump support legs of cast-iron providing enough clearance for the solids to get into the grinder.

2.2.1.2 Impeller

Provide a cast iron impeller for the grinder pump with stainless steel cutter, grinder, or slicer assembly. Provide nonclogging type cast-iron impeller, conforming to ASTM A48/A48M, Class 30, for a submersible nonclog pump. Ensure the impeller has a smooth surface and allows free flowing with the clearance to permit objects in the sewage to pass. Fit and key, spline, or thread impeller on shaft, and lock in such manner that lateral movement is prevented and reverse rotation will not cause loosening.

2.2.1.3 Bearings

Provide heavy duty ball thrust bearing or roller type bearing sized to withstand imposed loads. Oil lubricate bearings.

2.2.1.4 Lubrication

Provide self lubricating, permanently sealed bearings.

2.2.1.5 Balance

Balance rotating parts of the equipment mechanically and hydraulically to operate throughout the required range without excessive end thrust, vibration, and noise. Conform allowable vibration limits with ISO 1940-1, Table 1. Existence of defects that cannot be eliminated by adjustment will be sufficient cause for rejection of the equipment.

2.3 PUMP MOTOR

Provide hermetically sealed electric motors with moisture and temperature-sensing probes in the wet well NEMA MG 1, 3534 RPM, 208 volt, 3 phase, and 60 Hz cycle for submersible pumps. Motor horsepower must not be less than pump horsepower at any point on the pump performance curve. Fit motors with lifting "eyes" capable of supporting entire weight of pump and motor. Seal the power cable inside the motor end bell. Provide a waterproof power cable for its full length. Air filled motors are not acceptable. Ensure oil used may be disposed as non-hazardous waste.

2.4 PUMP CONTROL SYSTEM

2.4.1 General

Connect the existing panel to the new pumps and/or including all necessary components to function reliably. Ensure equipment subject to contact with

sewage or sewage gases is corrosion-resistant metal. Ensure that the existing electronic controller that automatically activates and alternates the pump operation. If the liquid level continues to rise to the plans-specified level, the controller engages both pumps to operate simultaneously until both shut off at the specified low level. Ensure hand-off-auto switches to choose the mode of operation for each pump.

Ensure that the control system to operate pumps at the power characteristics as shown on the plans. Ensure all controls and wiring meet or exceed the requirements of NFPA 70.

Require the control function to provide for the operation of the pumps under normal conditions and alternates the pumps on each pump down cycle.

In the event the incoming flow exceeds the pumping capacity of the lead pump, the offline pumps automatically start to handle the increased flow. As the flow decreases, the pumps cut off at the elevations set on the controller.

2.4.2 Enclosure

Existing enclosure to be re-used.

2.4.3 Level Control System

Provide a sealed, mercury-free float switch control system to sense variations of sewage level in the wet well.

Use a direct acting float switch consisting of a normally-open mercury switch enclosed in a float. Use float molded of rigid high-density polyurethane foam, color-coded and coated with a durable, water and corrosion-resistant jacket of clear urethane.

Provide stainless steel float brackets in accordance with manufacturer's recommendations.

Mount floats at fixed elevations as shown on the drawings and/or to match existing float elevations.

Use floats designed to tilt and operate their switches causing sequential turn-on turn-off of the pump, when the liquid level being sensed rises or falls past the float.

Float switches must be intrinsically safe relays. Provide an intrinsically safe barrier relay between the wet well and the control panel.

Provide an intrinsically safe barrier relay between the wet well and the control panel.

2.4.4 Alternator

Connect existing alternator control switch to operate in connection with each float. The alternator control switch must alternate the operation of the pumps and operate both pumps if the water level rises above the second high water level. Incorporate time delay function and devices in the alternator controls such that both sewage pumps cannot be started simultaneously for an adjustable period of 10 to 120 seconds after shutdown. Use the delay function designed to operate in any condition of start-up in either normal or emergency operational mode.

2.4.5 Sewage Pump Alarm and Control Panel

Ensure that the existing alarm panel is in the enclosure with a flashing red light and horn.

2.4.5.1 Alarms

Ensure existing alarms to activate under the following conditions:

a. High liquid level as sensed by the level control system.

b. Loss of main power.

c. No flow light as sensed by limit switch on the check valve or as sensed by current sensors.

d. Pump failure via temperature overload or motor heat sensor trip;

2.4.5.2 Circuit Breakers

a. Ensure that the existing panel has operating individual circuit breaker for each pump. If not present or in good condition, then the Contractor must contact the Contracting Officer.

2.4.5.3 Motor Starter and Overload Protection

Existing International Electrotechnical Commission (IEC) rated motor starter and thermal overload protection must be located in the control panel for each pump. Include undervoltage release, manual reset buttons and hand-automatic selector switches. If not located, the Contractor must notify the Contracting Officer.

2.4.6 Electrical Requirements

If not located on existing, install labels to identify switches and controls. Provide internal wiring for components of packaged equipment as an integral part of the equipment. As applicable, provide power wiring and conduit for field installed equipment.

2.5 STATION PIPING

Provide pressure piping and related accessories for force main piping inside the sewage wet well.

2.5.1 Ductile-Iron Pressure Pipe and Associated Fittings

Conform to AWWA C151/A21.51, Pressure Class 350.

2.5.1.1 Flanged Pipe

Conform to AWWA C115/A21.15, ductile iron.

2.5.1.2 Fittings

AWWA C110/A21.10, flanged. Provide flanged joint fittings within wet well and valve vault as indicated. Provide mechanical joint fittings outside valve vault enclosure as indicated. Use fittings with pressure rating at least equivalent to that of the pipe.

2.5.1.3 Joints

AWWA C115/A21.15 for flanged joints. Use bolts, nuts, and gaskets for flanged connections recommended in the Appendix to AWWA C115/A21.15. Provide ductile iron flange for setscrewed flanges in accordance with ASTM A536, Grade 70-50-05 or 60-42-10, and meeting the applicable requirements of ASME B16.1, Class 125. Use 190,000 psi tensile strength, heat treated, and zinc-coated steel setscrews for setscrewed flanges. Conform to the applicable requirements for mechanical-joint gaskets specified in AWWA C111/A21.11 for setscrewed flange gaskets. Use setscrewed gasket designed to provide for confinement and compression of gasket when joint to adjoining flange is made.

2.5.2 Stainless Steel Pipe

Stainless steel pipe intended for general corrosive service must meet the requirements of ASTM A312/A312M, seamless or welded, Grade TP316L, Schedule 80Sin accordance with Pipe Schedule conforming to ASME B36.19M.

2.5.2.1 Stainless Steel Joints

Join stainless steel piping by threaded couplings or welded fittings or flanges. Provide dielectric fittings or isolation joints between all dissimilar metals.

2.5.2.2 Stainless Steel Threaded Fittings

Provide threaded fittings consisting of austenitic stainless steel, ASTM A182/A182M Grade TP316L, conforming to ASME B16.11, and threaded in accordance with ASME B1.20.1. Use polytetrafluoroethylene (PTFE) pipe-thread tape conforming to ASTM D3308 for lubricant/sealant.

2.5.2.3 Stainless Steel Welding Fittings

Provide butt-welding or socket-welding welding fittings. Provide welding fittings consisting of forged austenitic stainless steel, ASTM A403/A403M Grade TP316L, butt-welding fittings, Class CR, conforming to ASME B16.9 socket-welding fittings, Class WP-S, WP-W, WP-WX, WP-WU, conforming to ASME B16.11.

2.5.2.4 Stainless Steel Flanged Fittings

Provide internal diameter bores of flanges and flanged fittings that are the same as that of the associated pipe. Ensure flanges are welding neck, socket welding lapped or threaded type. Provide flanges and flanged fittings consisting of forged austenitic stainless steel, ASTM A182/A182M Grade TP316L, drilled to ASME B16.5 with a flat face.

2.5.3 Insulating Joints

Provide between pipes of dissimilar metals a rubber gasket or other approved type of insulating joint or dielectric coupling to effectively prevent metal-to-metal contact between adjacent sections of piping.

2.5.4 Accessories

Provide flanges, connecting pieces, transition glands, transition sleeves, and other adapters as required.

2.5.5 Flexible Flanged Coupling

Provide flexible flanged couplings applicable for sewage as indicated. Use flexible flanged coupling designed for a working pressure of 350 psi.

2.6 VALVES AND OTHER PIPING ACCESSORIES

2.6.1 Isolation Gate Valves

Conform to AWWA C500 for gate valves with outside-screw-and-yoke rising-stem type with double disc gates and flanged ends. Conform to AWWA C509 for valves with outside-screw-and-yoke rising-stem type with flanged ends. Provide valves that open by counterclockwise rotation of the valve stem. Use valves from one manufacturer.

2.6.1.1 Valves 2 Inches and Smaller

Gate valves conforming to MSS SP-80 with non-rising stems and threaded ends.

Ball valves with PTFE seats and seals, brass body and end cups, chrome plated brass ball and screwed ends.

2.6.2 Check Valves Less Than 4 inch Diameter

Neoprene ball check valve with integral hydraulic sealing flange, designed for a hydraulic working pressure of 175 psi.

2.6.3 Identification Tags and Plates

Provide the manufacturer's name or trademark on a corrosion-resistant identification plate or cast integrally, stamped, or otherwise permanently marked in a conspicuous place on each item of equipment. Include on the pump identification plate the pump capacity in gpm, pump head in feet and speed of rotation. Cast on the body of the pump the direction of rotation.

2.6.4 Pipe Support

Use pipe support schedule 40 galvanized steel piping matching ASTM A53/A53M or Schedule 80 stainless steel piping along with applicable fittings. Provide either ASME B16.3 or ASME B16.11 galvanized threaded fittings.

2.6.5 Miscellaneous Metals

Use stainless steel bolts, nuts, washers, anchors, and supports for installation of equipment.

2.6.6 Quick Disconnect System with Hydraulic Sealing Flange and Rail System

Use quick disconnect system consisting of a steel base plate for supporting the pumps, a hydraulic sealing flange, pump guide rails and the discharge pipe supports. Provide stainless steel guide rails, brackets and lifting chain for raising and lowering the pump in the basin. Build guides onto pump housing to fit the guide post to assure perfect alignment between pump and guide rails.

PART 3 EXECUTION

3.1 INSTALLATION

Provide pump station in accordance with drawings and requirements of the respective equipment manufacturers. Dampen and isolate equipment vibration.

3.1.1 Equipment Installation

Install equipment in accordance with these specifications and the manufacturer's installation instructions. Grout equipment mounted on concrete foundations before installing piping. Install piping to avoid imposing stress on equipment. Match flanges before securing bolts.

3.1.2 Installation of Ductile-Iron Pressure Pipe and Fittings

Unless otherwise specified, install pipe and fittings in accordance with the requirements of AWWA C600 for pipe installation, joint assembly, and valve-and-fitting installation.

Make flanged joint with gaskets, bolts, and nuts specified for this type joint. Make flanged joints tight, avoid strain on flanges, fittings, and other accessories. Align bolt holes for each flanged joint. Use bolts sized for the bolt holes; use of undersized bolts is not permitted. Do not allow adjoining flange faces to be out of parallel to such degree that the flanged joint cannot be made watertight without overstraining the flange.

3.1.3 Valves

Make and assemble joints to gate valves and check valves as specified for making and assembling the same type joints between pipe and fittings.

Install valves in accordance with manufacturer's installation instructions. Install gate valves as described in AWWA C500, AWWA C509, and AWWA C515 and with AWWA C600 for valve-and-fitting installation and with the recommendations of the Appendix ("Installation, Operation, and Maintenance of Gate Valves") to AWWA C500.

3.1.4 CONNECTING DISSIMILAR PIPE

Install flexible transition couplings, dielectric fittings and isolation joints in accordance with the manufacturer's instructions.

3.2 FIELD QUALITY CONTROL

Provide appliances, materials, water, and equipment for testing, and bear full expenses in connection with the testing. Conduct testing after equipment, electrical services, and piping are installed, and the pump station is ready for operation. Correct defects discovered to the satisfaction of the Contracting Officer, and tests repeated, at no expense to the Government, until the equipment functions as intended and designed.

3.2.1 Testing Procedure

Perform a pump test and float test. Submit the test results to the Contracting Officer.

Test all panels to the power requirements as shown on the plans to assure proper component operation. Activate each control function to check for proper operation and indication.

3.2.2 Field Representative

A representative of the pump manufacturer is to direct the startup of the station and instruct representatives of the Government in startup and operation procedures.

3.3 CLOSEOUT ACTIVITIES

3.3.1 Operation and Maintenance

Submit operation and maintenance manuals in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA for package lift stations, including Equipment Description, Assembly and Installation Procedures, Adjustment and Alignment, Checkout Procedures, Procedures of Operation and Troubleshooting. Include preventative maintenance and inspection procedures for package lift stations. Include in procedures the frequency of preventative maintenance, inspection, adjustment, lubrication, and cleaning necessary to minimize corrective maintenance and repair.

Supply special tools that are required for maintenance and testing of the package lift stations.

Submit spare parts data, including a complete list of parts and supplies with current unit prices and source of supply. List parts and supplies that are either normally furnished at no extra cost with the purchase of equipment, or specified to be furnished as a part of the contract, and list additional items recommended by the manufacturer to ensure an efficient operation for a period of one year.

Install on or near the package lift stations, a complete package of posted instructions, consisting of labels, signs, and templates of operating instructions.

Provide a list or reference all specific operation and maintenance procedures that are required to keep the warranty valid.

-- End of Section --

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SECTION 33 71 02

UNDERGROUND ELECTRICAL DISTRIBUTION
08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B1	(2013) Standard Specification for Hard-Drawn Copper Wire
ASTM B8	(2023) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 48	(2020) Test Procedures and Requirements for Alternating-Current Cable Terminations Used on Shielded Cables Having Laminated Insulation Rated 2.5 kV through 765 kV or Extruded Insulation Rated 2.5 kV through 500 kV
IEEE 81	(2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE 386	(2016) Separable Insulated Connector Systems for Power Distribution Systems Rated 2.5 kV through 35 kV
IEEE 404	(2012) Standard for Extruded and Laminated Dielectric Shielded Cable Joints Rated 2500 V to 500,000 V
IEEE C2	(2023) National Electrical Safety Code
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2025) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C119.1	(2023) Electric Connectors - Sealed

	Insulated Underground Connector Systems Rated 600 Volts

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 1 2024; TIA 24-1) National Electrical Code

UL SOLUTIONS (UL)

UL 486A-486B	(2025) UL Standard for Safety Wire Connectors
UL 510	(2020; Dec 2022) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape

1.2 RELATED REQUIREMENTS

Section 26 08 00 APPARATUS INSPECTION AND TESTING applies to this section, with the additions and modifications specified herein. The requirements herein only apply as required to complete the transformer installation. Existing primary and secondary conductors are to be reconnected and new terminations are not anticipated, if new terminations are required, they must adhere to these specifications.

1.3 DEFINITIONS

a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

b. In the text of this section, the words conduit and duct are used interchangeably and have the same meaning.

c. In the text of this section, "medium voltage cable splices," and "medium voltage cable joints" are used interchangeably and have the same meaning.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Medium Voltage Cable Joints; G

Medium Voltage Cable Terminations; G

SD-06 Test Reports

Field Acceptance Checks and Tests; G

Arc-proofing Test for cable fireproofing tape; G

SD-07 Certificates

Cable Installer Qualifications; G

1.5 QUALITY ASSURANCE

1.5.1 Cable Installer Qualifications

Provide at least one onsite person in a supervisory position with a documentable level of competency and experience to supervise all cable pulling operations. Provide a resume showing the cable installers' experience in the last three years, including a list of references complete with points of contact, addresses and telephone numbers. Cable installer must demonstrate experience with a minimum of three medium voltage cable installations. The Contracting Officer reserves the right to require additional proof of competency or to reject the individual and call for an alternate qualified cable installer.

1.5.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship must be in accordance with the mandatory and advisory provisions of IEEE C2 and NFPA 70 unless more stringent requirements are specified or indicated.

1.5.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable, unless specified otherwise.

PART 2 PRODUCTS

2.1 LOW VOLTAGE INSULATED CONDUCTORS AND CABLES

Low voltage conductors are remaining for reuse and only being

re-terminated as required for installation.

2.2 LOW VOLTAGE WIRE CONNECTORS AND TERMINALS

Provide a uniform compression over the entire conductor contact surface. Use solderless terminal lugs on stranded conductors.

a. For use with copper conductors: UL 486A-486B.

2.3 LOW VOLTAGE SPLICES

Provide splices in conductors with a compression connector on the conductor and by insulating and waterproofing using one of the following methods which are suitable for continuous submersion in water and comply with ANSI C119.1.

2.3.1 Heat Shrinkable Splice

Provide heat shrinkable splice insulation by means of a thermoplastic adhesive sealant material applied in accordance with the manufacturer's written instructions.

2.3.2 Cold Shrink Rubber Splice

Provide a cold-shrink rubber splice which consists of EPDM rubber tube which has been factory stretched onto a spiraled core which is removed during splice installation. The installation must not require heat or flame, or any additional materials such as covering or adhesive. It must be designed for use with inline compression type connectors, or indoor, outdoor, direct-burial or submerged locations.

2.4 MEDIUM VOLTAGE CABLE

Medium voltage cabling is existing to remain and only being re-terminated as required for installation on a new transformer.

2.5 MEDIUM VOLTAGE CABLE TERMINATIONS

IEEE 48 Class 1; of the molded elastomer, prestretched elastomer, or heat-shrinkable elastomer. Acceptable elastomers are track-resistant silicone rubber or track-resistant ethylene propylene compounds, such as ethylene propylene rubber or ethylene propylene diene monomer. Separable insulated connectors may be used for apparatus terminations, when such apparatus is provided with suitable bushings. Provide terminations, where required, with mounting brackets suitable for the intended installation and with grounding provisions for the cable shielding, metallic sheath, or armor. Provide terminations in a kit, including: skirts, stress control terminator, ground clamp, connectors, lugs, and complete instructions for assembly and installation. Terminations must be the product of one manufacturer, suitable for the type, diameter, insulation class and level, and materials of the cable terminated. Do not use separate parts of copper or copper alloy in contact with aluminum alloy parts in the construction or installation of the terminator.

2.5.1 Cold-Shrink Type

Terminator must be a one-piece design, utilizing the manufacturer's latest technology, where high-dielectric constant (capacitive) stress control is integrated within a skirted insulator made of silicone rubber.

Termination must not require heat or flame for installation. Termination kit must contain all necessary materials (except for the lugs). Design termination for installation in low or highly contaminated indoor and outdoor locations and must resist ultraviolet rays and oxidative decomposition.

2.5.2 Heat Shrinkable Type

Terminator must consist of a uniform cross section heat shrinkable polymeric construction stress relief tubing and environmentally sealed outer covering that is nontracking, resists heavy atmospheric contaminants, ultra violet rays and oxidative decomposition. Provide heat shrinkable sheds or skirts of the same material. Design termination for installation in low or highly contaminated indoor or outdoor locations.

2.5.3 Separable Insulated Connector Type

IEEE 386. Provide connector with steel reinforced hook-stick eye, grounding eye, test point, and arc-quenching contact material. Provide connectors of the loadbreak or deadbreak type as indicated, of suitable construction for the application and the type of cable connected, and that include cable shield adaptors. Provide external clamping points and test points. Do no use separable connectors in manholes/handholes.

a. 200 Ampere loadbreak connector ratings: Voltage: 15 kV, 95 kV BIL. Short time rating: 10,000 rms symmetrical amperes.

b. 600 Ampere deadbreak connector ratings: Voltage: 15 kV, 95 kV BIL. Short time rating: 25,000 rms symmetrical amperes. Connectors must have 200 ampere bushing interface for surge arresters.

2.6 MEDIUM VOLTAGE CABLE JOINTS

Joints are only provided as required to reconnect the existing cabling to the new transfromer. Provide joints (splices) in accordance with IEEE 404 suitable for the rated voltage, insulation level, insulation type, and construction of the cable. Joints must be certified by the manufacturer for waterproof, submersible applications. Upon request, supply manufacturer's design qualification test report in accordance with IEEE 404. Connectors for joint must be tin-plated electrolytic copper, having ends tapered and having center stops to equalize cable insertion.

2.6.1 Heat-Shrinkable Joint

Consists of a uniform cross-section heat-shrinkable polymeric construction with a linear stress relief system, a high dielectric strength insulating material, and an integrally bonded outer conductor layer for shielding. Replace original cable jacket with a heavy-wall heat-shrinkable sleeve with hot-melt adhesive coating.

2.6.2 Cold-Shrink Rubber-Type Joint

Joint must be of a cold shrink design that does not require any heat source for its installation. Splice insulation and jacket must be of a one-piece factory formed cold shrink sleeve made of black EPDM rubber. Splice should be packaged three splices per kit, including complete installation instructions.

2.7 TAPE

2.7.1 Insulating Tape

UL 510, plastic insulating tape, capable of performing in a continuous temperature environment of 80 degrees C.

2.7.2 Fireproofing Tape

Provide tape composed of a flexible, conformable, unsupported intumescent elastomer. Tape must be not less than .030 inch thick, noncorrosive to cable sheath, self-extinguishing, noncombustible, adhesive-free, and must not deteriorate when subjected to oil, water, gases, salt water, sewage, and fungus.

2.8 GROUNDING AND BONDING

2.8.1 Driven Ground Rods

Provide copper-clad steel ground rods conforming to UL 467, or solid copper ground rods conforming to UL 467 not less than 3/4 inch in diameter by 10 feet in length. Sectional type rods may be used for rods 20 feet or longer.

2.8.2 Grounding Conductors

Stranded-bare copper conductors must conform to ASTM B8, Class B, soft-drawn unless otherwise indicated. Solid-bare copper conductors must conform to ASTM B1 for sizes No. 8 and smaller. Insulated conductors must be of the same material as phase conductors and green color-coded, except that conductors must be rated no more than 600 volts. Aluminum is not acceptable.

2.9 CAST-IN-PLACE CONCRETE

Provide concrete for encasement of underground ducts with 3000 psi minimum 28-day compressive strength. Concrete associated with electrical work for other than encasement of underground ducts must be 4000 psi minimum 28-day compressive strength unless specified otherwise.

2.10 SOURCE QUALITY CONTROL

2.10.1 Arc-Proofing Test for Cable Fireproofing Tape

Manufacturer must test one sample assembly consisting of a straight lead tube 12 inches long with a 2 1/2 inch outside diameter, and a 1/8 inch thick wall, and covered with one-half lap layer of arc and fireproofing tape per manufacturer's instructions. The arc and fireproofing tape must withstand extreme temperature of a high-current fault arc 13,000 degrees K for 70 cycles as determined by using an argon directed plasma jet capable of constantly producing and maintaining an arc temperature of 13,000 degrees K. Temperature (13,000 degrees K) of the ignited arc between the cathode and anode must be obtained from a dc power source of 305 (plus or minus 5) amperes and 20 (plus or minus 1) volts. Direct the arc toward the sample assembly accurately positioned 5 (plus or minus 1) millimeters downstream in the plasma from the anode orifice by fixed flow rate of argon gas (0.18 g per second). Test each sample assembly at three unrelated points. Start time for tests must be taken from recorded peak current when the specimen is exposed to the full test temperature.

Surface heat on the specimen prior to that time must be minimal. The end point is established when the plasma or conductive arc penetrates the protective tape and strikes the lead tube. Submittals for arc-proofing tape must indicate that the test has been performed and passed by the manufacturer.

PART 3 EXECUTION

3.1 INSTALLATION

Install equipment and devices in accordance with the manufacturer's published instructions and with the requirements and recommendations of NFPA 70 and IEEE C2 as applicable.

3.2 LOW VOLTAGE CABLE SPLICING AND TERMINATING

Make terminations and splices with materials and methods as indicated or specified herein and as designated by the written instructions of the manufacturer. Do not allow the cables to be moved until after the splicing material has completely set.

3.3 MEDIUM VOLTAGE CABLE TERMINATIONS

Make terminations in accordance with the written instruction of the termination kit manufacturer.

3.4 MEDIUM VOLTAGE CABLE JOINTS

Provide power cable joints (splices) suitable for continuous immersion in water. Make joints only in accessible locations in manholes or handholes by using materials and methods in accordance with the written instructions of the joint kit manufacturer.

3.4.1 Joints in Shielded Cables

Cover the joined area with metallic tape, or material like the original cable shield and connect it to the cable shield on each side of the splice. Provide a bare copper ground connection brought out in a watertight manner and grounded to the manhole grounding loop as part of the splice installation. Ground conductors, connections, and rods must be as specified elsewhere in this section. Wire must be trained to the sides of the enclosure to prevent interference with the working area.

3.5 CABLE END CAPS

Cable ends must be sealed at all times with coated heat shrinkable end caps. Cables ends must be sealed when the cable is delivered to the job site, while the cable is stored and during installation of the cable. The caps must remain in place until the cable is spliced or terminated. Sealing compounds and tape are not acceptable substitutes for heat shrinkable end caps. Cable which is not sealed in the specified manner at all times will be rejected.

3.6 GROUNDING SYSTEMS

NFPA 70 and IEEE C2, except provide grounding systems with a resistance to solid earth ground not exceeding 25 ohms.

3.6.1 Grounding Electrodes

Provide cone pointed driven ground rods driven full depth plus 6 inches, installed to provide an earth ground of the appropriate value for the particular equipment being grounded.
If the specified ground resistance is not met, provide an additional ground rod in accordance with the requirements of NFPA 70 (placed not less than 6 feet from the first rod). Should the resultant (combined) resistance exceed the specified resistance, measured not less than 48 hours after rainfall, notify the Contracting Officer immediately.

3.6.2 Grounding Connections

Make grounding connections which are buried or otherwise normally inaccessible, by exothermic weld or compression connector.

a. Make exothermic welds strictly in accordance with the weld manufacturer's written recommendations. Welds which are "puffed up" or which show convex surfaces indicating improper cleaning are not acceptable. Mechanical connectors are not required at exothermic welds.

b. Make compression connections using a hydraulic compression tool to provide the correct circumferential pressure. Tools and dies must be as recommended by the manufacturer. An embossing die code or other standard method must provide visible indication that a connector has been adequately compressed on the ground wire.

3.6.3 Grounding Conductors

Provide bare grounding conductors, except where installed in conduit with associated phase conductors. Ground cable sheaths, cable shields, conduit, and equipment with No. 6 AWG. Ground other noncurrent-carrying metal parts and equipment frames of metal-enclosed equipment. Ground metallic frames and covers of handholes and pull boxes with a braided, copper ground strap with equivalent ampacity of No. 6 AWG.

3.7 CAST-IN-PLACE CONCRETE

3.7.1 Concrete Slabs (Pads) for Equipment

Unless otherwise indicated, the slab must be at least 8 inches thick, reinforced with a 6 by 6 - W2.9 by W2.9 mesh, placed uniformly 4 inches from the top of the slab. Place slab on a 6 inch thick, well-compacted gravel base. Top of concrete slab must be approximately 4 inches above finished grade with gradual slope for drainage. Edges above grade must have 1/2 inch chamfer. Slab must be of adequate size to project at least 8 inches beyond the equipment.

3.7.2 Sealing

When the installation is complete, seal all conduit and other entries into the equipment enclosure with an approved sealing compound. Seals must be of sufficient strength and durability to protect all energized live parts of the equipment from rodents, insects, or other foreign matter.

3.8 FIELD QUALITY CONTROL

3.8.1 Performance of Field Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations, and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

3.8.1.1 Medium Voltage Cables

Only physical inspection of the primary cabling is required. Verify cable is functioning prior to disconnecting the existing transformer. Perform tests after installation of cable, splices, and terminators and before terminating to equipment or splicing to existing circuits.

a. Visual and Mechanical Inspection

(1) Inspect exposed cable sections for physical damage.

(2) Verify that cable is supplied and connected in accordance with contract plans and specifications.

(3) Inspect for proper shield grounding, cable support, and cable termination.

(4) Verify that cable bends are not less than ICEA or manufacturer's minimum allowable bending radius.

(5) Inspect for proper fireproofing.

(6) Visually inspect jacket and insulation condition.

(7) Inspect for proper phase identification and arrangement.

3.8.1.2 Low Voltage Cables, 600-Volt

Perform tests after installation of cable, splices and terminations and before terminating to equipment or splicing to existing circuits.

a. Visual and Mechanical Inspection

(1) Inspect exposed cable sections for physical damage.

(2) Verify that cable is supplied and connected in accordance with contract plans and specifications.

(3) Verify tightness of accessible bolted electrical connections.

(4) Inspect compression-applied connectors for correct cable match and indentation.

(5) Visually inspect jacket and insulation condition.

(6) Inspect for proper phase identification and arrangement.

b. Electrical Tests

(1) Perform insulation resistance tests on wiring No. 6 AWG and

larger diameter using instrument which applies voltage of approximately 1000 volts dc for one minute.

(2) Perform continuity tests to insure correct cable connection.

3.8.1.3 Grounding System

a. Visual and mechanical inspection

Inspect ground system for compliance with contract plans and specifications.

b. Electrical tests

Perform ground-impedance measurements utilizing the fall-of-potential method in accordance with IEEE 81. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. The instrument must be equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test. Provide site diagram indicating location of test probes with associated distances, and provide a plot of resistance vs. distance.

3.8.2 Follow-Up Verification

Upon completion of acceptance checks and tests, show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. As an exception to requirements stated elsewhere in the contract, the Contracting Officer must be given 5 working days advance notice of the dates and times of checking and testing.

.... -- End of Section --